

# ***CP Violation in the Decay***

**$K_L \rightarrow p^+ p^- e^+ e^-$**

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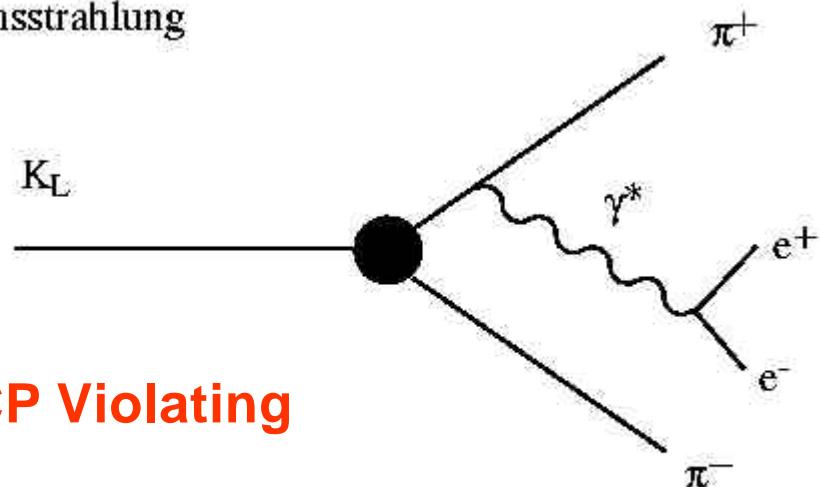
**Branching Ratio Measurement**

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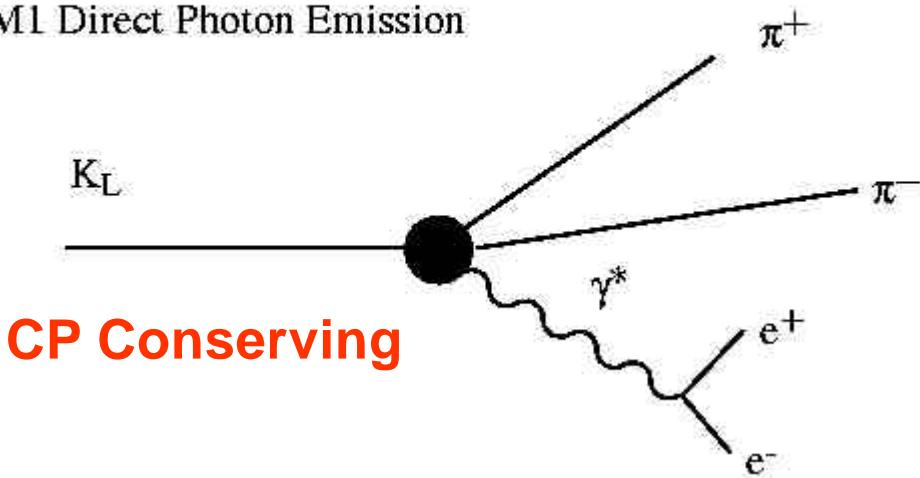
## **CP Violating Process in**

$$K_L \quad p^+ p^- e^+ e^-$$

a) Bremsstrahlung

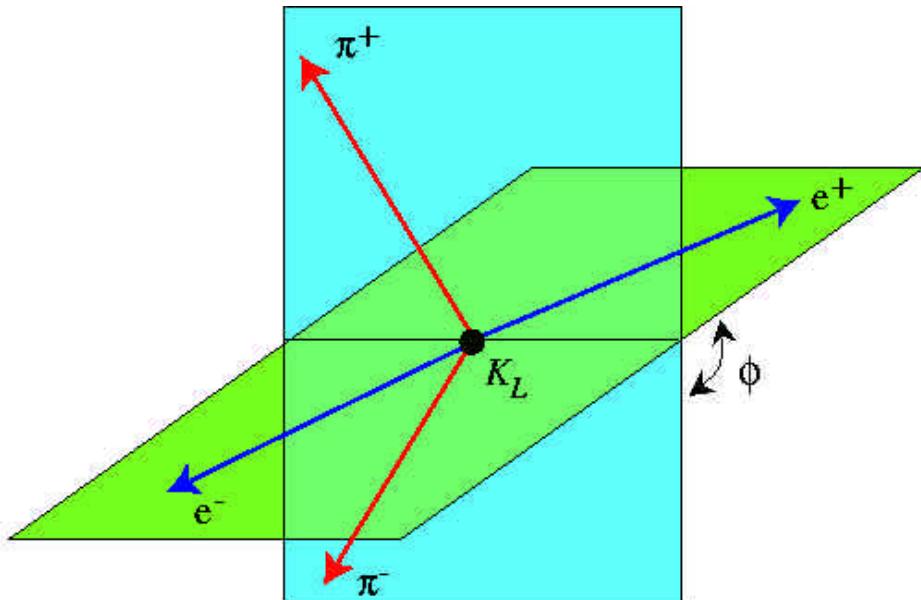


b) M1 Direct Photon Emission

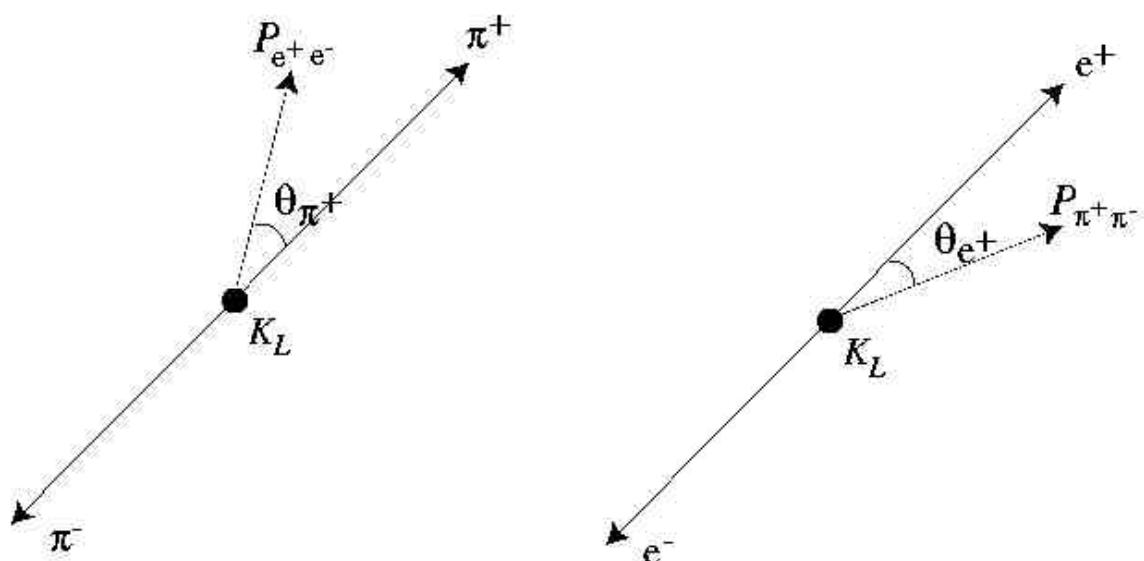


**CP violating effect comes from  
interference between IB and DE amplitudes.**

## Critical Angles in $K_L$ $p^+ p^- e^+ e^-$



$\phi$  is an angle between the normals to the ee and  $\pi\pi$  planes in Kaon center of mass frame.



$\theta_{\pi^+}$  is an angle between a center of mass of two electrons and  $\pi^+$  in pions' center of mass frame.

$\theta_{e^+}$  is an angle between a center of mass of two pions and  $e^+$  in electrons' center of mass frame.

# **Angular Asymmetry in**

$$\frac{d\Gamma}{d\mathbf{f}} = \Gamma_1 \cos^2 \mathbf{f} + \Gamma_2 \sin^2 \mathbf{f} + \Gamma_3 \sin \mathbf{f} \cos \mathbf{f}$$

$$\sin \mathbf{f} \cos \mathbf{f} = n_{ee} \times n_{pp} \cdot \left( \frac{p_+ + p_-}{|p_+ + p_-|} \right) \cdot (n_{ee} \cdot n_{pp})$$

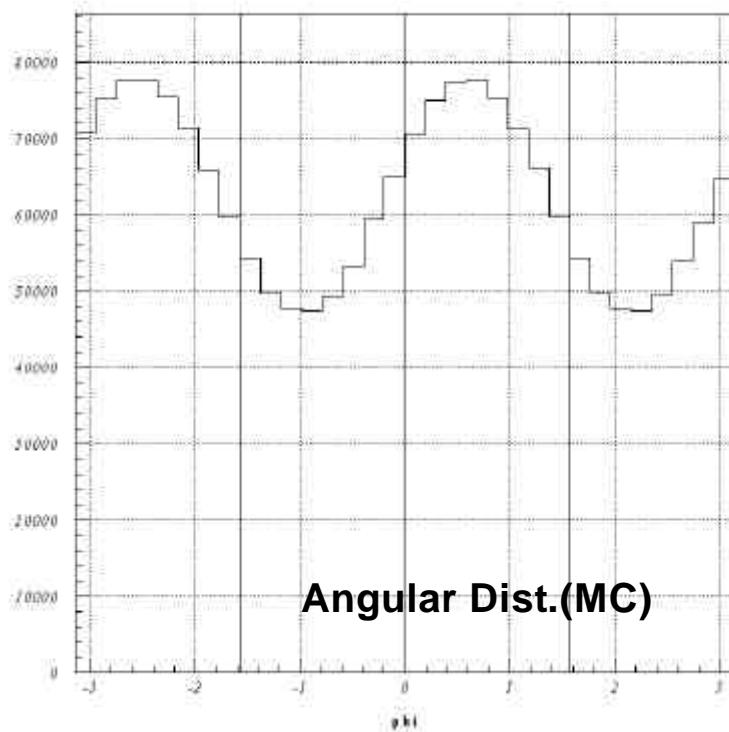
$n_{ee}(n_{pp})$ : Normal to  $e^+ e^- (p^+ p^-)$  plane

$p_+(p_-)$ : Momentum of  $p^+(p^-)$  in Kaon CM frame

$$A = \frac{\int_0^{p/2} \frac{d\Gamma}{d\mathbf{f}} d\mathbf{f} - \int_{p/2}^p \frac{d\Gamma}{d\mathbf{f}} d\mathbf{f}}{\int_0^{p/2} \frac{d\Gamma}{d\mathbf{f}} d\mathbf{f} + \int_{p/2}^p \frac{d\Gamma}{d\mathbf{f}} d\mathbf{f}} \approx 14\%$$

**Sehgal and Wanninger**

**Phys. Rev. D46, 1035(1992); ibid D46, 5209(E)(1992)**



## **M1 Form factor**

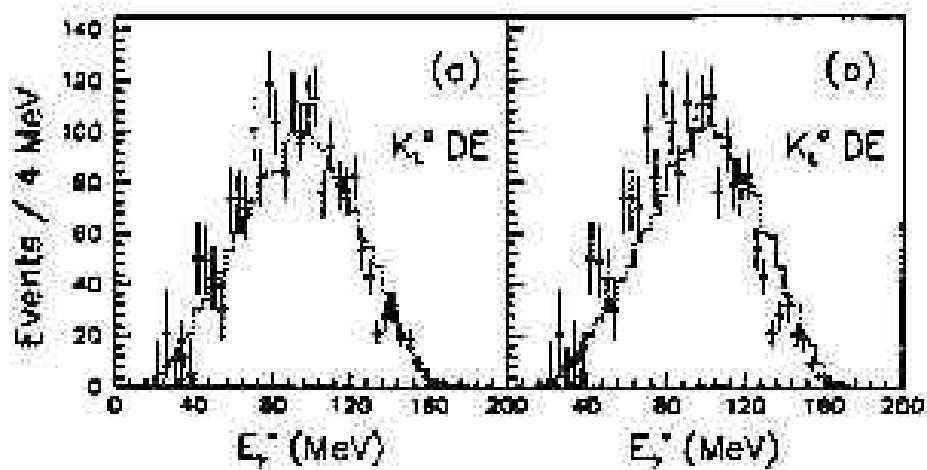
$$gM1 \rightarrow gM1 \cdot F$$

$$F = \frac{a_1}{(M_r^2 - M_K^2) + 2M_K E_g^*} + a_2$$

$M_r$  : mass of  $r$  meson

$M_K$  : mass of  $K$  meson

$E_g^* = E_{e^+} + E_{e^-}$  : Virtual photon Energy in Kaon CM frame



a)With form factor

b)Without form factor

**E.J.Ramberg et al., Phys. Rev. Lett. 70, 2525(1993)**

## ***Recent Experimental Status***

**Recent Publication:**

**Branching Ratio**

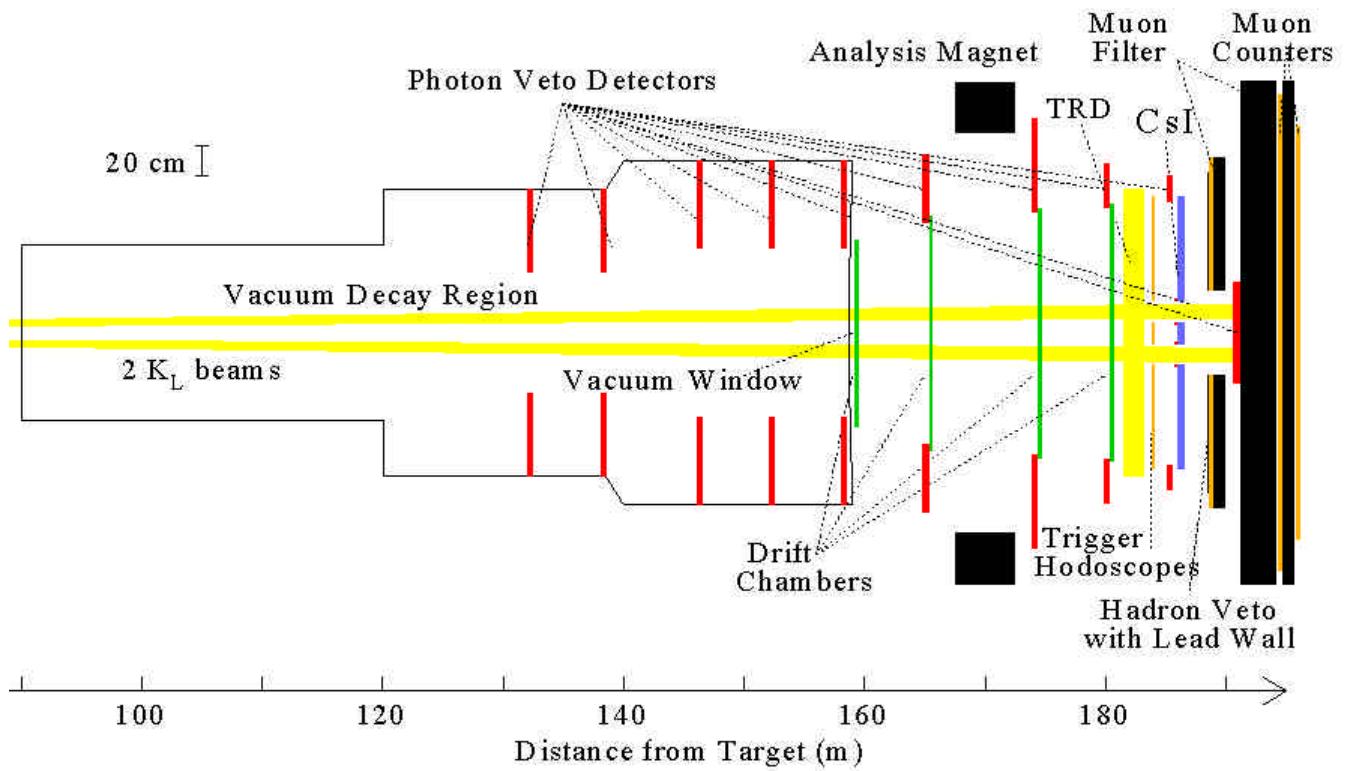
$$(3.2 \times 0.6(\text{stat.}) \times 0.4(\text{syst.})) \times 10^{-7}$$

**Based on 46 events(one day of data) by KTeV**

**J.Adams et al., Phys. Rev. Lett. 80, 4123(1998)**

**Our analysis shown here based on whole(90 days) dataset.**

## KTeV Detector (*plane view*)



## Spectrometer(Magnet + Drift Chambers)

Measure the momentum of a charged particle

## Electromagnetic Calorimeter

Measure the energy of a photon  
Particle identification

## **Spectrometer(Magnet + Drift Chamber)**

**Purpose:** charged track trajectory reconstruction

vertex reconstruction

**Measure the momentum of a charged particle**

## **4 Drift Chambers(DC1 - DC4)**

**Spatial resolution : 100mm**

## **Analysis Magnet (between DC3 and 4)**

**Transverse momentum kick: 205 MeV/c**

## **Momentum Resolution:**

$$\frac{S_p}{p} = 0.016\% \times p(\text{GeV}/c) \oplus 0.38\%$$

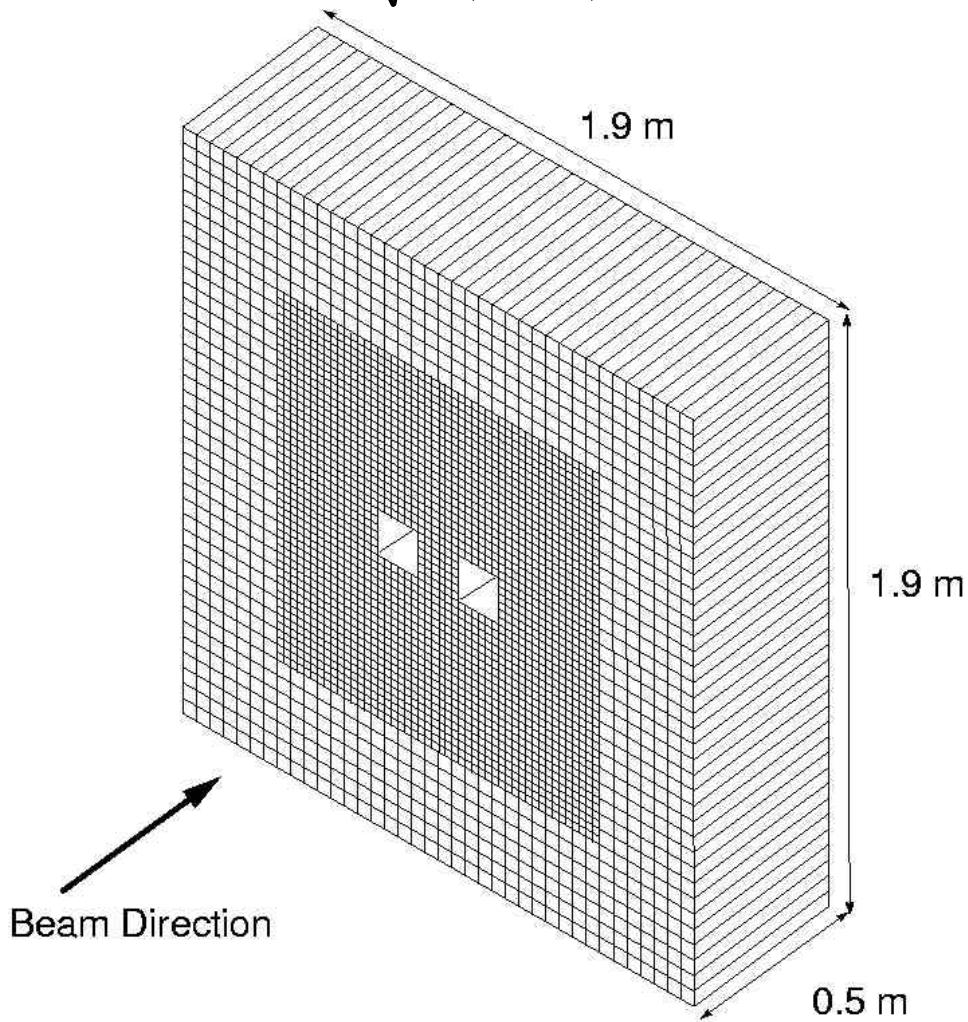
# Electromagnetic Calorimeter

**Measure the energy of a photon  
Particle identification**

**3100 CsI crystals(27 rad. lengths)**

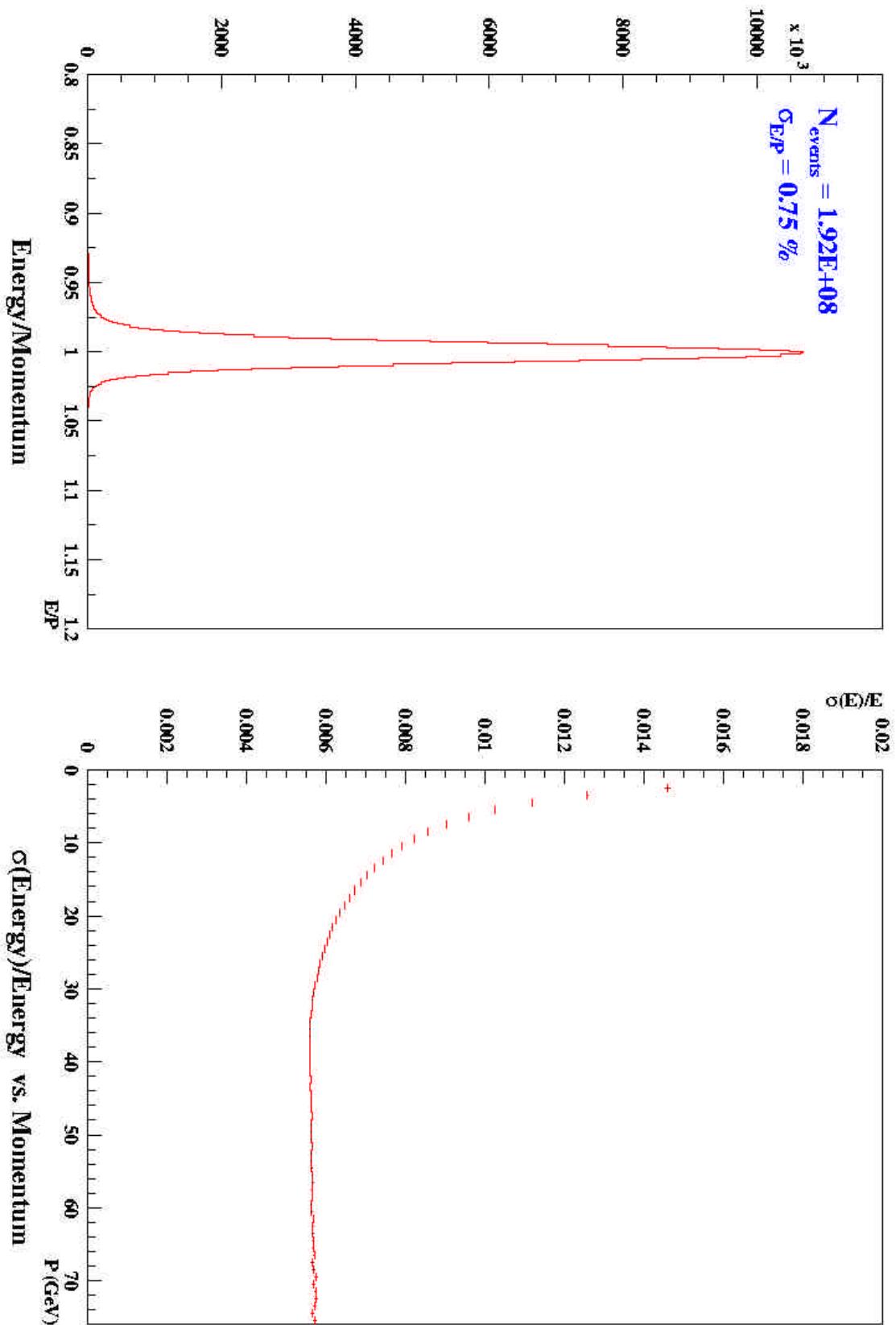
**Energy Resolution:**

$$\frac{S_E}{E} = \frac{0.6\%}{\sqrt{E(\text{GeV})}} \times \oplus 0.6\%$$



### Electrons from $K \rightarrow \pi e\nu$

### Electrons from $K \rightarrow \pi e\nu$

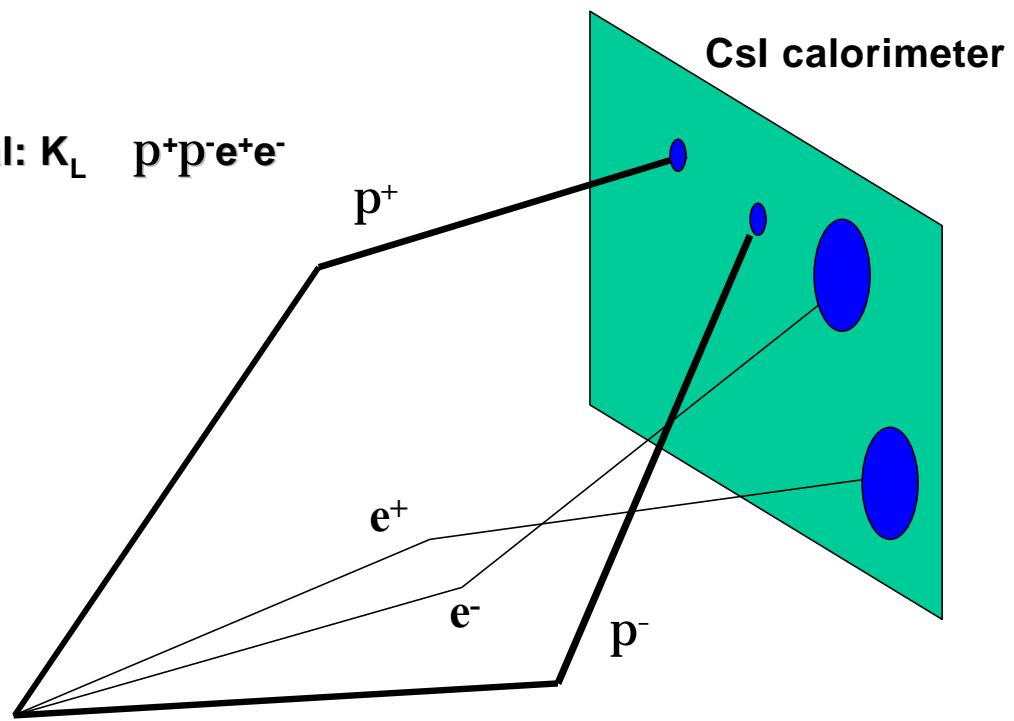


## $K_L$ $p^+p^-e^+e^-$ *Signal Selection*

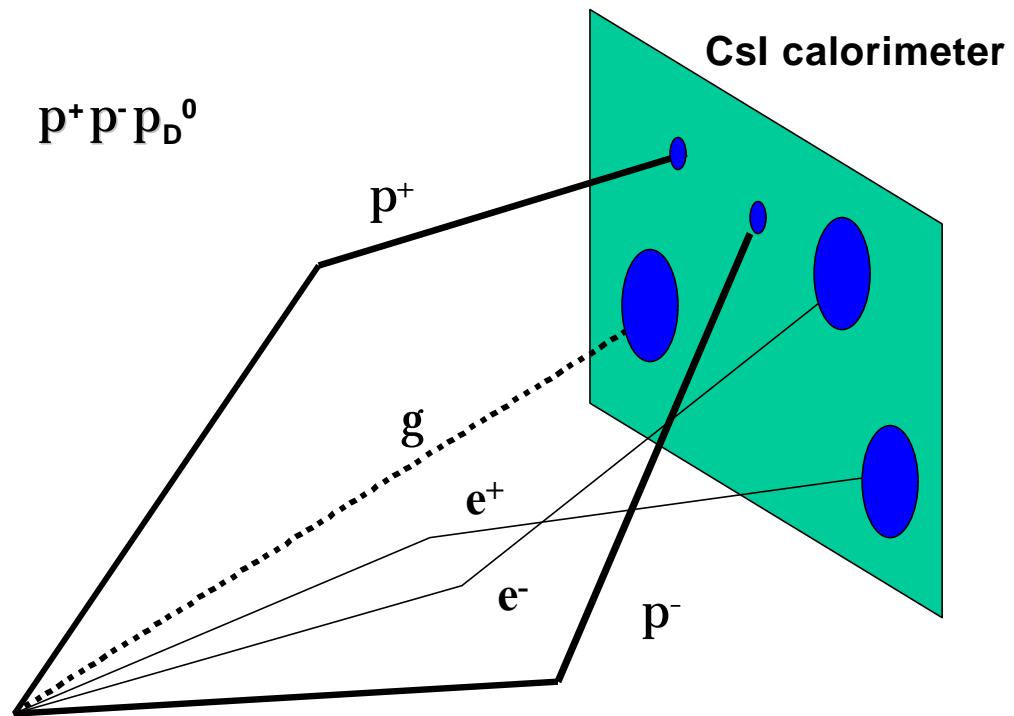
### Strategy

- 4 charged tracks sharing a common vertex.
- Identified 2 pions and 2 electrons including charge consistency
- Invariant mass forms  $K_L$  mass
- Total momentum consistent with  $K_L$  flight direction
- Constraint from other kinematics relations

**Signal:**  $K_L \rightarrow p^+ p^- e^+ e^-$



**BG:**  $K_L \rightarrow p^+ p^- p_D^0$



## **Background sources**

$$K_L \rightarrow p^+ p^- p_D^0 (p^0 \rightarrow e^+ e^- g)$$

$$K_L \rightarrow p^+ p^- p_{DD}^0 (p^0 \rightarrow e^+ e^- e^+ e^-)$$

$$K_L \rightarrow p^+ p^- p^0 (p^0 \rightarrow gg)$$

**g conversion at material(g → e<sup>+</sup>e<sup>-</sup>)**

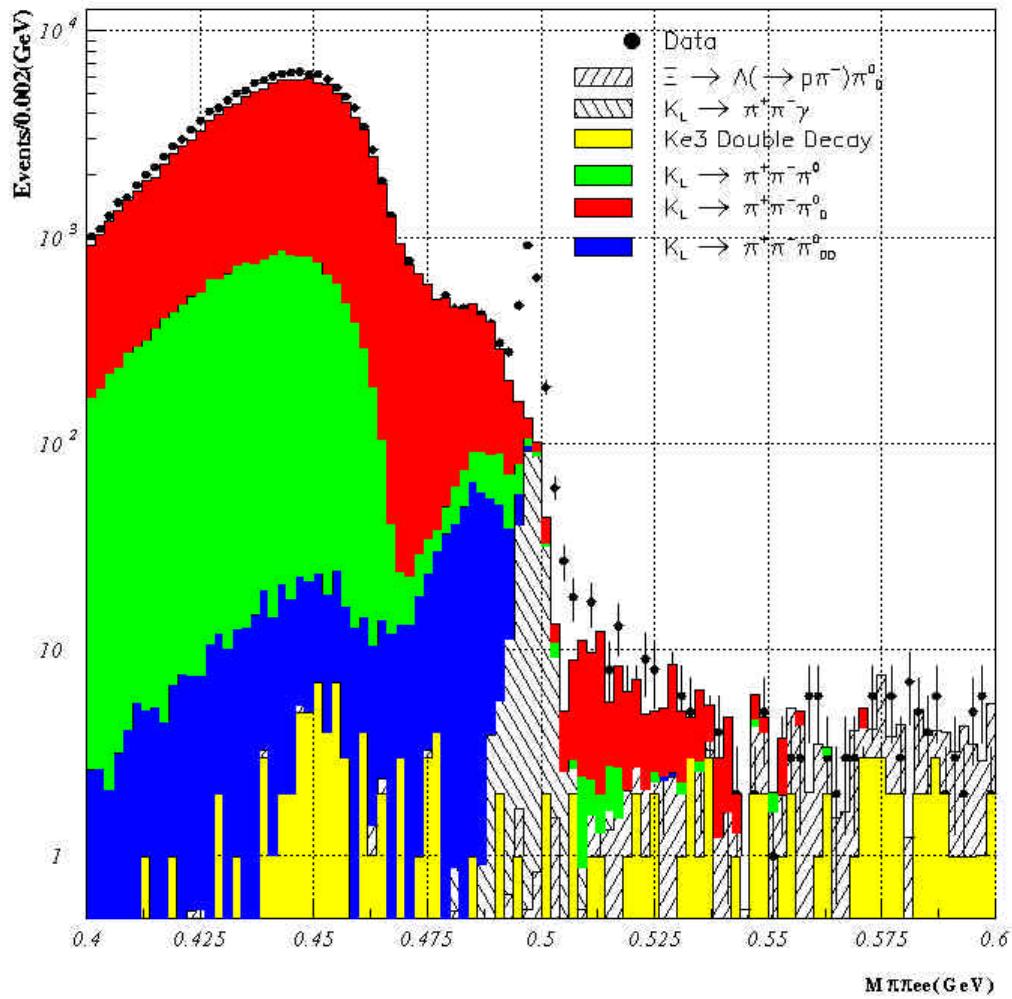
$$K_L \rightarrow p^+ p^- g$$

**g conversion at material(g → e<sup>+</sup>e<sup>-</sup>)**

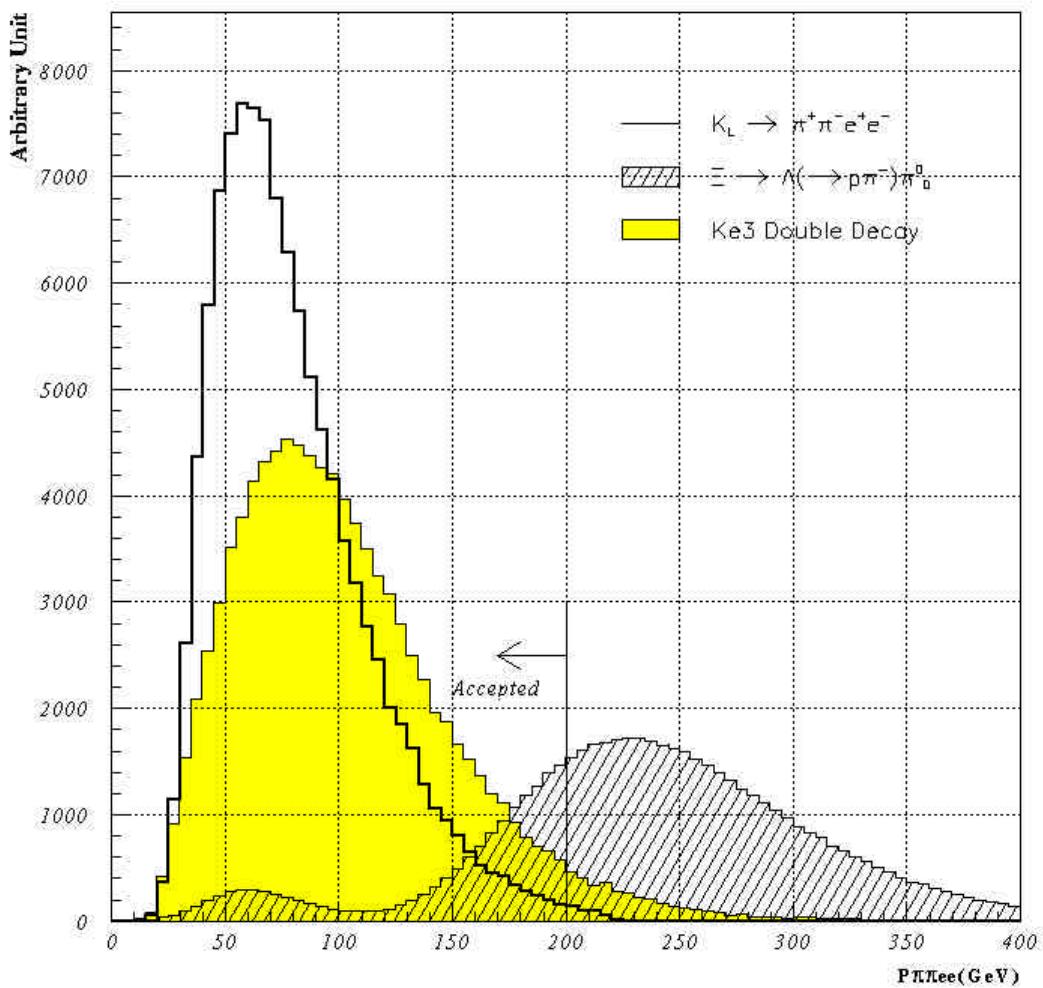
$$\Xi \rightarrow \Lambda (\rightarrow p p^-) p_D^0 (\rightarrow e^+ e^- g)$$

$$(K_L \rightarrow p^+ e^- n) + (K_L \rightarrow p^- e^+ n)$$

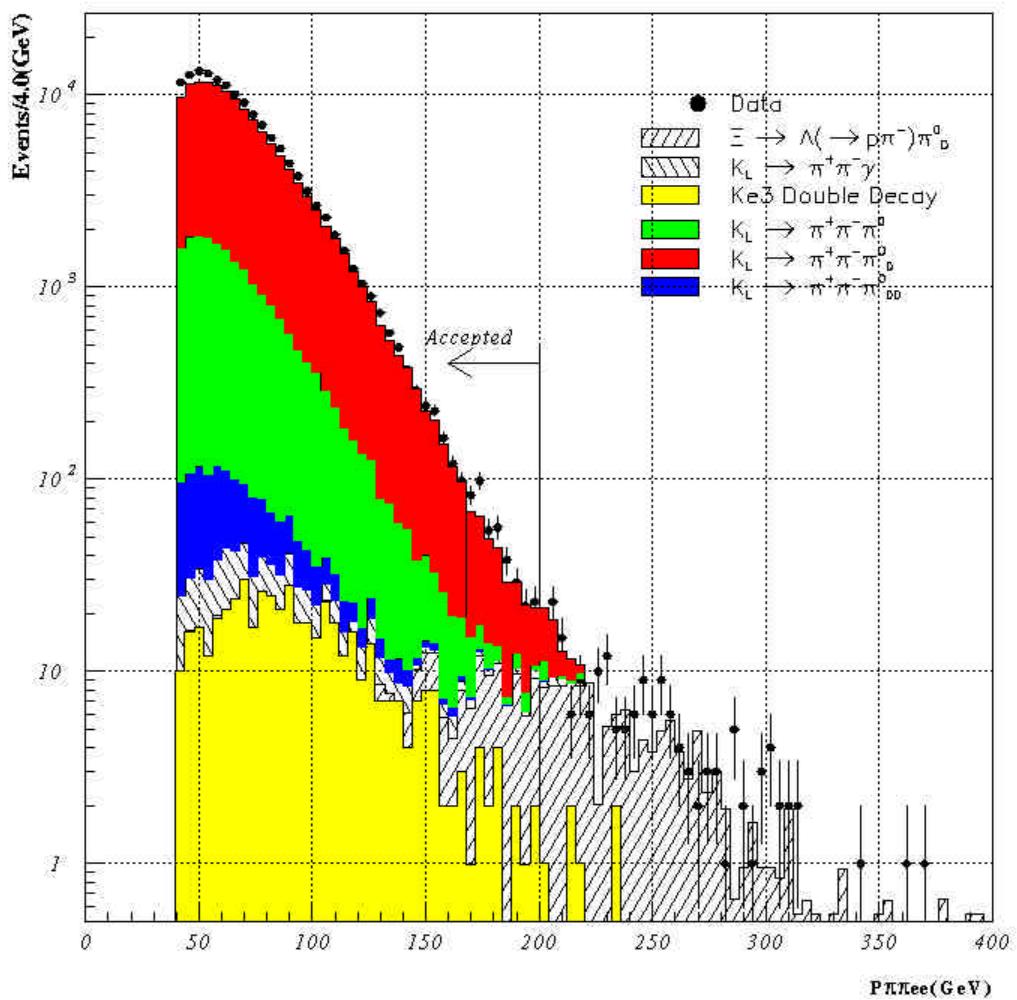
## ***Background distribution***



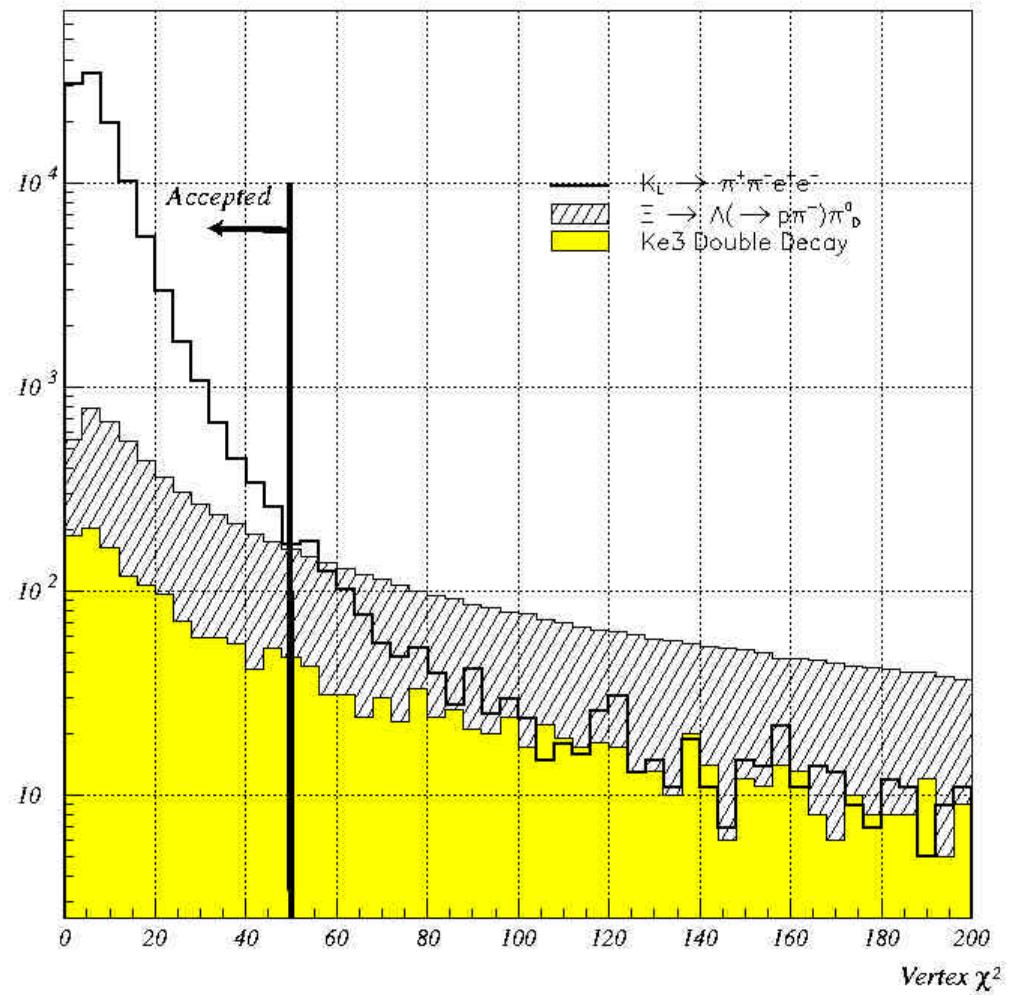
## Total Momentum( $p_{\text{hee}}$ ) Cut



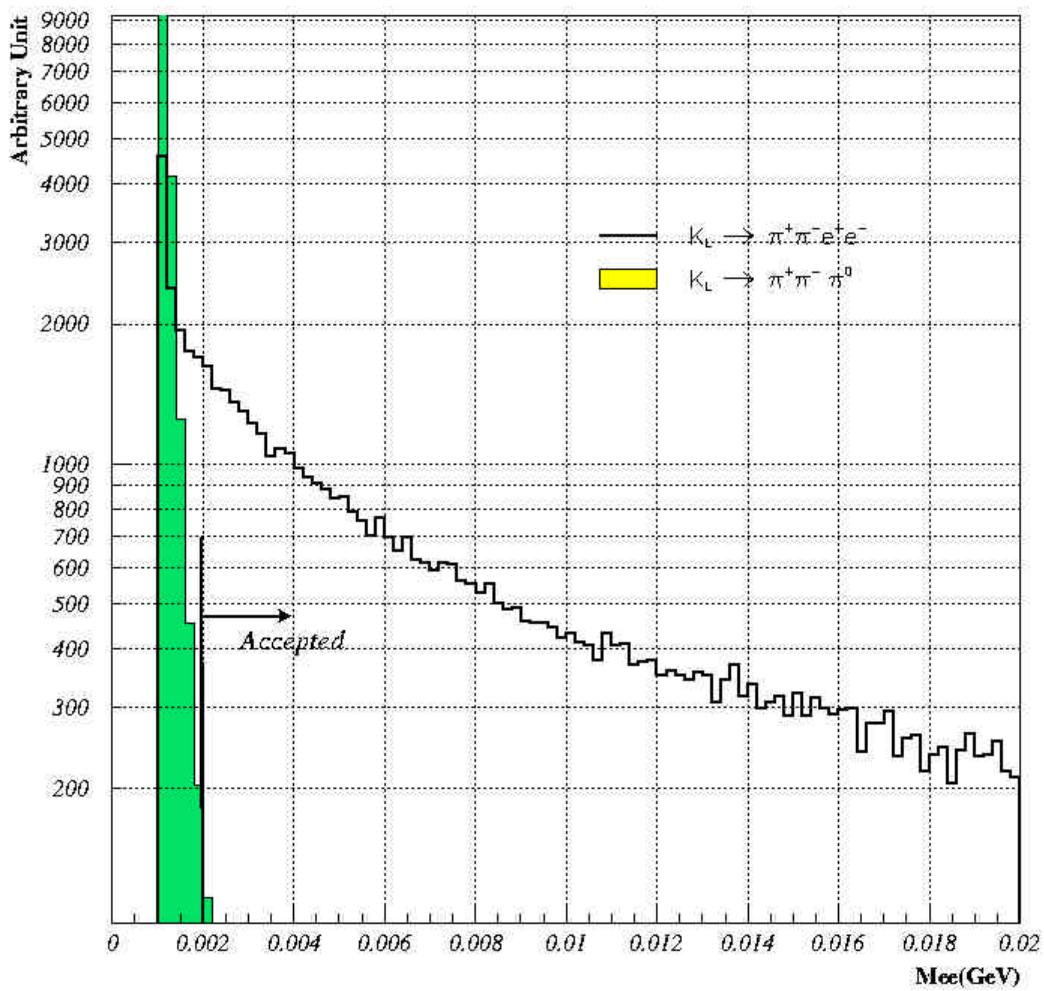
## Total Momentum Cut: Data and Background MC Overlay



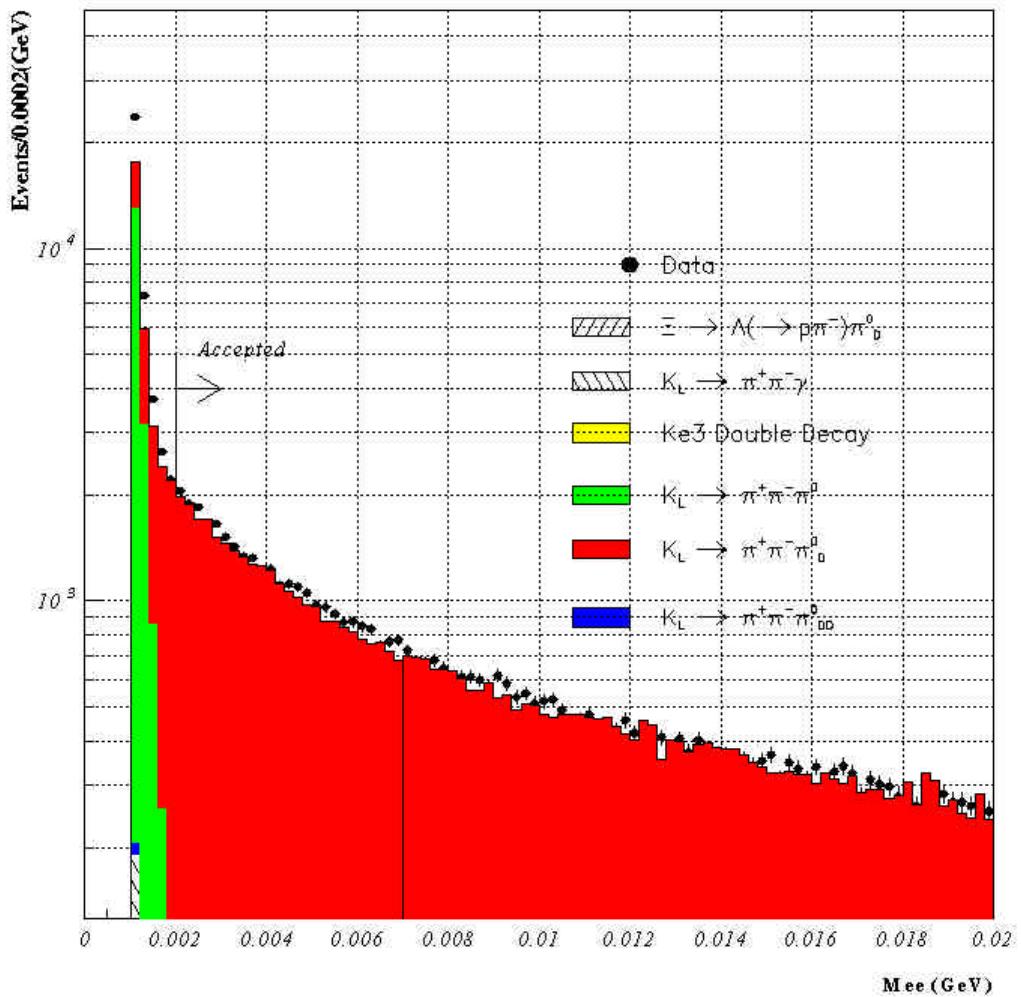
# Vertex $\chi^2$ (quality) Cut: Data and Background MC Overlay



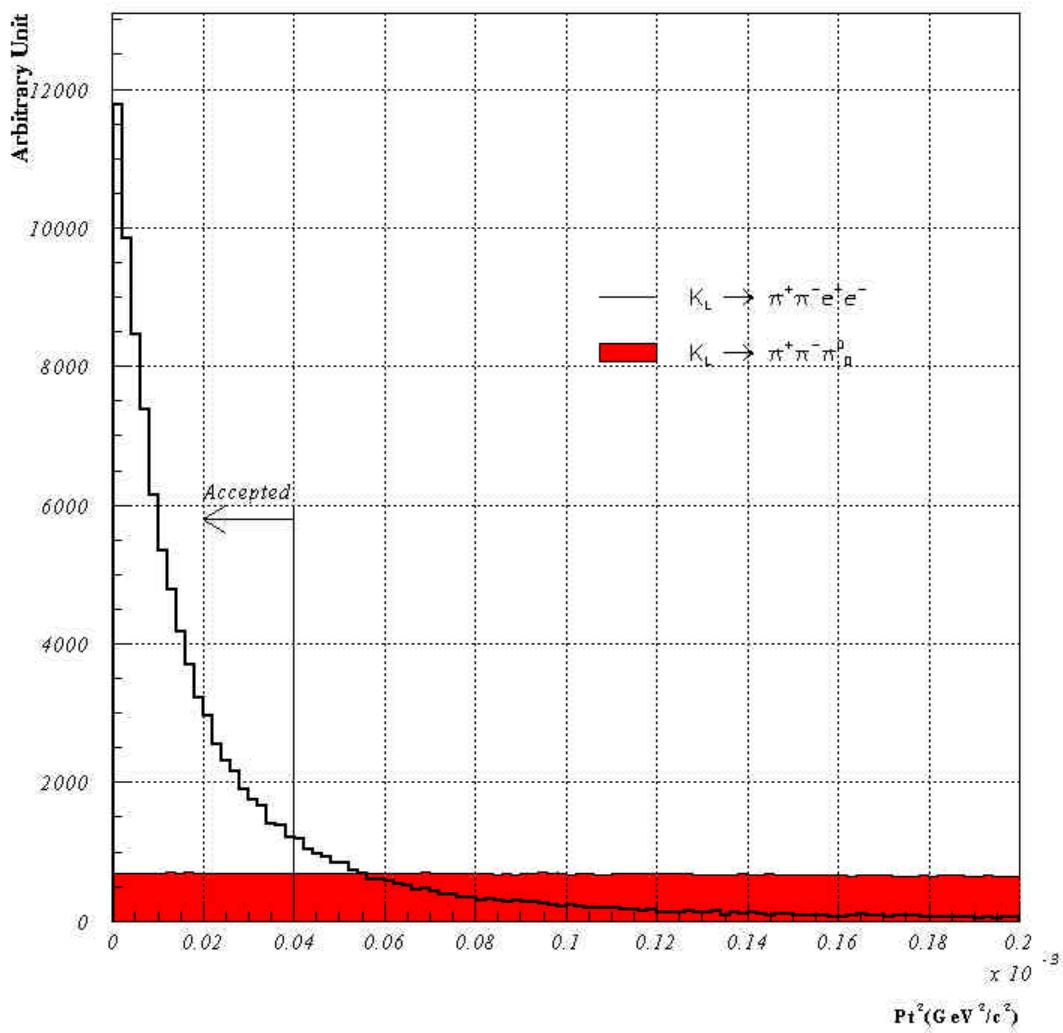
## Mee Cut



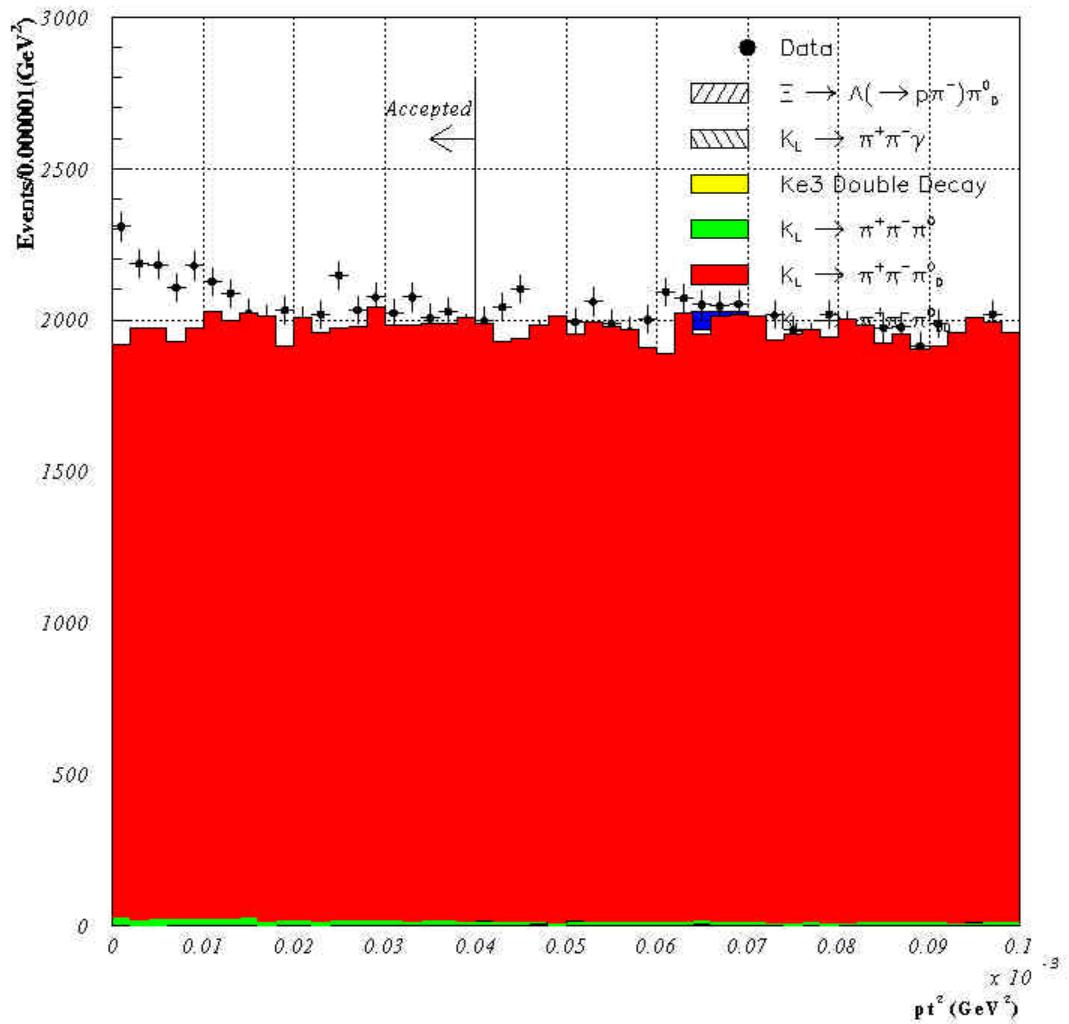
## **Mee Cut: Data and Background MC Overlay**



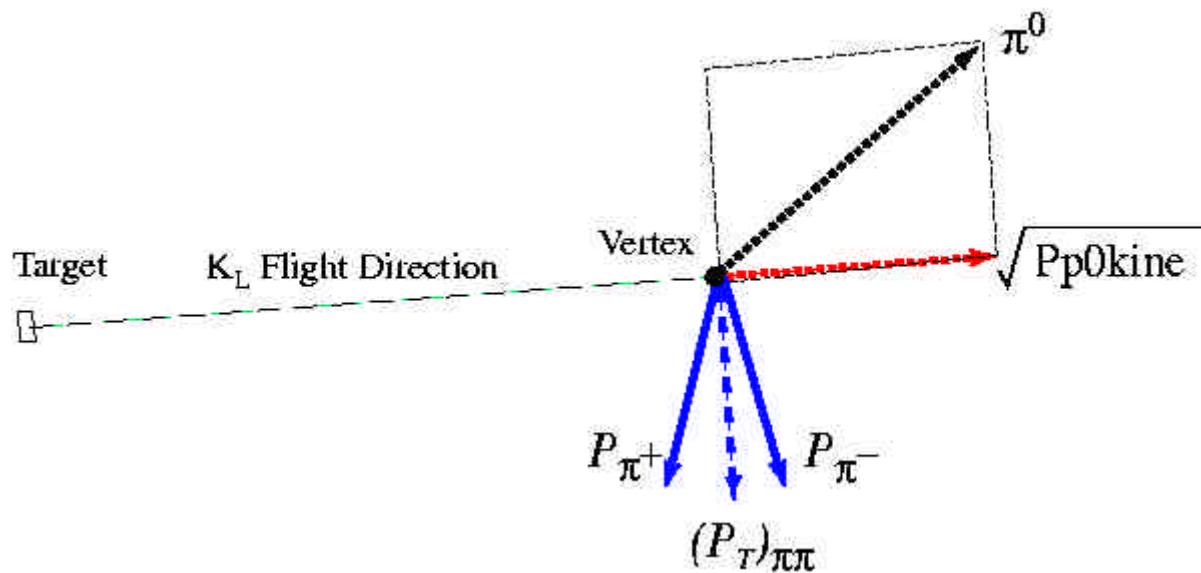
## $p_t^2$ Cut



## ***p<sub>t</sub><sup>2</sup> Cut: Data and Background MC Overlay***

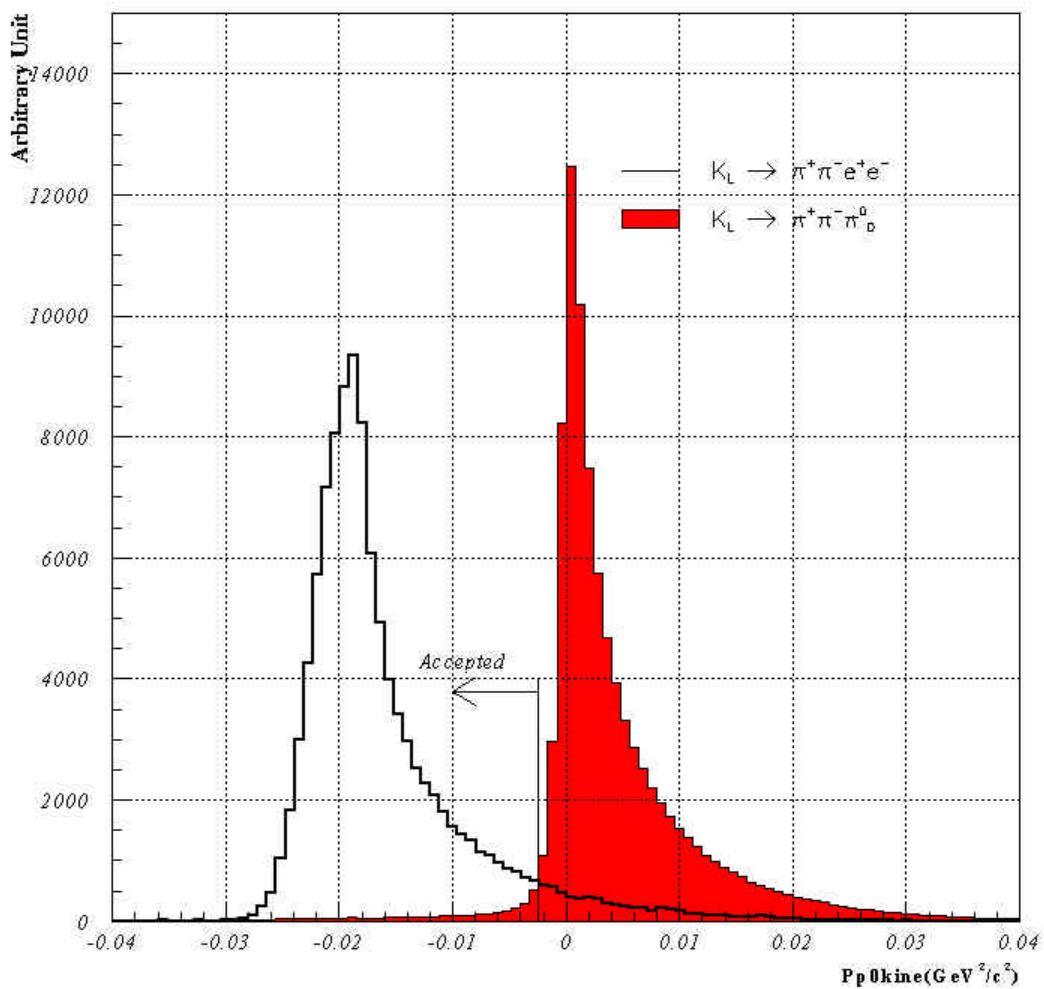


## *Pp0kine Cut*

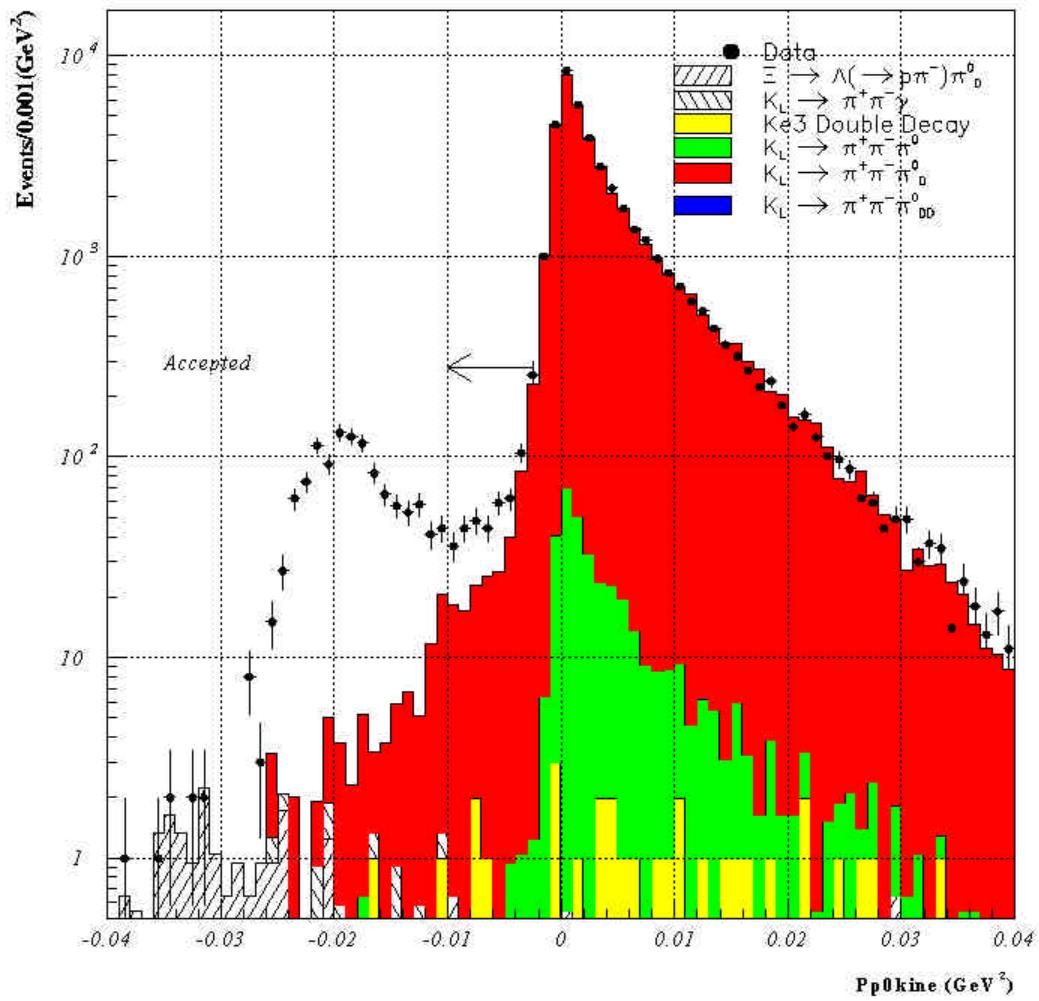


- Assuming  $K_L \rightarrow p^+ p^- \pi^0$  kinematics
- Reject physically allowed region of  $K_L \rightarrow p^+ p^- \pi^0$

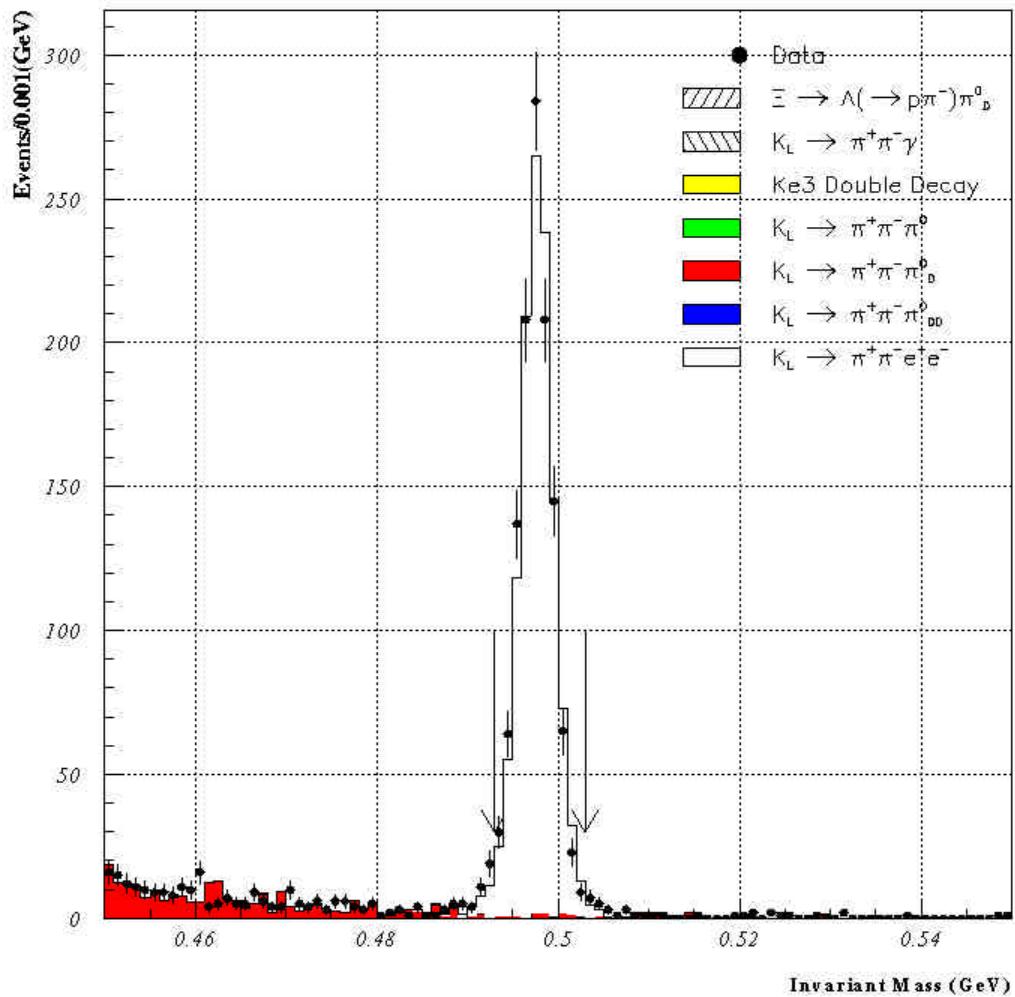
## PpOkine Cut



## Pp0kine Cut: Data and Background MC Overlay



## Data after all the cuts



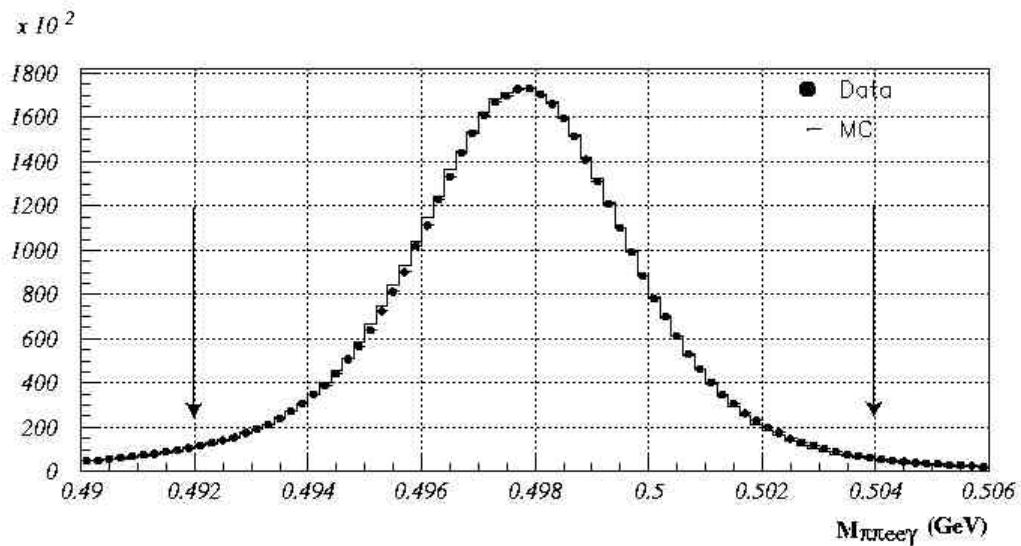
**$1173 \pm 34.2$  events in the signal region**

## **Background Estimation**

Background	Efficiency	#Background
$K_L \rightarrow p^+ p^- p_D^0 (p^0 \rightarrow e^+ e^- g)$	$2.4 \times 10^{-8}$	$9.0 \pm 3.0$
$K_L \rightarrow p^+ p^- p_{DD}^0 (p^0 \rightarrow e^+ e^- e^+ e^-)$	$2.7 \times 10^{-7}$	$0.3 \pm 0.2$
$K_L \rightarrow p^+ p^- p^0 (p^0 \rightarrow gg)$	$< 1.2 \times 10^{-11}$	$< 0.8(90\%CL)$
$K_L \rightarrow p^+ p^- g$	$< 3.0 \times 10^{-8}$	$< 0.7(90\%CL)$
$\Xi \rightarrow \Lambda (\rightarrow pp^-) p_D^0 (\rightarrow e^+ e^- g)$		$< 0.7(90\%CL)$
$(K_L \rightarrow p^+ e^- n) + (K_L \rightarrow p^- e^+ n)$		$2.0 \pm 1.4$
<hr/>		
		$11.3 \pm 3.5$

## **Normalization with**

$$K_L \rightarrow p^+ p^- p_D^0$$



Dataset	#events	$K_L$ flux
---------	---------	------------

Winter	1301650	$1.421 \times 10^{11}$
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Summer	814227	$0.922 \times 10^{11}$
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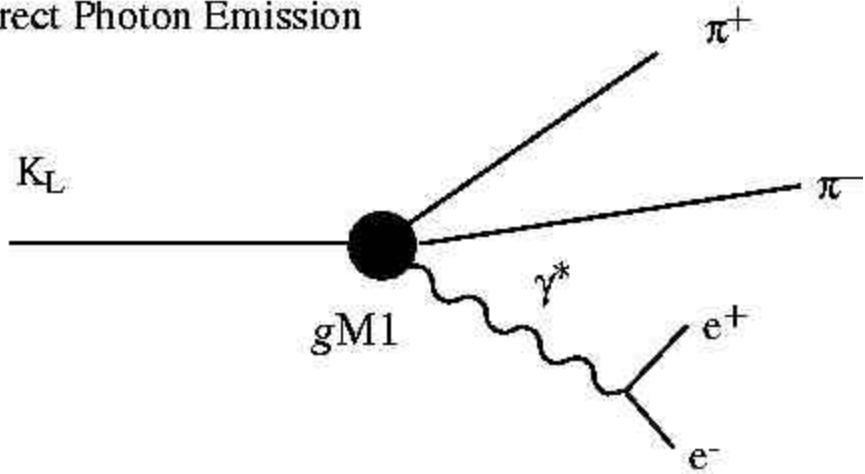
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Total	2115877
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$(2.343 \pm 0.002(\text{stat.}) \pm 0.085(\text{syst.})) \times 10^{11}$

## **Form Factor Measurement**

M1 Direct Photon Emission



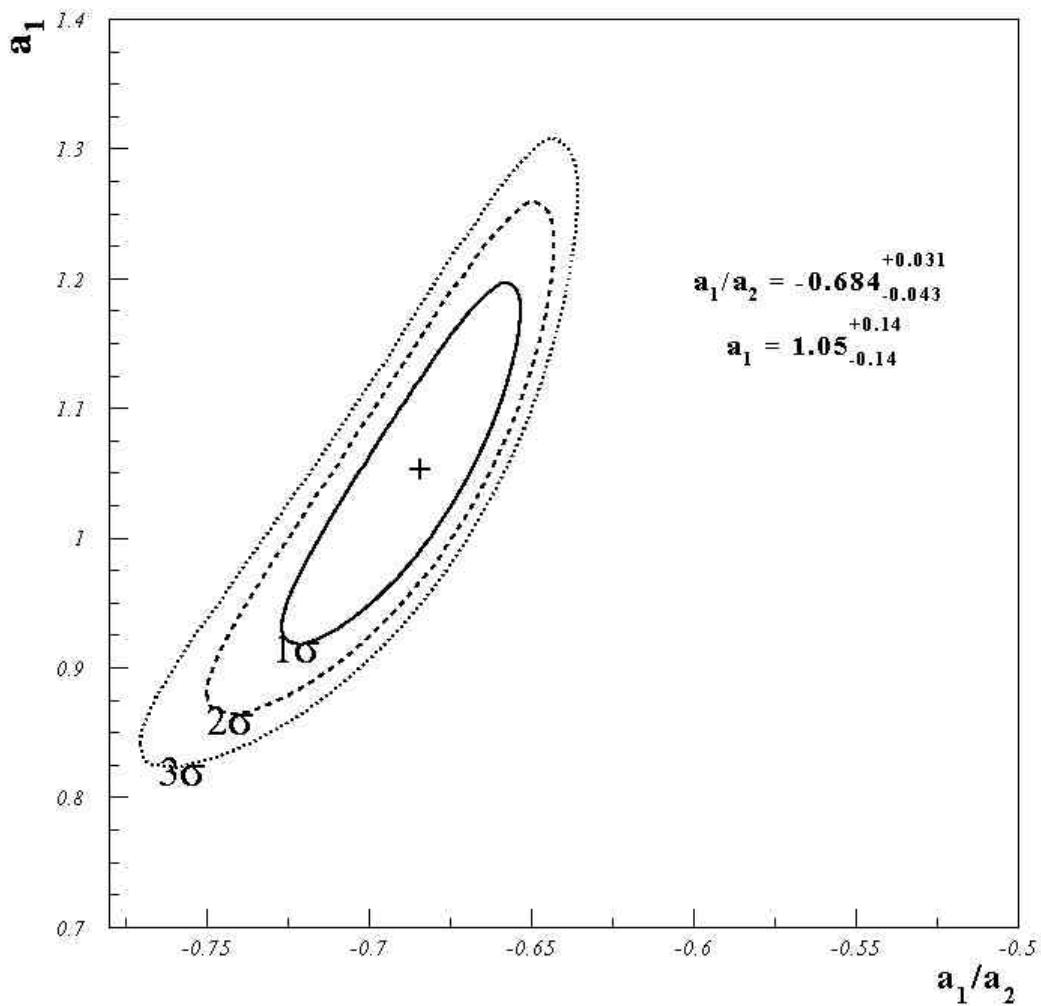
$$gM1 \rightarrow gM1 \cdot F$$

$$F = \frac{a_1}{(M_r^2 - M_K^2) + 2M_K E_g^*} + a_2$$

$a_1/a_2, a_1$       **Extracted from 5 parameter  
Maximum likelihood method.**

$M_{pp}, M_{ee}, f, \cos q_{p^+}, \cos q_{e^+}$     **In Kaon CM frame**

## Form Factor Fit Result

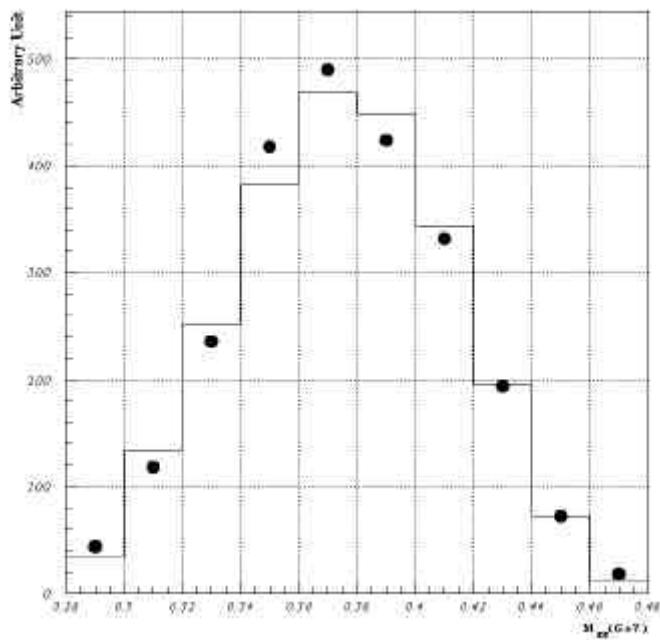


$$a_1 / a_2 = -0.684^{+0.031}_{-0.043}$$

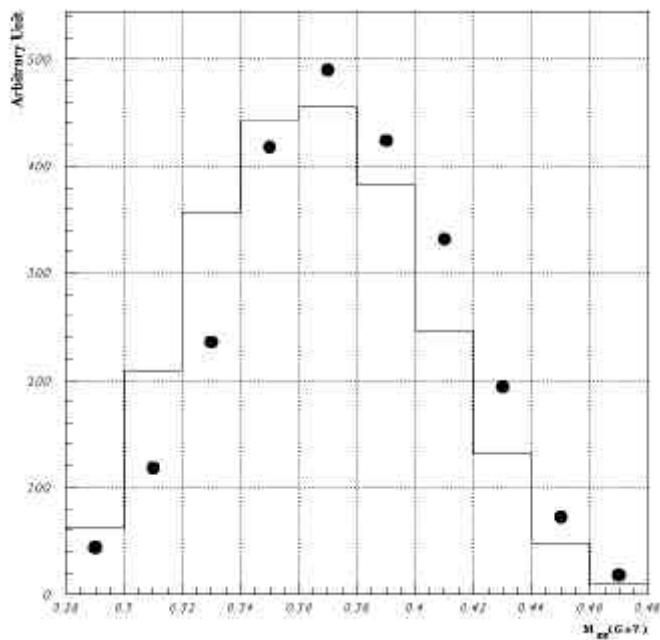
$$a_1 = 1.05 \pm 0.14$$

## *M<sub>pp</sub> Comparison*

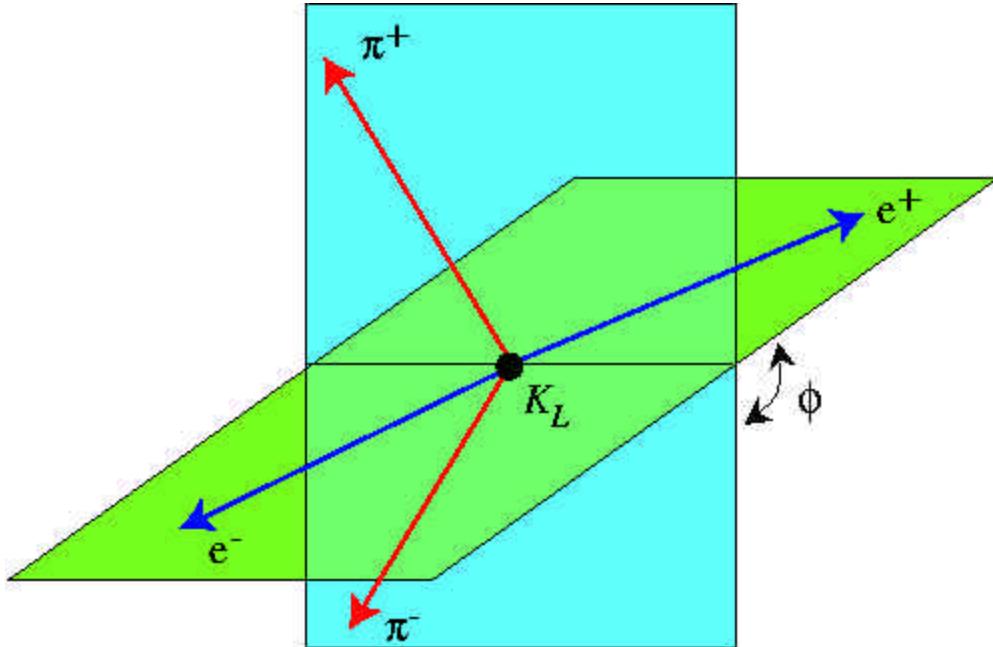
### Form Factor



### Constant gM1



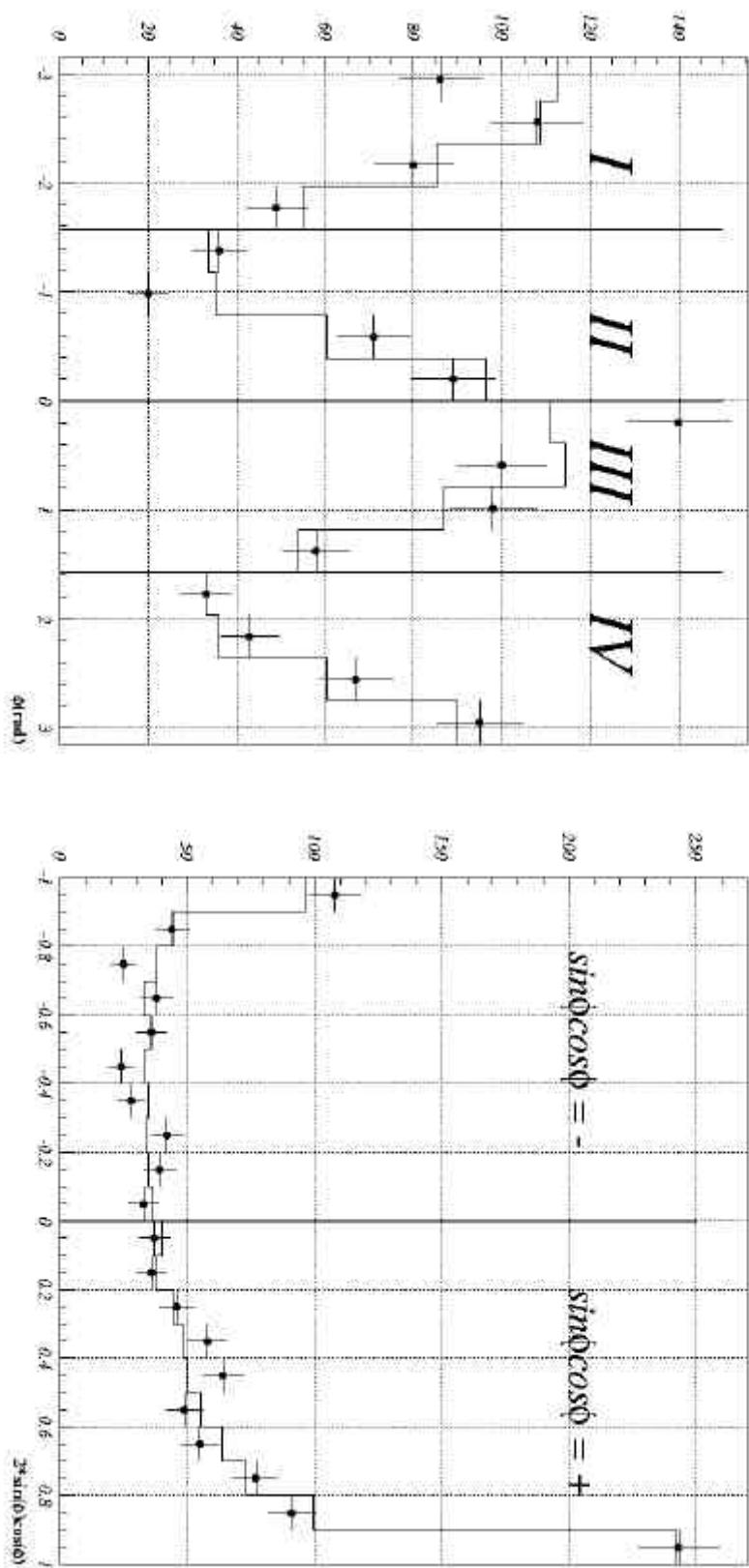
## **CP violating Angular Asymmetry**



$$A = \frac{\int_0^{p/2} \frac{d\Gamma}{df} df - \int_{p/2}^p \frac{d\Gamma}{df} df}{\int_0^{p/2} \frac{d\Gamma}{df} df + \int_{p/2}^p \frac{d\Gamma}{df} df} \approx 14\%$$

**Sehgal and Wanninger**  
Phys. Rev. D46, 1035(1992); ibid D46, 5209(E)(1992)

## Angular Distributions



## **The Raw Asymmetry**

$$N_{\sin f \cos f > 0} = 719$$

$$N_{\sin f \cos f < 0} = 454$$

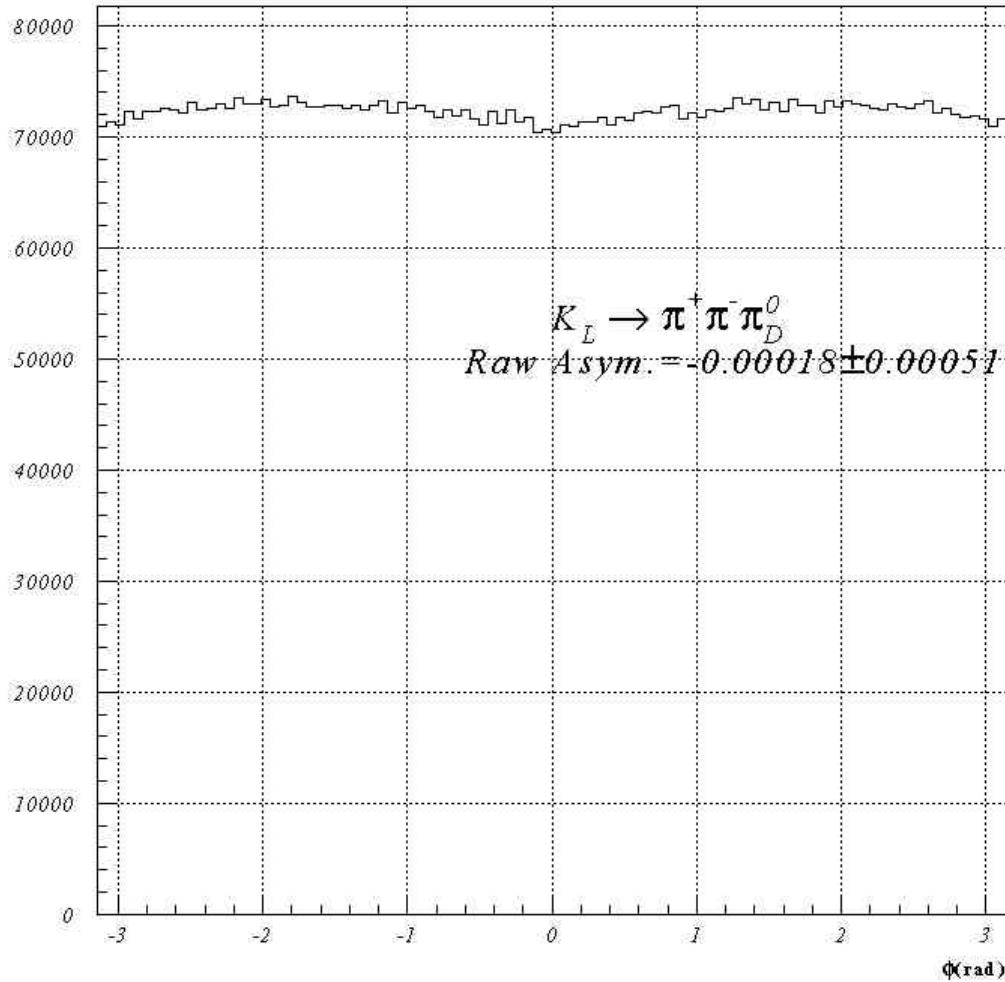
$$\begin{aligned} Asym &= \frac{N_{\sin f \cos f > 0} - N_{\sin f \cos f < 0}}{N_{\sin f \cos f > 0} + N_{\sin f \cos f < 0}} \\ &= 0.237 \pm 0.029(stat.) \end{aligned}$$

## **MC study**

**MC with input asymmetry of 0.147 gave the raw asymmetry of 0.258.**

**Acceptance correction should be applied to the *raw asymmetry*.**

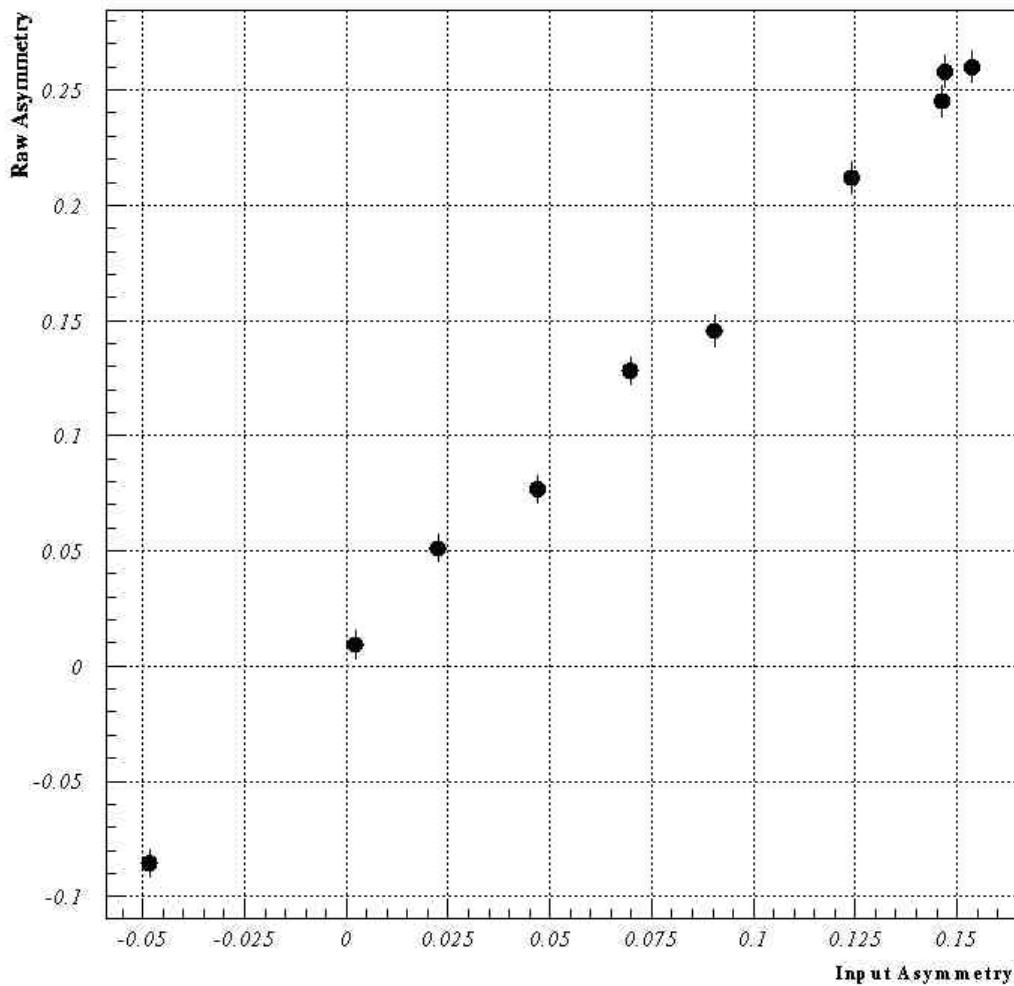
**Before proceeding to  
the acceptance correction...**



**Raw Asymmetry =  $-0.00015 \pm 0.00051$**

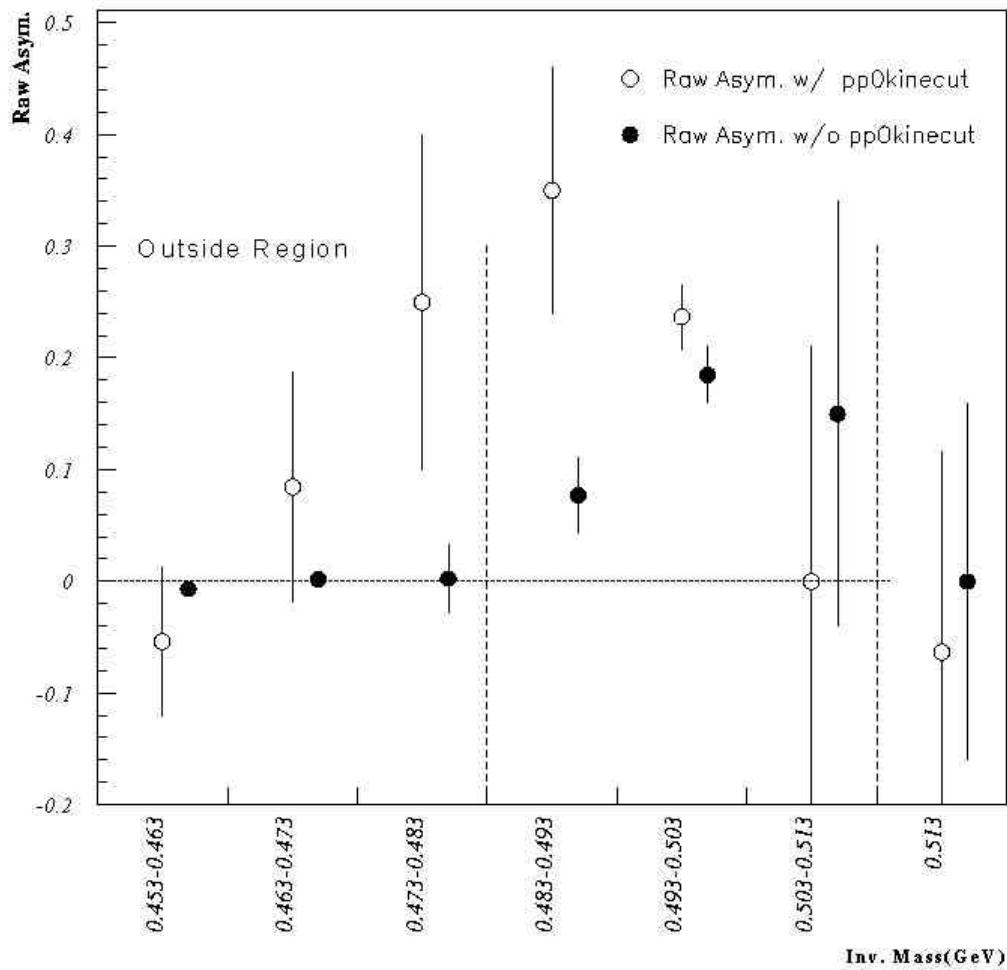
**Consistent with zero asymmetry**

## ***Input-Raw asymmetry relation with Monte Carlo Simulation***



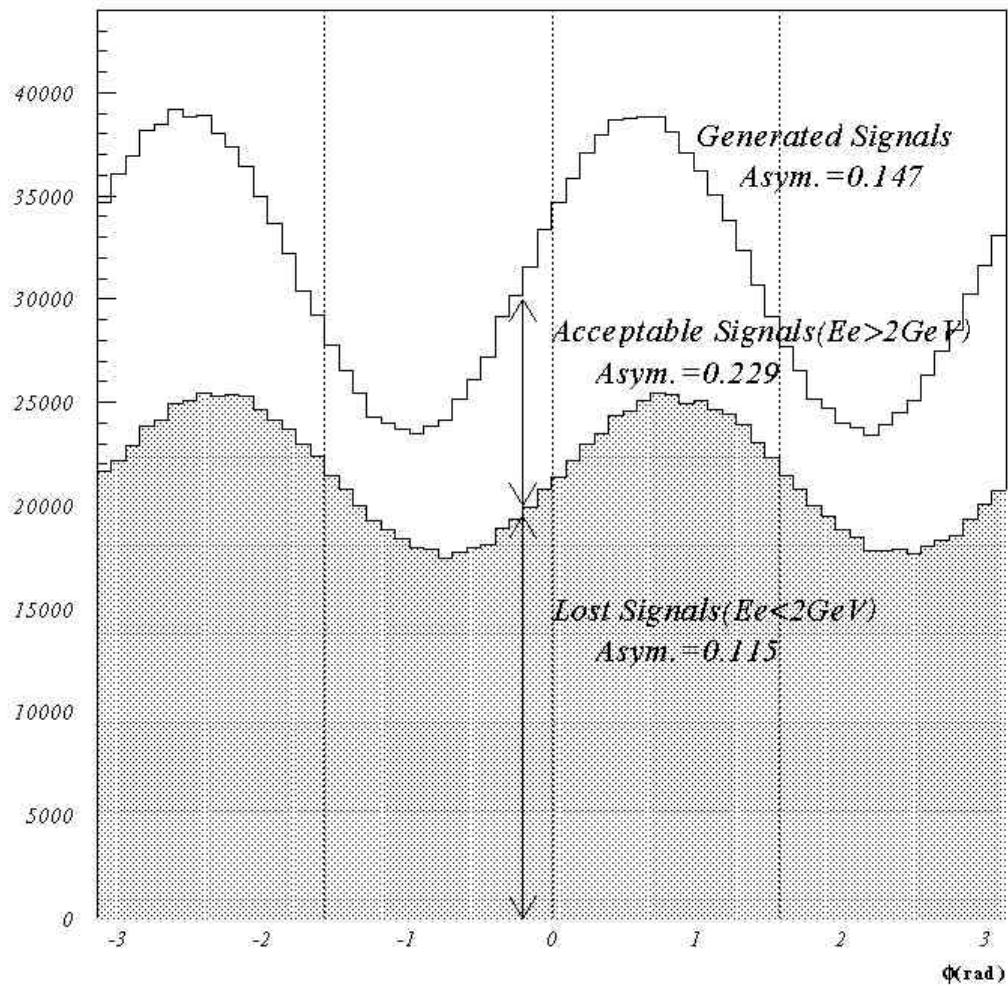
$$\text{Raw Asym.} = 1.75 \times \text{Input Asym.}$$

## **Raw asymmetry in different Mass region**



**No obvious asymmetry found in outside region.**

## MC study on the relation between input and raw asymmetries



Distribution in acceptable region has larger asymmetry.

## **Raw Asymmetry...**

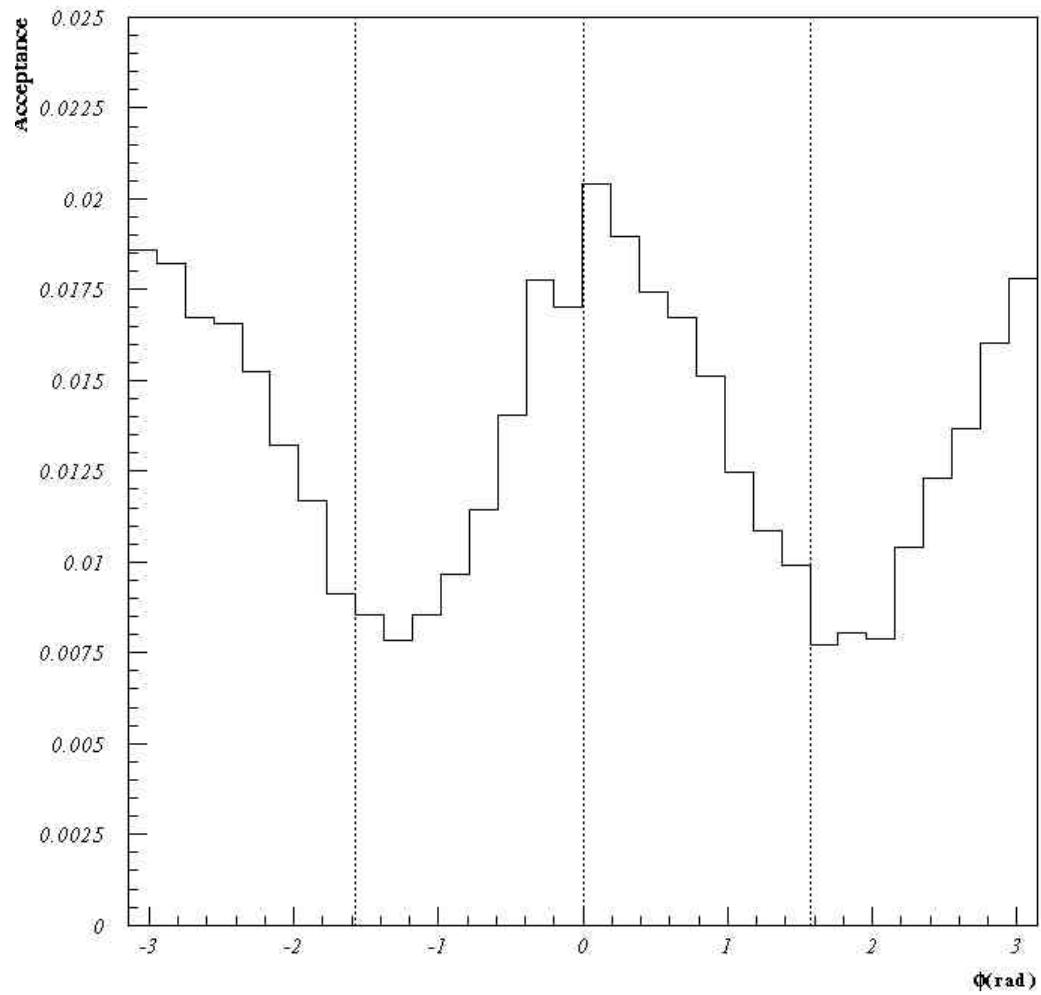
**Measured raw asymmetry of data is  $0.237 \pm 0.029$ (stat.) while the MC with input parameter of 0.147 gave the raw asymmetry of 0.258.**

**The detector or analysis did not induce the raw asymmetry. This is also supported by the signal MC with zero input asymmetry.**

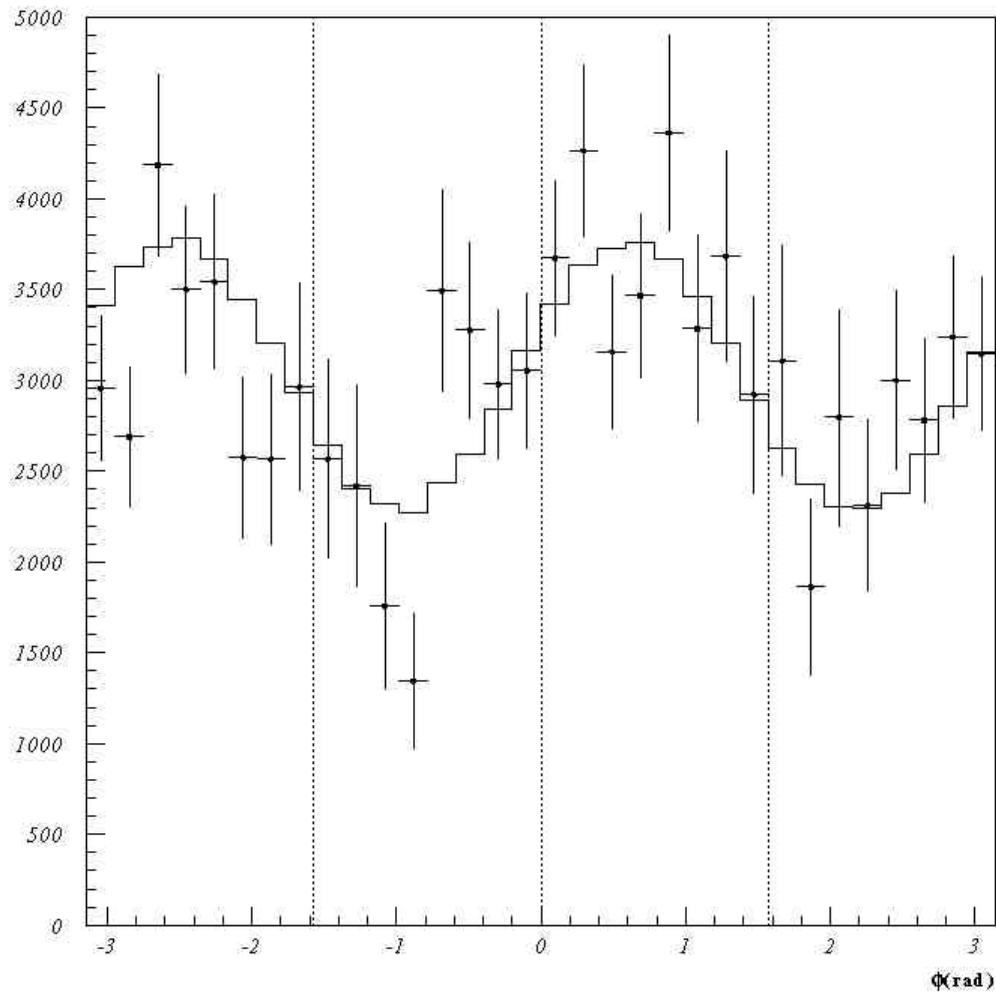
**The input and raw asymmetry have the simple linear relation which suggests the asymmetry enhancement.**

**The KTeV detector only accepted events with high momentum electrons. Those events showed the large asymmetry.**

## *Singal Acceptance in $f$*



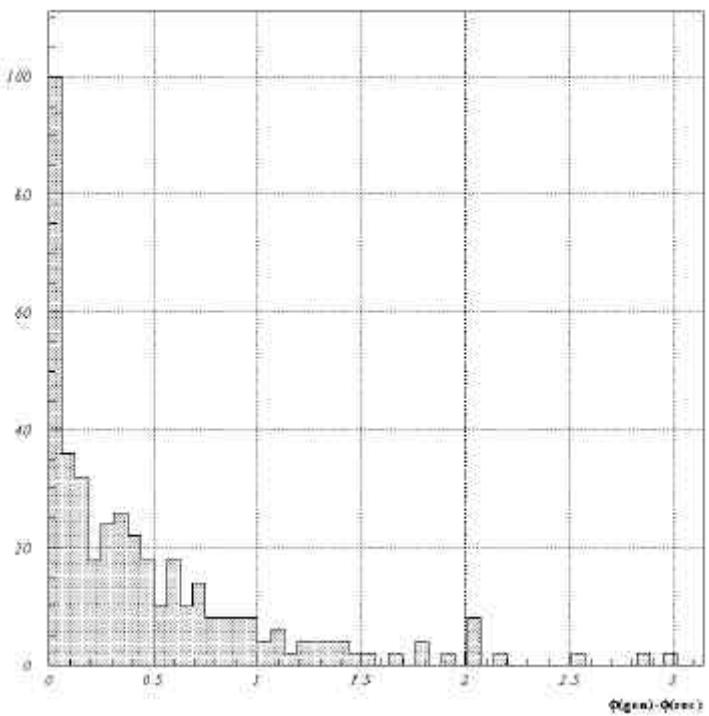
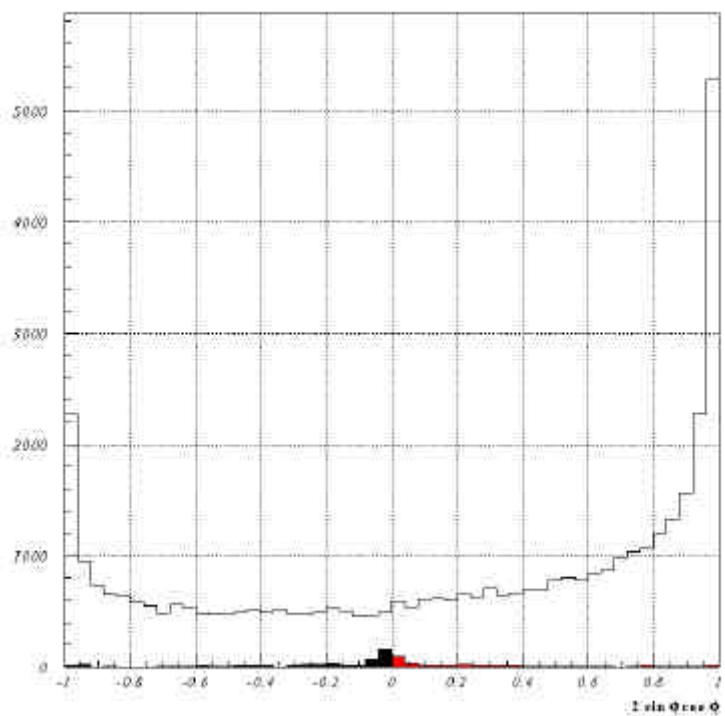
## Acceptance Corrected Data and MC



## Acceptance Corrected Asymmetry

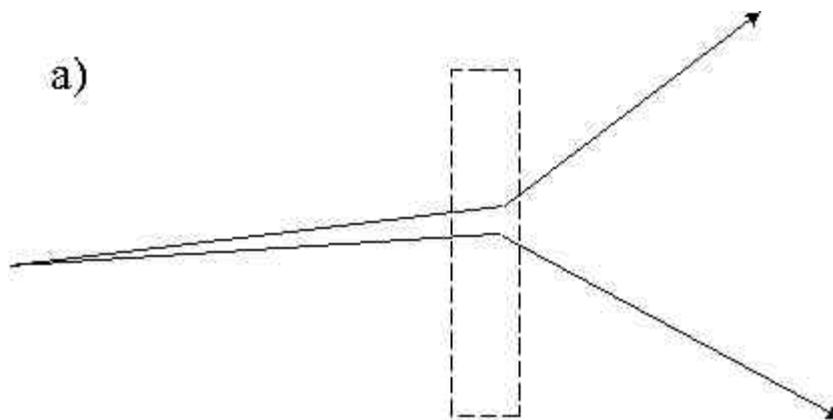
$$0.127 \pm 0.029$$

## *Detector Smearing*

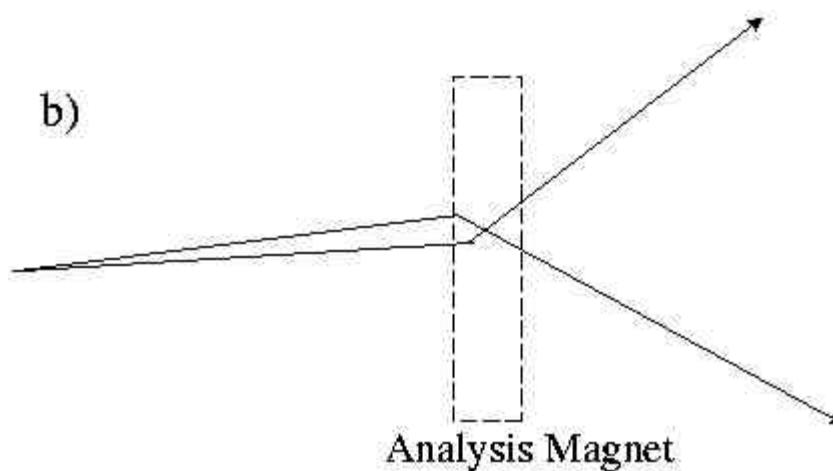


## **Detector Smearing; continue**

a)



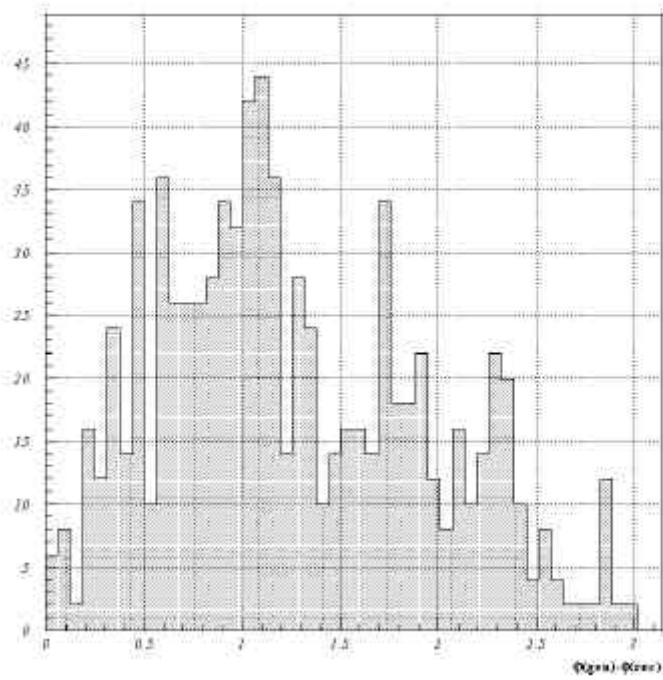
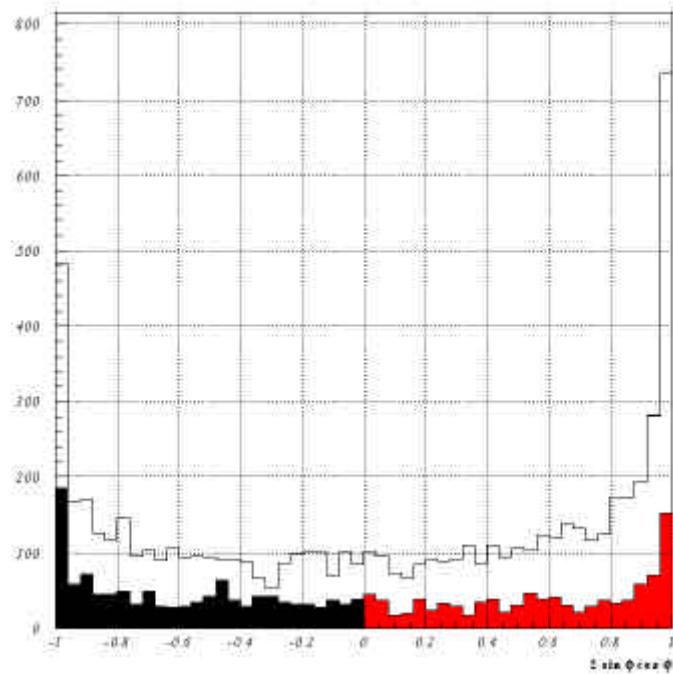
b)



**X track swapping causes the dilution.**

Track in  $\sin f \cos f$  region  $\rightarrow -\sin f \cos f$  region

## *Detector Smearing; continue*



## ***Systematic Uncertainty***

**Detector resolution**      **8.7%(0.011)**

**M1 form factor**      **2.4%(0.003)**

**Physics Input parameter** **6.9%(0.007)**

**Vertex quality**      **0.8%(0.001)**

**DC ineff.**      **<0.1%(0.000)**

**Background subtraction** **0.9%(0.001)**

**Analysis dependency**      **4.7%(0.005)**

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**Total**      **12.4%(0.016)**

## ***Asymmetry: Result***

**Asym. =  $0.127 \pm 0.029(\text{stat.}) \pm 0.016(\text{syst.})$**

## **Theoretical Prediction**

**Asym. ~ 14%**

**Sehgal and Wanninger  
Phys. Rev. D46, 1035(1992);ibid D46,5209(E)(1992)**

## ***Branching Ratio Measurement***

**Recent Publication:**

$$(3.2 \times 0.6(\text{stat.}) \times 0.4(\text{syst.})) \times 10^{-7}$$

**Based on 46 events(one day of data) by KTeV**

**J.Adams et al., Phys. Rev. Lett. 80, 4123(1998)**

## ***Data Selection***

**Relax pt2 cut and Pp0kine cut to increase acceptance.**

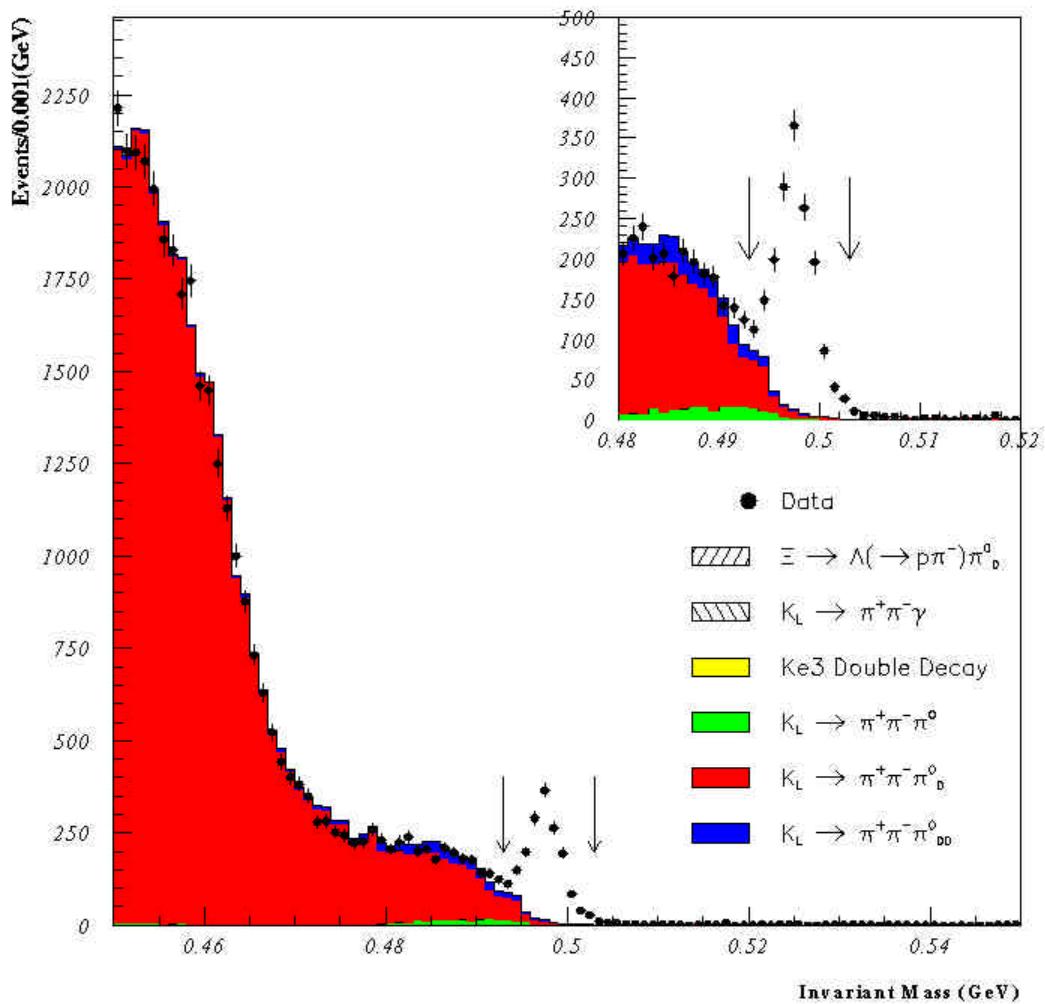
**Pt2 cut : <0.00006 GeV<sup>2</sup>/c<sup>2</sup>    <0.00010 GeV<sup>2</sup>/c<sup>2</sup>**

**Pp0kine cut : <-0.0025 GeV<sup>2</sup>/c<sup>2</sup>    N/A**

**#events in the signal region**

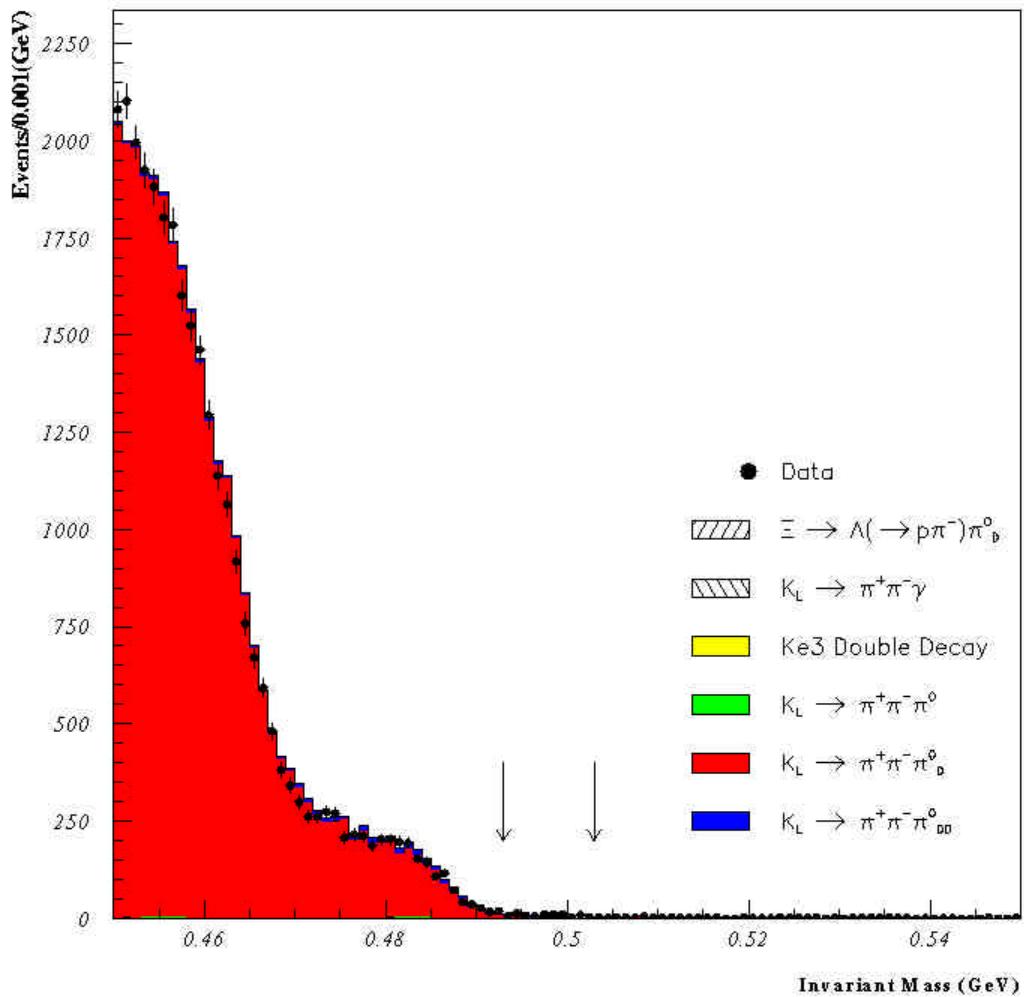
**1173 events    1731 events**

## Mass distribution after final cuts



## Pt2 Sideband

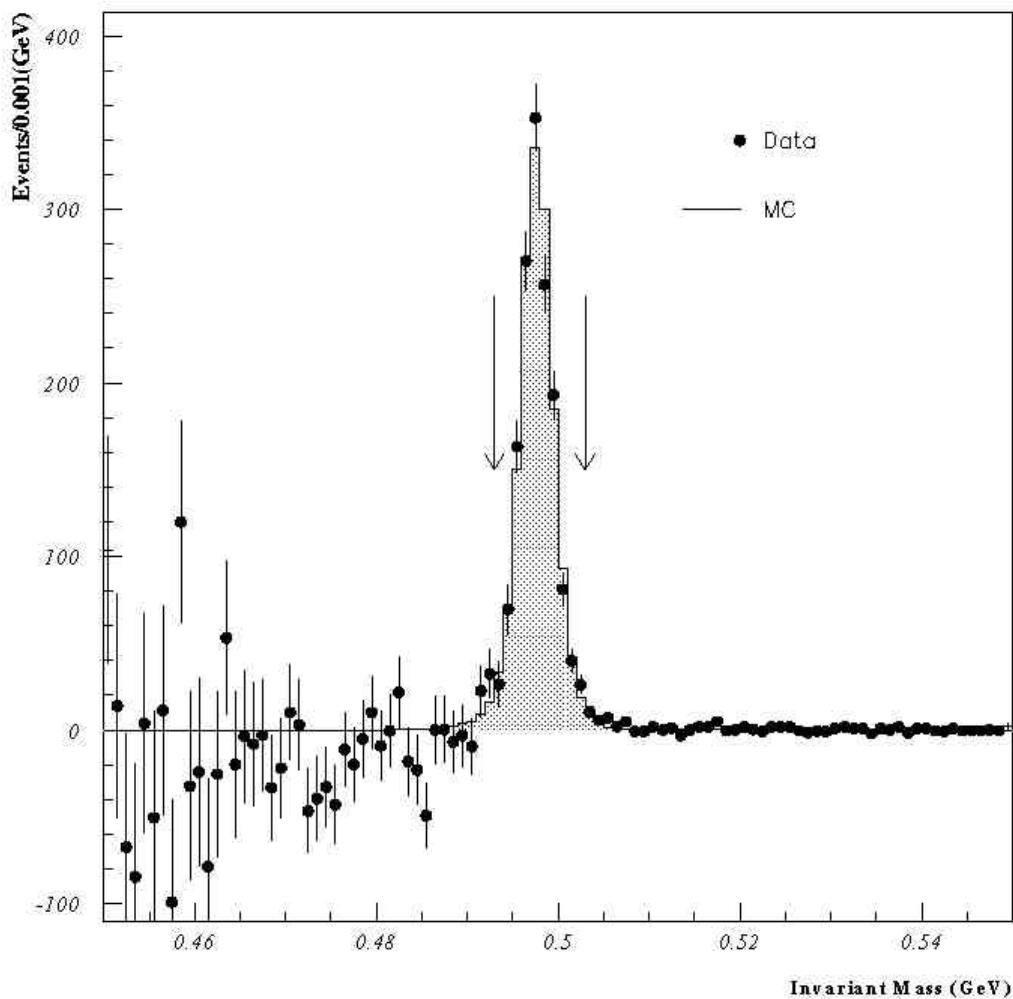
**0.00010 GeV<sup>2</sup>/c<sup>2</sup> <pt<sup>2</sup> <0.00020 GeV<sup>2</sup>/c<sup>2</sup>**



## **Background Estimation**

Background	#Background
$K_L \rightarrow p^+ p^- p_D^0 (p^0 \rightarrow e^+ e^- g)$	<b>169.2 ± 13.0</b>
$K_L \rightarrow p^+ p^- p_{DD}^0 (p^0 \rightarrow e^+ e^- e^+ e^-)$	<b>32.2 ± 3.2</b>
$K_L \rightarrow p^+ p^- p^0 (p^0 \rightarrow gg)$	<b>46.7 ± 2.0</b>
$K_L \rightarrow p^+ p^- g$	<b>3.3 ± 0.6</b>
$\Xi \rightarrow \Lambda (\rightarrow pp^-) p_D^0 (\rightarrow e^+ e^- g)$	<b>0.99 ± 0.14</b>
$(K_L \rightarrow p^+ e^- n) + (K_L \rightarrow p^- e^+ n)$	<b>3.0 ± 1.7</b>
<hr/>	
	<b>255.4 ± 13.7</b>

## *Signal peak after background subtraction*



#signal after background subtraction:  
1475.6 events

## **Statistical/Systematic Uncertainty(BR)**

**Statistical Error** **3.13%**

$BR(K_L \rightarrow p^+ p^- p_D^0)$  **3.14%**

**Physics Input parameter** **2.60%**

**Normalization** **0.33%**

**Chamber inefficiency** **0.17%**

**Vertex quality** **0.87%**

**MC statistics** **0.25%**

**Background subtraction** **1.6%**

**Analysis dependency** **0.7%**

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**Total**  $(3.13(stat.) \oplus 2.00(syst._{internal}) \oplus 4.08(syst._{external}))\%$

## ***Branching Ratio: Result***

$$(3.55 \pm 0.11(\text{stat.}) \pm 0.07(\text{syst.internal}) \\ \pm 0.14 (\text{syst.external})) \times 10^{-7}$$

**Based on 1475.6 events**

**Good agreement with recent experimental result;**

$$(3.2 \times 0.6(\text{stat.}) \times 0.4(\text{syst.})) \times 10^{-7}$$

**J.Adams et al., Phys. Rev. Lett. 80, 4123(1998)**

**And theoretical prediction;**

$$\sim 3 \times 10^{-7}$$

**Sehgal and Wanninger  
Phys. Rev. D46, 1035(1992);ibid D46,5209(E)(1992)**

## ***Discussion: Asymmetry Measurement***

**Origin of the asymmetry**

**Final State Interaction:**      **negligible.**

**(M.J.Savage,1999)**

**T Violation:**      **(strictly speaking,)rejected.**

**CPT Violation:**      **unnatural, but not yet rejected.**

**(J. Ellis and N.E. Mavromatos, 1999)**

**(I.I.Bigi and A.I.Sanda, 1999)**

**CP Violation/CPT Conservation:**

**Most probable interpretation.**

**(L.M.Sehgal and M.Wanninger, 1992)**

## **Conclusion**

**CP Violating Angular Asymmetry**

$$\text{Asym.} = 0.127 \pm 0.029(\text{stat.}) \pm 0.016(\text{syst.})$$

**Form Factor Measurement:**

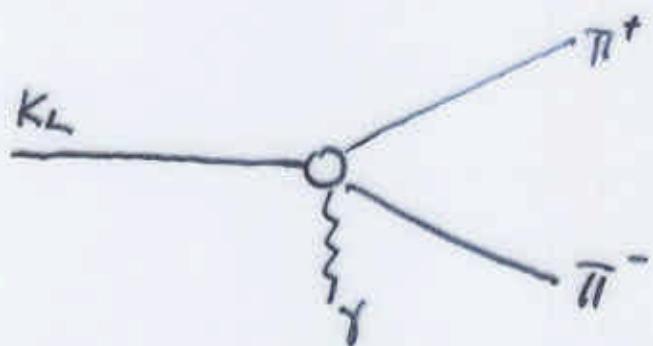
$$a_1 / a_2 = -0.684^{+0.031}_{-0.043}$$
$$a_1 = 1.05 \pm 0.14$$

**Branching Ratio**

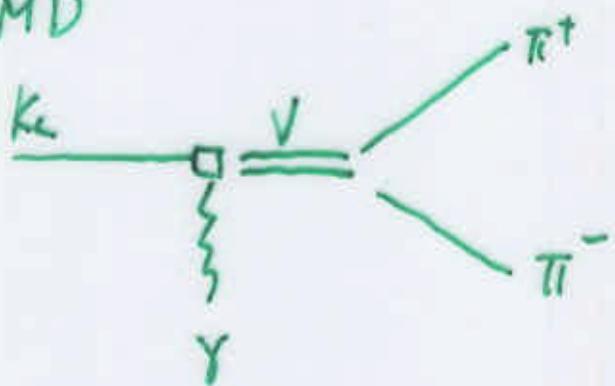
$$(3.55 \pm 0.11(\text{stat.}) \pm 0.07(\text{syst.internal}) \\ \pm 0.14 (\text{syst.external})) \times 10^{-7}$$

**Based on 1475.6 events**

# Vector Meson Dominance in MI direct emission



\* VMD



- Lin and Valencia PRD37-143 (1988)

Table 6.7: Systematic uncertainties.

Sources	$a_1/a_2$	$a_1$
Fit procedure	0.35%	1.0 %
Detector smearing	0.1%	0.2 %
Vertex quality	0.3%	1.0 %
DC ineff.	0.3%	0.6 %
Input Param.	6.4%	12.4 %
BG subtraction	1.5%	4.8 %
Analysis dep.	4.2%	11.7 %
Total	7.8%	17.8 %

$$a_1/a_2 = -0.684^{+0.031}_{-0.063} \text{ (stat.)} \pm 0.053 \text{ (sys.)}$$

$$a_1 = 1.05 \pm 0.14 \text{ (stat.)} \pm 0.18 \text{ (sys.)}$$

## Form Factor Measurement (discussion)

### \* Experimental facts

- Direct Emission BR of  $K_L \rightarrow \pi^+ \pi^- \gamma$

$$BR_{DE} = 3.0 \times 10^{-5}$$

- $a_1/a_2$  Direct measurement ( $K_L \rightarrow \pi^+ \pi^- \gamma$ ,  $\pi^+ \pi^- e^+ e^-$ )

$$a_1/a_2 = -0.70$$

### \* Lin - Valencia model (Phys. Rev. D37, 193 (1988)) chPT

$$BR_{DE} = 3.0 \times 10^{-5} \implies a_1/a_2 = -1.8 \pm 0.2$$

or

$$a_1/a_2 = -0.70 \implies BR_{DE} < 1.0 \times 10^{-5}$$

- Experimental Facts do not support  
Lin - Valencia Model.

$K_L \rightarrow \pi^+ \pi^- \gamma$  results

$$\frac{DE}{DE + IB} (E_\gamma^* > 20 \text{ MeV}) = 0.685 \pm 0.009 \pm 0.017 \text{ (syst.)}$$

$$DE \text{ BR } (E_\gamma^* > 20 \text{ MeV}) = (3.19 \pm 0.09) \times 10^{-5}$$

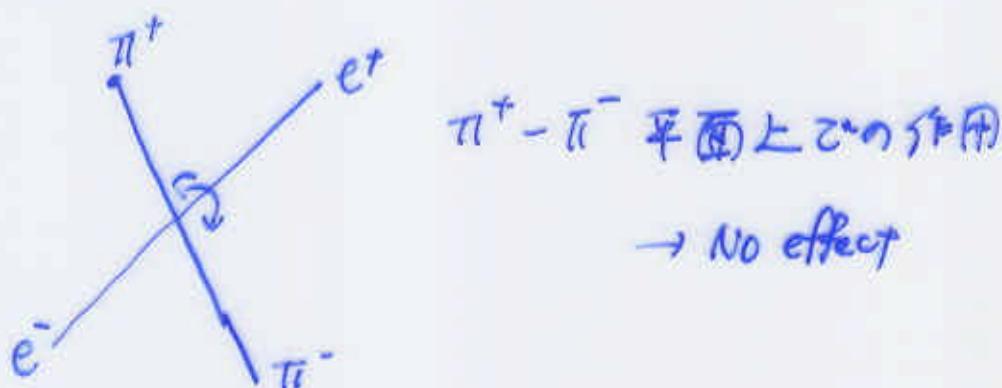
$$a_1/a_2 = -0.729 \pm 0.026 \pm 0.015 \text{ (syst.)}$$

Lin and Valencia (1988) predicted

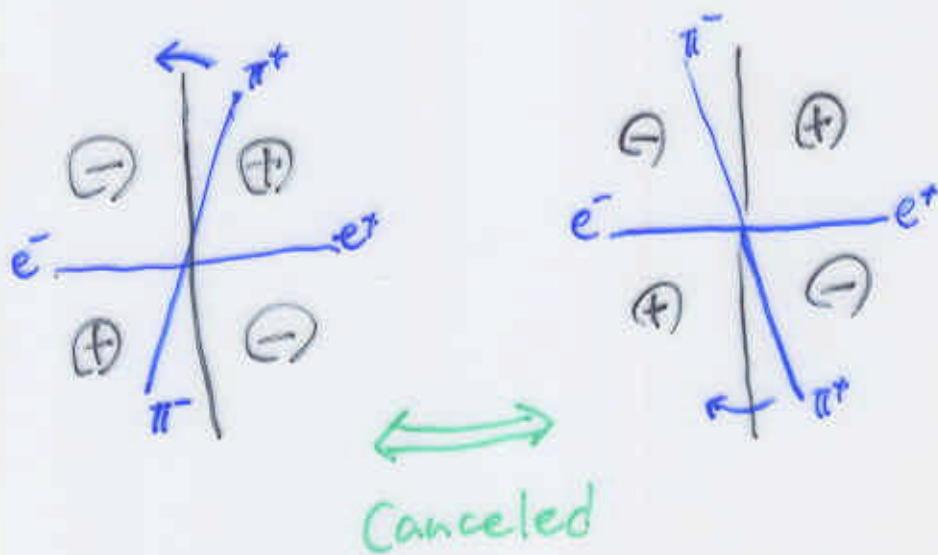
$$a_1/a_2 = -1.8 \quad \text{when } DE \text{ BR} = 3.0 \times 10^{-5}$$

# FINAL STATE INTERACTION

a)  $\pi^+ - \pi^-$



b)  $\pi^+ - e^+$



Numerically, FSI is negligible.

(Savage, Kaon 99)

- CP violation , CPT holds (Sehgal - Waminger )

$$\text{Asym} = \frac{A_1 \cos \theta_1}{DE - IB} + \frac{A_2 \cos \theta_2}{EI - DE} \left| \frac{\frac{\partial E_1}{\partial M_1}}{\frac{\partial M_1}{\partial E_1}} \right|$$

$$\theta_1 = \Phi_{+-} + \delta_0 - \delta_1 - \frac{\pi}{2} - \underline{\delta\varphi}$$

$$\theta_2 = \Phi_{+-} - \frac{\pi}{2}$$

$\underline{-\delta\varphi}$   $\rightarrow$  CPT violating Phase  $\sim 0$ .

$$A_1 = 0.15, A_2 = 0.38$$

$$\text{Asym} = 0.14$$

- CP holds , CPT Violation (Ellis - Mavromatos)

$$\text{Assuming } A_1 \cos \theta_1^{(0)} + A_2 \cos \theta_2^{(0)} \left| \frac{\frac{\partial E_1}{\partial M_1}}{\frac{\partial M_1}{\partial E_1}} \right| = 0$$

$$\text{Asym} \approx A_1 \sin \delta\varphi (\sin \theta_1^{(0)} - \cos \theta_1^{(0)} \tan \theta_2^{(0)})$$

$$0.13 \lesssim A_1 \sin \delta\varphi \lesssim 0.22 \quad (10)$$

If  $A_1 = 0.15, \delta\varphi \geq 58^\circ$ .

# CLEAR

$P\bar{P} \rightarrow K^-\pi^+K^0$  and  $K^+\pi^-K^0$

$$A_{CP} = \frac{\Gamma(\bar{K} \rightarrow e^+ \nu \pi^-) - \Gamma(K \rightarrow e^- \nu \pi^+)}{\Gamma(\bar{K} \rightarrow e^+ \nu \pi^-) + \Gamma(K \rightarrow e^- \nu \pi^+)} = (6.6 \pm 1.3 \pm 1.0) \times 10^{-3}$$

$$A_{CP} \sim A_T$$



( assuming  $\Delta S = \Delta Q$

( CPT Invariance  $(-0.4 \pm 0.6) \times 10^{-3}$  from  $K_{23}$

If CPT does not hold,

$$A_{\text{CP}} = A_1 \cos \Theta_1 + A_2 \cos \Theta_2 \left| \frac{\delta\varphi}{g_M} \right|$$

$$\Theta_1 = \Phi_{+-} + \delta_0 - \bar{\delta}_1 - \frac{\pi}{2} - \underline{\delta\varphi \bmod \pi}$$

$$\Theta_2 = \Phi_{+-} - \frac{\pi}{2} - \bar{\delta\varphi \bmod \pi}$$

CPT violating phase shift

$$A_1 \sim 0.15 \text{ (related to Bremsstrahlung)}$$

$$A_2 \sim 0.38 \text{ ( .. M1 )}$$

$$\Rightarrow A \approx A_1 \sin \bar{\delta\varphi} [\sin \Theta_1^{(0)} - \cos \Theta_1^{(0)} \tan \Theta_2^{(0)}]$$

If T holds, KTeV result can be reproduced as:

$$0.13 \leq A_1 \sin \bar{\delta\varphi} \leq 0.22$$

$$\Rightarrow \bar{\delta\varphi} \gtrsim 58^\circ \text{ when } A_1 \sim 0.15.$$

( $A_1$  has still large ambiguity.)