

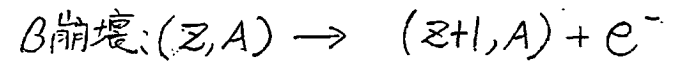
①

# Introduction (standard model and neutrinos)

- $\nu$  oscillation
- $\nu$  mass experiments

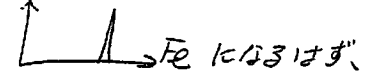
•  $\nu$ の予言

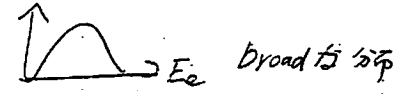
Pauliにより 1930年に予言された。



原子核の質量:  $M_p \times Z + M_n \times N - B$

$\uparrow$                      $\uparrow$                      $\uparrow$   
 陽子数                    中性子数                    Binding energy

したがって  $e^-$ の energyは、   $E_e$  になるはず、

しかし実験では   $E_e$  broad distribution

したがって  $\beta$ 崩壊では未知の粒子が出てくるに違いない。  
それが、ニュートリノ。

素粒子の種類

$$\begin{pmatrix} u_L \\ d_L \end{pmatrix}, \begin{pmatrix} c_L \\ s_L \end{pmatrix}, \begin{pmatrix} t_L \\ b_L \end{pmatrix} \quad u_R, d_R, c_R, s_R, t_R, b_R$$

'は弱い相互作用の固有状態

'は質量の固有状態  $\uparrow$  Kobayashi-Maskawa matrix

$$\begin{pmatrix} \nu_e \\ e_L \end{pmatrix}, \begin{pmatrix} \nu_\mu \\ \mu_L \end{pmatrix}, \begin{pmatrix} \nu_\tau \\ \tau_L \end{pmatrix} \quad e_R, \mu_R, \tau_R$$

ニュートリノ) F. Reines により 1953年に発見

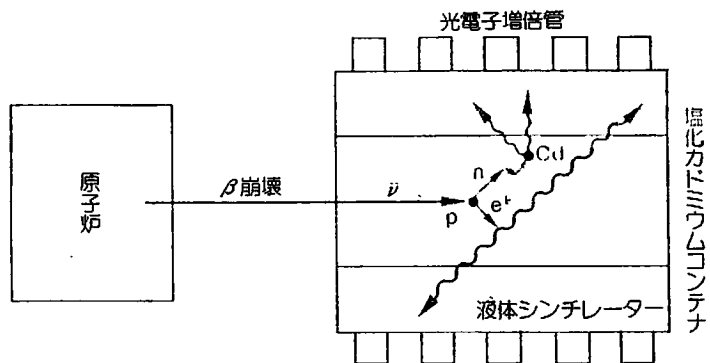


図2.2 電子ニュートリノの発見 ( $\bar{\nu}_e$  が p に吸収されると n と  $e^+$  が生成される。 $e^+$  は  $e^-$  と対消滅を起こし、2個の  $\gamma$  線が発生する。一方、n は Cd 原子核に吸収され、 $\gamma$  線が発生する。)

た(一九三四)。そして、ベータ崩壊を引き起こす力は弱い相互作用とよばれるようになった。しかし、理論ができて肝心のニュートリノ自体はすぐには発見されなかった。ようやく、一九五〇年代半ばライネス (E. Reines) とコーワン (C. Cowan) は原子炉から出る強力な反ニュートリノ・ビームを標的にあて

$$\bar{\nu}_e + p \rightarrow n + e^+$$

という反応によって電子の反粒子である陽電子 ( $e^+$ ) が生成されることを確認し、実際にニュートリノが存在していることを確かめたのである(図2.2)。実にパウリの予言から約四半世紀も時間がかかってしまったのである。それほどまでに、ニュートリノは捉えるのが難しい粒子なのである。

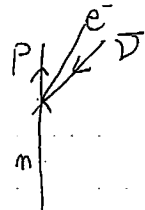
・物質に働く力

強い相互作用 --- QCD <sup>クォーク間</sup> <sup>グルーオン SU(3) のグルーオン</sup>  
 弱い相互作用 --- weak interaction  
 電磁相互作用 --- QED - charge を持つ物の間。  
 (動)

$$i \frac{\partial \psi}{\partial t} = H \psi$$

フェルミ理論

$$\mathcal{L} = G_B (\bar{e} \gamma_\mu \nu) (\bar{p} \gamma^\mu n) + h.c. = G_B \bar{j}_e \gamma_\mu j_n$$



パリティ非保存により、  
 β崩壊の  $\mathcal{L}$  は  

$$-\mathcal{L} = \frac{G_B}{\sqrt{2}} [\bar{p} \gamma^\mu (1 - i\gamma^5) n] [\bar{e} \gamma_\mu (1 - \gamma^5) \nu]$$
 となった。

パリティの破れ

発端:  $\pi \rightarrow \rho + \pi$  パズル 1957 Dalitz  
 $K \rightarrow 3\pi$   $(-1)^{3+L+2}$   $\frac{e/L}{\pi}$  total spin 0 L とは同じ L=0  
 $K$  はスピン 0 or  $2\pi$  parity  $(-1) \times (-1) = 1$   
 $\downarrow$   
 パリティ  $-1$

① J.P が ゼロ なら、パリティを せざる。  
 C.S. Wu 1957年  
 ウーの実験  $^{60}\text{Co}$  を 0.01K まで冷却

常磁性体  $\text{Co}$  に 数百ガウスの弱い磁場で電子を偏極させる。  
 スピン-スピン相互作用で、 $\text{Co}$  原子核を偏極。

$$^{60}\text{Co} (5^-) \rightarrow ^{60}\text{Ni} (4^+)$$

$\text{Co}$  の偏極率  
 $W(\theta) \propto 1 + A P \nu \cos \theta$  電子の速度

パリティは 最大限 せざる

β崩壊のラグランジアンは、

$$-L = G_0/\sqrt{2} [\bar{p}\gamma^\mu(1-i.26\gamma^5)n] [\bar{e}\gamma_\mu(1-\gamma^5)\nu]$$

$$\text{Dirac eq: } (\not{\partial} - m)\psi(x) = 0$$

$$\gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu = 2g^{\mu\nu}$$

↑ 4x4 matrix

$$\gamma^0 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \gamma^i = \begin{pmatrix} 0 & \sigma^i \\ \sigma^i & 0 \end{pmatrix}, \gamma^5 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$\gamma^5$ に対する固有値

$$\gamma^5 \psi_L = -\psi_L, \quad \gamma^5 \psi_R = \psi_R$$

↑ 負

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

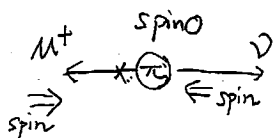
$$\psi_L = \frac{(1-\gamma^5)}{2} \psi, \quad \psi_R = \frac{(1+\gamma^5)}{2} \psi$$

π中間子

$$\sim 100\% \pi^+ \rightarrow \mu^+ + \nu \quad \tau = 26 \text{ nsec}$$

$$BR(\pi^+ \rightarrow e^+ \nu) = (1.2 \times 10^{-4})$$

↑ lepton side:  $\bar{\mu}\gamma_\mu(1-\gamma^5)\nu$



角運動量保存則から  $\mu^+$  のスピンは負でなければならない。  
(1- $\gamma^5$ ) の factor による負成分は  $(\frac{m_e}{P})^2$  程度

Phase space の比  $\frac{(m_\pi - m_e)^2}{(m_\pi - m_\mu)^2}$

amplitude は  $(\frac{m_e}{P})^2$  程度

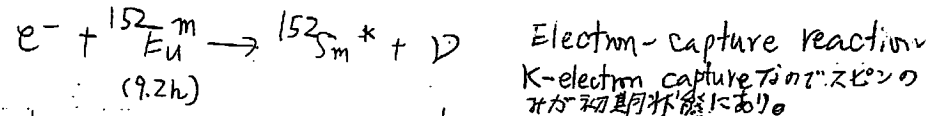
$$\frac{\Gamma(\pi \rightarrow e\nu)}{\Gamma(\pi \rightarrow \mu\nu)} = \frac{m_e^2}{m_\mu^2} \frac{(m_\pi^2 - m_e^2)^2}{(m_\pi^2 - m_\mu^2)^2} = 1.284 \times 10^{-4}$$

ニュートリノのヘリシティ Goldhaber (1958) Phys. Rev. 109 (1958) 1015.

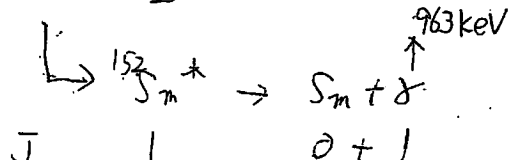
$$-L = G_0/\sqrt{2} [\bar{p}\gamma^\mu(1-i.26\gamma^5)n] [\bar{e}\gamma_\mu(1-\gamma^5)\nu]$$

(1- $\gamma^5$ ) $\nu$  : 左巻きのみ弱い相互作用をする

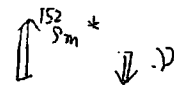
ヘリシティ  $e^- : -\nu, \quad e^+ : +\nu$   
 $\nu : +1, \quad \bar{\nu} : -1$



J  $\frac{1}{2} \quad 0 \quad 1 \quad \frac{1}{2}$

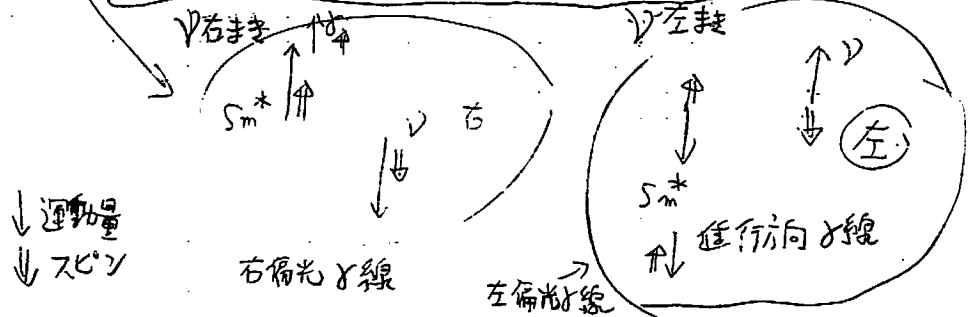
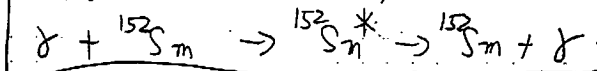


スピンの向き 電子 ↑



$E = E_\gamma + E_\nu$   $E_\nu = \frac{E_\gamma}{2M}$  M核の質量、吸収の時に  $E_\nu$  が必要。2 $E_\nu$  を Doppler-effect がまざる。

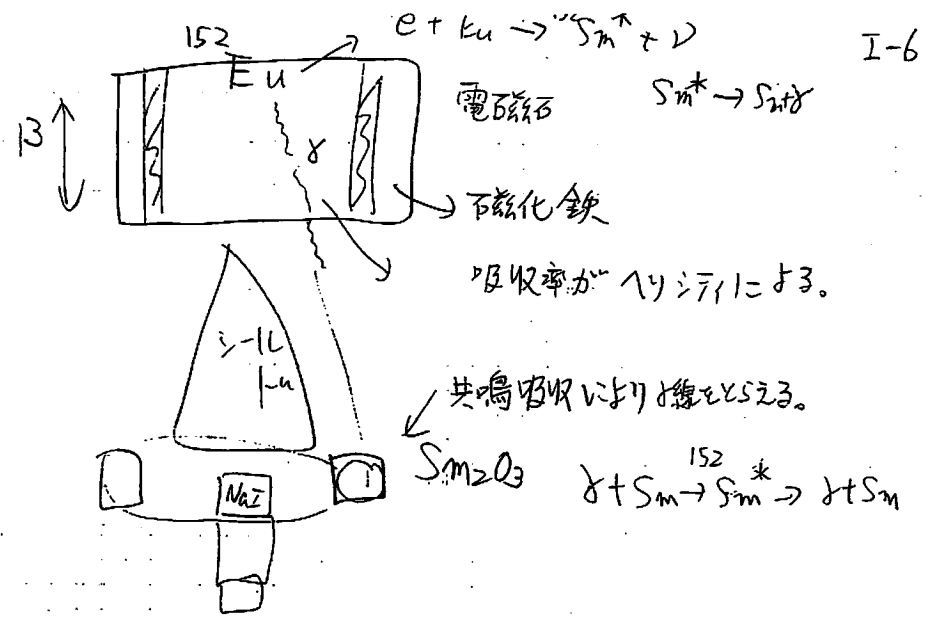
$Sm^*$  の運動方向の前方に放出される γ は共鳴散乱Uを拾う。  
 → 中間状態の  $\tau = 7 \times 10^{-14}$  sec



↓運動量  
 ↓スピン

右偏光γ線

左偏光線



I-6

1 鉄の中の  
鉄のスピンの場合、スピン反転が可能  
おまかせ。  
鉄のスピンの場合、反転不可能。  
反応がおましくい。  
実験結果は、 $\gamma$ は  $\downarrow$  となり、 $\nu$ は左向きになった。

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Helicity of Neutrinos\*

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Brookhaven National Laboratory, Upton, New York  
(Received December 11, 1957)

A COMBINED analysis of circular polarization and resonant scattering of  $\gamma$  rays following orbital electron capture measures the helicity of the neutrino. We have carried out such a measurement with  $Eu^{152m}$ , which decays by orbital electron capture. If we assume the most plausible spin-parity assignment for this isomer compatible with its decay scheme,  $1^0-$ , we find that the neutrino is "left-handed," i.e.,  $\sigma \cdot \hat{p}_\nu = -1$  (negative helicity).

Our method may be illustrated by the following simple example: take a nucleus  $A$  (spin  $I=0$ ) which decays by allowed orbital electron capture, to an excited state of a nucleus  $B$  ( $I=1$ ), from which a  $\gamma$  ray is emitted to the ground state of  $B$  ( $I=0$ ). The conditions necessary for resonant scattering are best fulfilled for those  $\gamma$  rays which are emitted opposite to the neutrino, and which are emitted before the recoil energy is lost. Since the orbital electrons captured by a nucleus are almost entirely  $s$  electrons ( $K, L_1, \dots$  electrons of spin  $S=\frac{1}{2}$ ), the substates of the daughter nucleus  $B$ , formed when a neutrino is emitted in the  $Z$  direction, are  $m=-1, 0$  if the neutrino has positive helicity, and  $m=+1, 0$  if the neutrino has negative helicity. In either case, the helicity of the  $\gamma$  ray emitted in the ( $-Z$ ) direction is the same as that of the neutrino. Thus, a measurement of the circular polarization of the  $\gamma$  rays which are resonant-scattered by the nucleus  $B$ , yields directly the helicity of the neutrino, if one assumes only the well-established conservation laws of momentum and angular momentum.

To carry out this measurement we have used a nucleus which appears to have the properties postulated in the example given:  $Eu^{152m}$  (9.3 hr). It probably has spin 0 and odd parity.<sup>1</sup> It decays to an excited state of  $Sm^{152}$  ( $1^-$ ) with emission of neutrinos which have an energy of 840 kev in the most prominent case of  $K$ -electron capture. This is followed by an  $E1$   $\gamma$ -ray transition of 960 kev to the ground state ( $0^+$ ). The excited state has a mean life of  $(3 \pm 1) \times 10^{-14}$  sec, as

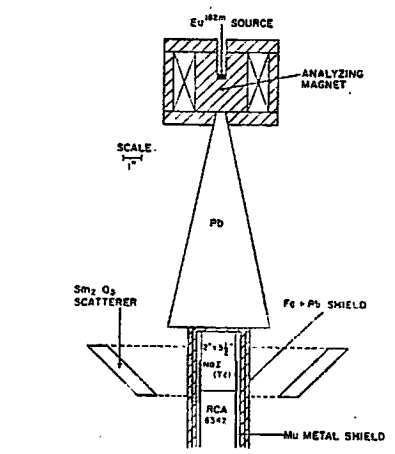


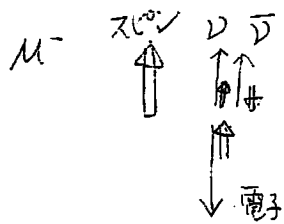
FIG. 1. Experimental arrangement for analyzing circular polarization of resonant scattered  $\gamma$ -rays. Weight of  $Sm_2O_3$  scatterer: 1850 grams.

determined by Grodzins.<sup>1</sup> Thus, even in a solid source most of the  $\gamma$ -ray emission takes place before the momentum of the recoil nucleus has changed appreciably.

The experimental arrangement used is shown in Fig. 1. The  $Eu^{152m}$  source is inserted inside an electromagnet which is alternately (every three minutes) magnetized in the up or down direction. The  $\gamma$  rays which pass through the magnet are resonant-scattered from a  $Sm_2O_3$  scatterer (26.8%  $Sm^{152}$ ), and detected in a 2-in.  $\times$  3 $\frac{1}{2}$ -in. cylindrical NaI(Tl) scintillation counter. The photomultiplier (RCA 6342) is magnetically shielded by an iron cylinder and a mu-metal shield. The effectiveness of this magnetic shield was demonstrated by check experiments with a  $Cs^{137}$   $\gamma$ -ray source

Mの崩壊

$$\mu^- \rightarrow e^- + \bar{\nu}_e + \nu_\mu$$



e-は左巻き, nu\_muを  
nu\_e右

$$-\mathcal{L} = \frac{G_M}{\sqrt{2}} [\bar{\nu}_\mu \gamma^\mu (1-\gamma^5) \mu] [\bar{e} \gamma_\mu (1-\gamma^5) \nu_e]$$

$$\frac{d\Gamma}{dx} = \frac{G_M^2 m_\mu^5}{96\pi^3} x^2 (3-2x)$$

$$x = \frac{E}{m_\mu}$$



$$\Gamma = \frac{G_M^2 m_\mu^5}{192\pi^3}$$

$$\tau = \frac{1}{\Gamma} = 2.197 \times 10^{-6} \text{ s} = c$$

$$G_M = 10^{-5} m_p^{-2}$$

$$G_B = G_M \cos \theta_c$$

$$\Phi = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix}$$

ゲージ変換 SU(2) x U(1)

$$\psi = \begin{bmatrix} \nu \\ e \end{bmatrix}$$

psi to psi prime = exp(-iW(x) \* tau/2 - iY(x) \* Y/2) psi に対して不変.

$$D_\mu = \partial_\mu + i g_W W_\mu \cdot \tau + i (g_B/2) Y B_\mu$$

微分

を定義してゲージ不変性を入れる。

SU(2)のゲージ場

U(1)ゲージ場

$$\mathcal{L}_{mass} = -G_e [\bar{e}_R (\Phi^\dagger \psi) + (\bar{\nu}_R \Phi) e_L]$$

$$= -G_e [\bar{e}_R (\phi^+ \nu_L + \phi^0 e_L) + \bar{\nu}_R (\phi^+ e_L + \phi^0 \nu_L)]$$

$$\phi^+ \rightarrow 0, \phi^0 \rightarrow (v + \rho) / \sqrt{2}$$

$$m_e = G_e v / \sqrt{2}$$

$$G_e = \sqrt{2} m_e / v$$

$$\phi = \frac{1}{\sqrt{2}} \begin{bmatrix} (W_2 + iW_1)/2 \\ v + \rho - iW_3/2 \end{bmatrix}$$

一般的には

$$\phi = \exp(iW \cdot \tau / 2v) \begin{bmatrix} 0 \\ v + \rho \\ \nu / \sqrt{2} \end{bmatrix}$$

ヒッグス機構

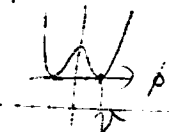
$$\mathcal{L}_G = (\partial_\mu \phi)^\dagger (\partial^\mu \phi) - V(\phi)$$

$$= M^2 \phi^2 + \lambda \phi^4$$

M^2 > 0 なら

M^2 < 0 なら

自発的対称性の破れ



$$\mathcal{L}_{mass} = -\frac{G_e v}{\sqrt{2}} (\bar{e}_R e_L + \bar{\nu}_R \nu_L) - \frac{G_e}{\sqrt{2}} (\bar{e}_R \nu_L + \bar{\nu}_R e_R) \rho$$

m\_e e e

mass term

higgsとの相互作用

ヒッグス

質量

0

$$m_e = G_e v / \sqrt{2}$$

$$G_e = \sqrt{2} m_e / v$$

質量 mass term は、ゲージ SU(2) 不変ではなかった。

-Lの中の mass term

~~m  $\bar{\Psi} \Psi$~~  Dirac 場  $\psi$  の方程式を与えるラグランジアン

$$\mathcal{L} = \bar{\psi} (i\gamma^\mu \partial_\mu - m) \psi$$

質量項  $m \bar{\psi} \psi = m \chi (\bar{\psi}_R \psi_L + \bar{\psi}_L \psi_R)$

$$\psi_L = \frac{1-\gamma_5}{2} \psi \quad (1-\gamma_5)(1+\gamma_5)$$

$$\psi_R = \frac{1+\gamma_5}{2} \psi \quad = 1 - \gamma_5^2 = 0$$

$$\begin{pmatrix} 1-\gamma_5 \\ 1+\gamma_5 \end{pmatrix} \begin{pmatrix} 1-\gamma_5 \\ 1+\gamma_5 \end{pmatrix} = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = 1 + \gamma_5^2$$

$$\bar{\psi} = \psi^\dagger \gamma_0 \quad \gamma_5^\dagger = \gamma_5$$

$$\begin{aligned} \bar{\psi}_R &= \left[ \frac{1+\gamma_5}{2} \psi \right]^\dagger \gamma_0 \quad \gamma_5 \gamma_0 = -\gamma_0 \gamma_5 \\ &= \psi^\dagger \left( \frac{1+\gamma_5}{2} \right) \gamma_0 = \psi^\dagger \gamma_0 \times \left( \frac{1-\gamma_5}{2} \right) \end{aligned}$$

電子と  $\nu_e$  の SU(2) x U(1) 対称性をみたす  
ラグランジアン  
共変微分  $\psi$  の変換に対して不変。  
 $\psi_L = \begin{pmatrix} \nu_e \\ e_L \end{pmatrix}, \psi_R = e_R$

$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} - \frac{1}{4} B_{\mu\nu} B^{\mu\nu} + \bar{\psi} i \gamma^\mu D_\mu \psi \quad \leftarrow \psi \text{ の } \gamma \text{ 成分}$$

$$D_\mu = \partial_\mu + i g_W W_\mu \cdot \frac{\sigma}{2} + i (g_B/2) B_\mu \gamma$$

$$+ (D_\mu \Phi)^\dagger (D^\mu \Phi) - V(\Phi) - G_e [\bar{e}_R (\Phi^\dagger \psi_L) + (\bar{\nu}_e \Phi) e_R]$$

↑  
ヒッグス場

$$F_{\mu\nu} = \partial_\mu W_\nu - \partial_\nu W_\mu - g_W W_\mu \times W_\nu \quad \text{SU(2) の場}$$

$$B_{\mu\nu} = \partial_\mu B_\nu - \partial_\nu B_\mu \quad \text{U(1) field}$$

$$V(\Phi) = \lambda (|\Phi|^2 + \frac{\mu^2}{2\lambda})^2$$

中性ベクトル場とフェルミオン場の相互作用

$$\mathcal{L}_{int} = -\bar{\psi} \gamma^\mu (g_W W_\mu^0 I_3 + (g_B/2) B_\mu \gamma) \psi$$

$$= -\bar{\nu}_L \gamma^\mu \left( \frac{g_W}{2} W_\mu^0 - \frac{g_B}{2} B_\mu \right) \nu_L$$

$$+ \bar{e}_L \gamma^\mu \left( \frac{g_W}{2} W_\mu^0 + \frac{g_B}{2} B_\mu \right) e_L + \bar{e}_R \gamma^\mu (g_B B_\mu) e_R$$

$Q = I_3 + \frac{Y}{2}$  西島  $\gamma$  の規則が成立すると仮定。

$$I_3(\nu_L) = \frac{1}{2}, I_3(e_L) = -\frac{1}{2}, I_3(e_R) = 0$$

$$Y(\psi_L) = Y_L = -1, Y(e_R) = Y_R = -2$$

ユークリッドは、電磁相互作用に由来しないので、

$$Z_\mu^0 = \frac{1}{\sqrt{g_W^2 + g_B^2}} (-g_B B_\mu + g_W W_\mu^0) \equiv -\sin\theta_W B_\mu + \cos\theta_W W_\mu^0$$

$$A_\mu = \frac{1}{\sqrt{g_W^2 + g_B^2}} (g_W B_\mu + g_B W_\mu^0) \equiv \cos\theta_W B_\mu + \sin\theta_W W_\mu^0$$

電磁場

$$\sin\theta_W = \frac{g_B}{\sqrt{g_W^2 + g_B^2}}$$

$$\mathcal{L}_{int} = -[e \bar{\psi} \gamma^\mu A_\mu Q \psi + g_Z \bar{\psi} \gamma^\mu Z_\mu^0 (Z_\psi - Q \sin^2\theta_W) \psi]$$

$$g_Z = \sqrt{g_W^2 + g_B^2}; e = g_Z \sin\theta_W \cos\theta_W$$

$$Q_\nu = 0; Q_e = -1$$

W, Zの質量

$$(D^\mu \bar{\Phi})^\dagger (D_\mu \bar{\Phi}) \quad \text{ヒッグス場}$$

$$\bar{\Phi} \rightarrow \left(\frac{0}{v}\right)$$

$$\frac{g_W^2 v^2}{4} W^- W^+ + \frac{g_Z^2 v^2}{8} Z^0 Z^0$$

荷電ボソンと中性ボソンとは、質量項の因子が $\frac{1}{2}$ だから。

$$m_W = \frac{g_W v}{2}, m_Z = \frac{g_Z v}{2}$$

$$m_Z = \frac{g_Z}{g_W} m_W = \frac{m_W}{\cos\theta_W}$$

W<sup>±</sup> を介したの相互作用

$$\mathcal{L} = -\frac{g_W}{\sqrt{2}} \bar{\psi}_L \gamma^\mu [\tau_+ W_\mu^+ + \tau_- W_\mu^-] \psi_L$$

$\psi_R$  ははたさないので

$$\tau_- = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \quad \tau_+ = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$$

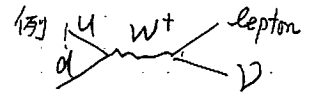
$$\Rightarrow \frac{G_F}{\sqrt{2}} = \frac{g_W^2}{8 m_W^2} = \frac{1}{2 v^2}$$

$$v = 246 \text{ GeV}$$

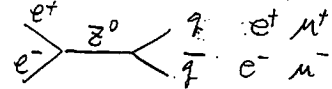
Z<sup>0</sup>:

W の発見:

CERN SPS  $P P \rightarrow q \bar{q}$



LEP  $e^+ e^-$



Z<sup>0</sup> の mass : 91.19 GeV

W " : 80.4 GeV

$$\sin^2\theta_W = 0.231$$

GWSの特徴

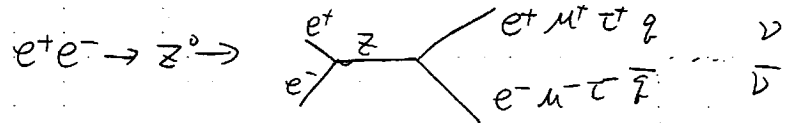
- ・ 電弱統一理論
- ・ クリスマス可能 ← t. Hooft
- ・ 中性カレントの存在
- ・ W<sup>±</sup>, Z<sup>0</sup>の質量を定量的に予言。
- ・ クォークレプトンの分類

$$\begin{pmatrix} \nu_e \\ e \end{pmatrix} \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix} \begin{pmatrix} \nu_\tau \\ \tau \end{pmatrix} \quad e_R, \mu_R, \tau_R$$

$$\begin{pmatrix} u \\ d \end{pmatrix} \begin{pmatrix} c \\ s \end{pmatrix} \begin{pmatrix} t \\ b \end{pmatrix} \quad u_R, d_R, c_R, s_R, t_R, b_R$$

ニータリ)の数

L-18



$e^+e^- \rightarrow \nu\bar{\nu}$  ハドロン final state の数が多い。  
 $\sigma_h^0 = \frac{12\pi}{m_Z^2} \frac{\Gamma_e \Gamma_h}{\Gamma_Z^2}$   $m_\nu < \frac{1}{2} m_Z$   $\Gamma$  は大になる。

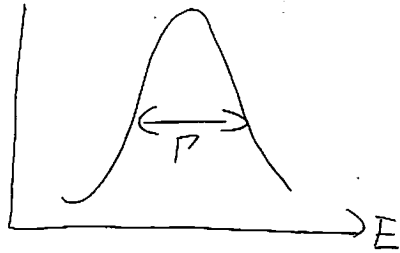
$N_\nu = \frac{\Gamma_{inv}}{\Gamma_\nu} = \frac{1}{\Gamma_\nu} (\Gamma_Z - \Gamma_h - 3\Gamma_e)$

$N_\nu = 2.994 \pm 0.012$  (LEPの結果)

Generationの数は 3

$Z \rightarrow e^+e^-$  3.3%  
 $\mu^+\mu^-$   
 $\tau^+\tau^-$   
 10%

$Z \rightarrow \nu\bar{\nu}$  21.5%  
 残り 70% は  $q\bar{q}$



ブライトウィグナーの共鳴公式

$\propto \frac{1}{(E-E_0)^2 + (\frac{\Gamma}{2})^2}$

$\Gamma$ : 崩壊幅

$\frac{1}{\Gamma} = \tau$

$\Delta E \cdot \Delta t = \Gamma \times \tau = h$

7.2 LEPの実験結果

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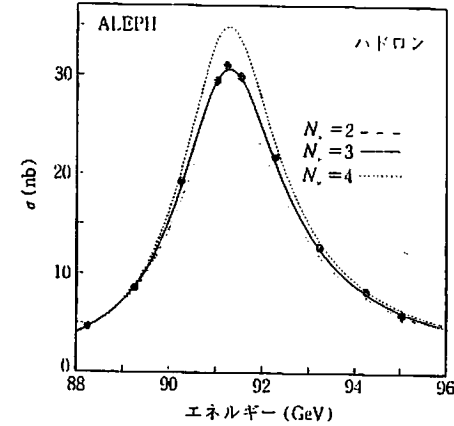


図7.3  $e^+e^- \rightarrow Z \rightarrow$  ハドロンの全断面積  
 実線が  $N_\nu=3$  としたときの標準理論値,  $N_\nu=2, 4$  の点線も示してある。

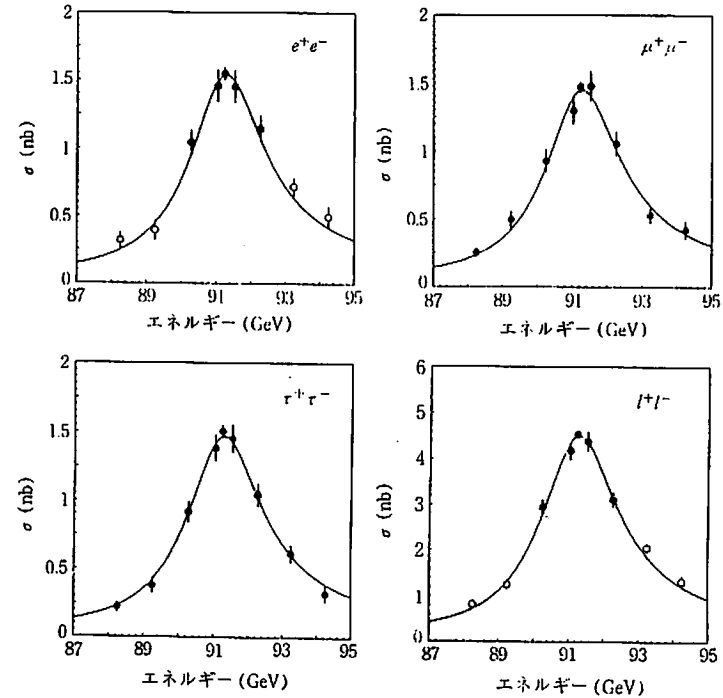
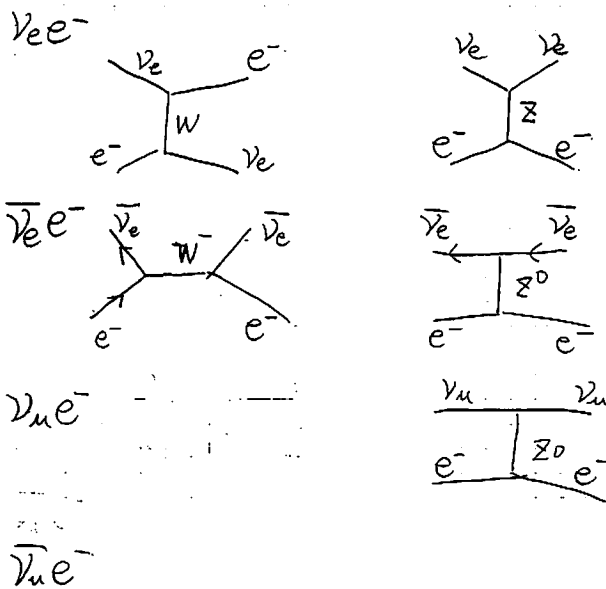


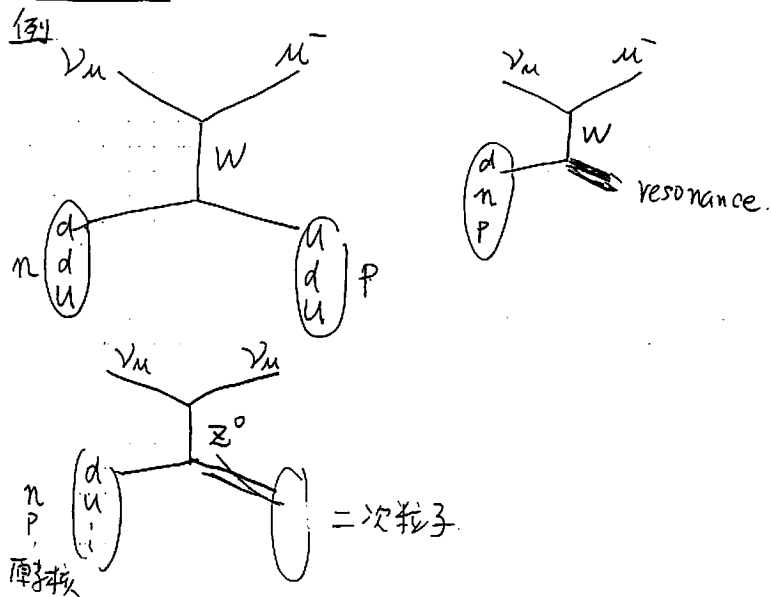
図7.4  $e^+e^- \rightarrow Z \rightarrow l\bar{l}$  の全断面積  
 実線は標準理論値 ( $\sin^2 \theta_W = 0.232$ )



νe 散乱



νP 散乱



ν の mass

charged lepton mass: 例 Higgs × カニズム

$$\mathcal{L} = \bar{e}_R e_L + \bar{e}_L e_R$$

↑ 係数 mass

$$e_L \times e_R$$

これを Dirac mass とする

GWS model では ν<sub>L</sub> は 相互作用しない

マヨラナ粒子

1.  $(\gamma^\mu \partial_\mu - m) N(x) = 0$  ディラック方程式

2.  $N^c = N$

$C = i\gamma^2 \gamma^0$   $N^c = C \bar{\psi}^T$

$$N_1(x) = D \begin{bmatrix} \eta(x) \\ -i\sigma_2 \eta(x)^* \end{bmatrix} \quad N_2(x) = D \begin{bmatrix} i\sigma_2 \bar{\xi}(x)^* \\ \xi(x) \end{bmatrix}$$

1.  $N^c = N$  満たす → Dirac 方程式  $i\gamma^\mu \partial_\mu \psi = (\alpha \cdot P + \beta m) \psi$  の形式

7x7 表示で書くと

$$(\partial_0 + \sigma \cdot \nabla) \xi - m \sigma_2 \xi^* = 0$$

$$(\partial_0 - \sigma \cdot \nabla) \eta + m \sigma_2 \eta^* = 0$$

$$\alpha = \begin{bmatrix} \sigma_1 & 0 \\ 0 & \sigma_3 \end{bmatrix}, \beta = \gamma^0 = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

$$\gamma^5 = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$$

$m=0$  の場合 平面波解  $\propto \exp(iP \cdot X - iEt)$

$E \xi = \sigma \cdot P \xi$   $\xi = \frac{\sigma \cdot P}{E} \xi$  + 右巻き

$E \eta = -\sigma \cdot P \eta$   $\eta = \frac{\sigma \cdot P}{E} \eta$  左巻き

$\bar{\psi} = \psi^\dagger \gamma^0$ ,  $C = i\gamma^2 \gamma^0$ ,  $\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ ,  $\sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$ ,  $\sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

$\xi, \eta$  は右巻き, 左巻きの粒子に対応。独立な解。

I-22

$\xi \rightarrow m_R$   
 $\eta \rightarrow m_L$   $\Downarrow$  必ずしも等しくない。

$C\gamma^0\psi^* = C\bar{\psi}^T = \psi_c$   
 Bjorken-Drell  
 Relativistic Quantum  
 Mechanics

マヨラナ粒子  $N$  は  $1 \pm \gamma^5$  により左巻に分離

$$(N_L)^c = C\bar{N}_L^T = i\gamma^2\gamma^0\bar{N}_L^T = i\gamma^2\{(1-\gamma^5)/2\}N^* \\ = \{(1+\gamma^5)/2\}i\gamma^2\gamma^0\bar{N}^T = \left\{\frac{1+\gamma^5}{2}\right\}N^c = (N_R)^c$$

$$(N_L)^c = (N^c)_R = N_R$$

マヨラナ粒子には、粒子・反粒子の区別はなく、左巻き、右巻きの区別があるのみ。

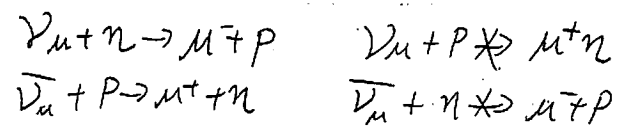
C変換 }  $\gamma^5$  もにより 左巻き  $\rightarrow$  右巻きになる。  
 P変換 }

以上 マヨラナ粒子  
 マヨラナ質量

$m\bar{\psi}_L^c\psi_R \rightarrow$  R粒子とLの反粒子に変える。

$m\bar{\psi}_R^c\psi_L$

荷電レプトンセクターでは、荷電保存則により、もたえない。  
 ニュートリノは可。  
 でもレプトン数保存則は OKか。



弱相互作用の V-A 型という性質から  
 レプトン  $\rightarrow$  左巻き, 反レプトン  $\rightarrow$  右巻き が1対1対抗

I-23

したがって、ヘリシティ保存則をテストしているわけであって  
 レプトン数保存則というものが無いのかもしれない。

そうすると ニュートリノは  $\bar{\psi}_L^c\psi_R$  が  $\bar{\psi}_R^c\psi_L$  を持つことが可能となる

$$-L = \bar{\psi}i\gamma^{\mu}\partial_{\mu}\psi + m_D(\bar{\psi}_L\psi_R + h.c.) \\ + \frac{m_L}{2}(\bar{\psi}_R^c\psi_L + h.c.) + \frac{m_R}{2}(\bar{\psi}_L^c\psi_R + h.c.)$$

2個のマヨラナ場を定義して

$$N_1 = \frac{\psi_L + (\psi_L)^c}{\sqrt{2}}, \quad N_2 = \frac{\psi_R + (\psi_R)^c}{\sqrt{2}}$$

$$-L = \bar{N}_1 i\gamma^{\mu}\partial_{\mu}N_1 + \bar{N}_2 i\gamma^{\mu}\partial_{\mu}N_2 \\ + m_D(\bar{N}_1 N_2 + \bar{N}_2 N_1) + m_L \bar{N}_1 N_1 + m_R \bar{N}_2 N_2 \\ = \bar{N}_1 i\gamma^{\mu}\partial_{\mu}N_1 + i\bar{N}_2 i\gamma^{\mu}\partial_{\mu}N_2 + (\bar{N}_1, \bar{N}_2) \begin{bmatrix} m_L & m_D \\ m_D & m_R \end{bmatrix} \begin{bmatrix} N_1 \\ N_2 \end{bmatrix}$$

$\uparrow$   
 M. neutrino mass matrix

いちばんおもしろいケース

シーソーメカニズム

質量行列を対角化して得られる2つの場を  $\nu, N$  とする。

固有質量をそれぞれ  $m_{\nu}, m_N$  とする。

$$m_L \approx 0, \quad m_R \gg m_D, m_L \text{ とすると}$$

$$\nu = N_1 - \frac{m_D}{m_R} N_2, \quad N = N_2 + \frac{m_D}{m_R} N_1$$

$$m_{\nu} = \ominus \frac{m_D^2}{m_R}, \quad m_N = m_R$$

$\delta^{\nu} \nu \rightarrow \nu$  と同じ  $m$  の  $\Theta$  は  $\pm 2m$

Neutrino oscillation

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = M(3 \times 3) \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

↑ weak interaction
↑ mass eigenstate

$$\begin{pmatrix} \nu_\alpha \\ \nu_\beta \end{pmatrix} = M \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

$$= \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix} \quad 0 \leq \theta \leq \frac{\pi}{4}$$

$$|\nu(t)\rangle = \nu_\alpha(t) |\nu_\alpha\rangle + \nu_\beta(t) |\nu_\beta\rangle$$

$$\nu_1(t) = e^{-iE_1 t} |\nu_1(0)\rangle, \quad \nu_2(t) = e^{-iE_2 t} |\nu_2(0)\rangle$$

$t=0$  时  
 $\nu(t=0) = \nu_1 \times \cos\theta + \nu_2 \times \sin\theta$   
 初期状态

$$\nu_1(t) = e^{-iE_1 t} |\nu_1(0)\rangle, \quad \nu_2(t) = e^{-iE_2 t} |\nu_2(0)\rangle$$

$$|\nu(t)\rangle = e^{-iE_1 t} |\nu_1(0)\rangle \cos\theta + e^{-iE_2 t} |\nu_2(0)\rangle \sin\theta$$

$$= [e^{-iE_1 t} \cos^2\theta + e^{-iE_2 t} \sin^2\theta] |\nu_\alpha\rangle$$

$$+ \cos\theta \sin\theta [e^{-iE_2 t} - e^{-iE_1 t}] |\nu_\beta\rangle$$

$\sin 2\theta = 2 \cos\theta \sin\theta$

$$P(\nu_\alpha \rightarrow \nu_\beta) = \langle \nu_\beta | \nu(t) \rangle^2 = \cos^2\theta \sin^2\theta |e^{-iE_2 t} - e^{-iE_1 t}|^2$$

$$= \frac{1}{4} \sin^2 2\theta \times$$

$$|e^{iE_2 t} - e^{-iE_1 t}|^2 = |e^{-iE_1 t}|^2 |e^{-i(E_2-E_1)t} - 1|^2$$

$$= 2 \times [1 - \cos(E_2-E_1)t]$$

$$(e^{ia}-1)^2 = (e^{ia}-1)(e^{-ia}-1) = 1 + 1 - (e^{ia}e^{-ia}) = 2 - 2 \cos a$$

$$P(\nu_\alpha \rightarrow \nu_\beta) = \frac{1}{2} \sin^2 2\theta [1 - \cos(E_2-E_1)t]$$

$$E_i = \sqrt{m_i^2 + p^2} \approx p + \frac{1}{2} \frac{m_i^2}{p}$$

$$= \frac{1}{2} \sin^2 2\theta \left( 1 - \cos \frac{m_2^2 - m_1^2}{2p} \frac{c^3}{\hbar} t \right)$$

$$\approx \frac{2\pi L}{L_\nu} \rightarrow \text{Lorentz } \frac{L}{\gamma c}$$

$$L_\nu = \frac{4\pi\hbar p}{(m_2^2 - m_1^2) c^2}$$

$$L = ct$$

$$= \frac{2.48}{2.5 p (\text{MeV}/c)} \frac{m_2^2 - m_1^2 (\text{eV}/c^2)^2}{\text{meters}}$$

$$= \frac{1}{2} \sin^2 2\theta \left( 1 - \cos \frac{2\pi L}{L_\nu} \right)$$

$$= \sin^2 2\theta \sin^2 \left( \frac{\pi L}{L_\nu} \right)$$

$$= \sin^2 2\theta \sin^2 \left( 1.27 \frac{\text{km}^2 L}{p} \right)$$

$\downarrow$   $\downarrow$   $\downarrow$   
 $\text{eV}^2$   $\text{m}$   $\text{MeV}/c$

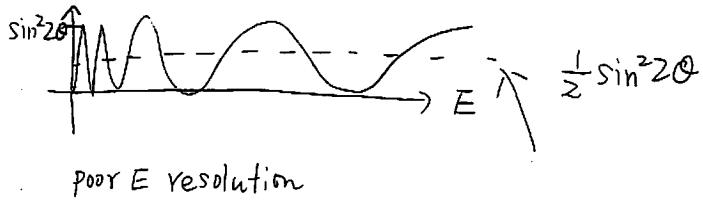
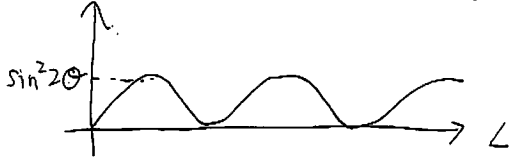
$$L_{\nu} = \frac{2.48 P (\text{MeV}/c)}{m_2^2 - m_1^2 (\text{eV}/c^2)^2} \text{ meters}$$

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$\Delta m^2$	P	$L_{\nu}$
$3 \times 10^{-3} \text{ eV}^2$	1 GeV	830 km
$1.7 \times 10^{-11} \text{ eV}^2$	1 MeV	$1.5 \times 10^{11} \text{ m}$ 太陽 → 地球

$$P(\nu_a \rightarrow \nu_b) = \sin^2 2\theta \sin^2 \left( 1.27 \frac{\Delta m^2 L}{E} \right)$$

$\frac{\text{eV}^2}{\text{MeV}} \cdot \text{m}$   
 $\frac{\text{eV}^2}{\text{MeV}}$



No. 1

$$i \frac{d}{dt} \begin{pmatrix} \nu_a \\ \nu_b \end{pmatrix} = i \frac{d}{dt} \begin{pmatrix} \cos \theta \sin \theta \\ -\sin \theta \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

$$i \frac{d}{dt} \begin{pmatrix} \nu_a \\ \nu_b \end{pmatrix} = i \frac{d}{dt} [\cos \theta |\nu_1\rangle + \sin \theta |\nu_2\rangle] \quad \text{--- ①}$$

$$i \frac{d}{dt} \begin{pmatrix} \nu_a \\ \nu_b \end{pmatrix} = i \frac{d}{dt} [-\sin \theta |\nu_1\rangle + \cos \theta |\nu_2\rangle] \quad \text{--- ②}$$

$$|\nu_1\rangle = e^{-iE_1 t} |\nu_1\rangle$$

$$|\nu_2\rangle = e^{-iE_2 t} |\nu_2\rangle$$

$$\text{①} \rightarrow i \frac{d}{dt} |\nu_a\rangle = E_1 \cos \theta |\nu_1\rangle + E_2 \sin \theta |\nu_2\rangle$$

$$= E_1 \cos \theta [\cos \theta |\nu_1\rangle - \sin \theta |\nu_2\rangle] + E_2 \sin \theta [\sin \theta |\nu_1\rangle + \cos \theta |\nu_2\rangle]$$

$$= [E_1 \cos^2 \theta + E_2 \sin^2 \theta] |\nu_1\rangle + [E_2 \sin \theta \cos \theta - E_1 \sin \theta \cos \theta] |\nu_2\rangle$$

$$= -E_1 \sin \theta \cos \theta |\nu_1\rangle + E_2 \cos \theta |\nu_2\rangle$$

$$= -E_1 \sin \theta \cos \theta |\nu_1\rangle - \sin \theta |\nu_2\rangle + \cos \theta |\nu_2\rangle$$

$$= (-E_1 + E_2) \sin \theta \cos \theta |\nu_1\rangle + [E_1 \sin \theta + E_2 \sin \theta] |\nu_2\rangle$$

No. 2

$$[E_1 \cos^2 \theta + E_2 \sin^2 \theta] \sin \theta \cos \theta [E_2 - E_1]$$

$$\sin \theta \cos \theta [E_2 - E_1] = \frac{1}{4} \sin 2\theta \times \frac{\Delta m^2}{E}$$

$$\frac{1}{2} \sin 2\theta \times \frac{\Delta m^2}{E}$$

$$[E_1 \cos^2 \theta + E_2 \sin^2 \theta] - \frac{1}{2} [E_1 E_2]$$

$$\cos^2 \theta = \cos^2 \theta - \sin^2 \theta$$

$$= 2 \cos^2 \theta - 1$$

$$\approx 1 - 2 \sin^2 \theta$$

$$= \frac{1}{2} E_1 [2 \cos^2 \theta - 1] + \frac{1}{2} E_2 [2 \sin^2 \theta - 1]$$

$$= \frac{1}{2} E_1 \cos 2\theta - \frac{1}{2} E_2 \cos 2\theta$$

$$= \frac{1}{2} [E_1 - E_2] \times \cos 2\theta$$

$$= \frac{1}{4} \frac{\Delta m^2}{E} \cos 2\theta$$

Matter oscillationのために別の方法による導出

$$|\nu^{\alpha}\rangle_t = \sum_i U_{\alpha i} e^{-iE_i t} |\nu^i\rangle$$

$\alpha$ : interaction 固有状態  
 $i$ : mass 固有状態

transition amplitude は

$$\langle \nu^{\beta} | \nu^{\alpha} \rangle_t = \sum_i U_{\beta i} (U^{\dagger})_{i\alpha} e^{-iE_i t}$$

For  $P = |\vec{P}| \gg m_i$

$$E_i = \sqrt{P^2 + m_i^2} \simeq P + \frac{m_i^2}{2P} \simeq P + m_i^2/2E$$

$$|\nu^{\alpha}\rangle_t \simeq e^{-iPt} U \begin{bmatrix} e^{im_1^2 t/2E} & \\ & e^{-im_2^2 t/2E} \end{bmatrix} U^{\dagger} |\nu^{\alpha}\rangle$$

$$= e^{-iPt} U \begin{bmatrix} 1 - i\frac{m_1^2}{2E} t + \dots & \\ & 1 + i\frac{m_2^2}{2E} t + \dots \end{bmatrix} U^{\dagger} |\nu^{\alpha}\rangle$$

$$M = U M_{diag} U^{\dagger}$$

$$U^{\dagger} M U = M_{diag} = \begin{pmatrix} m_1^2 & \\ & m_2^2 \end{pmatrix}$$

$$[U M_{diag} U^{\dagger}]^2 = U M_{diag}^2 U^{\dagger}$$

$$|\nu^{\alpha}\rangle_t = e^{-iPt} \left[ e^{-i\frac{m_1^2}{2E} t} \right]_{\alpha\beta} |\nu^{\beta}\rangle \quad \text{--- ①}$$

i.e.  $i \frac{d}{dt} |\nu^{\alpha}\rangle = \frac{m_1^2}{2E} |\nu^{\alpha}\rangle$   $\epsilon$  だけ  $\epsilon$  だけ

2V case:  $U = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix}$

$$m_1^{\dagger} m_1 = U M_{diag}^2 U^{\dagger} = \begin{pmatrix} m_1^2 \cos^2\theta + m_2^2 \sin^2\theta & \frac{1}{2}(m_2^2 - m_1^2) \sin 2\theta \\ \frac{1}{2}(m_2^2 - m_1^2) \sin 2\theta & m_1^2 \sin^2\theta + m_2^2 \cos^2\theta \end{pmatrix}$$

$$= \frac{m_1^2 + m_2^2}{2} + \frac{\Delta m^2}{2} \begin{pmatrix} -\cos 2\theta & \sin 2\theta \\ \sin 2\theta & \cos 2\theta \end{pmatrix}$$

$$\Delta m^2 = m_2^2 - m_1^2$$

$$i \frac{d}{dt} \begin{pmatrix} \nu_d \\ \nu_b \end{pmatrix} = \begin{pmatrix} -\frac{\Delta m^2}{4E} \cos 2\theta & \frac{\Delta m^2}{4E} \sin 2\theta \\ \frac{\Delta m^2}{4E} \sin 2\theta & \frac{\Delta m^2}{4E} \cos 2\theta \end{pmatrix} \begin{pmatrix} \nu_d \\ \nu_b \end{pmatrix}$$

$\epsilon$  だけ  $\epsilon$  だけ

$$\text{①} \rightarrow |\nu^{\alpha}\rangle_t = e^{-i(P + \frac{m_1^2 + m_2^2}{4E})t} \left[ e^{-i\frac{\Delta m^2}{4E} \sigma^y \gamma^{\alpha} t} \right]_{\alpha\beta} |\nu^{\beta}\rangle$$

$$\gamma^{\alpha} = (\sin 2\theta, 0, -\cos 2\theta)$$

Pauli matrix  
 $\sigma^1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$   $\sigma^3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$   
 $\sigma^2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$

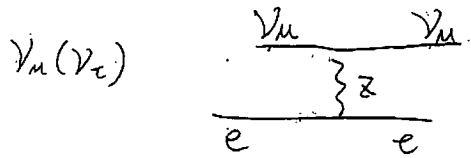
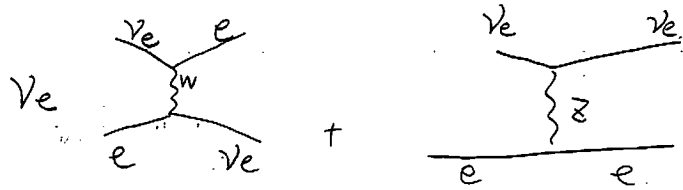
$$|\nu^{\alpha}\rangle_t = e^{-i(P + \frac{m_1^2 + m_2^2}{4E})t} \begin{pmatrix} \cos \frac{\Delta m^2}{4E} t - i \sin \frac{\Delta m^2}{4E} t \cos 2\theta & -i \sin \frac{\Delta m^2}{4E} t \sin 2\theta \\ -i \sin \frac{\Delta m^2}{4E} t \sin 2\theta & \cos \frac{\Delta m^2}{4E} t + i \sin \frac{\Delta m^2}{4E} t \cos 2\theta \end{pmatrix} |\nu^{\alpha}\rangle$$

$$P_{\nu_d \rightarrow \nu_b} = |\langle \nu^{\beta} | \nu^{\alpha} \rangle|^2$$

$$= \sin^2 2\theta \times \sin^2 \frac{\Delta m^2}{4E} t = \sin^2 2\theta \cdot \sin^2 \left( \frac{\pi L}{L_0} \right)$$

$$L_0 = \frac{4\pi E}{\Delta m^2}$$

$\nu$ -matter oscillation (Mikheyev, Smirnov, Wolfenstein)



$\nu$ が感じる potential が  $\bar{\nu}$  が  $\bar{\nu}$  。

$$i \frac{d}{dt} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} -\frac{\Delta m^2}{4E} \cos 2\theta + \sqrt{2} G_F n_e & \frac{\Delta m^2}{4E} \sin 2\theta \\ \frac{\Delta m^2}{4E} \sin 2\theta & \frac{\Delta m^2}{4E} \cos 2\theta \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

(i) の係数を trace less にする。

$$\begin{pmatrix} -\frac{\Delta m^2}{4E} \cos 2\theta + \frac{G_F n_e}{\sqrt{2}} & \frac{\Delta m^2}{4E} \sin 2\theta \\ \frac{\Delta m^2}{4E} \sin 2\theta & \frac{\Delta m^2}{4E} \cos 2\theta - \frac{G_F n_e}{\sqrt{2}} \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

$$\begin{pmatrix} \nu_{1m} \\ \nu_{2m} \end{pmatrix} = \begin{pmatrix} -\cos \theta_m & -\sin \theta_m \\ \sin \theta_m & \cos \theta_m \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} \quad \text{と表すと}$$

effective mixing angle

$$\tan 2\theta_m = \frac{\frac{-\frac{\Delta m^2}{4E} \cos 2\theta_m}{\frac{\Delta m^2}{4E} \sin 2\theta_m}}{\frac{\frac{\Delta m^2}{4E} \sin 2\theta_m}{\frac{\Delta m^2}{4E} \cos 2\theta_m - \frac{G_F n_e}{\sqrt{2}}}}$$

$$l_\nu = 4\pi E / \Delta m^2, \quad l_0 = 2\pi / \sqrt{2} G_F n_e = 1.6 \times 10^7 \text{ m} / \rho$$

$$\rho = N / 6 \times 10^{23} \text{ Avogadro's \# / cm}^3$$

$$\sin^2 2\theta_m = \frac{\sin^2 2\theta}{\sin^2 2\theta + (L_\nu / L_0 - \cos 2\theta)^2}$$

$L_\nu / L_0 = \cos 2\theta$  のとき,  $\sin^2 2\theta_m \approx 1$  になる。

$$L_m = \frac{L_\nu}{\sqrt{1 - 2 \left(\frac{L_\nu}{L_0}\right) \cos 2\theta + \left(\frac{L_\nu}{L_0}\right)^2}}$$

$$P(\nu_e \rightarrow \nu_e) = 1 - \sin^2 2\theta_m \sin^2(\pi L / L_m) \quad \text{と表す}$$

$$\frac{L_\nu}{L_0} \approx \cos 2\theta \text{ のとき}$$

$$\frac{L_\nu}{\sqrt{1 - 2 \cos^2 2\theta + \cos^2 2\theta}}$$

$$= \frac{L_\nu}{\sqrt{1 - \cos^2 2\theta}}$$

$$L_m = \frac{L_\nu}{\sin 2\theta}$$

$\bar{\nu}$  では  $\sqrt{2} G_F n_e$  の factor の sign が  $\bar{\nu}$  になる。

$\sin^2 2\theta_m$  は enhance される。

$n_e$ : 密度が変化するときにおける matter oscillation

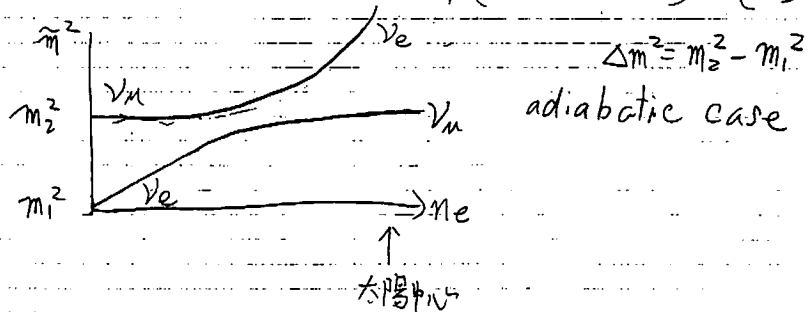
H. Bethe に於ける analysis

$$i \frac{d}{dt} | \nu^{\alpha} \rangle_t = \frac{m^+ m}{2E} | \nu^{\alpha} \rangle + \begin{pmatrix} \sqrt{2} G_F n_e & 0 \\ 0 & 0 \end{pmatrix}$$

mass eigenstate

$$A = -2\sqrt{2} E G_F n_e$$

$$m_{\pm}^2 = \frac{1}{2} (-m_1^2 + m_2^2 + A) \pm \frac{1}{2} \sqrt{(A - \Delta m^2 \cos 2\theta)^2 + (\Delta m^2)^2 \sin^2 2\theta}$$



Smirnov に於ける Graphic representation

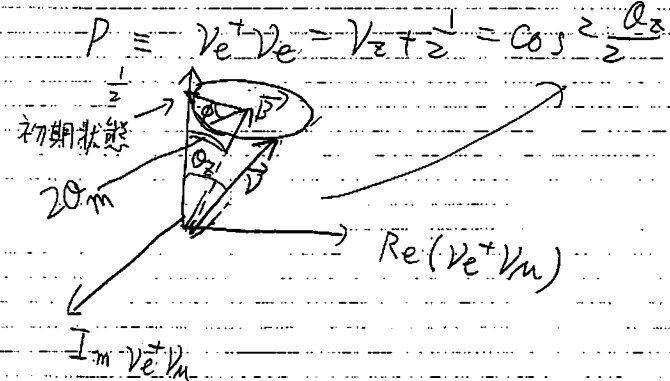
$$\vec{V} = (\text{Re } \nu_e^+ \nu_\mu, \text{Im } \nu_e^+ \nu_\mu, \nu_e^+ \nu_e - \frac{1}{2})$$

$$\vec{B} = \frac{2\pi}{L_m} (\sin 2\theta_m, 0, \cos 2\theta_m)$$

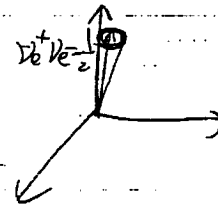
$\uparrow$  oscillation length in medium

$$i \frac{d\vec{V}}{dt} = \left( \frac{M^2}{2E} + V_e \right) \vec{V} \quad \text{if}$$

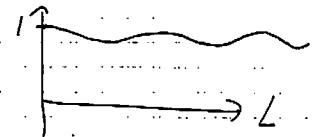
$$\frac{d\vec{V}}{dt} = (\vec{B} \times \vec{V}) \quad \text{if } \vec{B} \perp \vec{V}$$



Vacuum oscillation  $\theta_m \approx \theta$

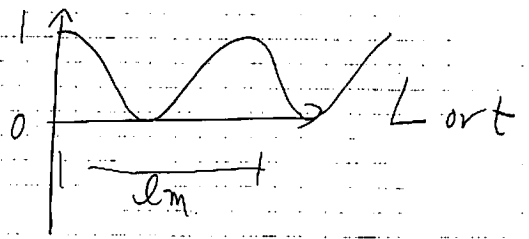
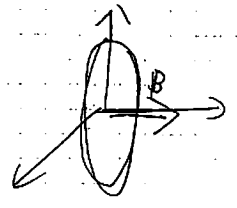


$$1 - \sin^2 2\theta \sin^2 \left( \frac{\pi L}{L_v} \right)$$

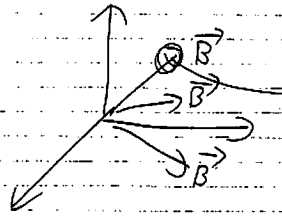


$\theta_m = 45^\circ$

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変化するPでの oscillation.



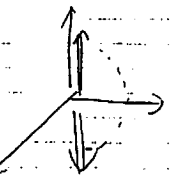
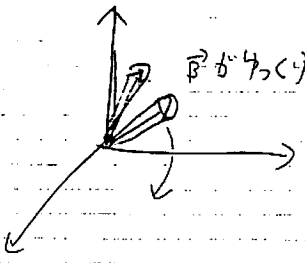
$\phi$ の回転速度

$\phi = (H_2 - H_1)t$

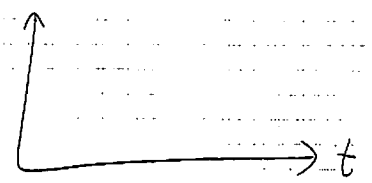
ハミルトン = Pの50:10  
m<sup>2</sup>の50:10

$l_m = 2\pi / \Delta H$

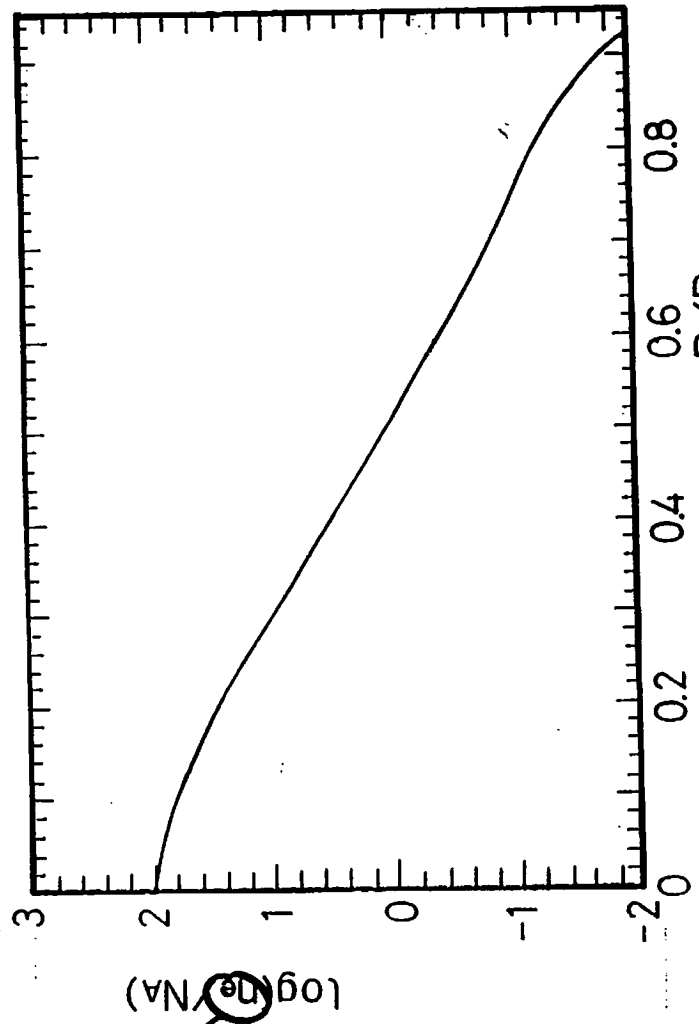
変化  $\propto \frac{1}{l_m}$



$|\dot{\theta}_m| \ll |H_2 - H_1|$



150 g/cm<sup>3</sup>  
L



φ.じ

Fig. 1.10



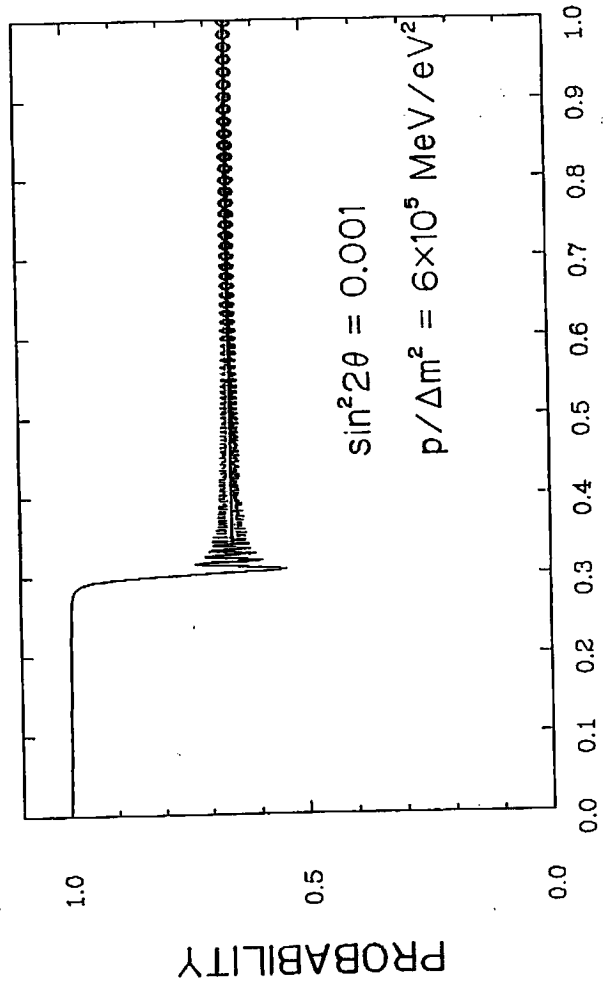


Fig. 1.11

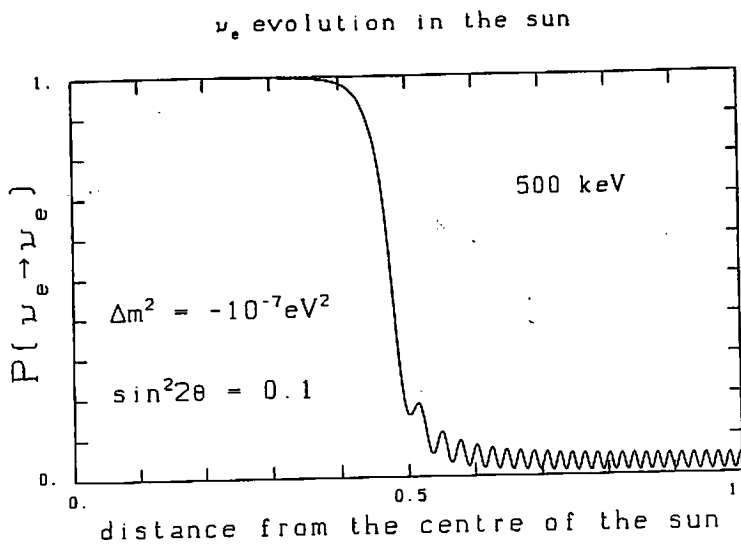


Fig. 4

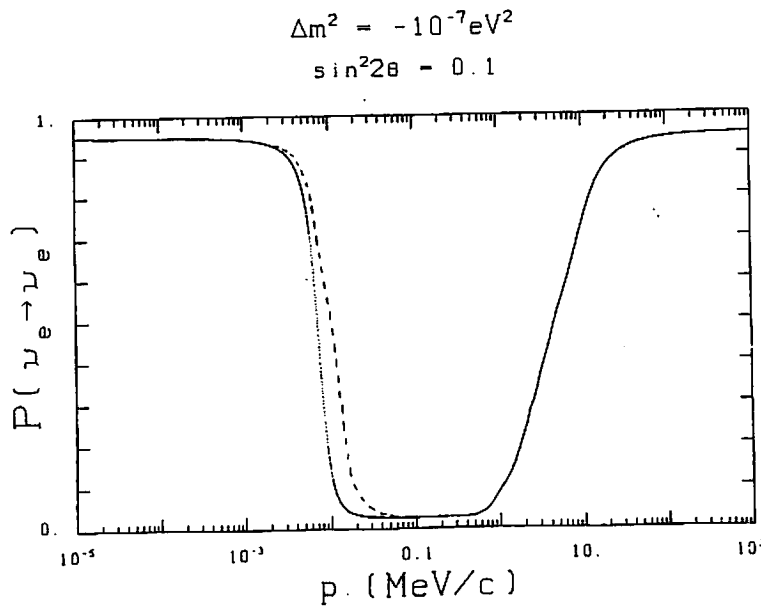
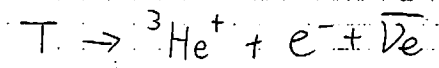


Fig. 5

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$\nu_{\text{mass}}$  experiment

$\nu_{\text{mass}}$  直接測定実験



$E_0 = 18.6 \text{ keV}, \tau_{1/2} = 12 \text{ yr.}$

$\frac{dN}{dE} = \text{const} \times P \times E (E_0 - E)^2 \times \left( 1 - \frac{m_\nu^2 c^4}{(E_0 - E)^2} \right)^{-1/2}$

near the end point

$\frac{dN}{dE} \sim (E_0 - E)^2 - m_\nu^2 c^4 / 2$

Kurie plot

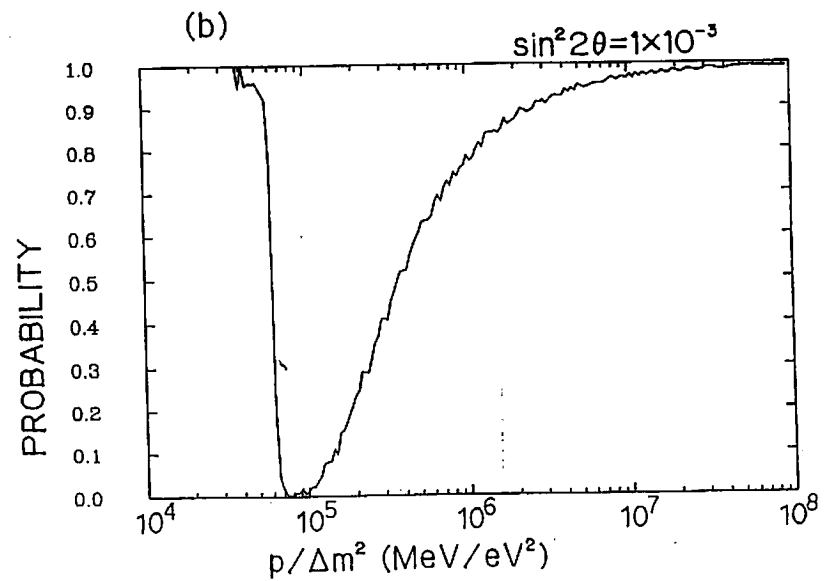
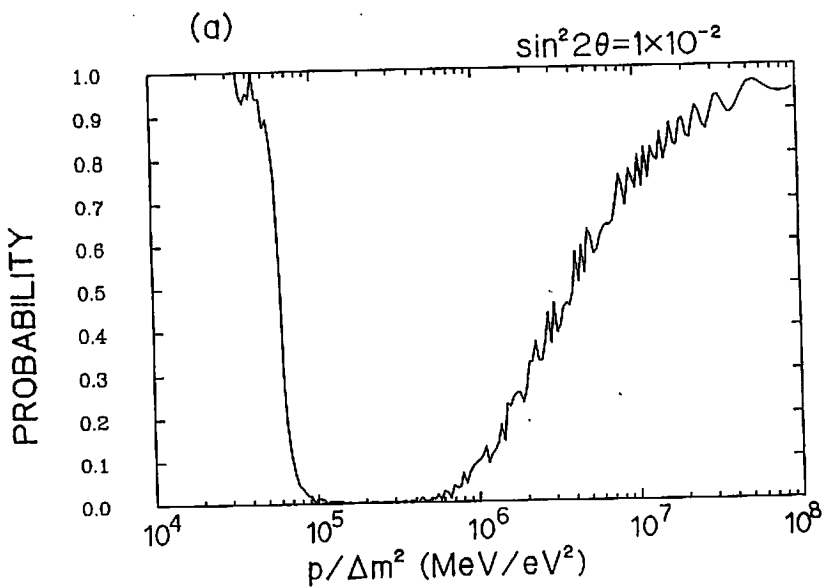
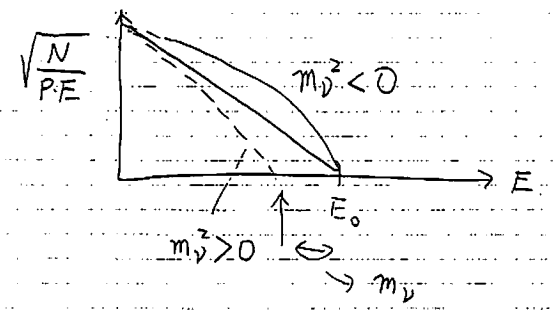


Fig. 1.12

# $M_\nu^2$ from Tritium Beta Decay Measurements

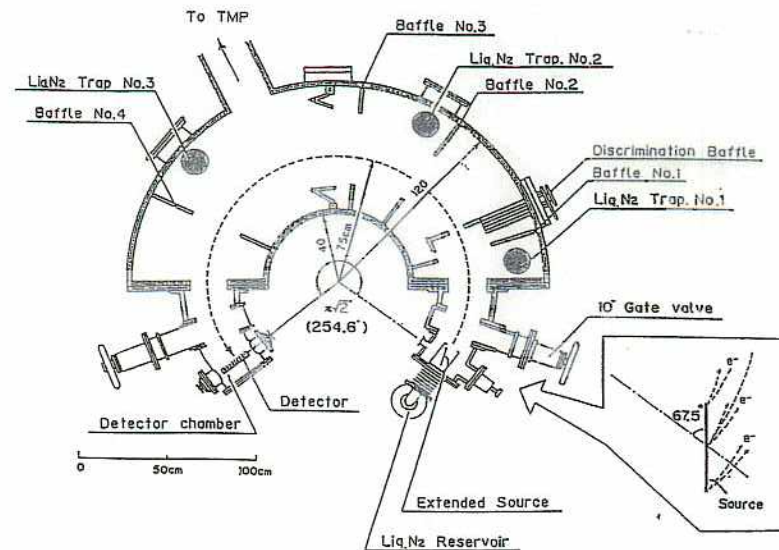
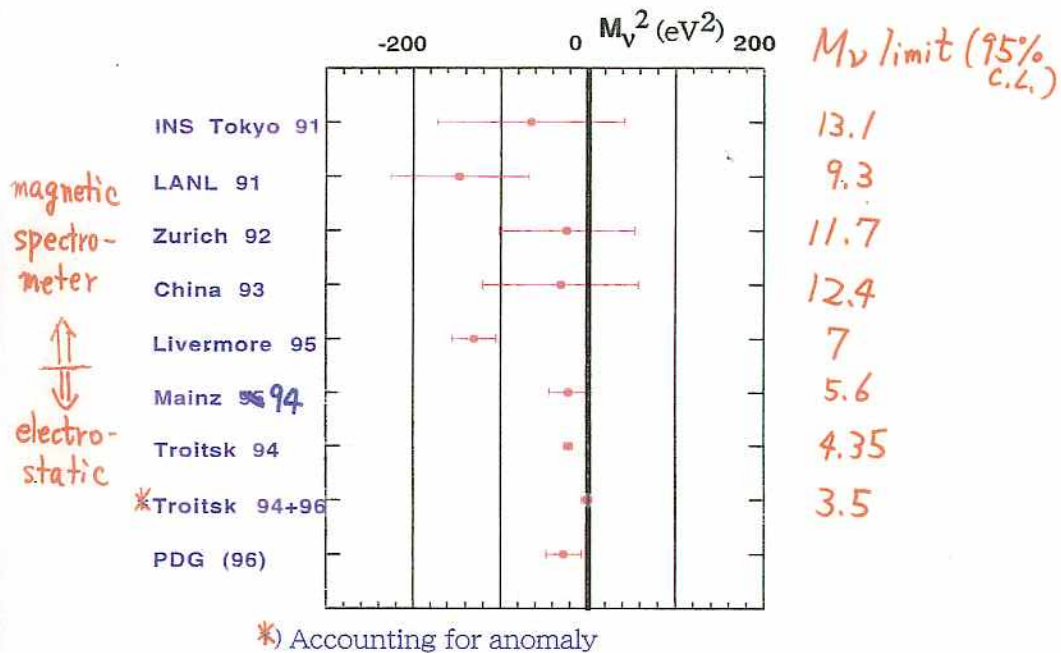


Figure 14: Schematic top view of the INS  $\pi\sqrt{2}$  spectrometer.

calculation was performed for the latter case up to 3rd order approximation and the tilt angles were determined to be  $67.6^\circ$  for the source and  $4.2^\circ$  (almost normal incidence) for the detector [87]. The calculation was confirmed by measurements of iso-aberration contours for three different momenta of  $\Delta p/p = 0\%$  and  $\pm 1.5\%$  with the use of the  $^{207}\text{Bi}$  K-conversion line (975 keV). From this study, a baffle slit of  $\Delta p/p = 0.01\%$  (FWHM) was designed for the wide extended source and expected line shapes were calculated [88].

The applicability of the non-equipotential method was tested with the use of 5 narrow  $^{109}\text{Cd}$  strip sources, each separated 45.5 mm along the source plane with the tilt angle of  $67.5^\circ$ . With the optimized potentials on the individual sources, 5 separated K-line (62 keV) peaks without potential (Fig.15(a)), were well unified into one (Fig.15(b)). The dotted histogram in Fig.15(c) is a sum of the five spectra added by lining up their peak positions and the solid histogram is the spectrum observed with the potentials; no appreciable difference was found. It worked quite satisfactorily with an accuracy of  $5 \times 10^{-5}$  in momentum [89], much better than the required precision.

The non-equipotential was formed by source electrodes and an electrode cage surrounding the extended source as shown in Fig.16, where the exit side of the cage was kept at the ground potential. The 500  $\mu\text{m}$  wide electrodes were vertically printed at every 45 mm interval on a surface of a high purity alumina substrate plate with high-resistive  $\text{RuO}_2$  coating. Optimized electric voltage applied on the source electrodes exhibited an approximately parabolic distribution just as predicted by the orbit calculation.

INS Tokyo 91 :  $-65 \pm 85 \pm 65 \text{ eV}^2$ , Phys. Lett. B256, 105 (1991).  
 LANL 91 :  $-147 \pm 68 \pm 41 \text{ eV}^2$ , Phys. Rev. Lett. 67, 957 (1991).  
 Zurich 92 :  $-24 \pm 48 \pm 61 \text{ eV}^2$ , Phys. Lett. B287, 381 (1992).  
 China 93 :  $-31 \pm 75 \pm 48 \text{ eV}^2$ , CJNP 15, 261(1993).  
 Livermore 95 :  $-130 \pm 20 \pm 15 \text{ eV}^2$ , Phys. Rev. Lett. 75, 3237 (1995).  
 Mainz 95 :  $-22 \pm 17 \pm 14 \text{ eV}^2$ , Neutrino 96.  
 Troitsk 94 :  $-22 \pm 4.8 \text{ eV}^2$ , Phys. Lett. B350, 263 (1995).  
 Troitsk 94+96 :  $-1 \pm 6.3 \text{ eV}^2$ , Neutrino 96.  
 PDG (96) :  $-27 \pm 20 \text{ eV}^2$ , Average by Particle Data Group, Phys. Rev. D54, 280 (1996).

Direct Measurement of Electron Antineutrino Mass

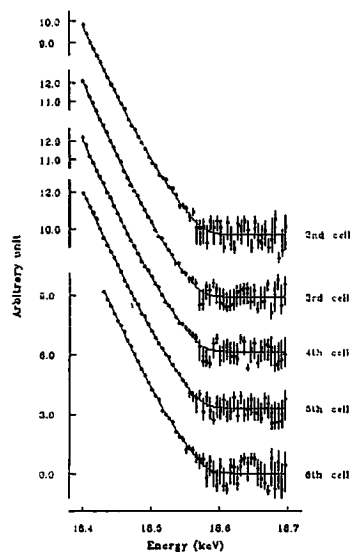


Figure 20: Observed data and the best fit curves are shown for the energy region from 18.4 to 18.7 keV in the form of Kurie plots. The detection efficiency  $\eta$  was corrected for and overlapping data were summed up in the figure. (taken from ref. [27].)

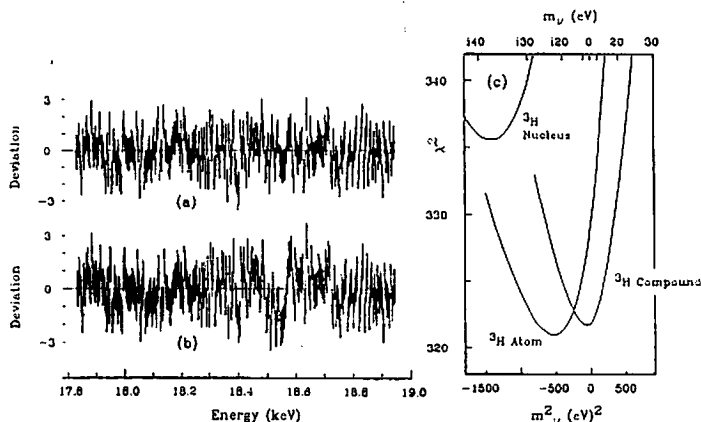


Figure 21: Deviation of the data from the fit divided by the error on each data point, and the relation between  $\chi^2$  and  $m_\nu^2$  for the case of the fourth cell. (a) shows the best fit case for all eight free parameters including the  $m_\nu^2$ . (b) The case for the seven free parameters with  $m_\nu^2$  fixed at  $(30 \text{ eV})^2$ . (c) shows  $\chi^2$  obtained as a function of  $m_\nu^2$  for the full fit to seven free parameters, assuming the *FSS* for the  $^3\text{H}$  nucleus,  $^3\text{H}$  atom, and  $^3\text{H}$  compound as calculated by ref. [41]. (taken from ref. [27].)

Direct Measurement of Electron Antineutrino Mass

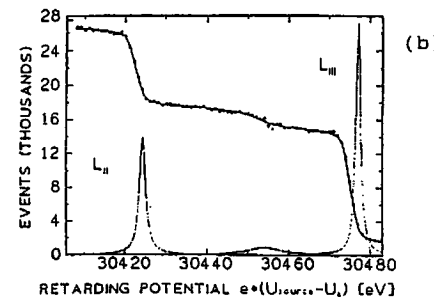
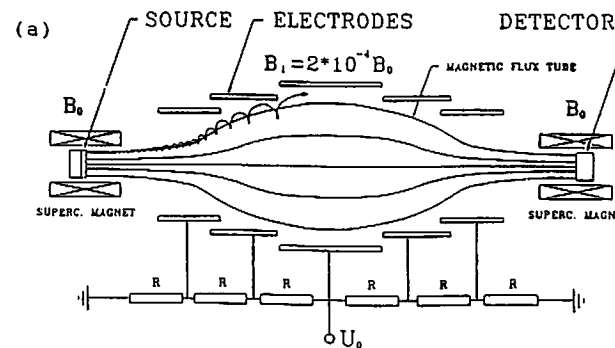


Figure 9: (a) Schematic view of the Mainz spectrometer. (b) Observed integrated spectrum of L conversion lines of  $^{83m}\text{Kr}$ . (taken from A. Picard et al. [75])

rather than a differential spectrum, was measured in this way. The sharpness of this discrimination (see Fig. 9(b)) determined the energy resolution, which was given by  $\Delta E = (B_1/B_0)E$ ;  $\Delta E = (\text{a few}) \text{ eV}$  was obtained by differentiating the observed spectra of  $^{83m}\text{Kr}$  conversion lines. The spectrometer provided a large solid angle of  $\Omega/4\pi = 40\%$  because only the solenoidal coil is a limiting factor and the acceptable polar angle is  $\leq 78^\circ$ . The signal-to-noise ratio was 1 : 1 at 30 eV below  $E_0$ .

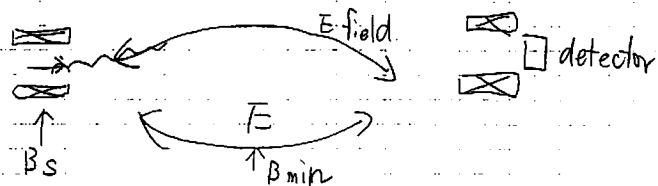
The first result has been published in 1993 as

$$m_\nu^2 = -39 \pm 34(\text{stat.}) \pm 15(\text{sys.}) \text{ (eV)}^2, \quad (21)$$

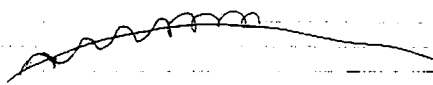
or  $m_\nu < 7.2 \text{ eV}$  with 95% C.L. and  $E_0 = (18,574.8 \pm 0.6) \text{ eV}$ . A  $\chi^2$  fit was carried out by changing the lower limit ( $E_{low}$ ) of the fitting energy interval with five free parameters,  $A_0$ ,  $E_0$ ,  $m_\nu^2$  and two parameters representing the background, and also with four free parameters by fixing  $\alpha_1$  to be the pre-determined value of  $(6.7 \pm 1.7) \times 10^{-5} \text{ (eV)}^{-1}$ . A significant dependence of  $m_\nu^2$  and  $E_0$  on  $E_{low}$  was found:  $m_\nu^2$  were distributed around  $-100 \text{ (eV)}^2$  with statistical uncertainty of  $\pm 20$

# Solenoid retarding spectrometer.

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adiabatic: guiding field の relative change が cyclotron orbit よりも小なり。



角運動量  $\vec{L}$  は保存される。

$-\vec{\mu} \cdot \vec{B} = E_{\perp}$  transverse energy は  $\vec{L}^2 / 2I$  ほど弱くなる。その energy が  $E_{\parallel}$  に向く。

通過時点で  $E_{\parallel}$  ばかり energy の resolution は、

$$\Delta E = E_{\perp} \frac{B_{min}}{B_s} \text{ となる。}$$

$\uparrow$                      $\uparrow$   
 18.6 keV             $\sim \frac{1}{5000}$

$\sim$  数 keV の resolution.

Troitzk, Mainz の実験

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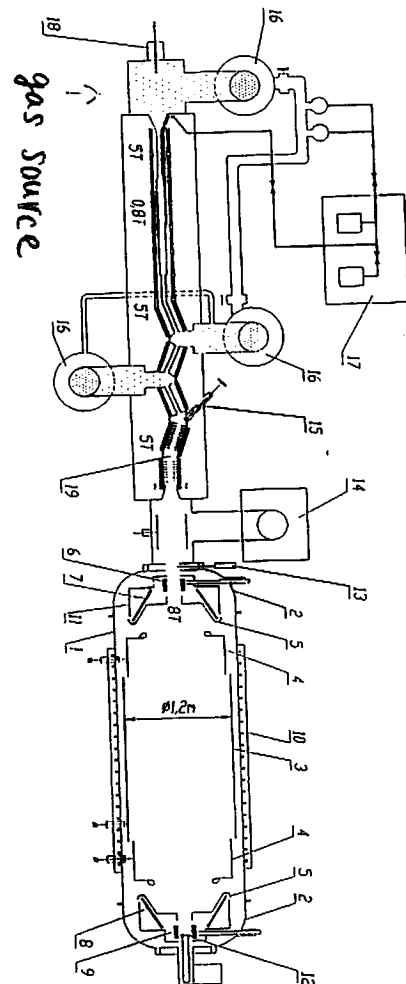
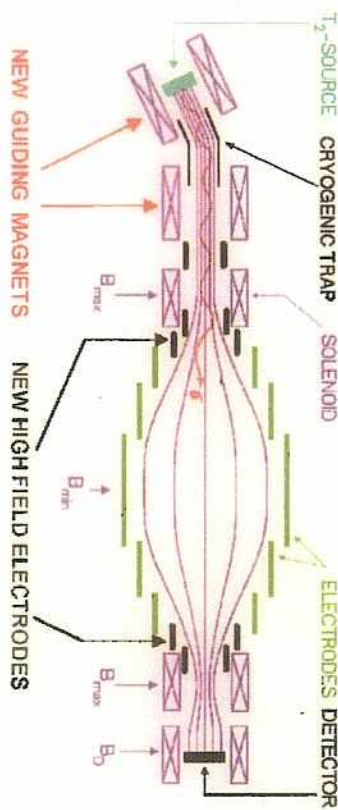


Fig. 1. Experimental setup: (1) (2) vacuum tank; (3) (4) electrostatic analyzer; (5) grounded electrode; (6) (7) (8) (9) superconducting solenoids; (10) warm coil; (11) liquid-N<sub>2</sub> jacket; (12) detector; (13) fast shutter; (14) Ti-pump; (15) cold valve; (16) Hg diffusion pump; (17) Ti<sub>2</sub> purification system; (18) electron gun; (19) argon pump.

Troitzk.

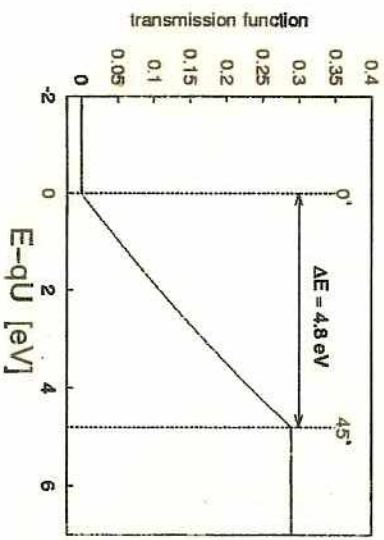
# Mainz Neutrino Mass Experiment since 1997



Magnetic Adiabatic Collimation + Electrostatic Filter (MAC-E-Filter)

⇒ sharp integrating transmission function without tails:

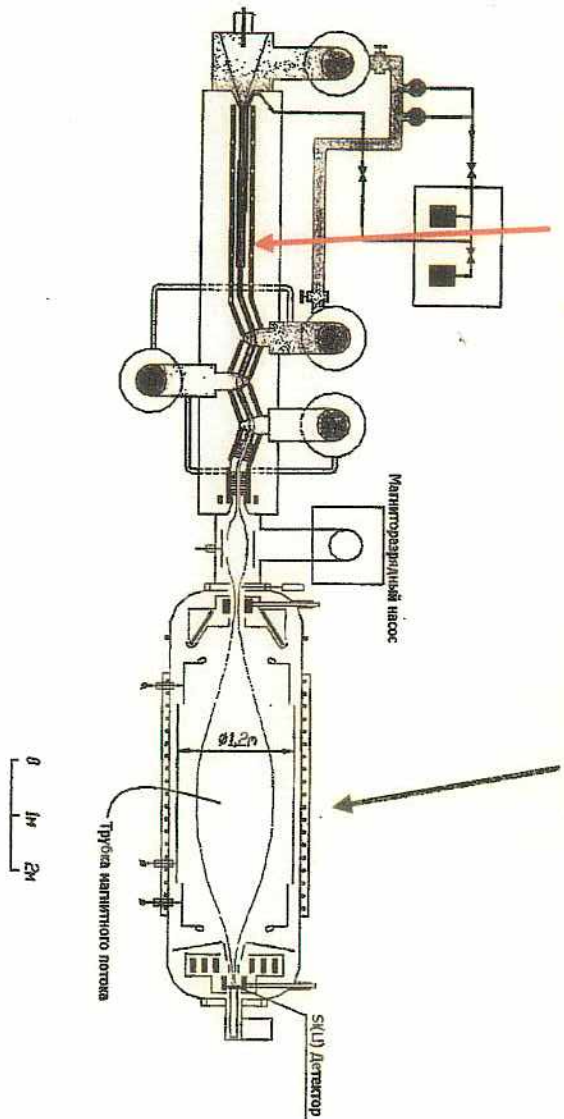
$$\Delta E = E \cdot B_{\min} / B_{\max} = E \cdot A_{s,eff} / A_{analysis} \approx 4.8 \text{ eV}$$



# The Troitsk Neutrino Mass Experiment

Gaseous T<sub>2</sub> source

MAC-E-Filter



column density:  $10^{17} \text{ cm}^{-2}$

luminosity:  $L = 0.6 \text{ cm}^2$

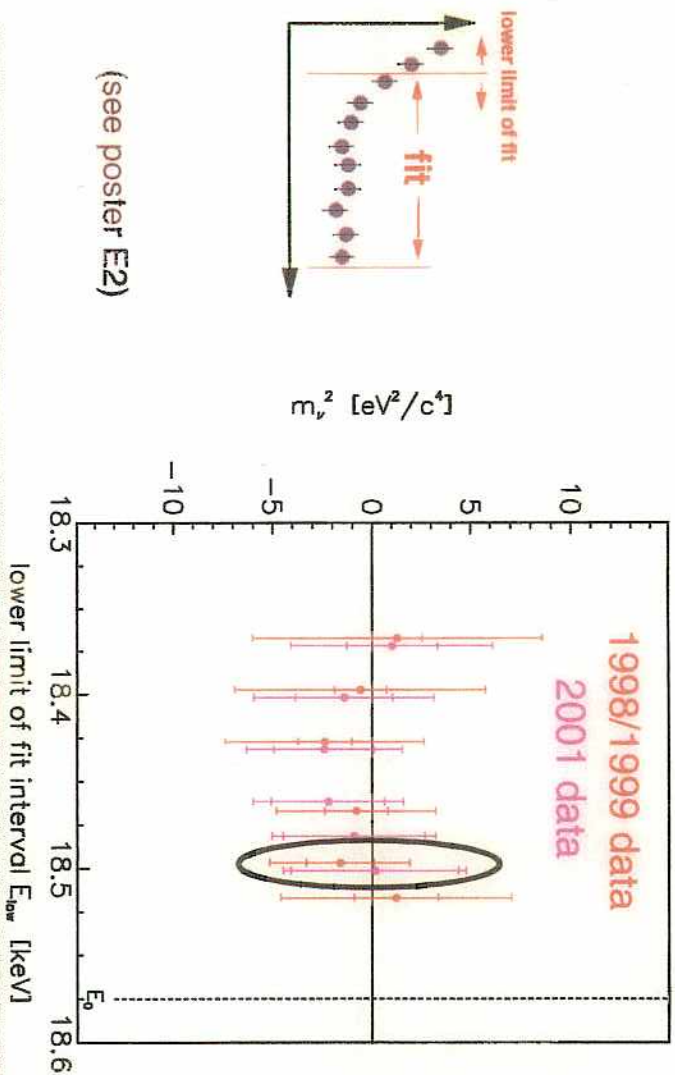
$$(L = \Delta\Omega/2\pi \cdot A_{\text{source}})$$

energy resolution:  $\Delta E = 3.5 \text{ eV}$

3 electrode system in 1.5m

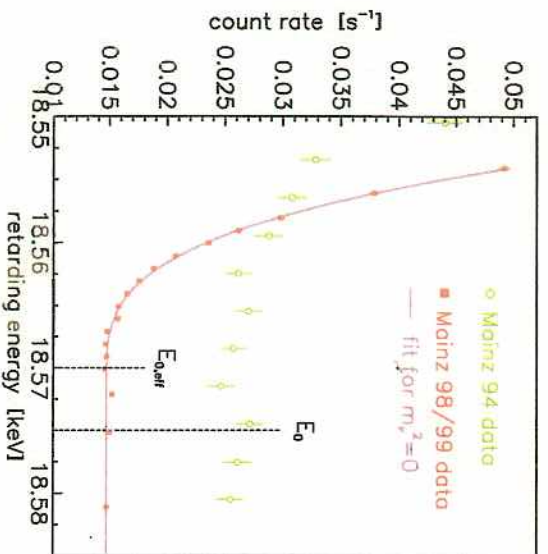
diameter UHV vessel ( $p < 10^{-9} \text{ mbar}$ )

# Results of 1998/1999, 2001 data

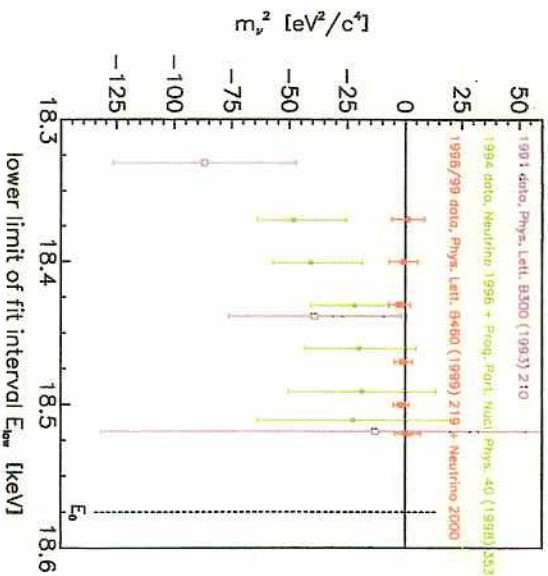


**1998/1999:**  $m^2(\nu) = -1.6 \pm 2.5 \pm 2.1 \text{ eV}^2 \Rightarrow m(\nu) < 2.2 \text{ eV (95\% C.L.)}$   
**2001:**  $m^2(\nu) = +0.1 \pm 4.2 \pm 2.0 \text{ eV}^2$   
**1998/1999/2001:**  $m^2(\nu) = -1.2 \pm 2.2 \pm 2.1 \text{ eV}^2 \Rightarrow m(\nu) < 2.2 \text{ eV (95\% C.L.)}$   
 $\Rightarrow$  Mainz sensitivity limit reached, final analysis of all Mainz data soon

# Mainz data of 1998, 1999

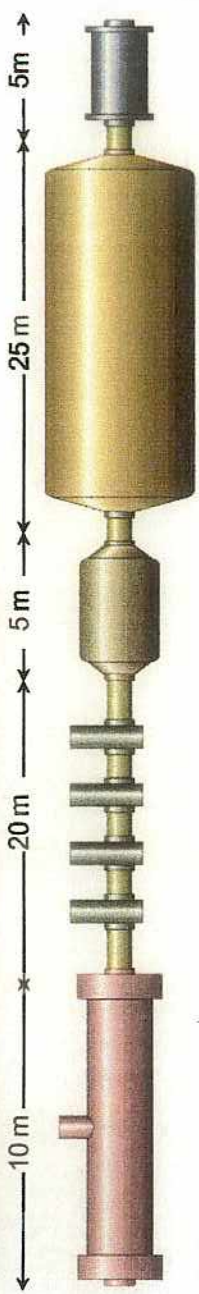


1998+1999: Signal/background 10 x higher



1998+1999: roughening transition avoided by  $T < 2 \text{ K}$

gaseous tritium source    differ.&cryo pumps    pre-    main- spectrometer    detector  
*high  $\beta$ -luminosity*    *background- reduction*    *high energy resolution*    *counting*

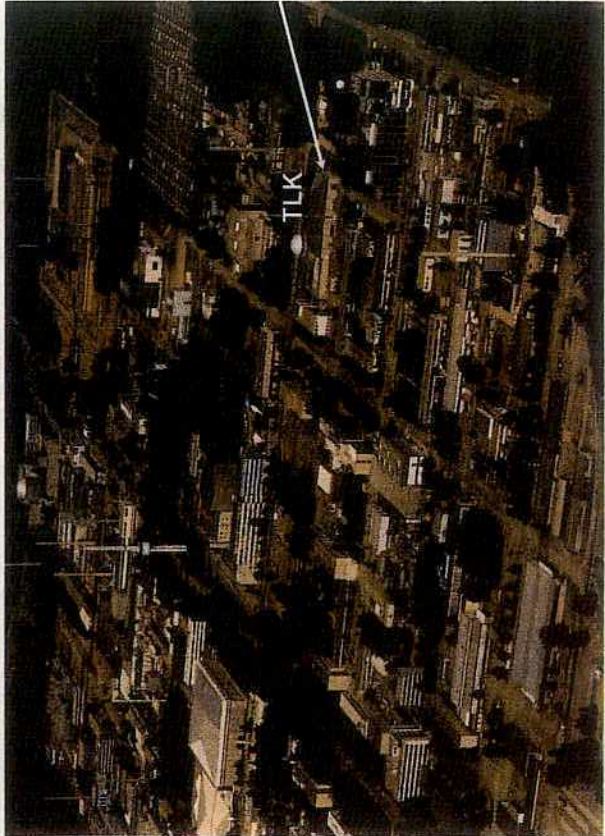


TLK is worldwide unique, for ITER tritium fuel cycle

Tritium Laboratory Karlsruhe

on the site of FZK at

KATRIN will be located



## KATRIN Layout

### Scaling factors for next-generation experiment

experimental observable in  $\beta$ -decay is  $m_\nu^2$

aim : improve  $m_\nu$  by one order of magnitude (2 eV  $\rightarrow$  0.2 eV)

requires : improve  $\dot{m}_\nu^2$  by two orders of magnitude (4 eV<sup>2</sup>  $\rightarrow$  0.04 eV<sup>2</sup>)

problem : count rate close to  $\beta$ -end point drops very fast ( $\sim \delta E^3$ )

last 10 eV :  $2 \times 10^{-10}$  / last 1 eV :  $2 \times 10^{-13}$  of total  $\beta$ -activity

• improve statistics :

- stronger tritium source (factor 80) (& larger analysing plane,  $\varnothing=10\text{m}$ )

- longer measuring period ( $\sim 100$  days  $\rightarrow \sim 1000$  days)

• improve energy resolution :

- large electrostatic spectrometer with  $\Delta E=1$  eV (factor 4 improvement)

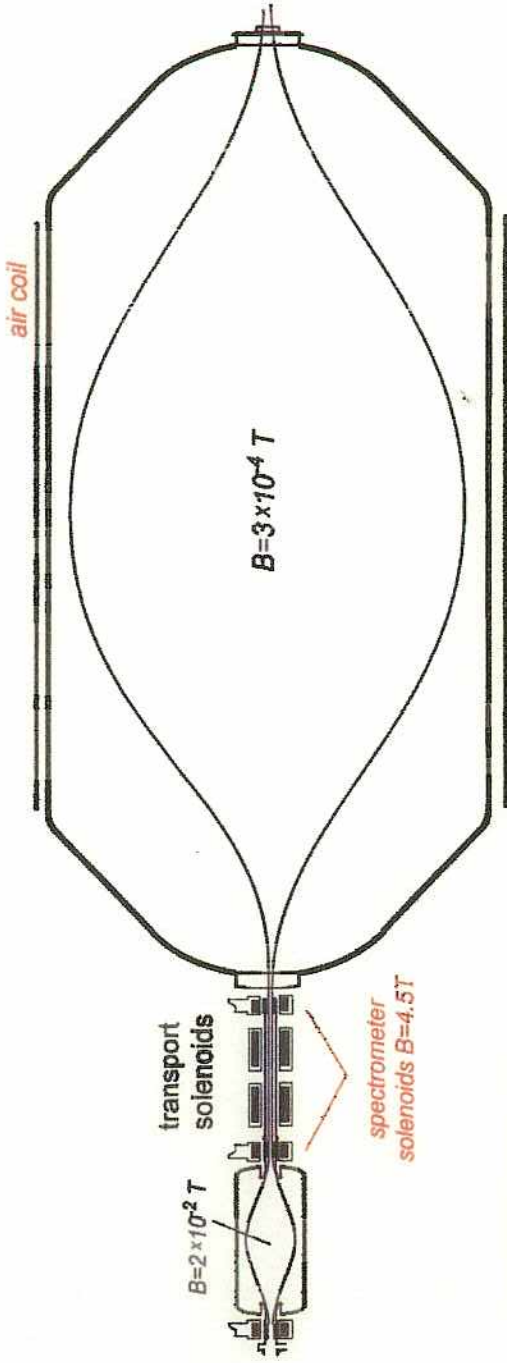
- reduce systematic errors :

- better control of systematics, energy losses (reduce to less than 1/10)



# electrostatic spectrometers: tandem design

electrostatic pre-filtering & analysis of tritium  $\beta$ -decay electrons



## pre-spectrometer

fixed retarding potential 18.5-18.6 kV  
 $\varnothing = 1.7 \text{ m} / L = 3.5 \text{ m}$   
 $\Delta E = 70 \text{ eV}$

## main spectrometer

variable retarding potential 18.5-18.6 kV  
 $\varnothing = 10 \text{ m} / L = 22 \text{ m}$   
 $\Delta E = 1 \text{ eV}$

$\nu_\mu$  mass measurement K. Assamagan, Phys. Rev. D 53 (1996) 6065.  
 PSZ0, Switzerland.

$$\pi^+ \rightarrow \mu^+ \nu_\mu$$

↑                    ↑

105.658389 ± 0.000034 MeV  
 (139.56995 ± 0.00035) MeV

spectrometer  $\tau^+$   $\mu^+$  の momentum を 17 かける。

$$P_{\mu^+} = 29.79200 \pm 0.00011$$

$$m_{\nu_\mu}^2 = (-0.016 \pm 0.023)$$

$$m_{\nu_\mu} < 0.17 \text{ MeV} \quad (90\% \text{ C.L.})$$

## $\nu_\tau$ mass measurement

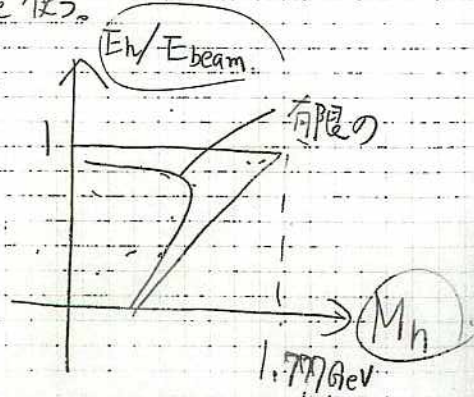
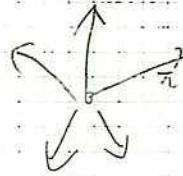
$$e^+ + e^- \rightarrow \tau^+ + \tau^-$$

$$\tau \text{ の mass: } 1777 \pm_{-0.27}^{+0.30} \text{ MeV}$$

加速器の  $\pi^+$  行の mode を使う。

$$\pi^\pm = 139.6 \text{ MeV}$$

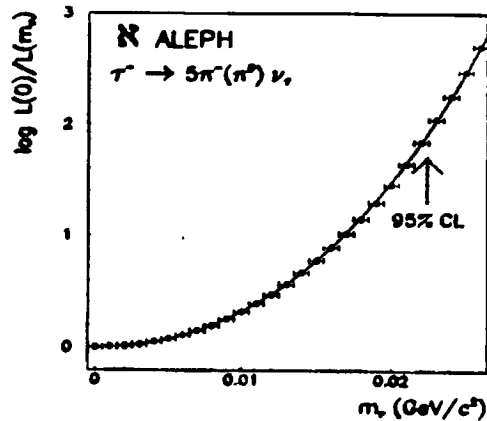
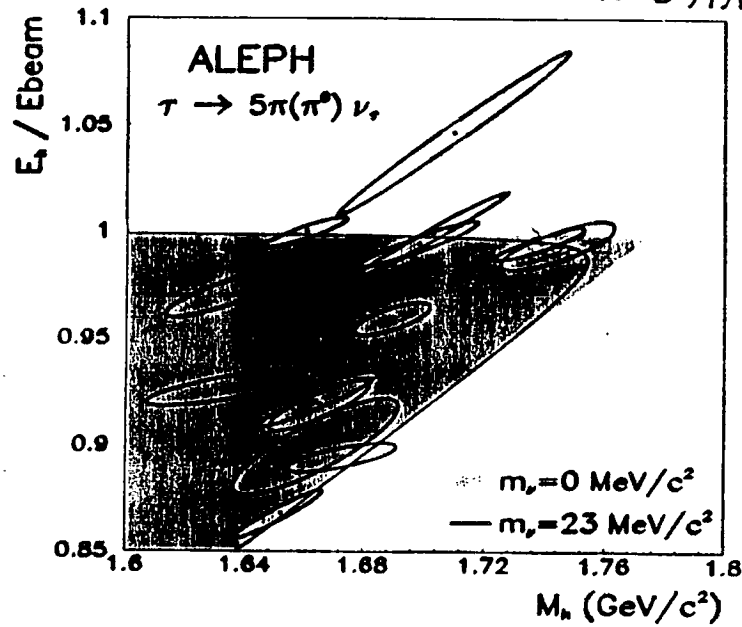
$$\tau \rightarrow 5\pi^\pm(\pi^0)\nu_\tau$$



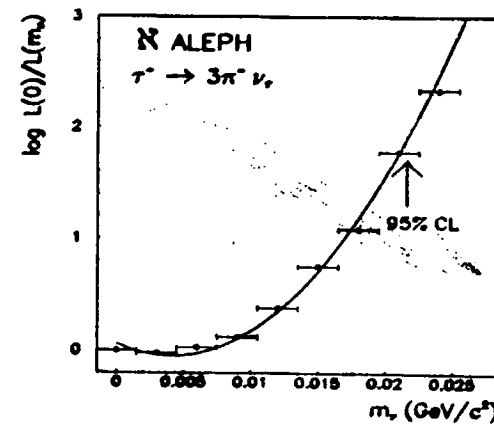
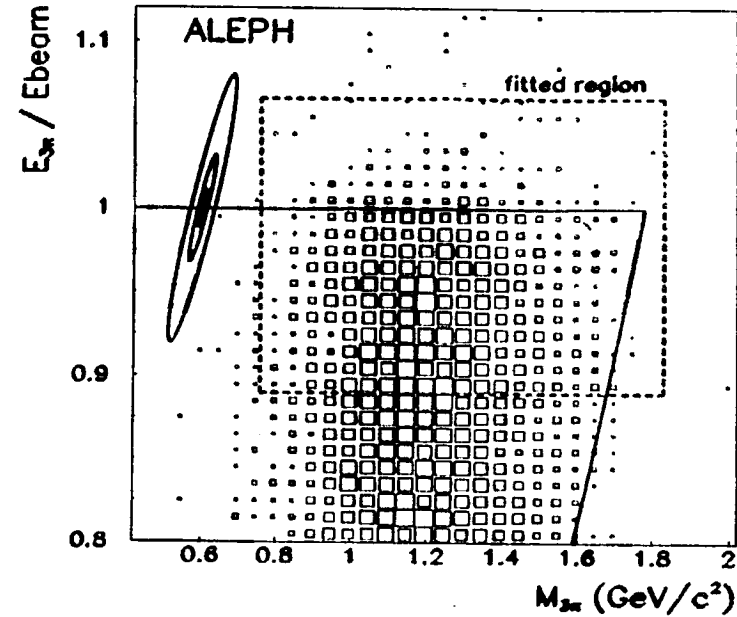
# $\nu_\tau$ mass limit from ALEPH

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(M. Girone, PA10, 1003)



$5\pi^\pm(\pi^0)\nu_\tau : m_\nu < 22.3 \text{ MeV}/c^2 @ 95\% \text{ C.L.}$



$3\pi^\pm\nu_\tau : m_\nu < 21.5 \text{ MeV}/c^2 @ 95\% \text{ C.L.}$

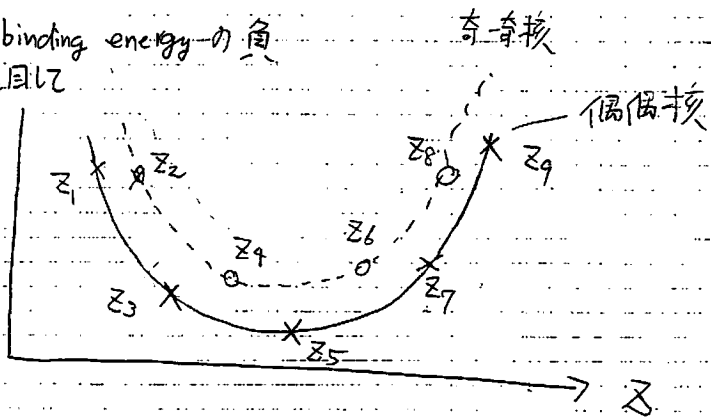
Combine  $5\pi^\pm(\pi^0)$  and  $3\pi^\pm\nu_\tau$

$m_{\nu_\tau} \leq 18.2 \text{ MeV}/c^2$  at 95% C.L.

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Double  $\beta\beta$  decay.

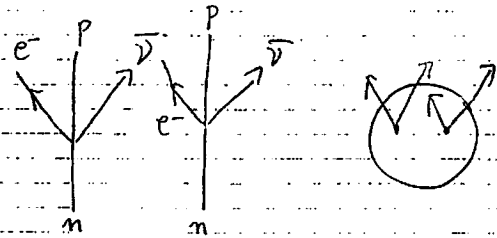
原子核の binding energy の角  
ある A に着目して



$Z_2 \rightarrow Z_3$   $\beta$  decay

$Z_8 \rightarrow Z_7$   $\beta^+$  decay

$Z_3$  は  $Z_4$  へ decay しないが、virtual に  $Z_4$  へ  
経由して  $Z_5$  に行く  $Z_3 \rightarrow Z_5$  が  $\beta\beta$  decay である



$Z\nu$  mode の lifetime は  $10^{19} \sim 10^{24}$  year

10.7 Double  $\beta$  decay

10.6.4 Electron-capture neutrinos

Finally, a neutrino oscillation experiment has been suggested in which a beam of monoenergetic neutrinos is formed from electron capture in  $^{68}\text{Zn}$ . In this case, the smearing of  $L/E$  would be caused only by the finite source or detector. This experiment is of special interest because of indications from tritium  $\beta$  decay that  $m_\nu = 30 \text{ eV}/c^2$  and therefore that neutrino oscillations (if they are visible at all) might be in the  $L/E = 0.1 - 1.0 \text{ m/MeV}$  range (see Section 10.8).

10.7 Double  $\beta$  decay

10.7.1 Double- $\beta$ -decay rates

Nuclei exist for which ordinary  $\beta$  decay is energetically forbidden or highly suppressed by conservation of angular momentum but

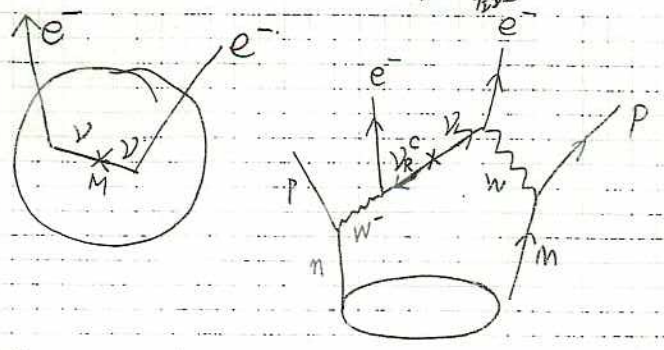
Table 10.2. Possible  $\beta^-\beta^-$  transitions

Transition	A	Z	Isotopic abundance (%)	Transition energy (MeV)	Intermediate transition energy (A, Z) - (A, Z + 1) (MeV)
Ca-Ti	46	20	0.0033	0.985	-1.382
Ca-Ti	48	20	0.185	4.267	+0.289
Zn-Ge	70	30	0.62	1.008	-0.653
Ge-Se	76	32	7.67	2.045	-0.923
Se-Kr	80	34	49.82	0.138	-1.871
Se-Kr	82	34	9.19	3.003	-0.089
Kr-Sr	86	36	17.37	1.240	-0.054
Zr-Mo	94	40	2.80	1.230	-0.921
Zr-Mo	96	40	17.40	3.364	+0.215
Mo-Ru	100	42	9.62	3.034	-0.335
Ru-Pd	104	44	18.5	1.321	-1.145
Pd-Cd	110	46	12.7	2.004	-0.868
Cd-Sn	114	48	28.86	0.547	-1.439
Cd-Sn	116	48	7.58	2.811	-0.517
Sn-Te	122	50	4.71	0.349	-1.622
Sn-Te	124	50	5.98	2.263	-0.653
Te-Xe	128	52	31.79	0.872	-1.268
Te-Xe	130	52	34.49	2.543	-0.407
Xe-Ba	134	54	10.44	0.731	-1.328
Xe-Ba	136	54	8.87	2.718	-0.112
Ce-Nd	142	58	11.07	1.379	-0.777
Nd-Sm	148	60	5.71	1.936	-0.514
Nd-Sm	150	60	5.60	3.390	-0.036
Sm-Gd	154	62	22.61	1.260	-0.718
Gd-Dy	160	64	21.75	1.782	-0.029
U-Pu	238	92	99.275	1.173	-0.117

26種類

±L, νがmajorana νと+3e.

片側放出, 片側吸収。  
右側 ↑ 右側



0νββ

M. Fukugita  
Physics and Astrophysics  
of Neutrinos

$$\Gamma \propto (G_F \cos \theta_c)^4 G_{0\nu} \left(\frac{m_\nu}{m_e}\right)^2 |M|^2$$

Phase space factor      原子核の form factor

$$G_{0\nu} = \frac{m_e^7}{8\pi^5} \left( \frac{t_0^5}{30} + \frac{t_0^4}{3} + \frac{8}{3} t_0^3 + 2t_0^2 + t_0 \right)$$

$$t_0 = T_0/m_e$$

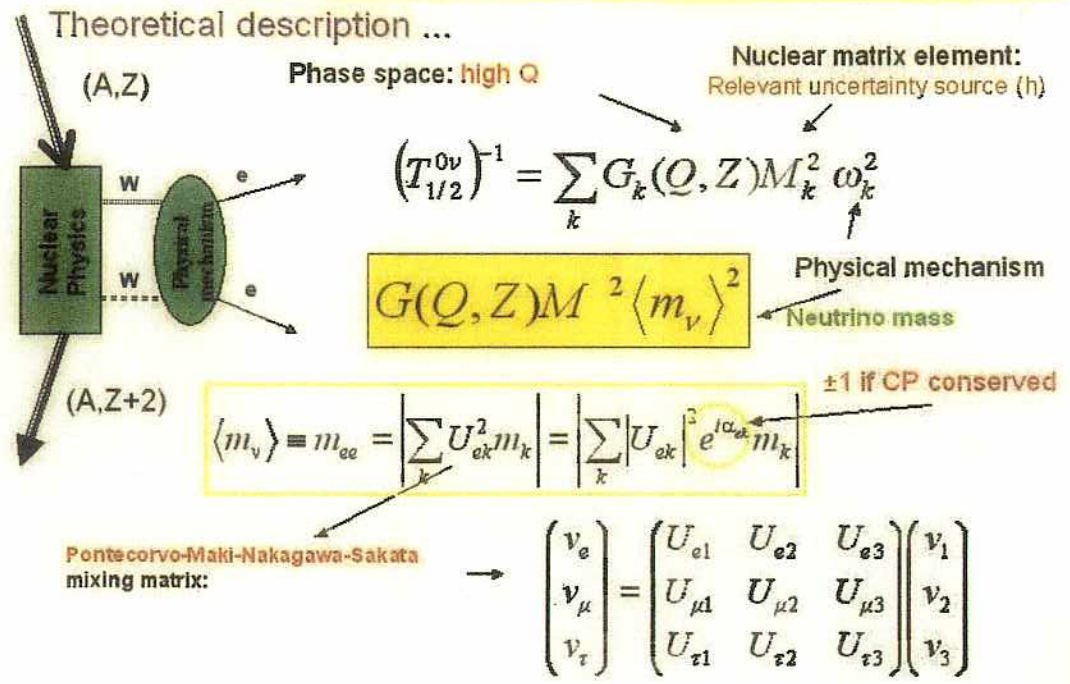
2νββ

$$\Gamma \propto (G_F \cos \theta_c)^4 g_A^4 G_{2\nu} |M_{GT}|^2$$

Fermi 遷移  $\Delta J=0$ , No parity change  
 contribution は  $\frac{1}{2} T_0^2$  以上  $G_T$  の寄与  
 Gamow-Teller  $\Delta J=1$ , No parity change

$^{76}\text{Ge}$ ,  $^{82}\text{Se}$ ,  $^{100}\text{Mo}$  2νββ direct 17% 以上  
 $1.4 \times 10^{21} \text{ yr}$      $8.9 \times 10^{19} \text{ yr}$      $8 \times 10^{18} \text{ yr}$

**DBD & Neutrino Properties**

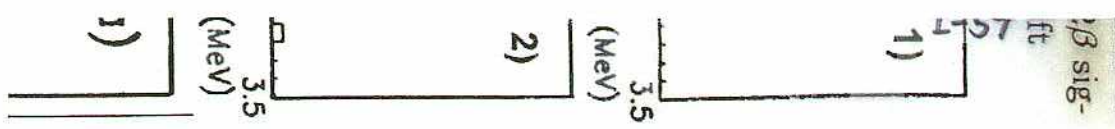


2YBB

NEMO-2

V are quoted in Tables 1 and 2). A new variant of ELEGANTS is searching for the double beta decay of <sup>48</sup>Ca.

That imp  
tained in  
pressure)  
mode.



I-59

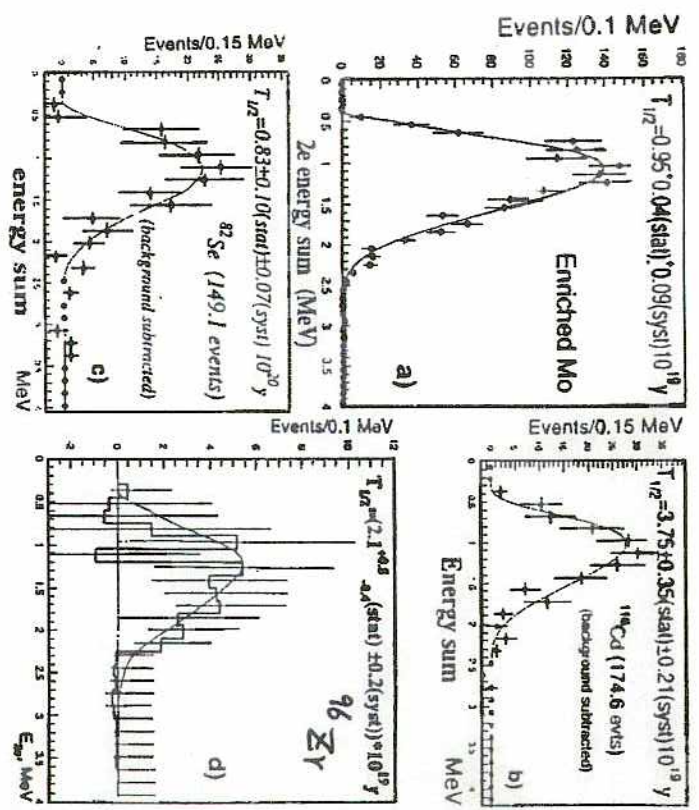


Figure 4.

The Caltech/PSI/Neuchatel Collaboration [8] investigates the double beta decay of <sup>136</sup>Xe in the

2ν2β Experimental situation

2<sup>nd</sup> order weak process

Severe test for nuclear matrix elements calculations

Weighted average of the most recent experiments

- i) average asymmetric bars
- ii) add systematic errors in quadrature

$$[T_{1/2}^{2\nu}(0^+ \rightarrow 0^+)]^{-1} = G^{2\nu}(Q, Z) |M_{GT}^{2\nu}|^2$$

Isotope	$T_{1/2}^{2\nu}(y)$	$M_{GT}^{2\nu}(\text{MeV}^{-1})$
<sup>48</sup> Ca	$(4.25 \pm 1.6) \times 10^{19}$	0.05
<sup>76</sup> Ge	$(1.38 \pm 0.14) \times 10^{21}$	0.15
<sup>82</sup> Se	$(8.9 \pm 1.0) \times 10^{19}$	0.10
<sup>96</sup> Zr	$(1.43^{+3.4}_{-0.8}) \times 10^{19}$	0.12
<sup>100</sup> Mo	$(8.2 \pm 0.6) \times 10^{18}$	0.22
<sup>100</sup> Mo(0 <sup>+</sup> )	$(6.8 \pm 1.2) \times 10^{20}$	0.1
<sup>116</sup> Cd	$(3.2 \pm 0.3) \times 10^{19}$	0.12
<sup>128</sup> Te	$(7.2 \pm 0.3) \times 10^{24}$	0.025
<sup>130</sup> Te	$(2.7 \pm 0.1) \times 10^{21}$	0.017
<sup>136</sup> Xe	$> 8.1 \times 10^{20}$	$> 0.03$
<sup>150</sup> Nd	$(7.0^{+12.0}_{-1.0}) \times 10^{18}$	0.07
<sup>238</sup> U	$(2.0 \pm 0.6) \times 10^{21}$	0.05

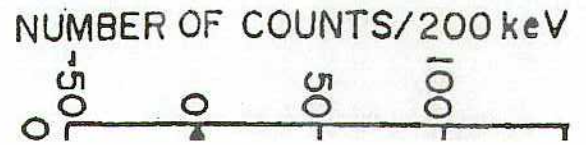
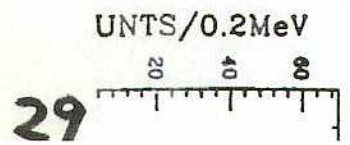
Elliott and Vogel 2002

Phase Space Integral  
Exactly Calculable

Nuclear structure effects  
cause variations by a  
factor ~10  
on the matrix elements  
i.e. a factor ~100  
on the lifetime

Calculated values span a range of  
3-4 orders of magnitude  
around the experimental value

Tretyak and Zdesenko 2002



0νββ

- (1)  $T_0$ : Q value の大抵は 原子核が有理
- (2) Matrix element が ほとんど 0 である
- (3) detector に 7 以上

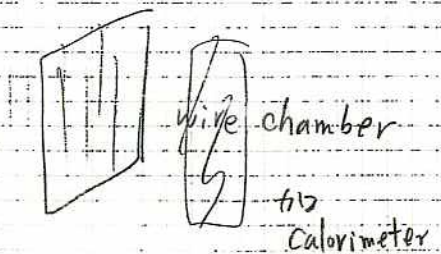
Detection Method

(1) Total energy absorption

1392kg Ge Semiconductor Detector  
 $^{76}\text{Ge}$  BB: 7.8% of natural Ge  $\rightarrow$  enriched 86%  
 $Q_{BB} = 2.041 \text{ MeV}$

Heidelberg / Moscow collab.  
~~12.2~~ kg  $^{76}\text{Ge}$  total 52  
 11.5

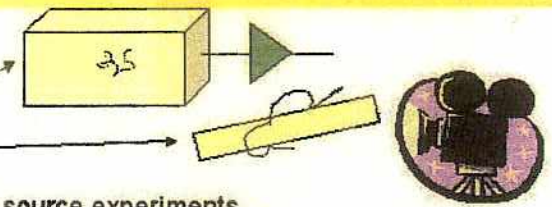
(2) source and tracking device



$0\nu\beta\beta$  Experimental Situation

2 main experimental approaches:

- Active Source
- Passive Source



Best  $0\nu\beta\beta$  results involve active source experiments

Experiment	Isotope	$T_{1/2}^{0\nu} (\text{y})$	$\langle m_\nu \rangle (\text{eV})$
You Ke et al. 1998	$^{48}\text{Ca}$	$> 9.5 \times 10^{21}$ (76%)	$< 8.3$
Klapdor-Kleingrothaus 2001	$^{76}\text{Ge}$	$> 1.9 \times 10^{25}$	$< 0.35$
Aaiseth et al 2002		$> 1.57 \times 10^{25}$	$< 0.33 - 1.35$
Elliott et al. 1992	$^{82}\text{Se}$	$> 2.7 \times 10^{22}$ (68%)	$< 5$
Ejiri et al. 2001	$^{100}\text{Mo}$	$> 5.5 \times 10^{22}$	$< 2.1$
Danevich et al. 2000	$^{116}\text{Cd}$	$> 7 \times 10^{22}$	$< 2.6$
Bernatowicz et al. 1993	$^{130/128}\text{Te}^*$	$(3.52 \pm 0.11) \times 10^4$	$< 1.1 - 1.5$
Bernatowicz et al. 1993	$^{128}\text{Te}^*$	$> 7.7 \times 10^{24}$	$< 1.1 - 1.5$
Mi DBD - v 2002	$^{130}\text{Te}$	$> 2.1 \times 10^{23}$	$< 0.85 - 2.1$
Luescher et al. 1998	$^{136}\text{Xe}$	$> 4.4 \times 10^{23}$	$< 1.8 - 5.2$
Belli et al. 2001	$^{136}\text{Xe}$	$> 7 \times 10^{23}$	$< 1.4 - 4.1$
De Silva et al. 1997	$^{150}\text{Nd}$	$> 1.2 \times 10^{21}$	$< 3$
Danevich et al. 2001	$^{160}\text{Gd}$	$> 1.3 \times 10^{21}$	$< 26$



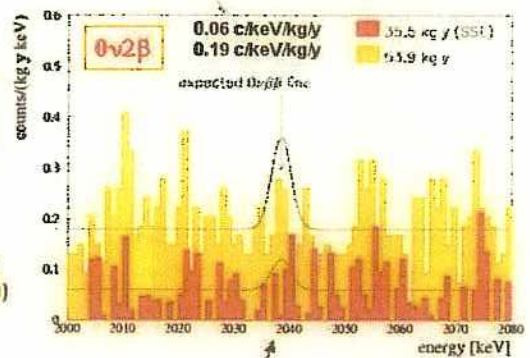
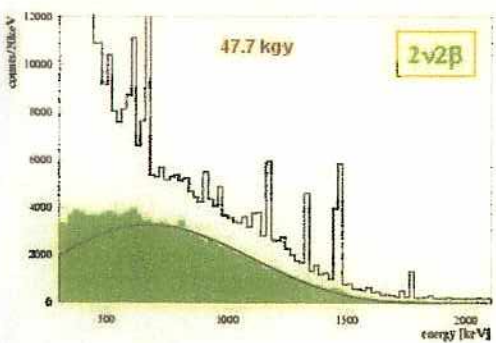
### Heidelberg-Moscow

Klapdor-Kleingrothaus HV et al. Eur. Phys. J. 12 (2001) 147

Max-Planck-Institut für Kernphysik  
Russian Science Center Kurchatov Institute

since 1990  
Gran Sasso underground laboratory

- Five Ge diodes (overall mass 10.9 kg)  
Isotopically enriched (86%) in  $^{76}\text{Ge}$
- Lead box and nitrogen flushing of the detectors
- Digital Pulse Shape Analysis (factor 5 reduction)



$$T_{1/2}^{0\nu} > 1.9 \times 10^{25} \text{ (90 \% C.L.)}$$

$$\langle m_{\nu} \rangle < 0.35 \text{ (0.3-1.24) eV}$$

Accurate background model:

$$T_{1/2}^{2\nu} > (1.55 \pm 0.01(\text{stat})^{+0.19}_{-0.15}(\text{syst})) \times 10^{21}$$

### Neutrinoless Experiment with Molybdenum III or Neutrino Ettore Majorana Observatory

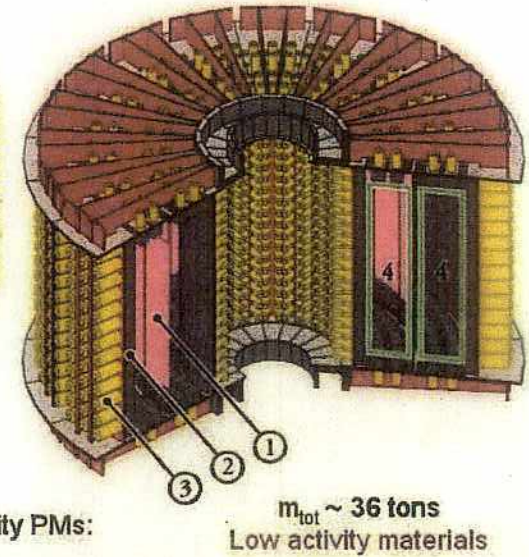
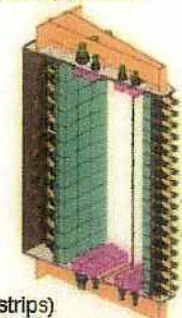
Large Collaboration: 13 groups from Europe, USA and Japan

Passive source - Spectroscopic approach

$0\nu 2\beta$  sensitivity:  
 $T \sim 10^{24} \text{ y}$   
 $\langle m_{\nu} \rangle \sim 0.1 \text{ eV}$

Detector structure: 20 sectors

- 1 Source:**  
up to 10 kg of  $\beta\beta$  isotopes  
(metal film or powder glued to mylar strips)  
cylindrical surface:  $20 \text{ m}^2 \times 40\text{-}60 \text{ mg/cm}^2$
  - 2 Tracking volume:**  
open octagonal drift cells (6180)  
operated in Geiger mode  
( $\sigma_r=0.5 \text{ mm}, \sigma_z=1 \text{ cm}$ )
  - 3 Calorimeter:**  
1940 plastic scintillators coupled to low activity PMs:  
FWHM(1 MeV)  $\sim 11\text{-}14.5 \%$
- Magnetic Field (30 G) + Iron Shield (20 cm) + Neutron Shield (30 cm  $\text{H}_2\text{O}$ )



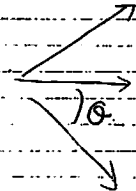
②

Water Cherenkov detector

$$\beta = \frac{v}{c} \text{ particle}$$

$$v_{\text{light}} = \frac{c}{n}$$

if  $v_{\text{particle}} > v_{\text{light}}$



$$\cos \theta = \frac{1}{n\beta}$$

$$n = 1.34$$

$$\frac{dN}{dxdx} = 2\pi \alpha \left(1 - \frac{1}{\beta^2}\right) \frac{1}{\lambda^2}$$

↑ fine structure constant

$\lambda = 300 - 600 \text{ nm}, n = 1.34, \beta = 1 \text{ と } 3/4$

(cm あたり) 340 photon 4.3

↑ relativistic particle  $\tau \sim c \sim (cm \text{ あたり}) 2 \text{ MeV}$

(1.2  $\times 10^7$  (MeV あたり)  $3.6 \times \frac{1}{2} \times 0.4 \times (0.1 - 0.2) \times e^-$

↑ photo coverage

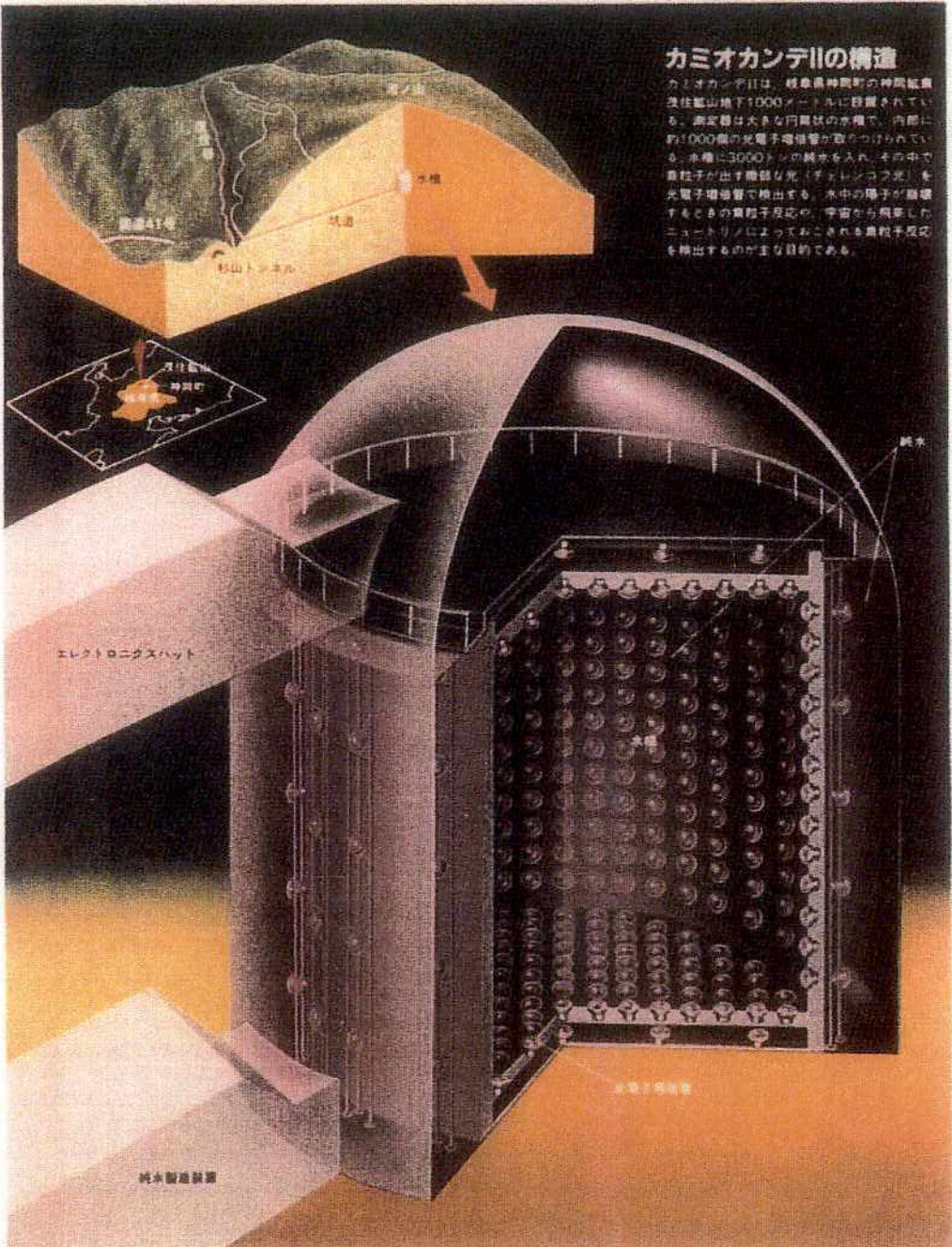
$$\cong 7 \text{ p.e./MeV}$$

# Kamiokande Super-kamiokande detector



### カミオカンテIIの構造

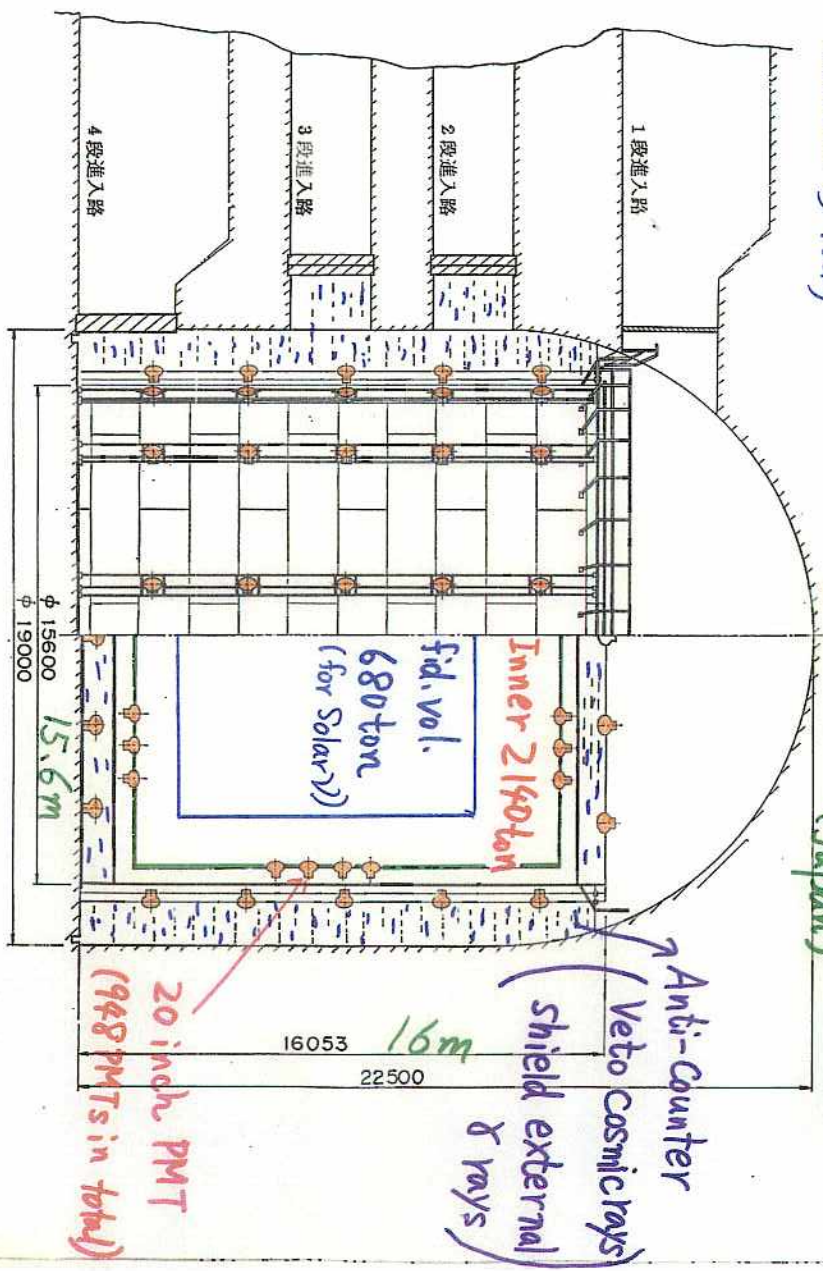
カミオカンテIIは、岐阜県神岡町の神岡鉱山  
 及び鉱山地下1000メートルに設置されてい  
 る。測定器は大きな円筒状の水槽で、内部に  
 約1000個の光電子増倍管が取り付けられて  
 いる。水槽に3600トンの純水を入れ、その中で  
 中性子が発生した際（チェレンコフ光）を  
 光電子増倍管で検出する。水中の陽子が崩壊  
 するときの陽電子反応や、宇宙から飛来した  
 ニュートリノによっておこされる中性子反応  
 を検出するのが主な目的である。



Rate: 0.35 Hz

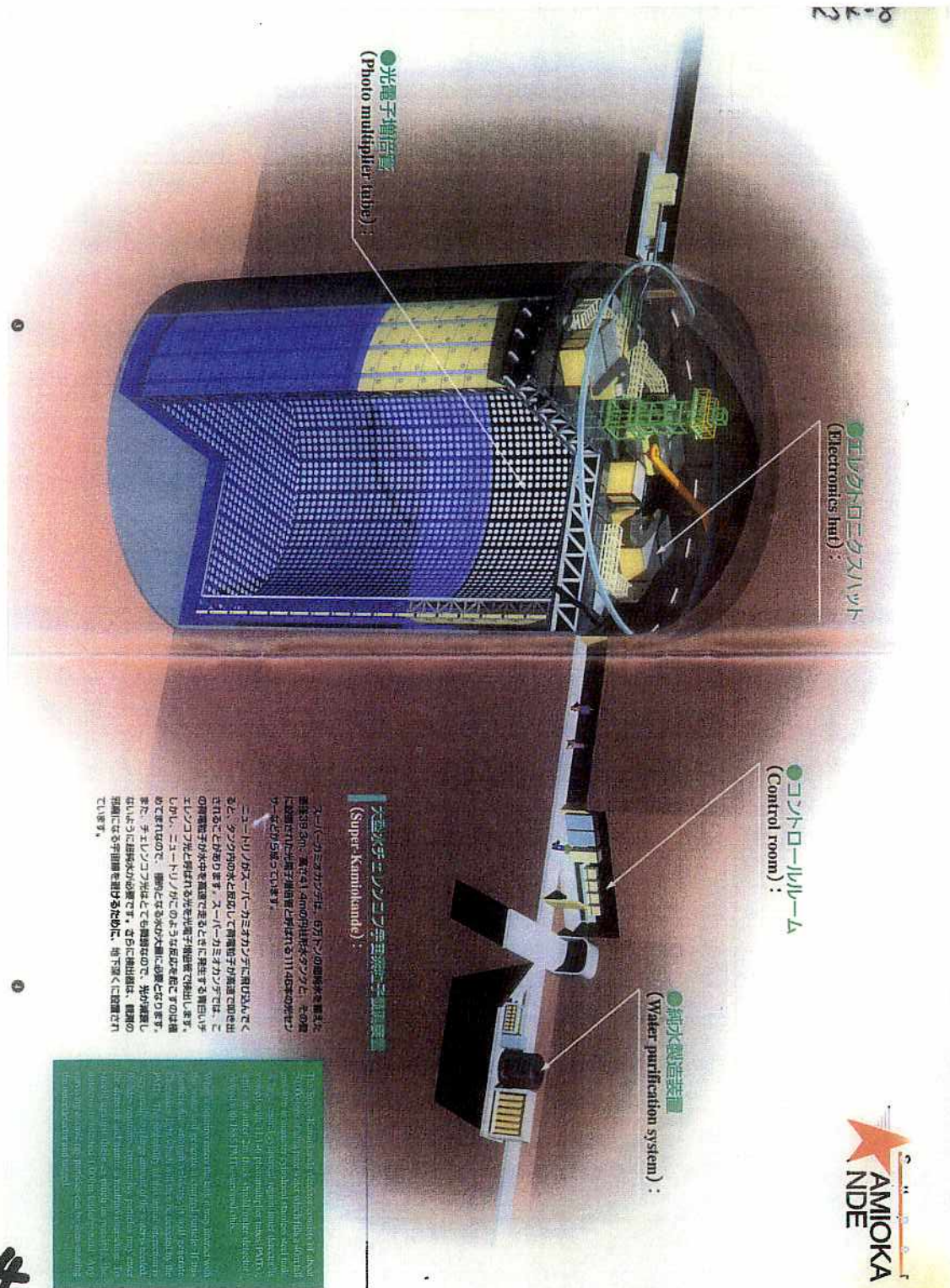
(10<sup>5</sup> reduction by rock)

Kamiokande-II (Water) detector  
 (1000m underground in Kamioka mine)



# Superkamiokande vs Kamiokande

Paramters	Super-Kamiokande	Kamiokande-3
Total size	41mh x 39mφ	16mh x 19mφ
Total mass	50000t	4500t
Fiducial mass		
supernova ν	32000t	2140t
proton decay	22000t	1040t
solar ν	22000t	680t
Tickness of anti-counter	2m	1.2m~1.5m
Number of PMTs	<del>11146</del> 11146	947
Photosensitive coverage	40%	20% x 1.27 (light reflector)
PMT timing resoluton @1p.e.	2.5nsec	4nsec
Energy resolution	16%/√E/10MeV	19%/√E/10MeV
Position resolution @10MeV	50cm	1m
Analysis threshold	5MeV	7MeV



Super-Kamiokande is a large water Cherenkov detector. It consists of a cylindrical tank filled with ultra-pure water, surrounded by a dense array of photomultiplier tubes (PMTs). The detector is designed to detect neutrinos and other particles that interact with the water, producing Cherenkov radiation. The Super-Kamiokande detector is located in the Kamioka mine in Gifu Prefecture, Japan.

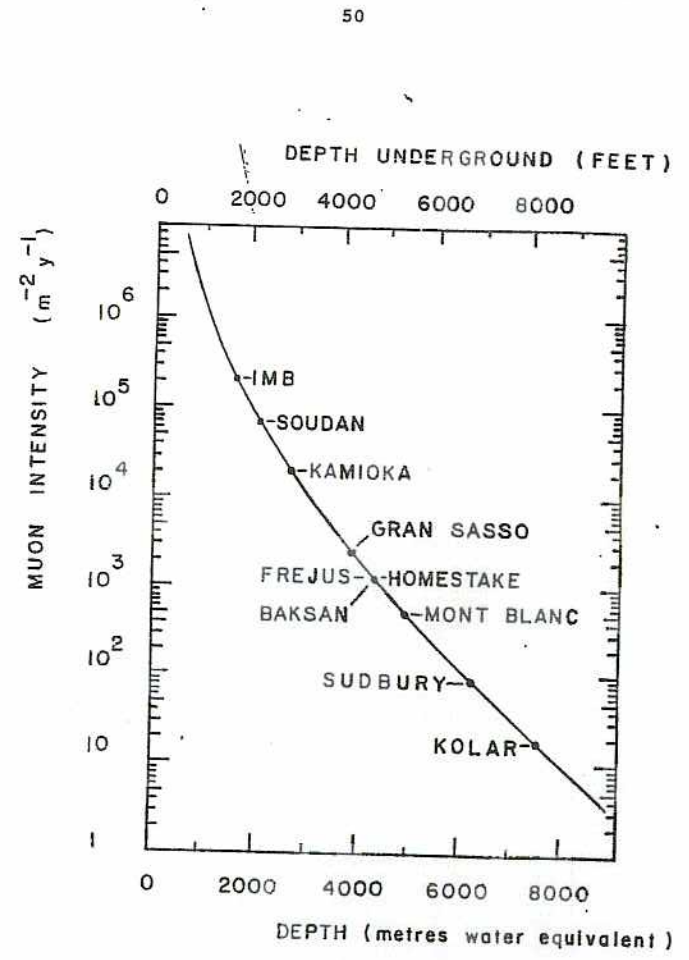
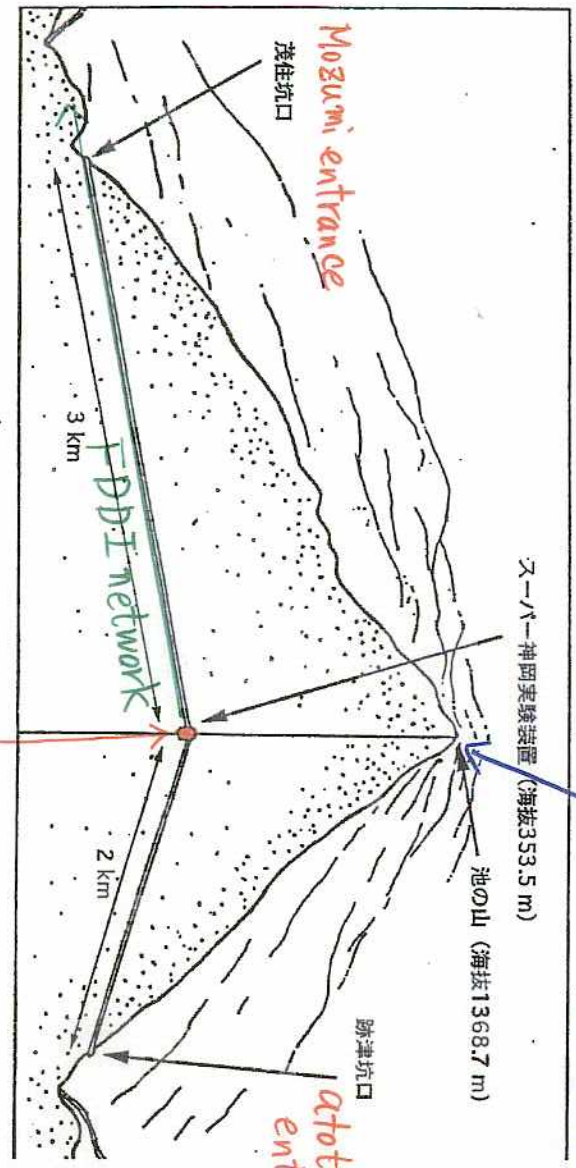


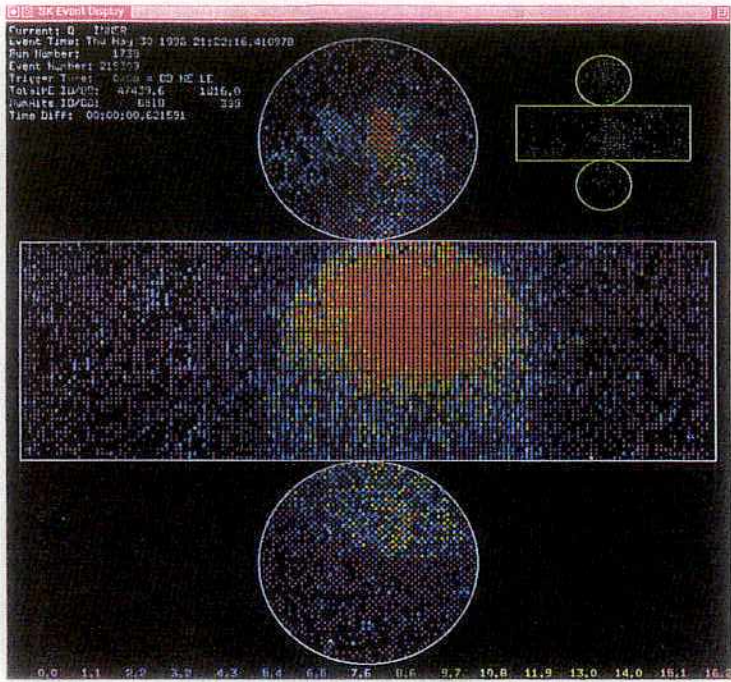
Figure III.5 Cosmic ray muon intensity as a function of overburden (mwe) or depth underground (feet in standard rock).

-- 4 --



Super Kamiokande  
1000m from the top of the  
mountain

top of the mountain  
(1368.7m)



Typical  
Cosmic ray  
muons

## Trigger

$\geq 29$  PMT hits / 200nsec  
rate  $\sim 10$ Hz

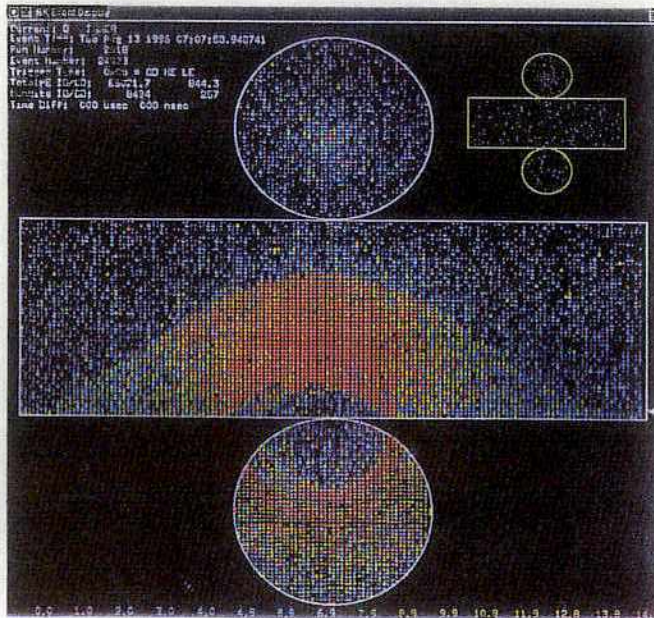
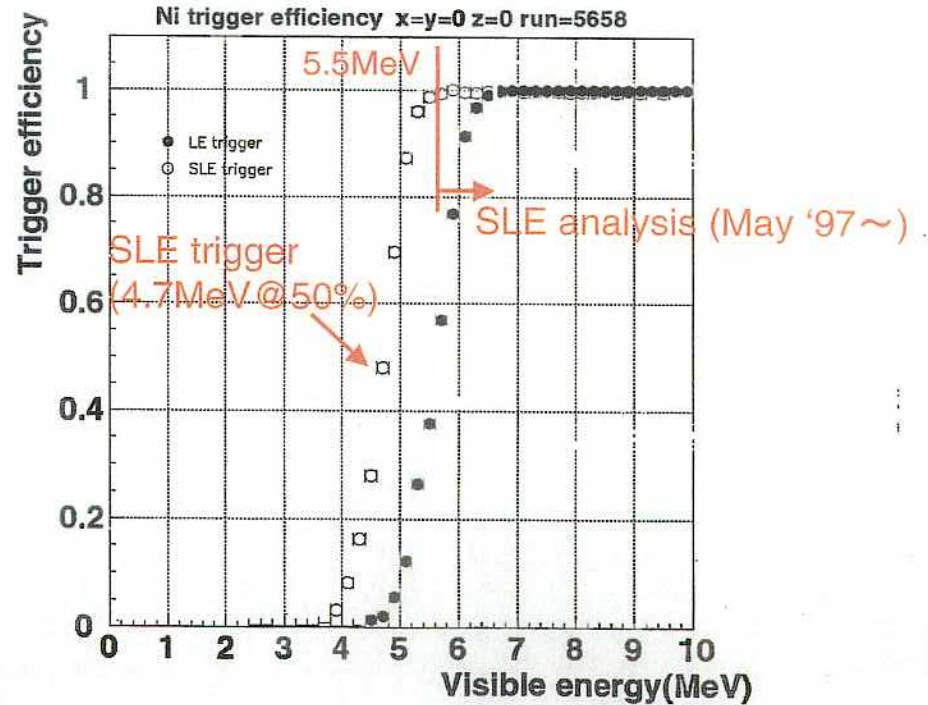
- Super-Low-Energy (SLE) trigger since May 29, 1997

$\geq 24$  PMT hits / 200nsec

raw rate  $\sim 120$ Hz (most of them are close to the ID wall)

on-line fid. vol. cut  $\rightarrow$  20Hz

### Trigger efficiency measured by Ni(n, $\gamma$ )Ni source



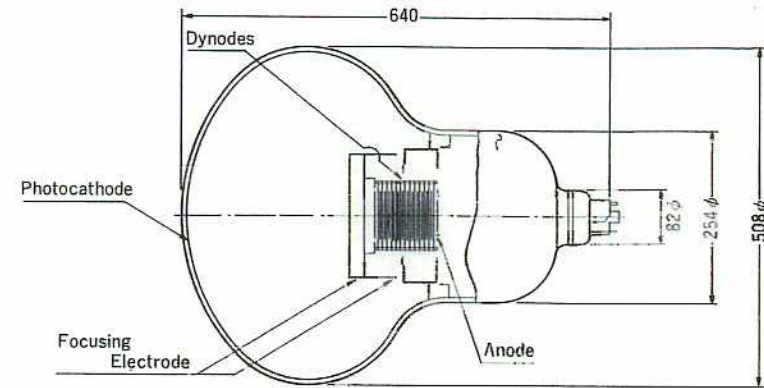
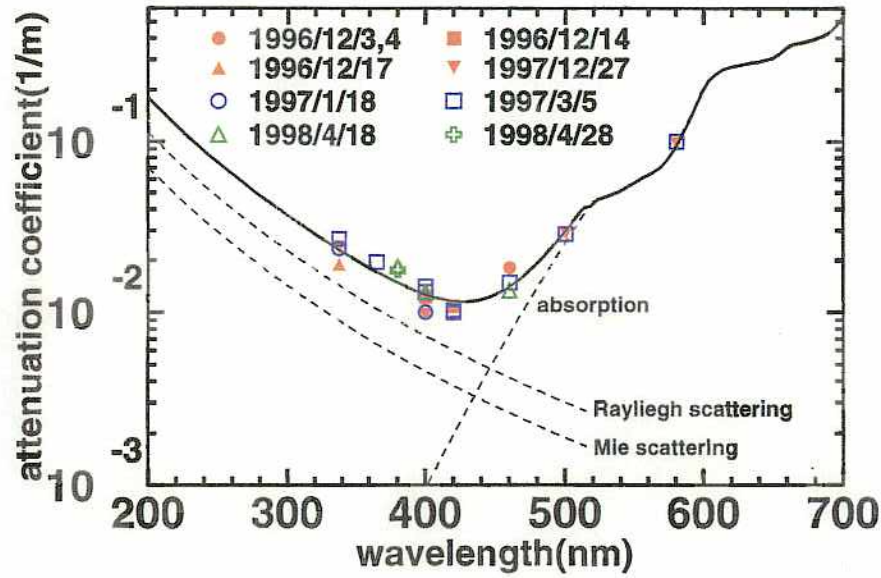


Fig. 2. The construction of the 20" PMT.

dynodes of 13 stages are installed to obtain a large photoelectron collection area as well as high current amplification. The structure and the arrangement of the focusing electrodes, which strongly affect the photoelectron collection efficiency and the timing property, are carefully designed on the basis of electron trajectory analysis. Fig. 4 shows the simulation of the electron trajectories from the photocathode to the first dynode

with a voltage difference of 800 V. Photoelectron traced under an initial energy of 0.5 eV and em angles of 0° and ±90°.

**3. The PMT characteristics**

Several characteristics of the PMT and measurement methods are discussed in this section. The following

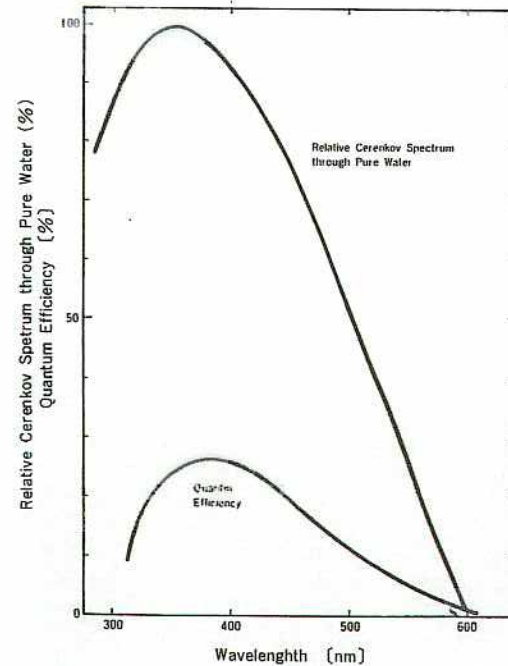


Fig. 3. Spectrum of Cherenkov light and measured photocathode quantum efficiency.

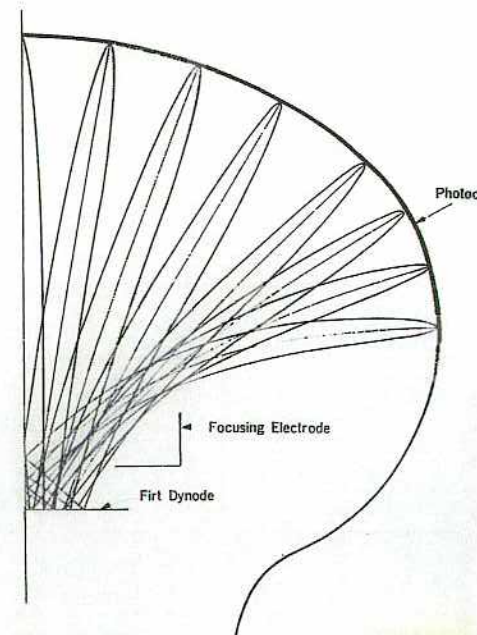
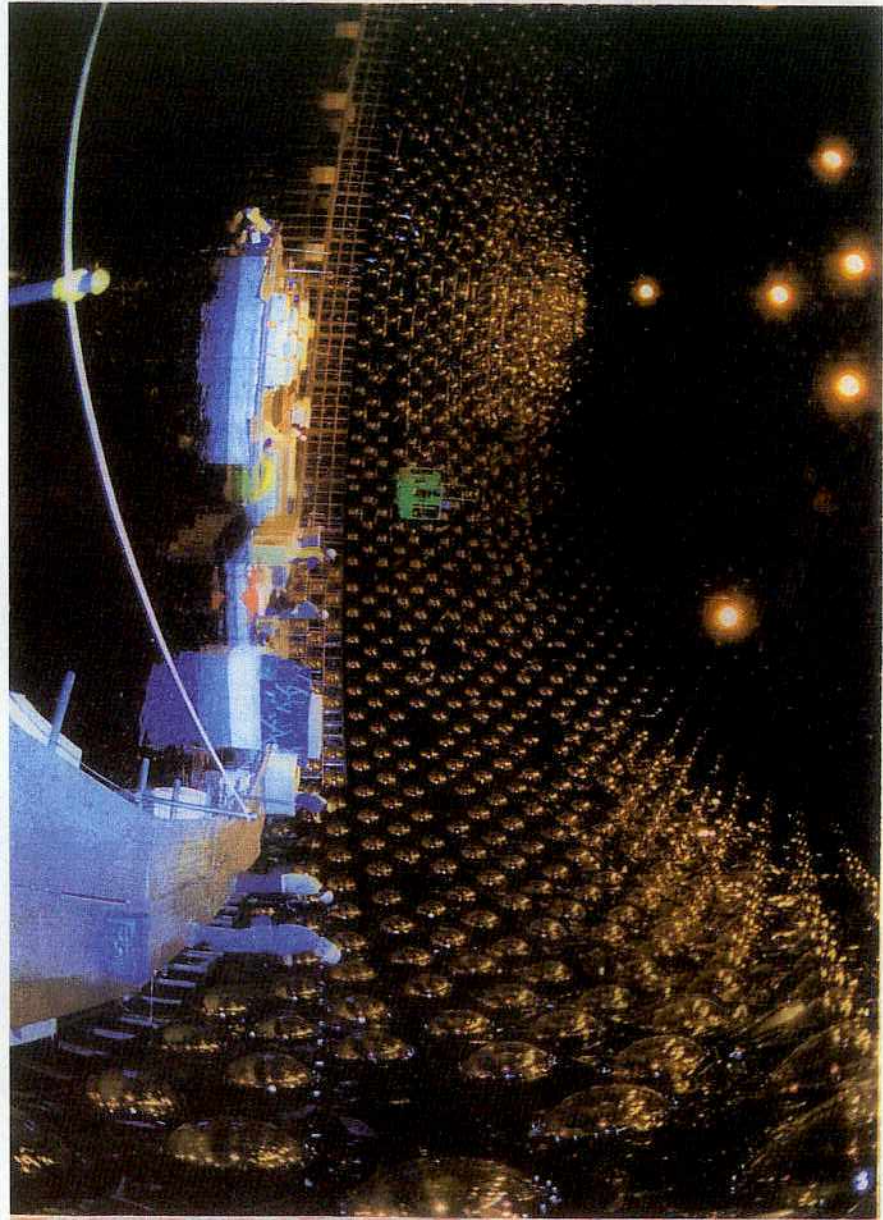


Fig. 4. Computer simulation of electron trajectories.

KSK-20



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KSK-19



③

# Atmospheric neutrinos

## Introduction

- production
- Detection

- 
- 
- 

• primary cosmic ray

H (proton) ~ 90.6%, He ~ 9.0%, CNO ~ 0.4%

above 100 MeV/nucleon

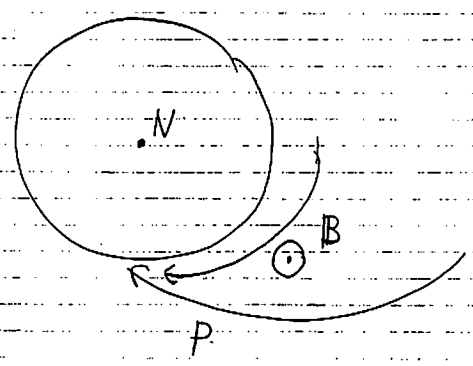
P ~ 95.2%, He ~ 4.5%, CNO ~ 0.3% above

other component Ne, S, Fe 等は negligible for atm. > 2 GeV/nucleon

> 2 GeV/nucleon

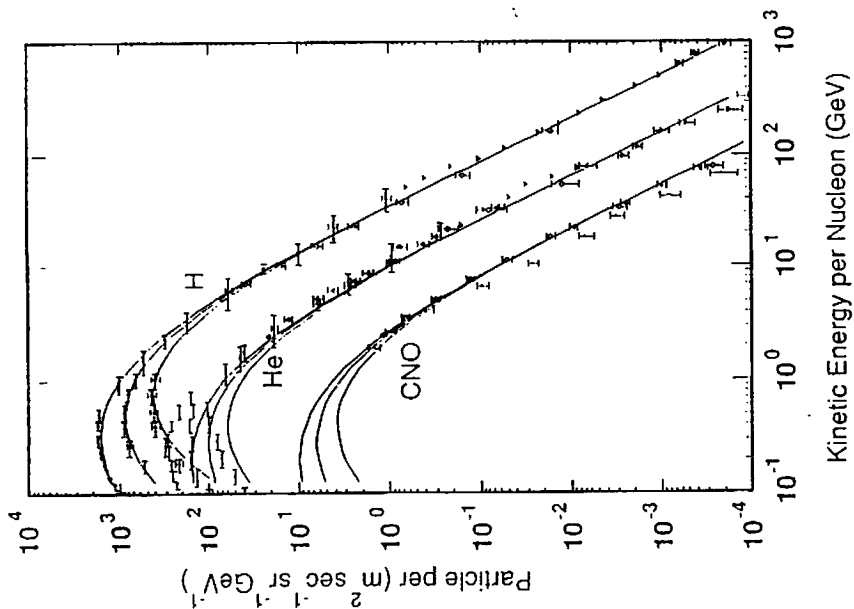
- He carry ~ 15% の nucleon
- CNO ~ 3.6% の nucleon

数 GeV 以下の nucleon は 太陽活動と反相関。

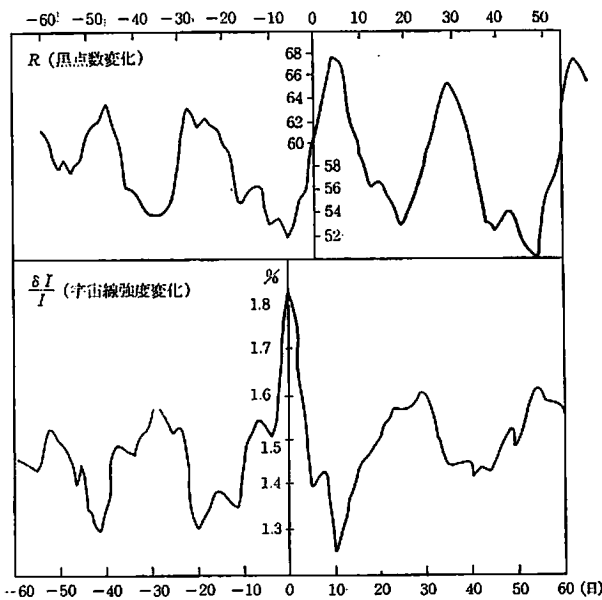


これ以上 入る 必要な energy : geo magnetic cut off

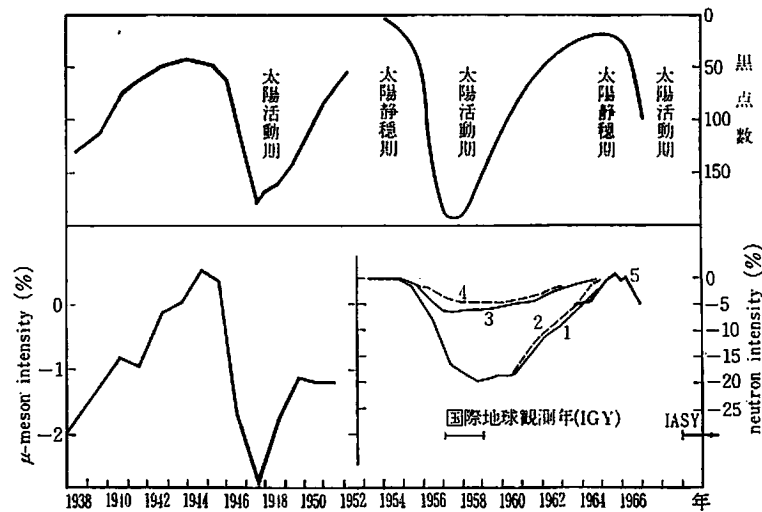
1012 eV diff



§ 9.3 太陽の影響



9-4 図 太陽黒点数の変化と宇宙線硬成分強度の変化の相関を示す例。図によれば太陽黒点数が最大になった日より~6日遅れて宇宙線強度の最小になっている。  
観測場所: Huancayo. 1940~41年における平均値



9-5 図 太陽活動と地上で測った宇宙線強度との関係をほぼ3サイクル(11年周期)にわたって示す。1938~1951の期間に対しては Godhavn, Cheltenham, Christchurch, Huancayo における  $\mu$  中間子強度の観測値の平均を与えてある。1953~1964には各地に neutron monitor が完成したのでそのデータの中から 1) Mt. Washington, 2) Climax, 3) 乗鞍, 4) Huancayo の結果を示してある。5) は Deep River の大型 neutron monitor によるものである。



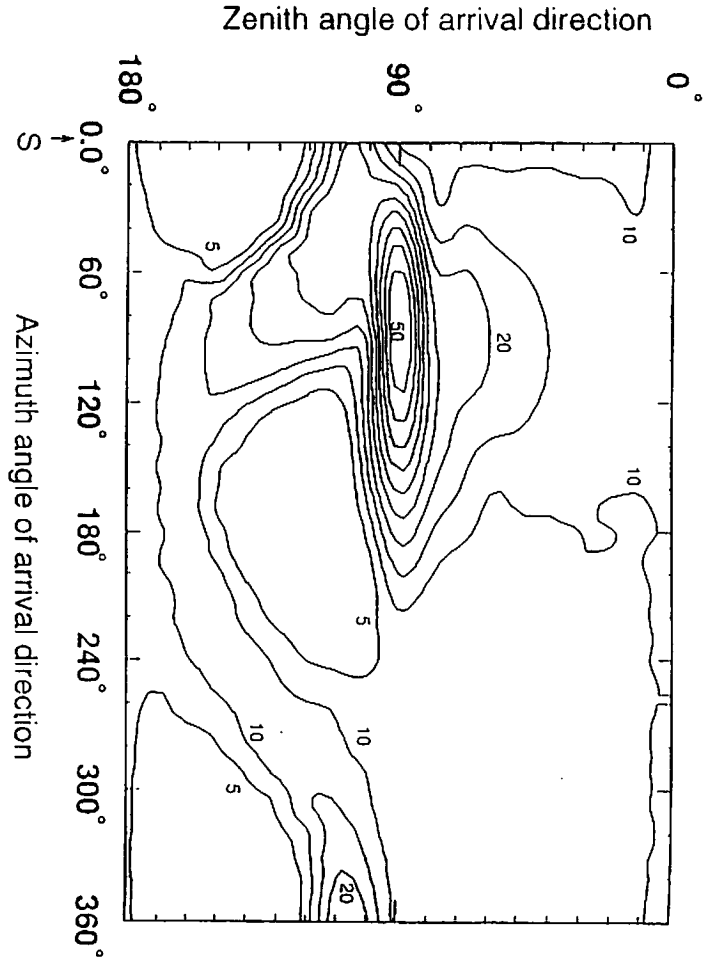


Figure 3: Contour map of the cutoff-rigidity for the  $\nu$  arrival direction at Kamohk. Azimuth angles of  $0^\circ$ ,  $90^\circ$ ,  $180^\circ$ , and  $270^\circ$  show the directions of south, east, north, and west, respectively.

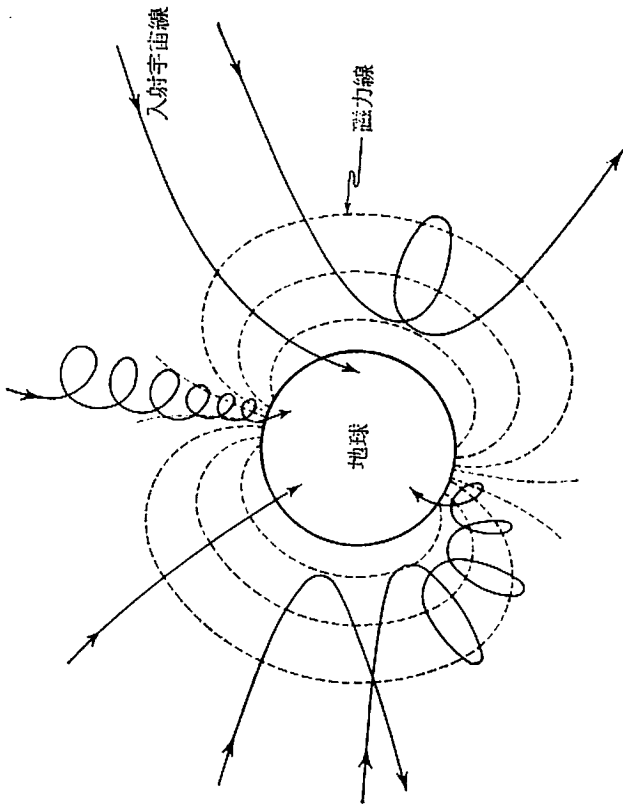


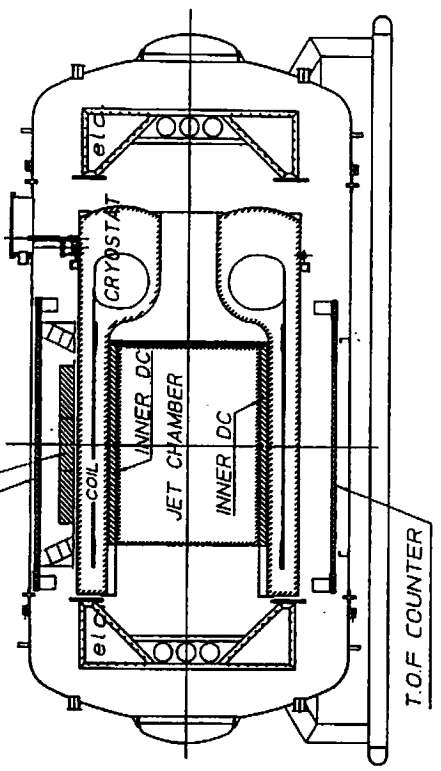
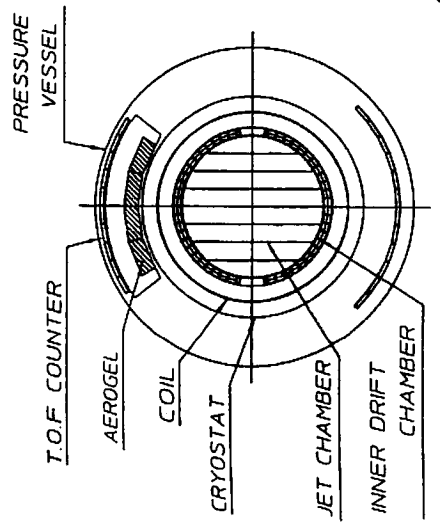
図 1-8 宇宙線に対する地球磁場の影響  
 遠方から飛んでくる荷電粒子が地球磁場の中に入るとそのエネルギーとはじめの入射方向に応じて、いろいろな軌道を描き、あるものは地球表面に触れずに遠ざかっていく。

位). 磁場がすべての場所について与えられていれば、粒子の運動の軌道を追跡すること

Simple Cylindrical Shape  $\rightarrow$  large  $\Omega$  ( $0.3 \text{ m}^2 \text{sr}$ )  
easy to determine

Up to 28 ( $= 24 + 2 \times 2$ ) JET JPC points / track  $\rightarrow$  fig  
MDR = 200 GV  
Interactions can be seen  
High  $\epsilon$

BESS 97, 98

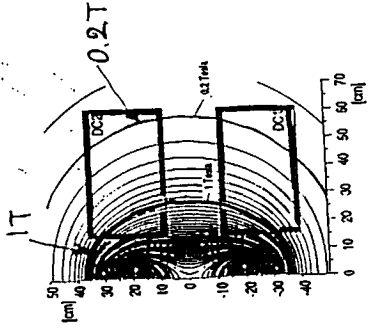


0 0.5m 1m

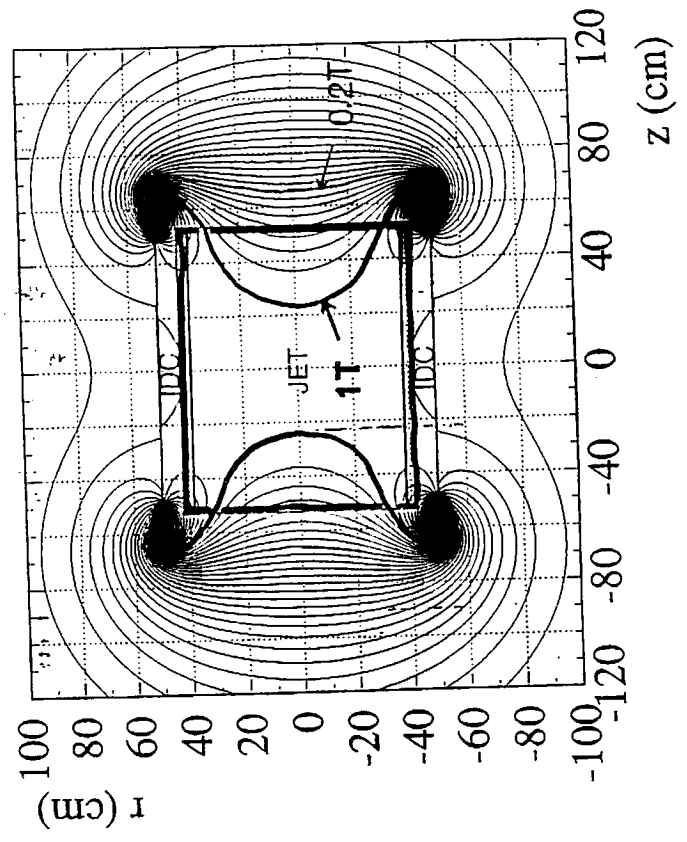
- thin Superconducting Solenoidal Magnet ( $0.2 \times 10^9$ )
- Uniform 1 Tesla  $B$   $0.8 \text{ m} \phi \times 1 \text{ m}$   $\rightarrow$  fig
- Fast DAQ (multi-CPU) Live time  $\sim 85\%$

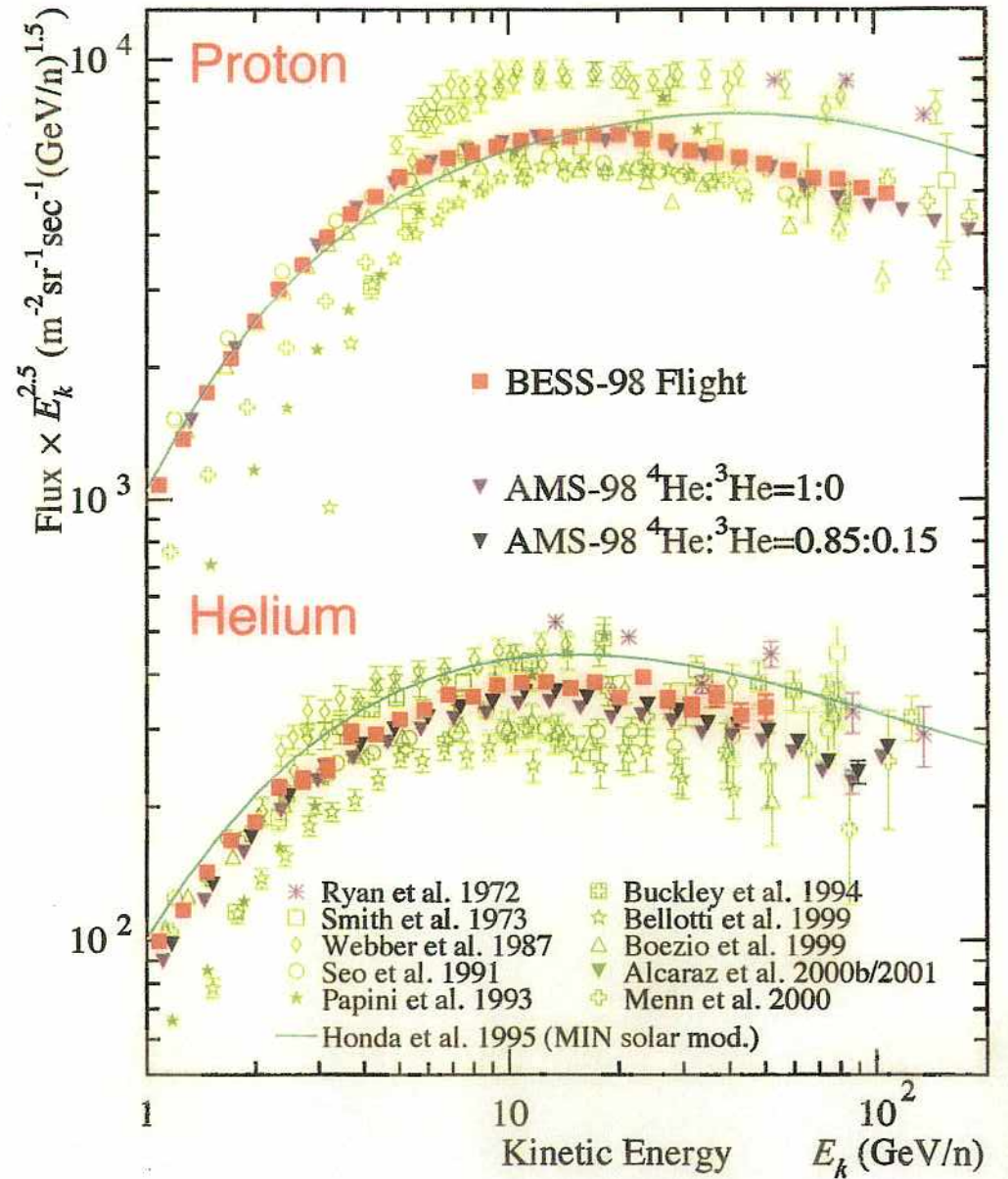
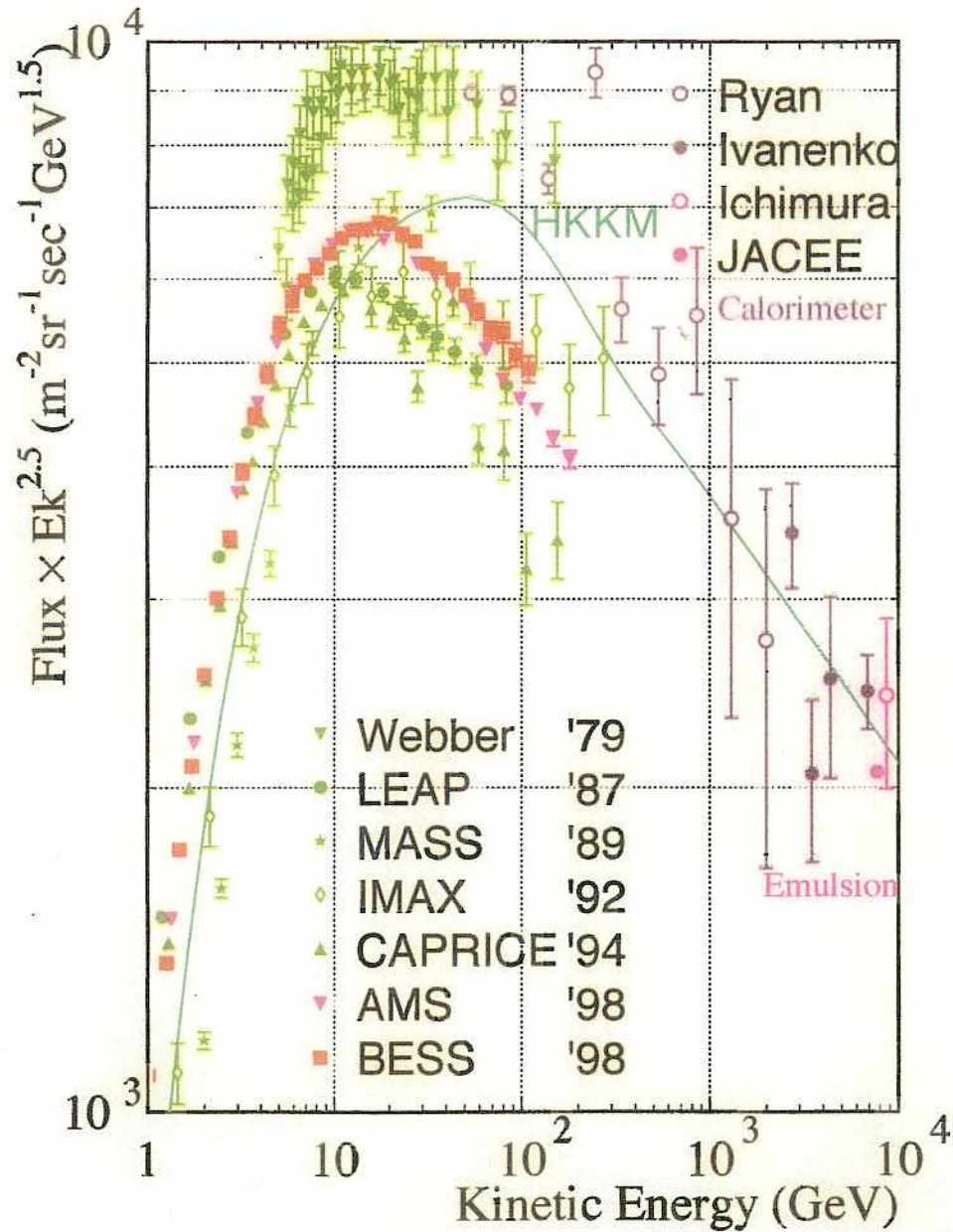
Uniform  $B$

LEAP, MASS. IMAX. CAPRICE



BESS





## Chapter 3

### Balloon Observations

This chapter describes four balloon observations, BESS-'97, '98, '99 and 2000, which have been successfully carried out.

#### 3.1 Performance of the Balloon Flights

The BESS scientific balloon flights were carried out in northern Canada from Lynn Lake(56°48'N,101°25'W), the geomagnetic cutoff rigidity is 0.4 GV), Manitoba to near Peace River((56°15'N,117°18'W)), Alberta in summer of '97 '98, '99 and 2000. Table 3.1 gives summaries of the four flights, and the trajectories of the balloons in each year are shown in Figure 3.1.

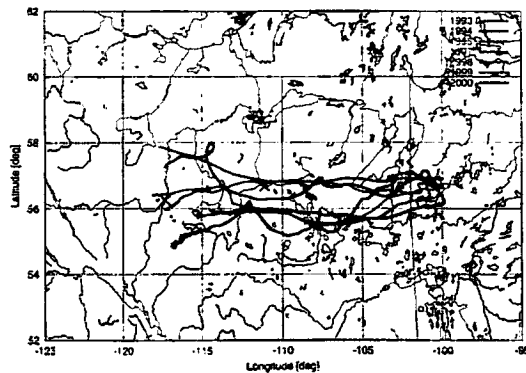


Figure 3.1: Flight trajectories for BESS-'97, '98, '99 and 2000 together with for BESS-'93, '94 and '95.

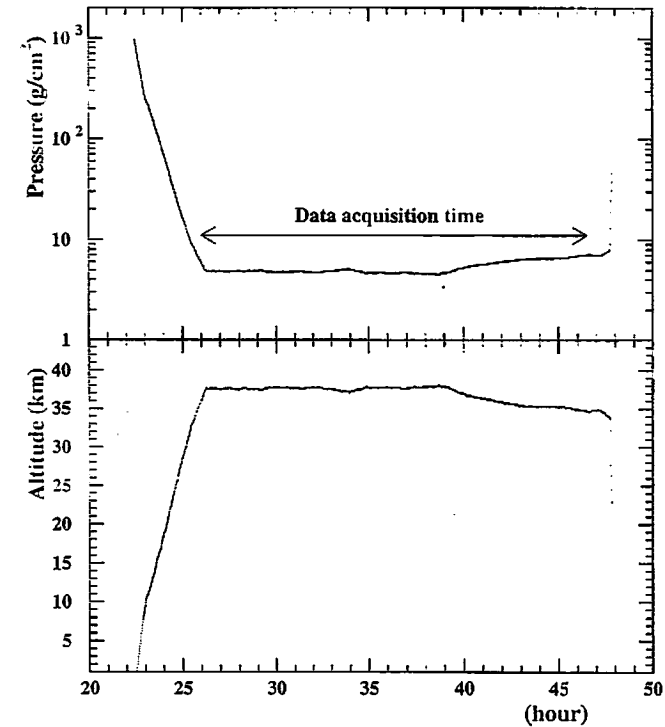


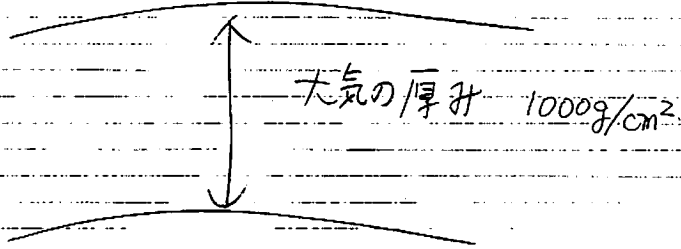
Figure 3.2: The altitude and pressure data during the BESS '97 flight.

$$P(\text{cm}) = \frac{1}{300} \left( \frac{Pc}{ze} \right) \frac{1}{H}$$

↑  
gass

volt 単位 pc は eV 単位  
- rigidity

Nuclear interaction



nuclear interaction の m.f.p. の 10倍程度

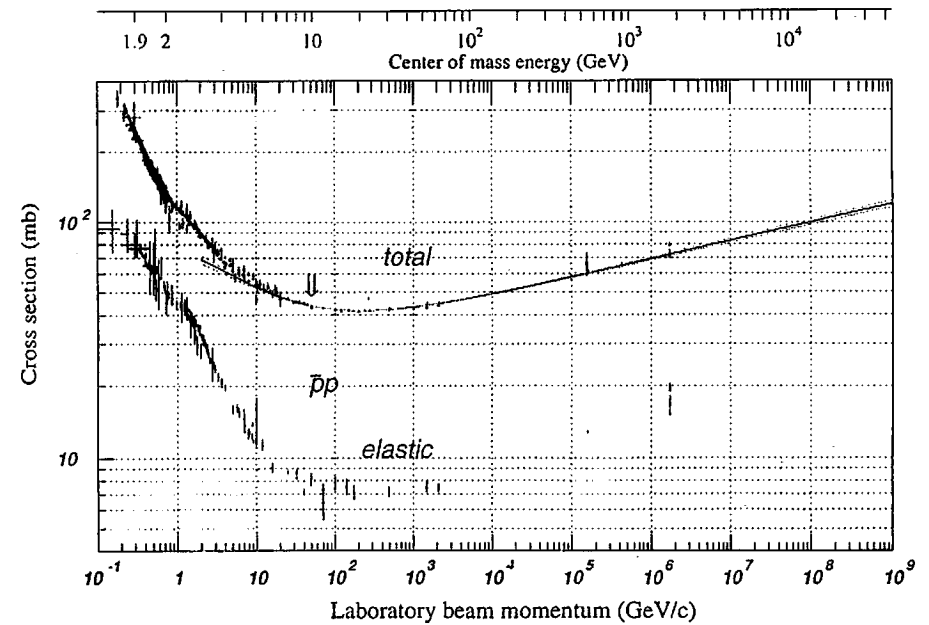
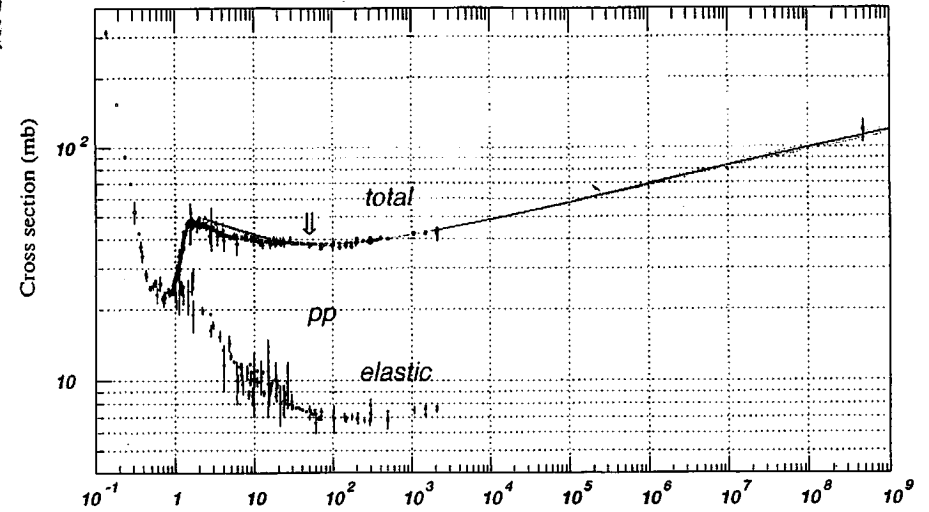
40mb

$$40 \times 10^{-3} \times 10^{-24} \text{ cm}^2 \times 6 \times 10^{23} \times 1000 = 24 \text{ (回)}$$

radiation length of air: 36.7g/cm²

→ 2.7(回)

atm-II



the

Figure 36.18: Total and elastic cross sections for  $pp$  and  $\bar{p}p$  collisions as a function of laboratory beam momentum and total center-of-mass energy. Computer-readable data files may be found at <http://pdg.lbl.gov/xsect/contents.html> (Courtesy of the COMPAS Group, IHEP, Protvino, Russia, 1996.)

production in the three interaction models is compared with data on light nuclei (beryllium) in Fig. 5. These data [31,32] are for beam momenta in the range 19–24 GeV/c, which is the median energy for production of  $\sim$  GeV neutrinos [29].

<sup>3</sup>We are grateful to D. H. Perkins for pointing out this reference to us and making this comparison [36].

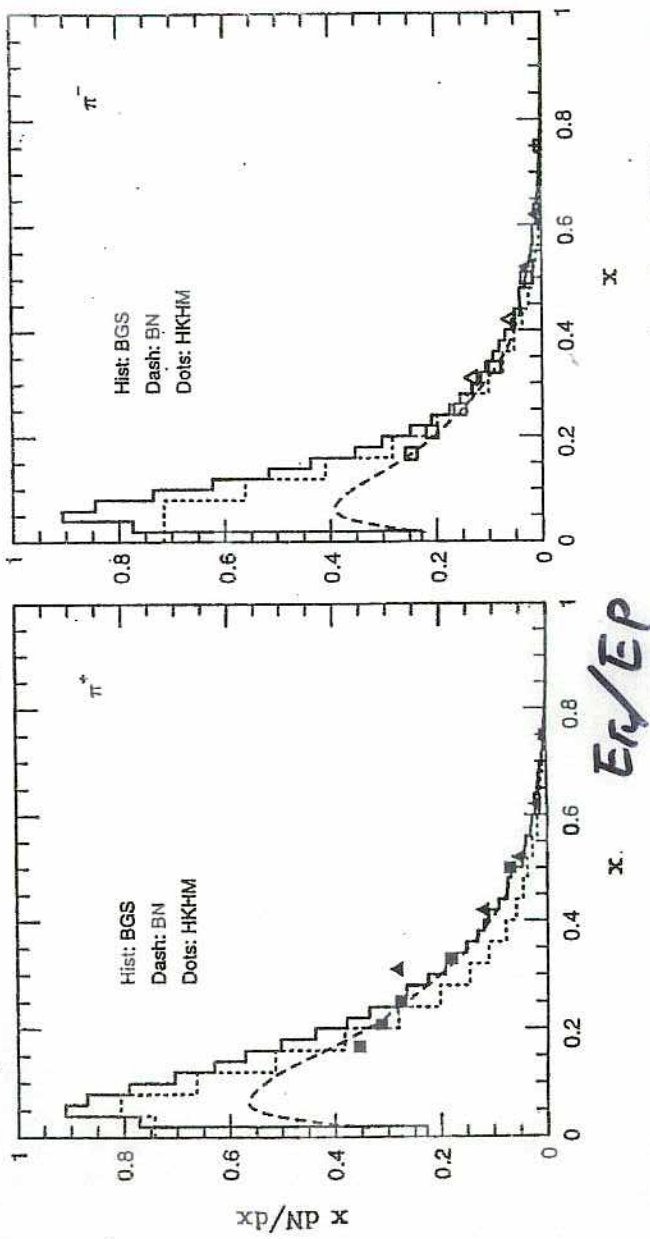
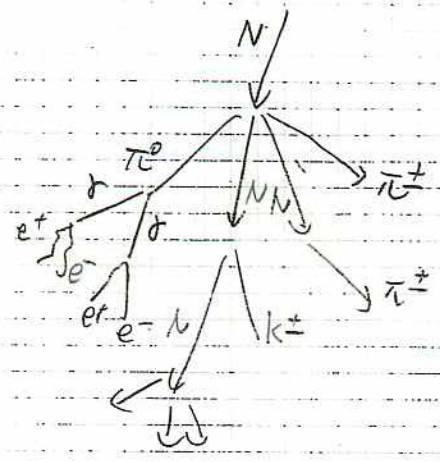


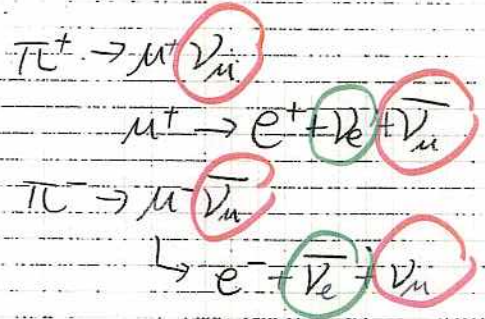
FIG. 5. Distributions of fractional momentum ( $dp/d \ln x$ ) of charged pions produced in interactions of  $\approx 20$  GeV/c momentum protons with light nuclei. Models are shown for target=air, data [31,32] for target=Be.  $\pi^+$  and  $\pi^-$  are shown separately.

octm-14

atm-1



$\nu_\mu : \nu_e = 2:1$



問題

$\pi^+$



$m_\pi = E_\mu + E_\nu$

$E_\nu = P_\nu = P_\mu$

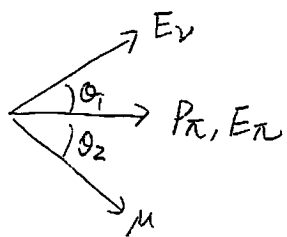
$m_\pi = \sqrt{m_\mu^2 + P_\nu^2} + E_\nu$

$(m_\pi - E_\nu)^2 = m_\mu^2 + E_\nu^2$

$m_\pi^2 - 2m_\pi E_\nu + E_\nu^2 = m_\mu^2 + E_\nu^2$

$E_\nu = \frac{m_\pi^2 - m_\mu^2}{2m_\pi}$

atm-19



$$E_V \sin \theta_1 = P_M \sin \theta_2 \quad \text{--- ①}$$

$$E_V \cos \theta_1 + P_M \cos \theta_2 = P_\pi \quad \text{--- ②}$$

$$E_\pi = E_V + E_M \quad \text{--- ③}$$

$$E_V^2 \sin^2 \theta_1 = P_M^2 \sin^2 \theta_2$$

$$+ \left( E_V \cos \theta_1 - P_\pi \right)^2 = P_M^2 \cos^2 \theta_2$$

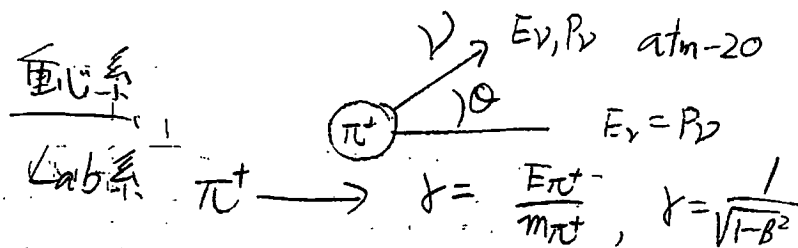
$$P_M^2 = E_V^2 - 2E_V \cos \theta_1 P_\pi + P_\pi^2 \quad \text{--- ④}$$

$$\text{③} \rightarrow E_M^2 = (E_\pi - E_V)^2 = \underbrace{E_\pi^2}_{P_\pi^2} - 2E_\pi E_V + E_V^2 \quad \text{--- ⑤}$$

$$\text{④} \oplus \text{⑤} \quad m_M^2 = m_\pi^2 - 2E_\pi E_V + 2E_V \cos \theta_1 P_\pi$$

$$2E_V (E_\pi - P_\pi \cos \theta_1) = m_\pi^2 - m_M^2$$

$$E_V = \frac{m_\pi^2 - m_M^2}{2(E_\pi - P_\pi \cos \theta_1)}$$



Lab系での 2-体崩壊

$$E_V' = \gamma E_V + \gamma \beta P_V \cos \theta$$

$$= E_V (\gamma + \gamma \beta \cos \theta) = \gamma E_V (1 + \beta \cos \theta)$$

$$P_V' = \gamma \beta E_V + \gamma P_V \cos \theta = E_V (\gamma \beta + \gamma \cos \theta) = \gamma E_V (\beta + \cos \theta)$$

$$P_L' = E_V \sin \theta, P_\perp' = \gamma E_V \left( \sqrt{(\beta + \cos \theta)^2 + \frac{1}{\gamma^2} \sin^2 \theta} \right)$$

$$= \gamma E_V \sqrt{(\beta + \cos \theta)^2 + (1 - \beta^2) \sin^2 \theta}$$

$$= \gamma E_V \sqrt{\beta^2 + 2\beta \cos \theta + 1 - \beta^2 \sin^2 \theta} = \gamma E_V (1 + \beta \cos \theta)$$

最大 V energy :  $\frac{m_\pi^2 - m_M^2}{2(E_\pi - P_\pi)}$

$$P_\pi = \sqrt{E_\pi^2 - m_\pi^2}$$

$$E_\pi \gg m_\pi \text{ の } \quad \text{--- ⑥}$$

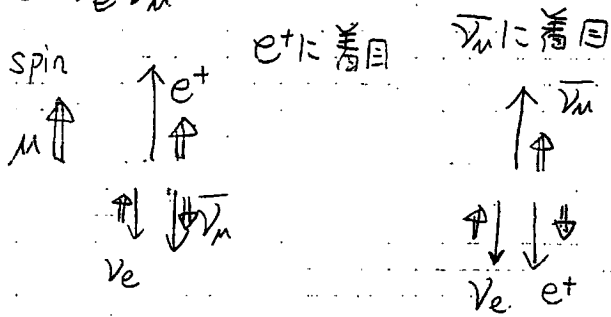
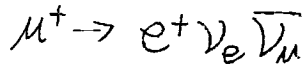
$$= E_\pi - \frac{1}{2} \frac{m_\pi^2}{E_\pi}$$

$$= \frac{m_\pi^2 - m_M^2}{\frac{m_\pi^2}{E_\pi}} = E_\pi \times \frac{m_\pi^2 - m_M^2}{m_\pi^2}$$

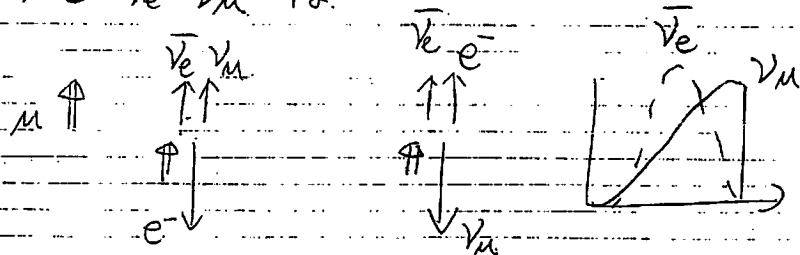
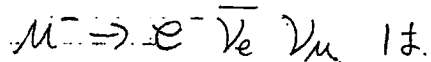
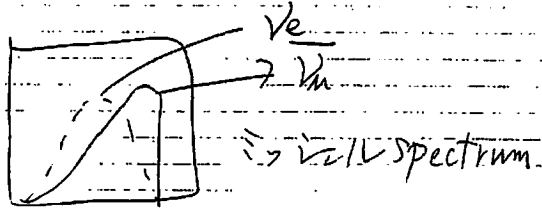
$$\sqrt{1 - \delta}$$

$$E_\pi \left( \sqrt{1 - \frac{m_M^2}{E_\pi^2}} \right) = 0.93 E_\pi$$

$$= 1 - \frac{1}{2} \delta$$



$\bar{\nu}_\mu$  と  $e^+$  の spectrum は同じ。 (e mass  $\ll$  energy)



Thus, ISIS represents a  $\nu$ -source with identical intensities for  $\nu_\mu$ ,  $\nu_e$  and  $\bar{\nu}_\mu$ . Typically ( $\Phi_\nu = 6.37 \cdot 10^{13} \nu/s$  per flavor for p-beam current  $I_p = 200 \mu A$ ), the  $\nu$ 's are well defined due to the decay at rest of both the  $\pi^+$  and  $\mu^+$

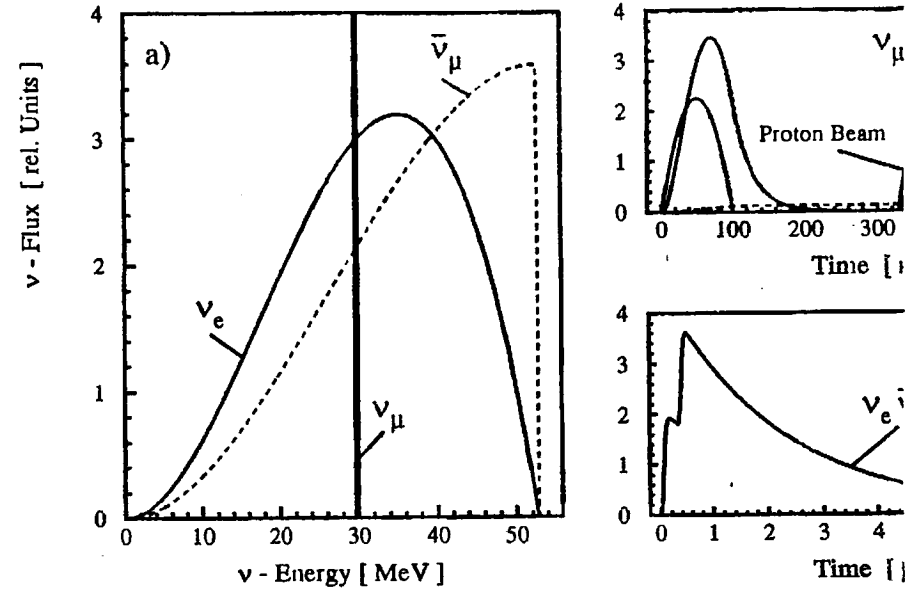


Fig. 1. Neutrino energy spectra (a) and production times (b)

$\pi^+$ -decay are monoenergetic ( $E_\nu=30$  MeV), the continuous energy distribution to 52.8 MeV can be calculated using the V-A theory. Since  $\pi^+$  and  $\mu^+$  beam dump target, the  $\nu$  production region is essentially limited to  $\pm 10$  cm along the beam axis. With a mean distance  $L = 17.6$  m and including the spatial resolution of the detector, the uncertainty in flight path is less than 1%. ISIS therefore ensures that the important  $L$  and  $E_\nu$  for  $\nu$ -oscillations are determined with high precision.

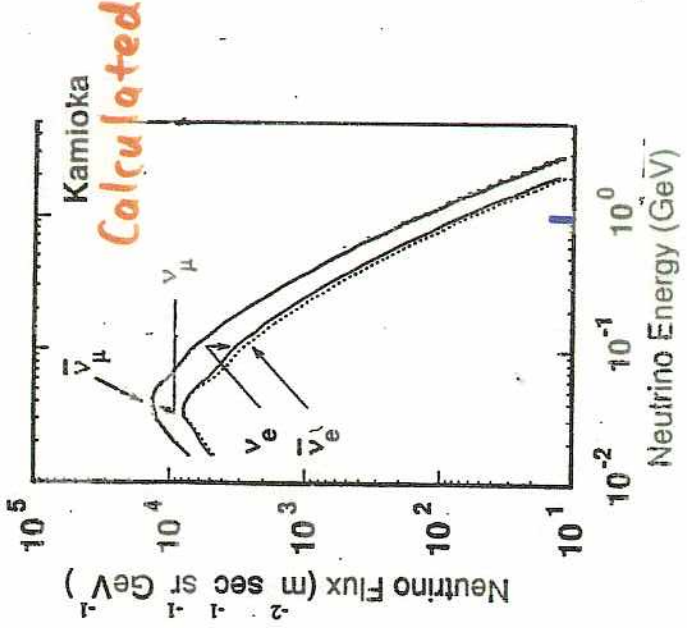
Two parabolic proton pulses of 100 ns basis width and a gap of 22 ns repetition frequency of 50 Hz (fig. 1b). The different lifetimes of pions ( $\tau = 2.2 \mu s$ ) allow a clear separation in time of the  $\nu_\mu$ -burst from the  $\nu_e$  background. Furthermore the accelerator duty cycle allows effective suppression of background by four to five orders of magnitude.

## 2. The KARMEN Detector

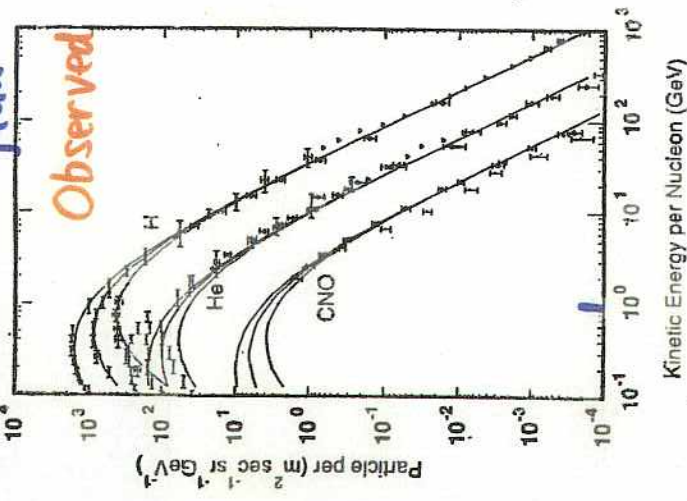
The neutrinos are detected in a 56 t liquid scintillation calorimeter



Atmospheric  $\nu$  flux



Primary Cosmic Ray flux



Atmospheric  $\nu$  での  $\nu$  oscillation を議論するのには何か 普遍的な量があるか。

$$\pi^+ \rightarrow \mu^+ \nu_\mu$$

$$\hookrightarrow e^+ \nu_e \bar{\nu}_\mu$$

$$\pi^- \rightarrow \mu^- \bar{\nu}_\mu$$

$$\hookrightarrow e^- \bar{\nu}_e \nu_\mu$$

たゞ少し  $K^\pm$  が加わると

$$K^+ \rightarrow \mu^+ \nu_\mu \quad 63.5\%$$

$$\pi^+ \pi^0 \quad 21.2\%$$

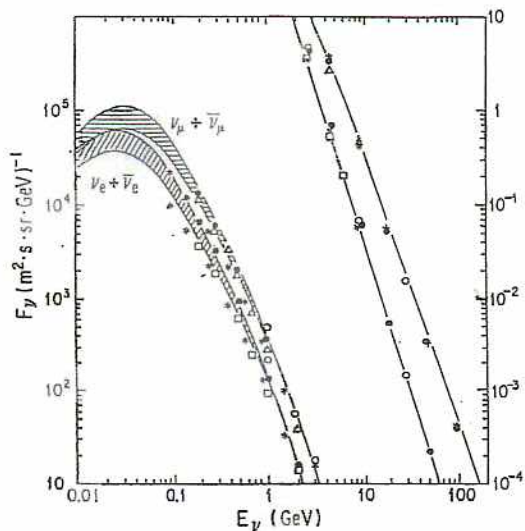
$$\pi^+ \pi^+ \pi^- \quad 5.6\%$$

結論は同じ

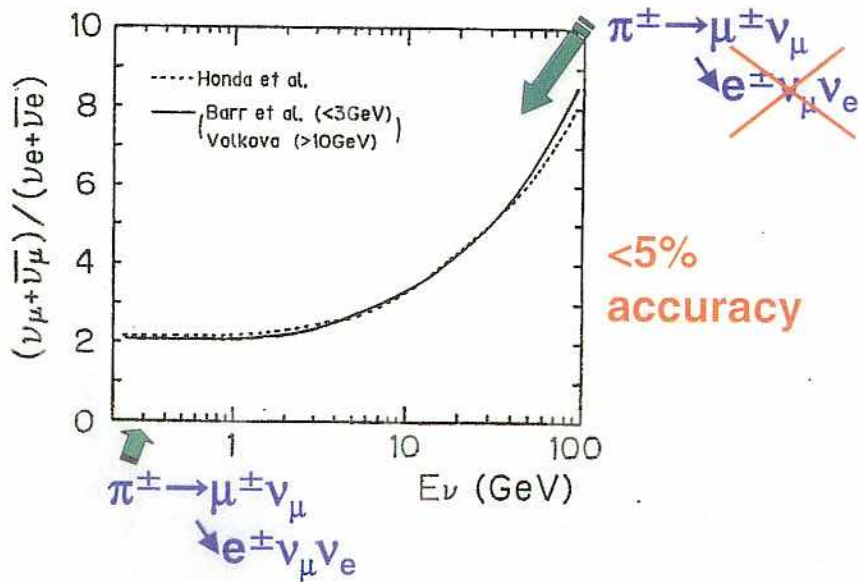
$$\frac{\nu_\mu + \bar{\nu}_\mu}{\nu_e + \bar{\nu}_e} \text{ は ほぼ } 2$$

integrate all Energy

# Atmospheric neutrino spectrum



# Energy dependence of $\nu_\mu/\nu_e$ ratio



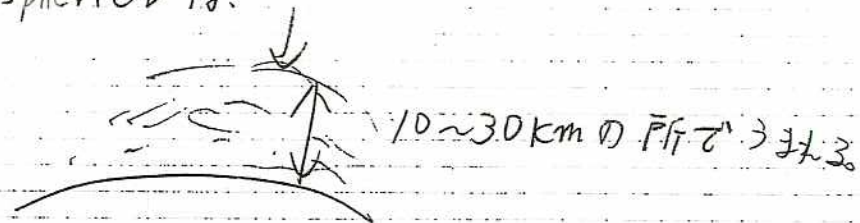
High energy

$\mu$ -life time  $2.2 \mu\text{sec}$

Cで走るとすると  $3 \times 10^8 \text{ m/sec}$

660 m で decay

atmospheric  $\nu$  は



レオナルド  $\gamma$  factor が 40 位以上になると

decay するに地表におちる

$$E_\mu \approx \gamma M_\mu = 40 \times 105.7 \text{ MeV}$$

$$\approx 4.2 \text{ GeV}$$

$\nu$  の energy にして 2 GeV 位から

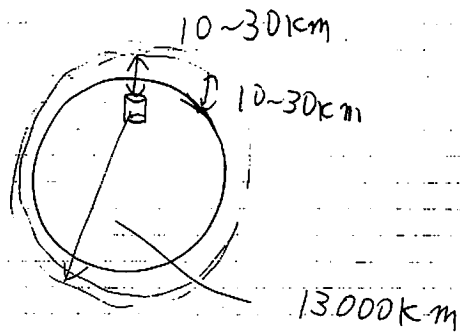
$$\frac{\nu_\mu + \bar{\nu}_\mu}{\nu_e + \bar{\nu}_e} \text{ から 算出される}$$

しかし、この比は  $\mu$  の decay kinematic によるので

Model による 3 分は 1:1:1 ではない

$$\left( \frac{\nu_\mu + \bar{\nu}_\mu}{\nu_e + \bar{\nu}_e} \right)_{\text{Data}} / \left( \frac{\nu_\mu + \bar{\nu}_\mu}{\nu_e + \bar{\nu}_e} \right)_{\text{MC}} \text{ を使う}$$

Zenith angle distribution



ν oscillation

$$1 - \sin^2 2\theta \sin^2 \left( 1.27 \times \frac{L}{E_\nu} \Delta m^2 \right)$$

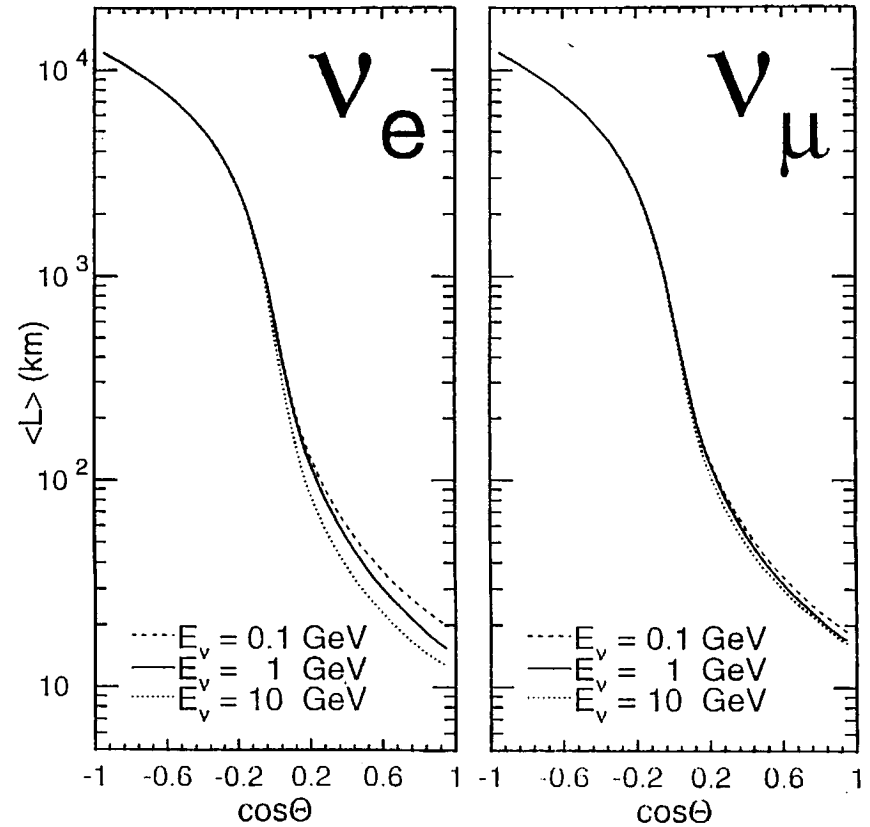
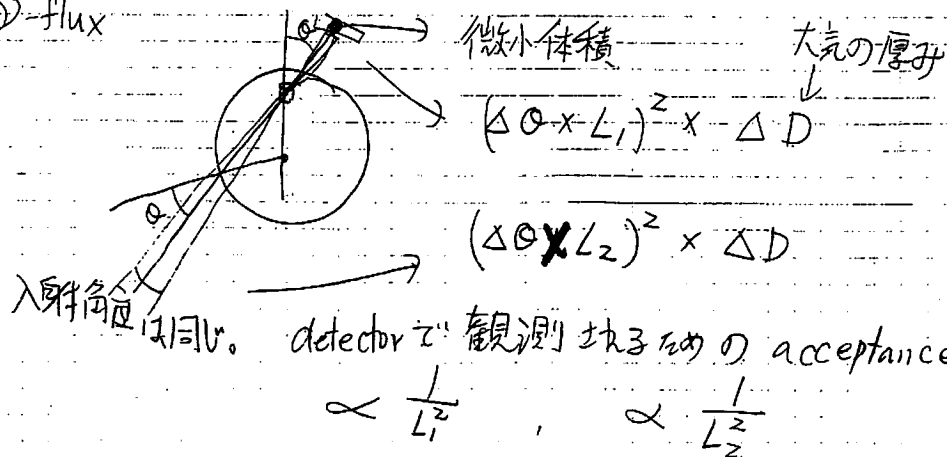
例として  $\Delta m^2 = 3 \times 10^{-3} \text{ eV}^2$  とすれば

$L = 1 \text{ km}$ ,  $E_\nu = 1 \text{ GeV}$  の場合は

$\frac{L}{E_\nu} \Delta m^2$  は 下向き 0.06

1 GeV の ν に対して 上向きは 39

ν-flux



したがって fluxは  $\propto \sin^2 \theta \times \delta D$  に比例し、 atm-32  
 下向きと上向きの fluxは、かち合わない。  
 したがって上、下対称になる。

Energy. の高い所では、横方向が多い。  
 なぜか、

$\pi^+$  の life time:  $\tau = 2.6 \text{ nsec}$   
 $c\tau = 7.8 \text{ m}$

Interaction length は  $\approx 90 \text{ g/cm}^2$   
 1気圧の時、



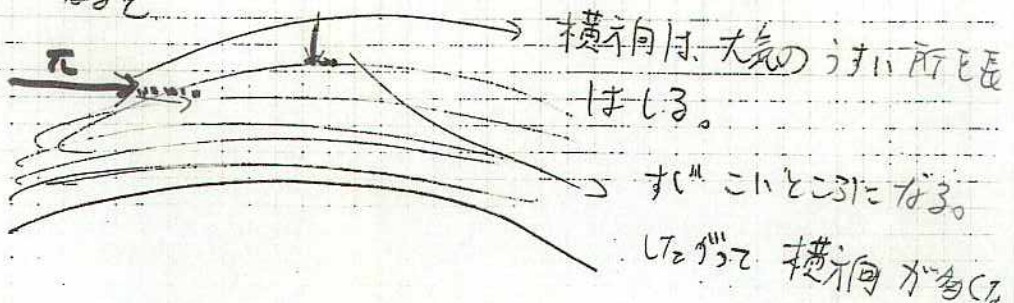
22.4 km  $\rightarrow$

平均分子量 29位 29g

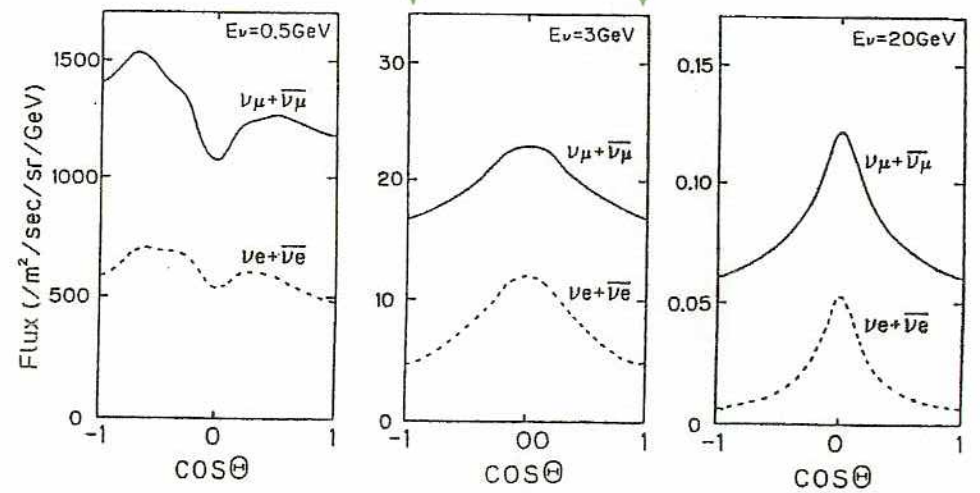
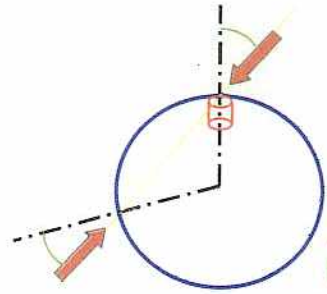
$$\frac{\rho \times l}{22400} \times 29 = 90$$

$$l \approx 1700 \text{ m}$$

したがって  $\pi^+$  の energy が 数10 GeV 以上 位以上になると



# Zenith angle distribution



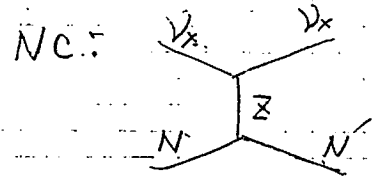
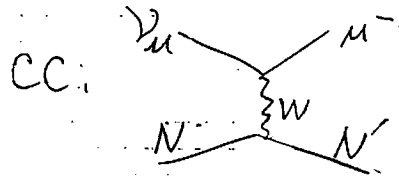
For  $E_\nu > \text{a few GeV}$ ,  
 Upward / downward = 1 (within a few %)



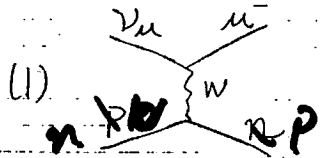
Up/Down asymmetry for neutrino oscillations

# $\nu$ -interaction

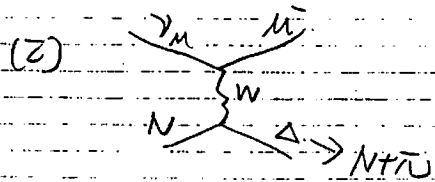
How to detect  $\nu$



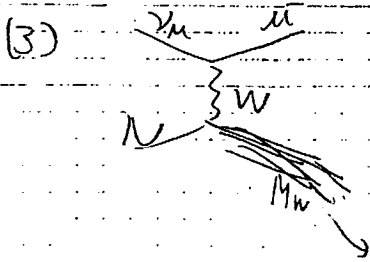
CC: flavor is conserved.



$\nu_{\mu} + n \rightarrow \mu^{-} + p$  quasi-elastic



$\nu_{\mu} + N \rightarrow \mu^{-} + N' + \pi$  single  $\pi$  production



multi- $\pi$  production

deep-inelastic scattering

W → many  $\pi$  production

atm-34

the final state are determined from the  $q^2$  ( $q = p_{\nu} - p_{\mu}$ ) using the dependence of the cross section. This cross section is calculated [12] using the standard  $V-A$  theory. Neutral-current (NC) quasi-elastic interactions are almost always invisible in water Cherenkov detectors unless a final-state proton recoils with sufficient energy ( $p_p \gtrsim 1.5$  GeV/c) to emit Cerenkov radiation, or pions are produced by nucleon interactions off water nuclei. Fig. 2 shows the calculated cross sections for CC quasi-elastic interactions off free nucleons. The data from the Argonne 12-foot bubble-chamber experiment are also shown. The calculated cross section seems to be slightly higher than that from the Argonne data. This is because a parameter appearing in the formula of the cross section  $M_A$ , called axial-vector mass, measured by the Argonne experiment was  $0.95 \pm 0.09$  GeV, while the value inputted in our calculation was 1.01 GeV, based on more recent measurements. Very roughly, the cross section of neutrino is proportional to the  $M_A$  value.

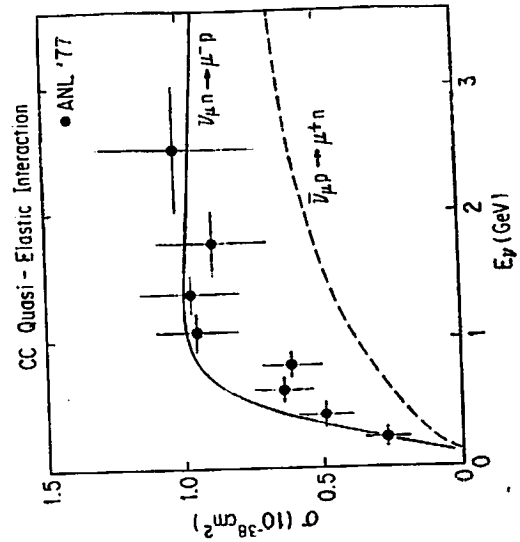


Figure 2: Cross section of charged-current quasi-elastic interactions off a free nucleon. The curves represent the calculation and the black circles with error bars represent the data [13]. The curve tends to have a larger cross section, because the value of  $M_A$  used in the simulation (1.01 GeV) is larger than the best-fit value of this experiment

atm-35

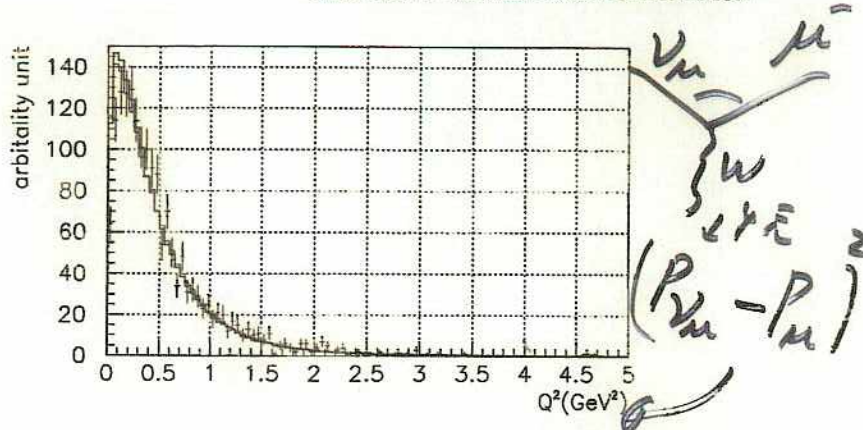


Figure 7.1: Differential cross section  $d\sigma/dq^2$  for  $\nu_\mu + n \rightarrow \mu^- + p$  interaction. Solid line is our calculation and points show experimental data from BNL bubble chamber experiment [102].

cross sections:

$$\sigma(\nu p \rightarrow \nu p) = 0.153 \times \sigma(\nu n \rightarrow e^- p) \quad (7.7)$$

$$\sigma(\bar{\nu} p \rightarrow \bar{\nu} p) = 0.218 \times \sigma(\bar{\nu} p \rightarrow e^+ n) \quad (7.8)$$

$$\sigma(\nu n \rightarrow \nu n) = 1.5 \times \sigma(\nu p \rightarrow \nu p) \quad (7.9)$$

$$\sigma(\bar{\nu} n \rightarrow \bar{\nu} n) = 1.0 \times \sigma(\bar{\nu} p \rightarrow \bar{\nu} p) \quad (7.10)$$

These numerical factors are taken from Ref. [108, 109].

7.2.3 Single meson productions via baryon resonances ( $\nu + N \rightarrow l(\nu) + N' + \text{meson}$ )

The single-meson productions via resonances are the dominant hadron production processes in the region where the invariant mass of the hadron system ( $W$ ) is less than about  $2.0 \text{ GeV}/c^2$ .

We simulate the single-meson productions via resonances based on Rein and Sehgal's theory [110]. The Rein & Sehgal's theory was originally developed for single-pion productions, but we extended their methods in order to include  $\eta$  and  $K$  meson productions.

In this theory, single-meson production is considered in 2 steps:

Resonance production	$\nu + N \rightarrow l(\nu) + N^*$
Resonance decay	$N^* \rightarrow N' + \pi(\eta, K)$

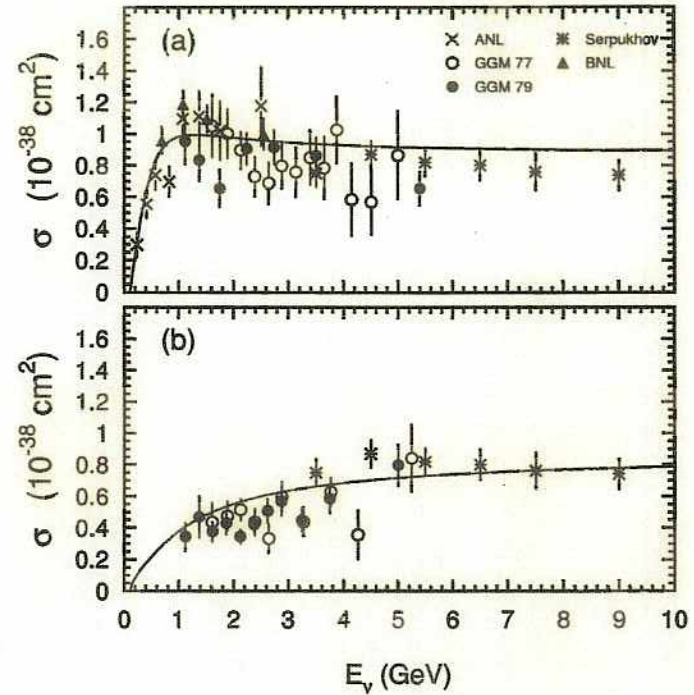


Figure 7.2: Cross section for (a)  $\nu_\mu + n \rightarrow \mu^- + p$  interaction and (b)  $\bar{\nu}_\mu + p \rightarrow \mu^+ + n$  interaction. Solid lines show our calculation and points show experimental data from ANL 12' [103], Gargamelle [104, 105], BNL 7' [106] bubble chambers and a spark chamber experiment at Serpukhov [107].

# 2.2 GeV Bjorken scaling

$$\frac{d\sigma^{\nu N, \bar{\nu} N}}{dx dy} = \frac{G_F^2 M E \nu}{\pi} \left\{ (1-y + \frac{1}{2}y^2) F_2(x) \pm y(1-\frac{1}{2}y)x F_3(x) \right\}$$

$$\lambda = \frac{-q^2}{2M(E_\nu - E_{\text{lepton}})}, \quad y = \frac{(E_\nu - E_{\text{lepton}})}{E_\nu}$$

target nucleon parton 及びその位の momentum 及び energy 3カ。



target nucleon parton 及びその位の energy & transfer 及び。

$$F_2 = \lambda x (u + \bar{u} + d + \bar{d} + s + \bar{s})$$

$$-xF_3 = \lambda ((u+d) - (\bar{u} + \bar{d}) - \dots)$$

$$\frac{\sigma(\nu_{\mu} + \bar{\nu} \rightarrow \nu_{\mu} + X)}{\sigma(\nu_{\mu} + N \rightarrow \mu^{-} + X)} \approx 0.26$$

$$\frac{\sigma(\bar{\nu}_{\mu} + N \rightarrow \bar{\nu}_{\mu} + X)}{\sigma(\bar{\nu}_{\mu} + N \rightarrow \mu^{+} + X)} \approx 0.39$$

$F_2(x')$  (文献 3-751)

II. Deden et al. Charge-changing interactions

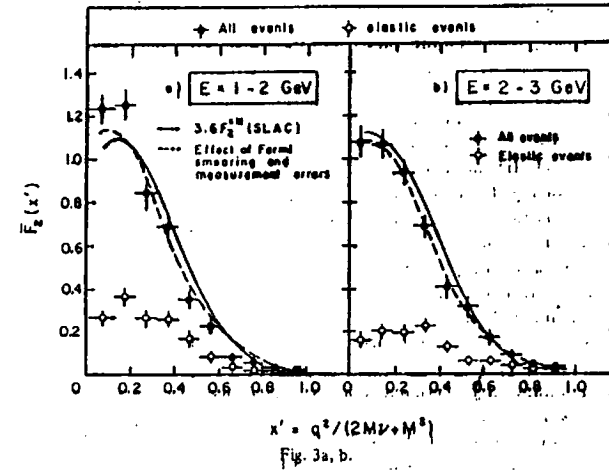


Fig. 3a, b.

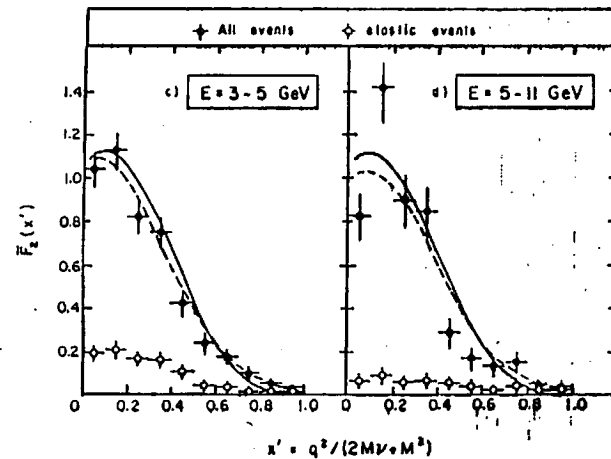


Fig. 3.  $\bar{F}_2^{\nu N}(x', E)$  deduced from eq. (4) by replacing  $x = q^2/2M\nu$  by the Bloom-Gilman variable  $x' = q^2/(2M\nu + M^2)$ , and without any cuts in  $q^2$  or  $W^2$ . In each energy range, the SLAC curve of  $3.6 \bar{F}_2^{\nu N}(x')$  is given, together with the modification expected from Fermi smearing and measurement errors.

3-11

$x' F_3(x')$  分布 (文献 3-7より) <sup>atm-44</sup> 117

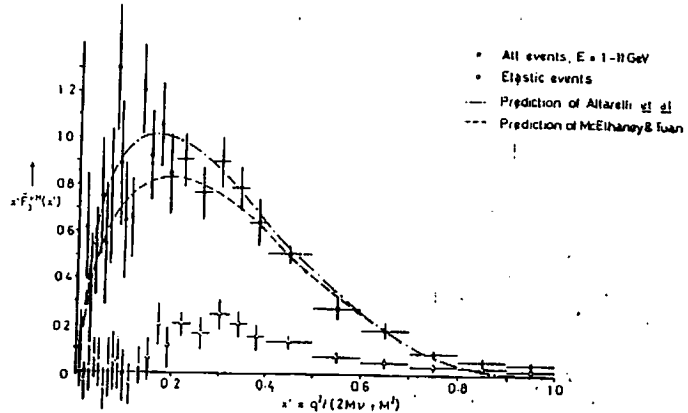


Fig. 5. Values of  $x' F_3(x')$  computed for all events, without cuts in  $q^2$  or  $W^2$ . The curves show typical predictions, based on empirical fits of quark momentum distributions to electron scattering data.

図 3-12

$\nu P \rightarrow \mu^- P \pi^+$  全断面積 (文献 3-8より)

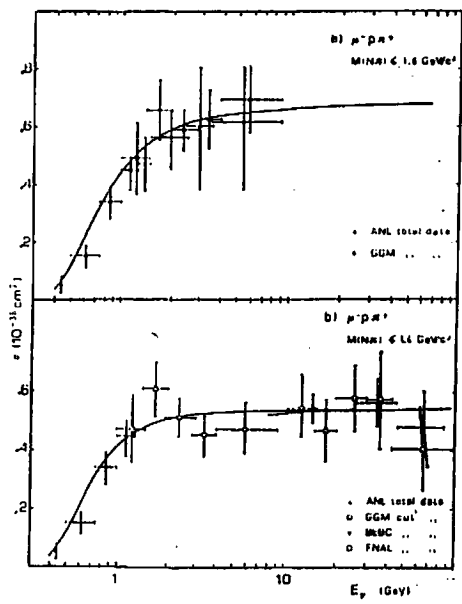


図 3-13

7.2. NEUTRINO INTERACTION

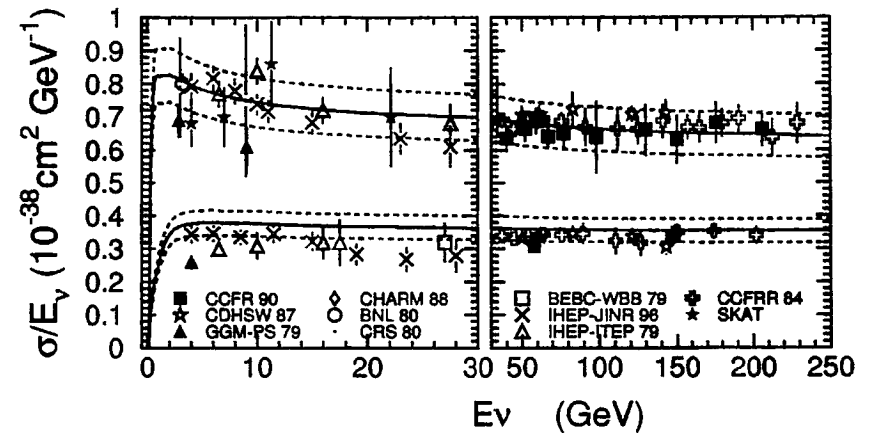


Figure 7.8: Cross sections for CC inclusive interactions on iso-scalar target for (a)  $\nu_\mu + N \rightarrow \mu^- + X$ , and (b)  $\bar{\nu}_\mu + N \rightarrow \mu^+ + X$ . Solid lines are the sum of the cross sections of all interaction modes described in the text, and dashed lines show  $\pm 10\%$  scaled lines. Points show experimental data from CCFR [132], CDHSW [133], Gargamelle [134, 135], CHARM [136], BNL [137], CRS [138], BEBC-WWB [139], IHEP-JINR [140], IHEP-ITEP [141], CCFRR [142], SKAT [143].

Fig. 7.8 shows the total cross section as a function of neutrino energy compared with experimental data. The total cross section is calculated as  $\sigma(Q.E.) + \sigma(\text{Single meson}) + \sigma(\text{coherent } \pi) + \sigma(\text{DIS})$ . The experimental data are the cross sections of the inclusive neutrino and antineutrino interactions  $\nu_\mu(\bar{\nu}_\mu) + N \rightarrow \mu^\pm + X$  taken from Ref. [132, 133, 134, 135, 136, 137, 138, 139, 140, 141, 142, 143].

7.2.6 Fermi motion of a nucleon

A nucleon bound in a nucleus has a non-zero momentum (Fermi momentum). We use the Fermi momentum distribution in  $^{16}\text{O}$  which was estimated from electron scattering experiment on  $^{12}\text{C}$  target [144], taking into account that 4 nucleons (2 protons and 2 neutrons) are in the 1S state and the other 12 nucleons are in the 1P state. The distributions of the Fermi momentum are shown in Figure 7.9.



$\sigma$  of  $\nu$   $4\text{GeV}^2 \sim 10^{-38} \text{cm}^2$

$13000 \text{km} \cdot \rho = 5.5 \text{g/cm}^3$

$= 1.3 \times 10^9 \text{cm} \cdot 1 \text{g} \text{中} \text{に} 6 \times 10^{23} \text{ の核子}$

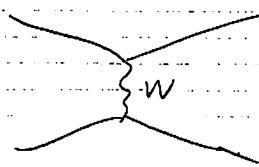
$10^{-38} \times 1.3 \times 10^9 \times 5.5 \times 6 \times 10^{23}$

$= 4 \times 10^{-5}$  の probability  $\nu$  interaction

$10^{-34} \sim 10^{-33} \text{cm}^2$  位の相互作用

おれおれ

$E_\nu \approx 100 \text{TeV}$



$\rightarrow N$

重心系での  $E = \sqrt{2m_p E_\nu}$

$W$  の mass:  $80.3 \text{GeV}$

$M_W = \sqrt{2m_p E_\nu} \ll M_W$

$E_\nu = 3 \text{TeV}$

propagator

$\propto \frac{1}{-q^2 + M_W^2}$

small  $q^2$  ならば  $\propto \frac{1}{M_W^2}$

$E_\nu \sim$

for calculating event rates in proposed detectors. We have gathered in Tables 1 and 2 the charged-current and neutral-current cross sections and values of  $\langle y \rangle$ , for  $\nu N$  and  $\bar{\nu} N$  collisions, respectively.

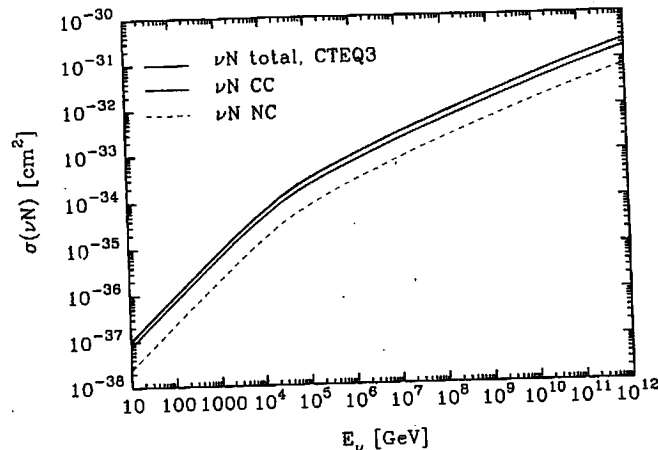


Fig. 8. Cross sections for  $\nu N$  interactions at high energies: dotted line,  $\sigma(\nu N \rightarrow \nu + \text{anything})$ ; thin line,  $\sigma(\nu N \rightarrow \mu^- + \text{anything})$ ; thick line, total (charged-current plus neutral-current) cross section.

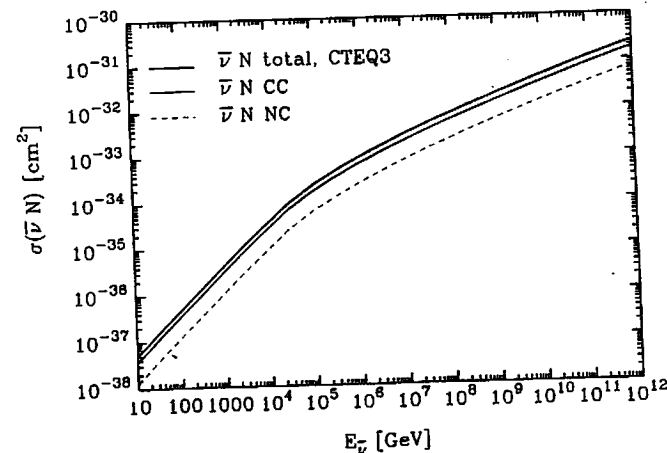


Fig. 9. Cross sections for  $\bar{\nu} N$  interactions at high energies: dotted line,  $\sigma(\bar{\nu} N \rightarrow \bar{\nu} + \text{anything})$ ; thin line,  $\sigma(\bar{\nu} N \rightarrow \mu^+ + \text{anything})$ ; thick line, total (charged-current plus neutral-current) cross section.

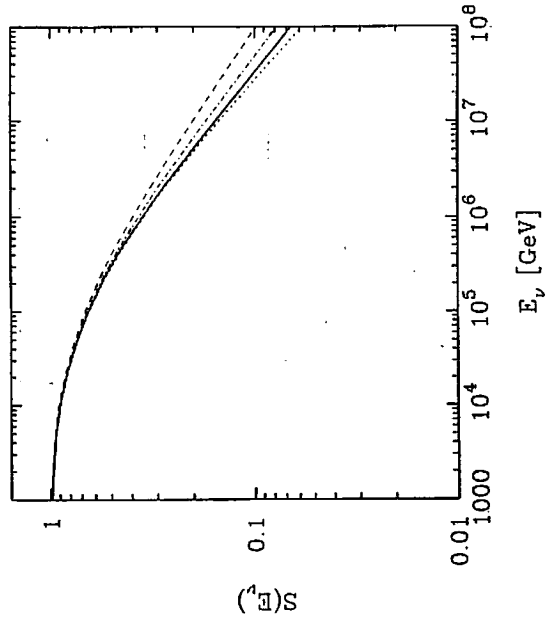


Fig. 20. The shadow factor  $S(E_\nu)$  for upward-going neutrinos assuming that  $\sigma = \sigma_{\text{tot}}$  in (35) for CTEQ-DIS (solid line), CTEQ-DLA (dot-dashed) and D. (dotted) parton distribution functions. Also shown is the shadow factor using the EHLQ cross sections (dashed line).

For the case of isotropic fluxes, such as the AGN and cosmic neutrino fluxes presented in §8.1, the attenuation can be represented by a shadow factor that is equivalent to the effective solid angle for upward muons, divided by  $2\pi$ :

$$S(E_\nu) = \frac{1}{2\pi} \int_{-1}^0 d\cos\theta \int d\phi \exp[-z(\theta)/L_{\text{int}}(E_\nu)]. \quad (35)$$

The interaction length  $L_{\text{int}}(E_\nu)$  is shown in Figures 11 and 12 for  $\nu N$  and

$\sim 10^4 \nu / \text{m}^2 / \text{sec} / \text{str} / \text{GeV} \ll 1$   
 $\sigma = 10^{-38} \text{cm}^2 \ll 33 \text{e}$   
 $10^4 \times 10^{-4} \times 4\pi / \text{cm}^2 / \text{sec} / \text{GeV}$   
 $\approx 10 / \text{cm}^2 / \text{sec} / \text{GeV}$   
 22 km 中の核子数  
 $2.2 \times 10^3 \times 10^{-6} \times 6 \times 10^{23}$   
 $\approx 1.3 \times 10^{33}$   
 $10^{-38} \text{cm}^2 \times 10 / \text{cm}^2 / \text{sec} / \text{GeV} \times 1.3 \times 10^{33}$   
 $\approx 10^{-4} / \text{sec}$   
 $17 \text{日} \cdot 0.86 \times 10^5 \text{sec}$   
 $\approx 10 \text{ ev} / \text{day}$

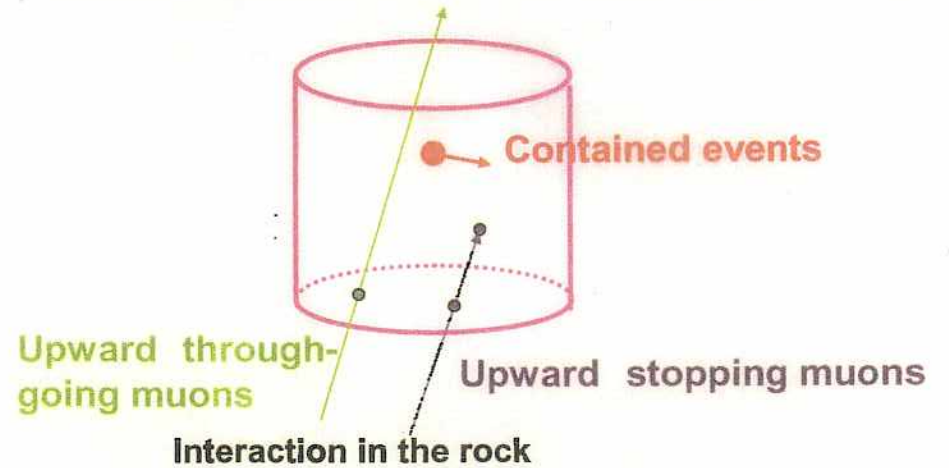
$0.05 \times 2\pi$   
 $0.5 \cdot 0.6$

④

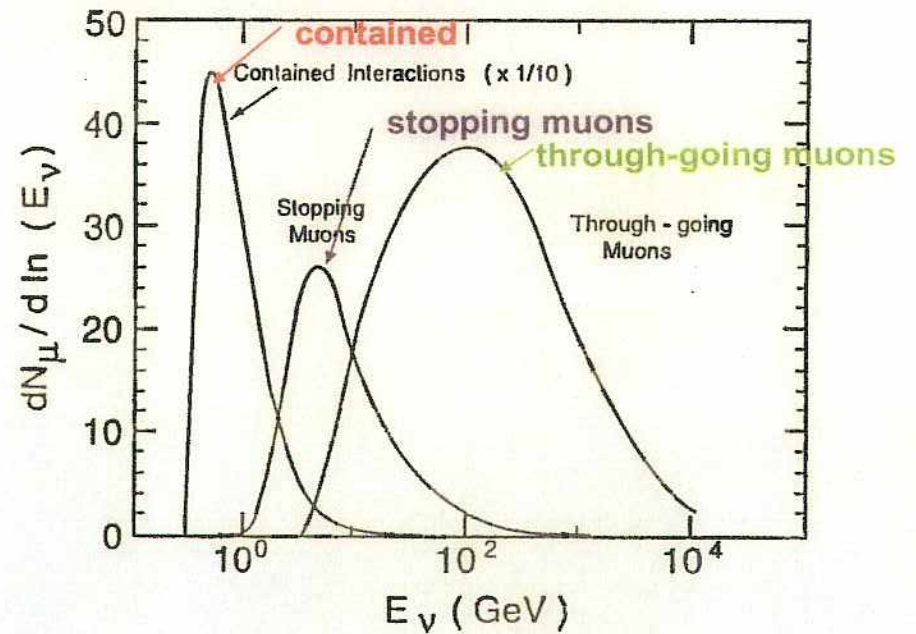
# Atmospheric neutrinos

Sk data

## How to detect atmospheric neutrinos



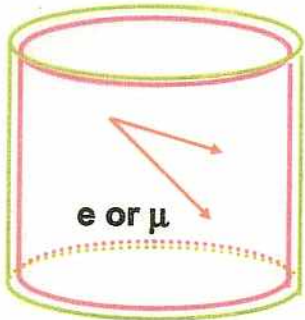
## Initial neutrino energy spectrum



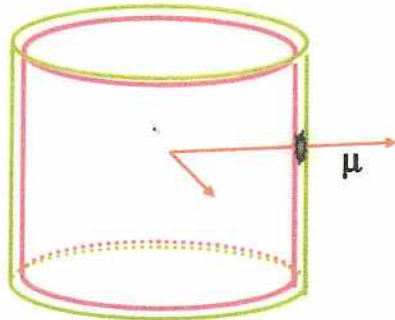
# Contained event analysis

Fully Contained (FC)

Partially Contained (PC)



No hit in Outer Detector



One cluster in Outer Detector

Reduction

Automatic ring fitter  
Particle ID  
Energy reconstruction

Fiducial volume (>2m from wall, 22 ktons)  
 $E_{vis} > 30 \text{ MeV}$  (FC),  $> 3000 \text{ p.e.}$  ( $\sim 350 \text{ MeV}$ ) (PC)

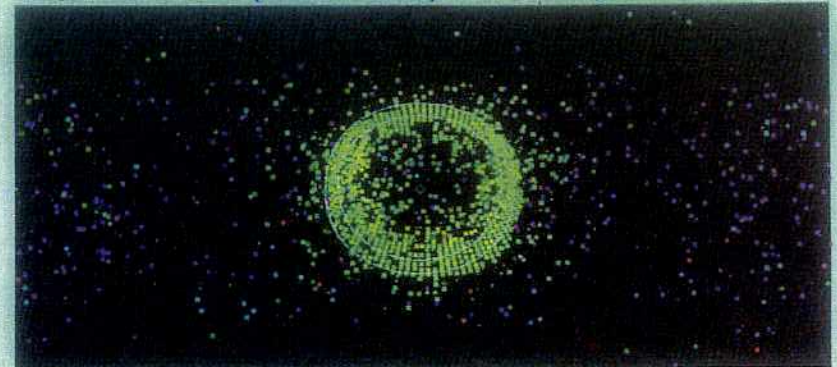
**Final sample:**  
**FC: 8.2 ev./day, PC: 0.55 ev./day**

$E_{vis} < 1.33 \text{ GeV}$  : Sub-GeV

$E_{vis} > 1.33 \text{ GeV}$  : Multi-GeV

## PARTICLE IDENTIFICATION

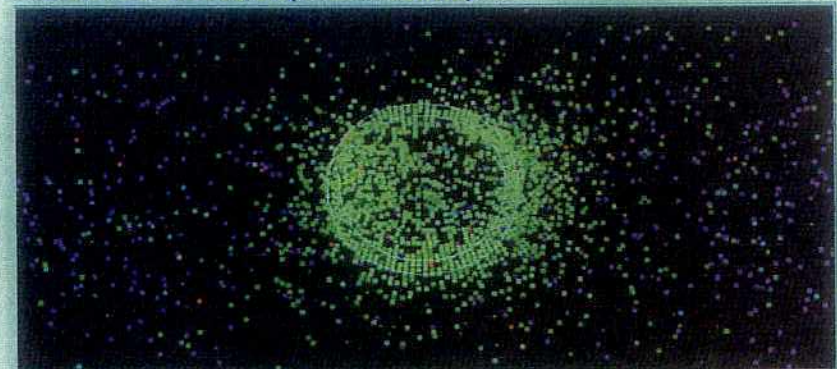
700 MeV muon (Monte Carlo)



4023 photoelectrons, 1553 hits

non-showering

500 MeV electron (Monte Carlo)

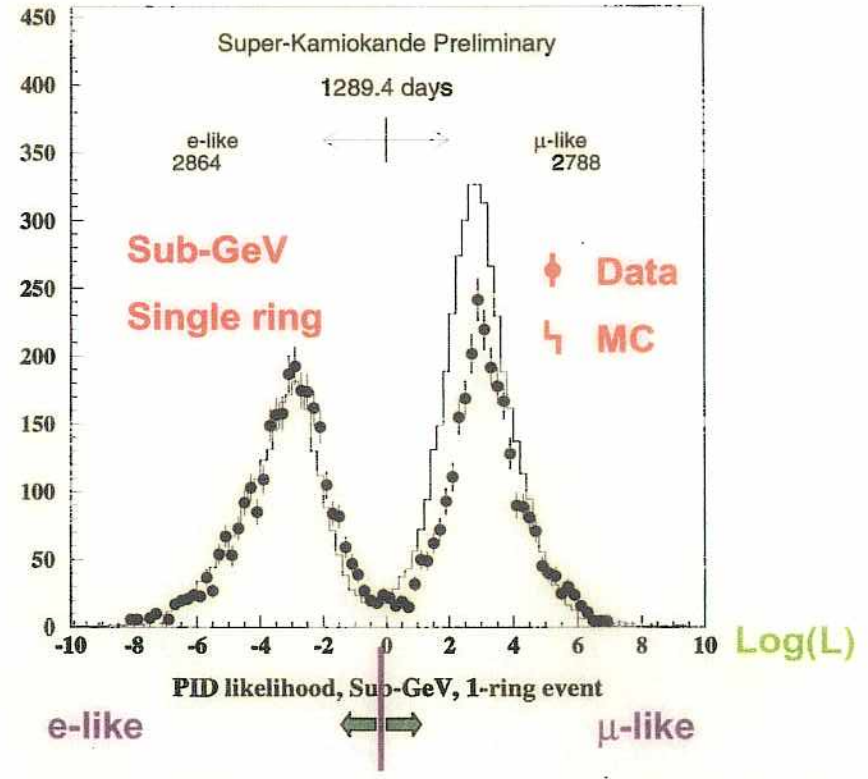
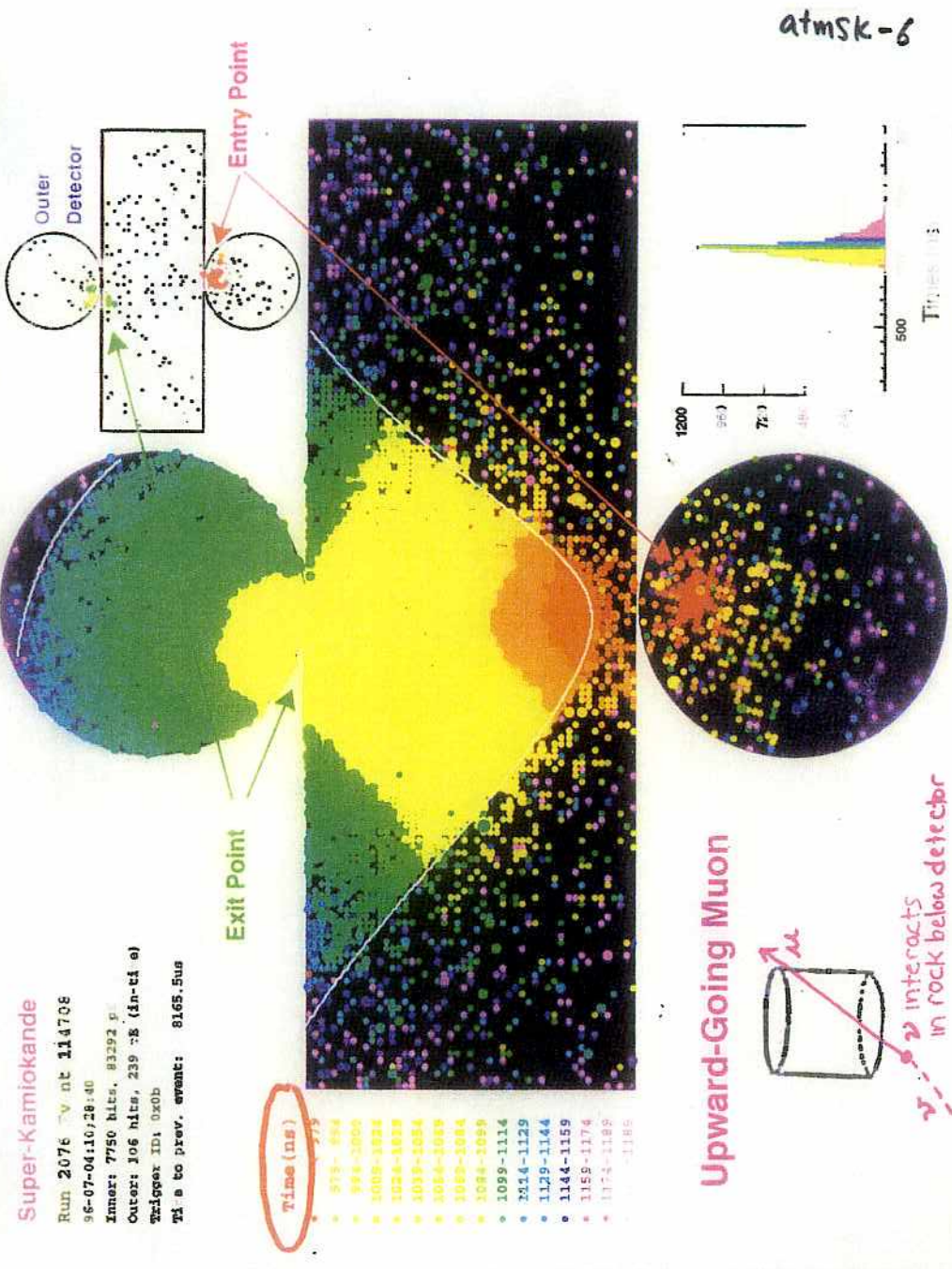


3917 photoelectrons, 2086 hits

showering

projected view of detector as seen from fit vertex  
color represents residual time from vertex

# Likelihood for particle identification



Mis-identification:  $0.6 \pm 0.1$  % for sub-GeV  
 $\sim 2$  % for multi-GeV

Checked by e/ $\mu$  beam at KEK (E261A)

Source of e-like/ $\mu$ -like events  
(M.C. simulation)

• Sub-GeV 1-ring

	e-like		$\mu$ -like	
	Count	%	Count	%
$\nu_e$ $\bar{\nu}_e$ CC	QE (quasi-elastic)	10002 67.02%	55 0.24%	87%
	non-QE	2962 19.85%	22 0.10%	
$\nu_\mu$ $\bar{\nu}_\mu$ CC	QE	122 0.82%	16603 73.89%	95%
	non-QE	374 2.51%	4797 21.35%	
	NC	1463 9.80%	992 4.41%	
TOTAL	14923 100.0%	22469 100.0%		

• Multi-GeV 1-ring

	e-like		$\mu$ -like	
	Count	%	Count	%
$\nu_e$ $\bar{\nu}_e$ CC	QE	1237 35.39%	3 0.07%	80%
	non-QE	1572 44.98%	14 0.34%	
$\nu_\mu$ $\bar{\nu}_\mu$ CC	QE	27 0.77%	2098 50.41%	99%
	non-QE	291 8.33%	2032 48.82%	
	NC	368 10.53%	15 0.36%	
TOTAL	3495 100.0%	4162 100.0%		

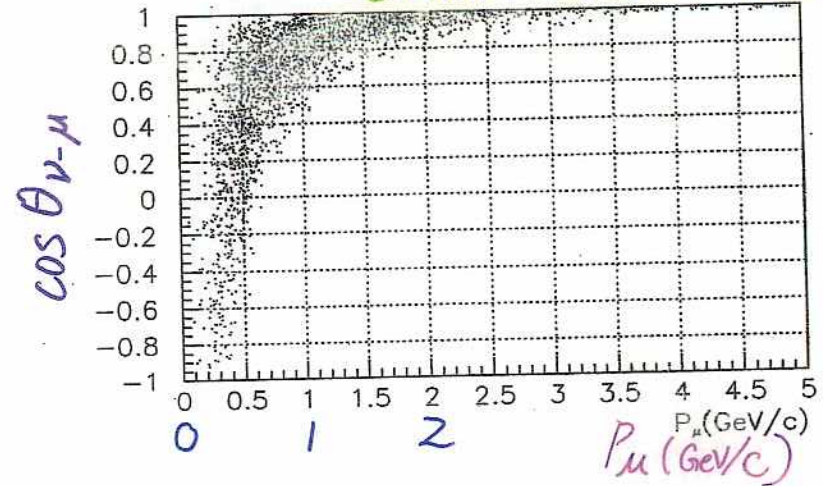
• Partially Contained (PC)

	e-like		$\mu$ -like	
	Count	%	Count	%
$\nu_e$ $\bar{\nu}_e$ CC	QE	-	9 0.33%	
	non-QE	-	41 1.51%	
$\nu_\mu$ $\bar{\nu}_\mu$ CC	QE	-	415 15.29%	98%
	non-QE	-	2233 82.28%	
	NC	-	16 0.59%	
TOTAL	-	-	2714 100.0%	

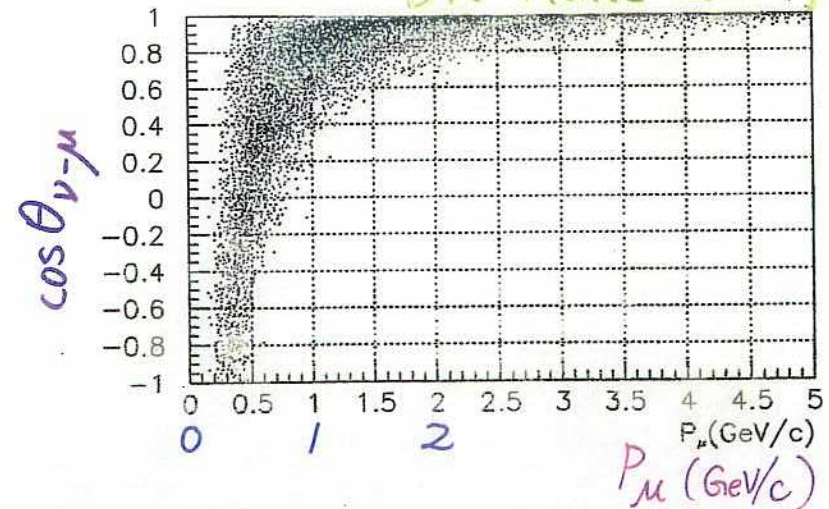
QE:  $\nu N \rightarrow l^\pm N'$   
nonQE:  $\nu N \rightarrow l^\pm N' \pi \dots$

$\nu$ -lepton angular correlation

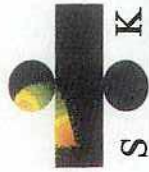
Bubble chamber data (BNL)



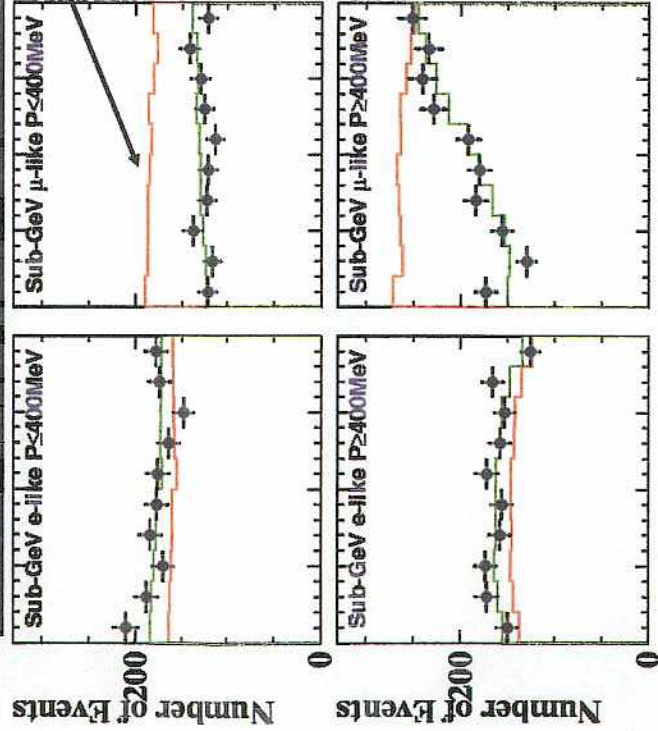
SK Monte Carlo for BNL



$\sim$  flat  $\cos \theta_{\nu-l}$  for  $P_\mu < 0.4$  GeV



# Sub-GeV Data



(note no "3D" horizon peak)  
No  $\cos(\theta)$  shape information  
at the lowest energies, only  
flavor ratio is useful

	e-like	$\mu$ -like
Sub-GeV ( $< 1.33$ GeV)	3353 (Data) 3013.9 (MC)	3227 (Data) 4466.9 (MC)

$$\text{Sub GeV } \frac{(\mu/e)_{\text{data}}}{(\mu/e)_{\text{MC}}} = 0.649 \pm 0.016 \pm 0.051$$

(stat.) (syst.)

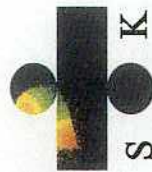
At higher energies,  $\nu \rightarrow \mu$  directionality  
better preserved plus  
shorter  $L/\nu_\mu$  no longer oscillate:  
 $\cos(\theta)$  shape information very useful

**Key:**  
-- Data  
-- MC (no osc.)  
-- MC (best fit)

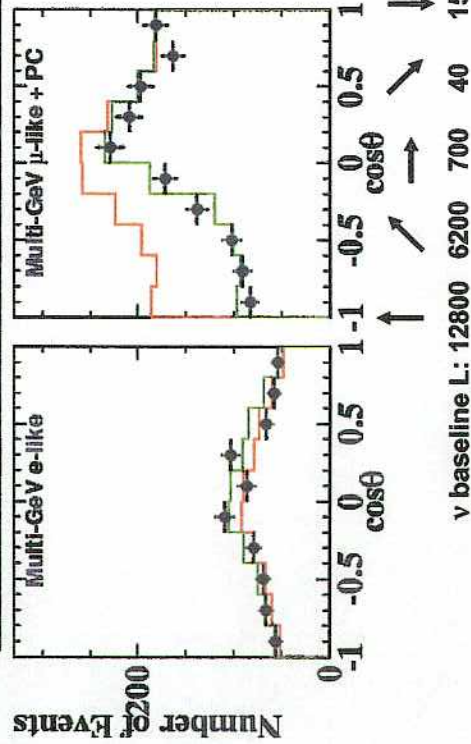
28th ICRC, 2 Aug.  
2003, Tsukuba

Page 4

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# Multi-GeV data



	e-like	$\mu$ -like
Multi-GeV + PC	746 (Data) 700.4 (MC)	1562 (Data) 2098.0 (MC)

$$\text{Multi GeV+PC } \frac{(\mu/e)_{\text{data}}}{(\mu/e)_{\text{MC}}} = 0.699 \pm_{-0.030}^{+0.032} \pm 0.083$$

(stat.) (syst.)

$\nu$  baseline L: 12800 6200 700 40 15 km

At even higher energies,  $\nu$  flux  
up/down symmetric and low- $L/\nu_\mu$   
do not have time to disappear.

$$A = \left( \frac{N_{\text{up}} - N_{\text{down}}}{N_{\text{up}} + N_{\text{down}}} \right)_{\mu\text{-like}} = -0.289 \pm 0.028 \pm 0.004$$

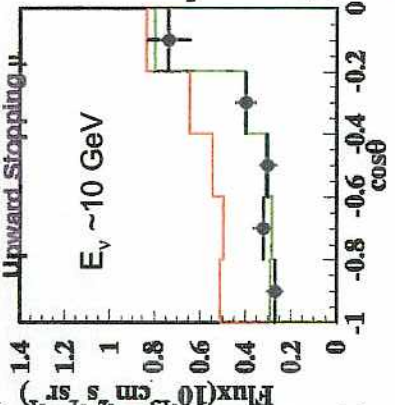
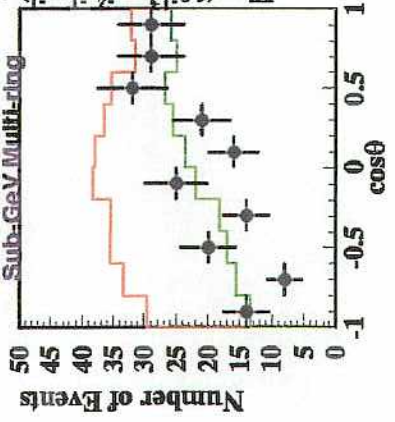
(stat.) (syst.)

**Key:**  
-- Data  
-- MC (no osc.)  
-- MC (best fit)

Compare to  $A_{\text{e-like}} = -0.020 \pm 0.043 \pm 0.005$

MC  $A_{\mu\text{-like}} = -0.003 \pm 0.005 \pm 0.009$

Observed  $A_{\mu\text{-like}}$  9.5 $\sigma$  from no-oscillation prediction!



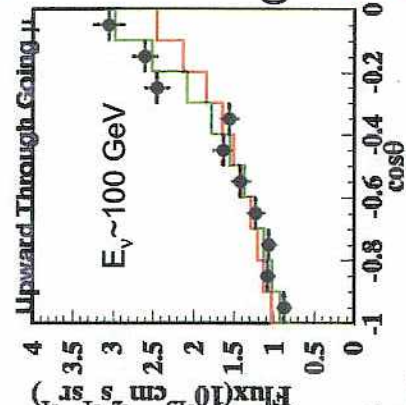
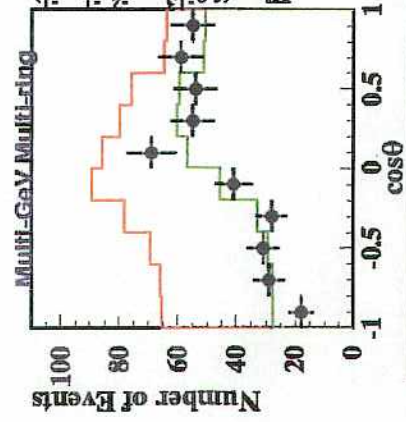
# More Data

Up through going  $\mu$  -

Measured flux:

$$1.70 \pm 0.02 \pm 0.04 \times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$$

(stat.) (syst.)



Up stopping  $\mu$  -

Measured flux:

$$0.41 \pm 0.02 \pm 0.02 \times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$$

(stat.) (syst.)

Theoretical calc:

$$1.57 \pm 0.35 \times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$$

(theo.)

Theoretical calc:

$$0.61 \pm 0.14 \times 10^{-13} \text{ cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$$

(theo.)

**Key:**

- Data
- MC (no osc.)
- MC (best fit)

• More  $\nu_{\mu}$ , different  $E_{\nu}$  and systematics

	Data	MC
Sub-GeV Multi-ring $\mu$	208	346.4
Multi-GeV Multi-ring $\mu$	439	739.4

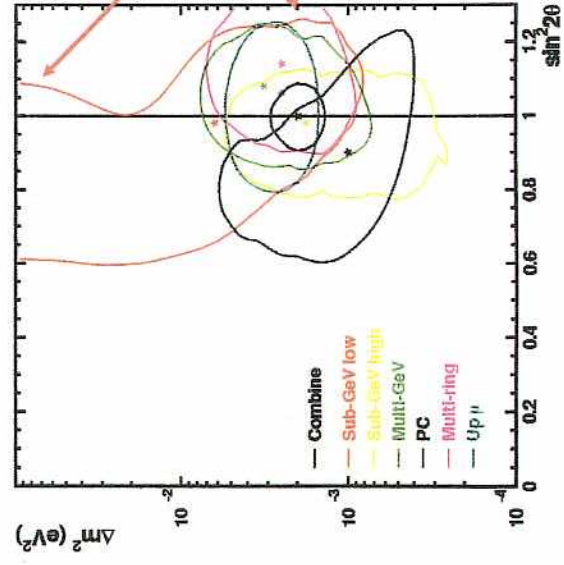
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# Sub-Sample Consistency



- This low energy sub-sample's only handle on oscillations is the  $\mu/e$  flavor ratio
- Used to be high (alone!), is now consistent with other sub-samples

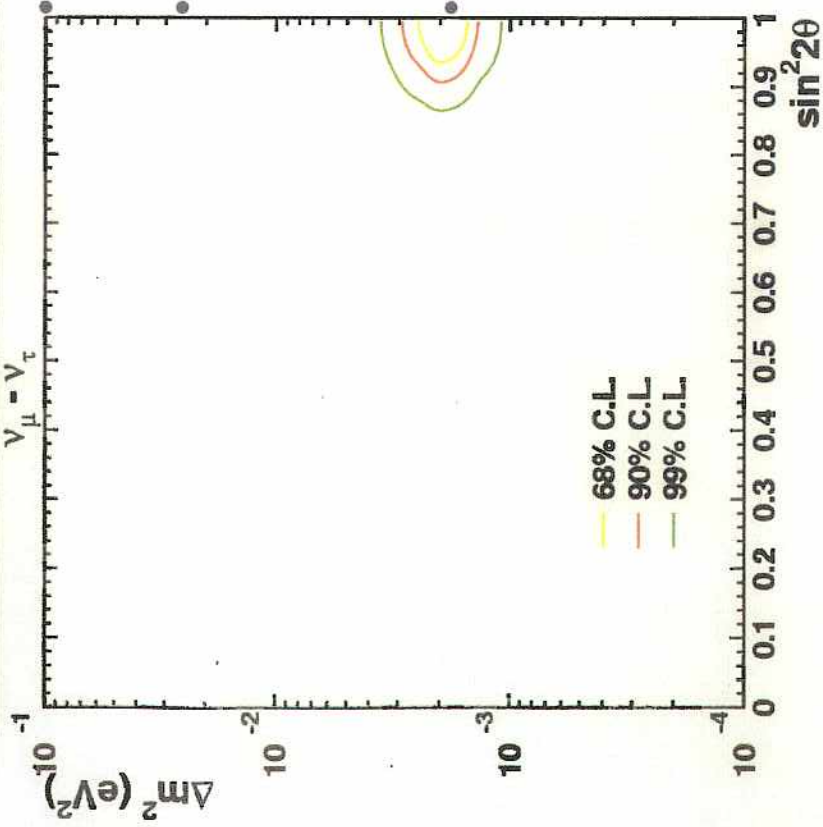


Note open-ended "swoosh" shape of a one-parameter flavor ratio fit to two osc. parameters (lowest E event sub-sample)



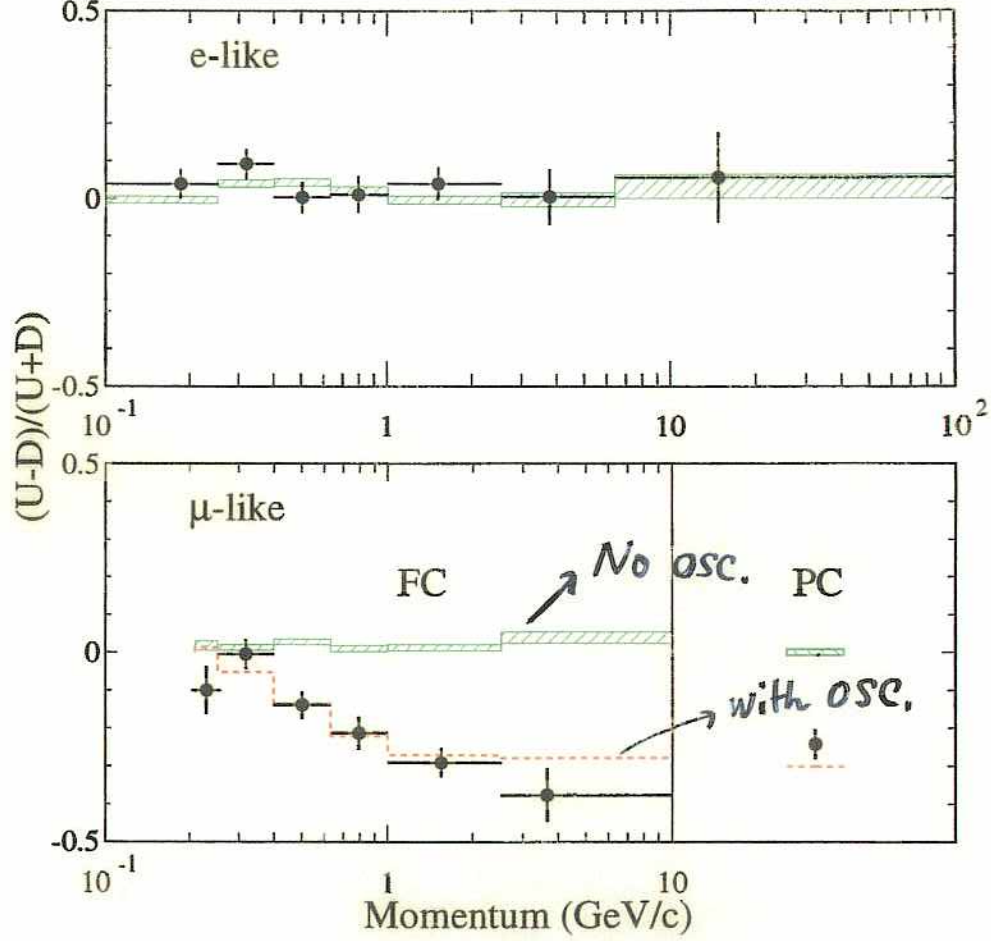


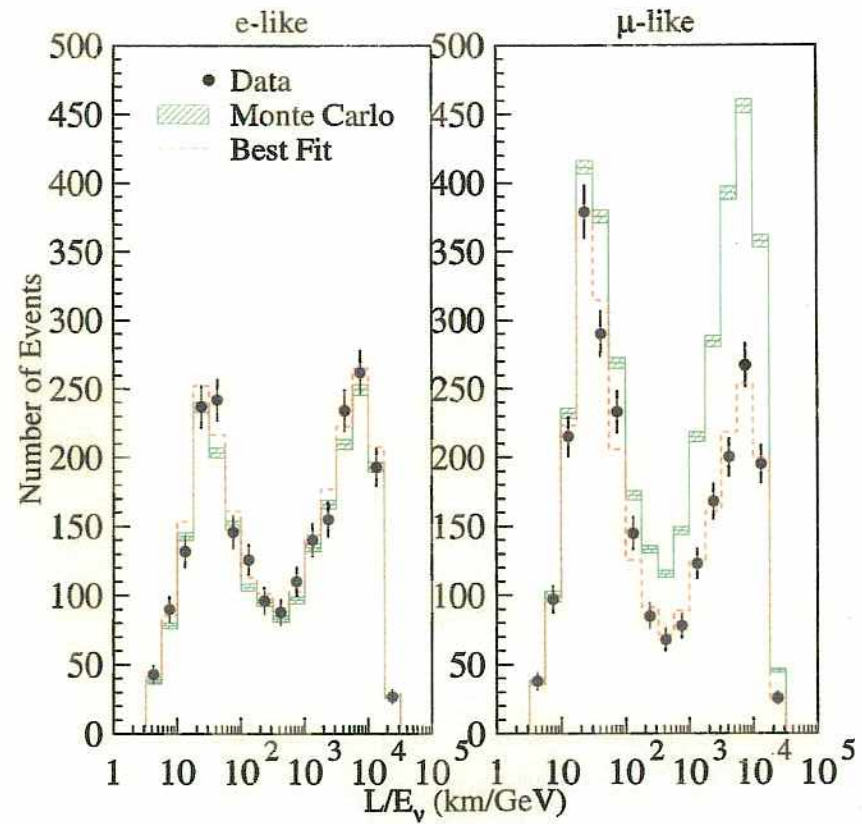
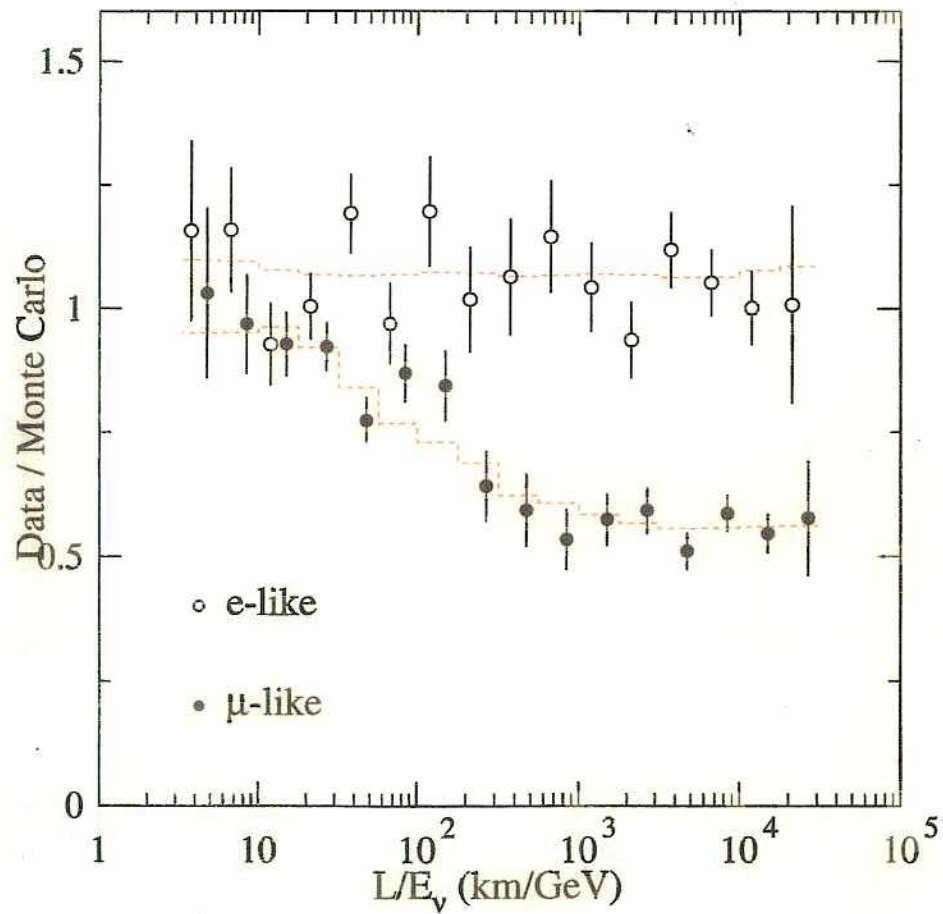
# New Oscillation Results



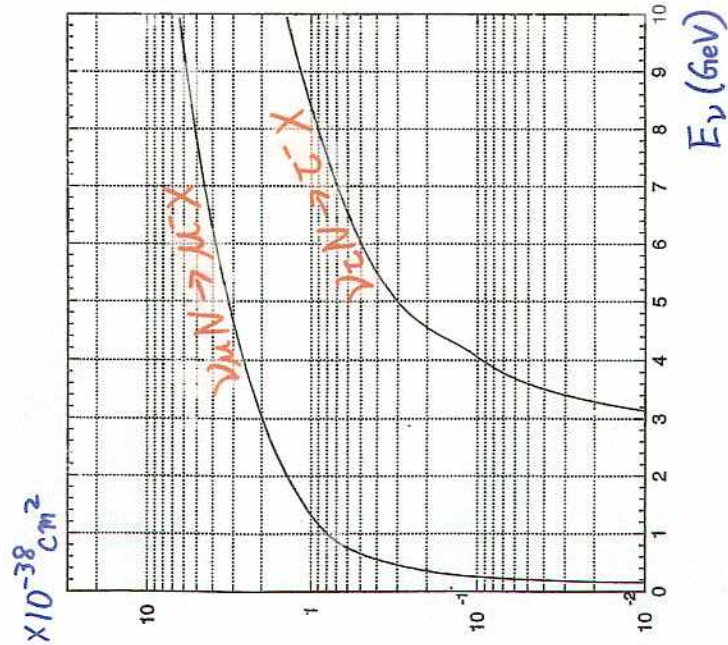
For  $\nu_{\mu} \leftrightarrow \nu_{\tau}$  oscillation:  
 Best fit:  
 $\sin^2(2\theta) = 1.0$ ,  
 $\Delta m^2 = 2.0 \times 10^{-3}$  eV<sup>2</sup>

- $\chi^2 = 170.8/170$  dof
- 90% c.l. region:
- $\sin^2(2\theta) > 0.9$
- $1.3 < \Delta m^2 < 3.0 \times 10^{-3}$  eV<sup>2</sup>

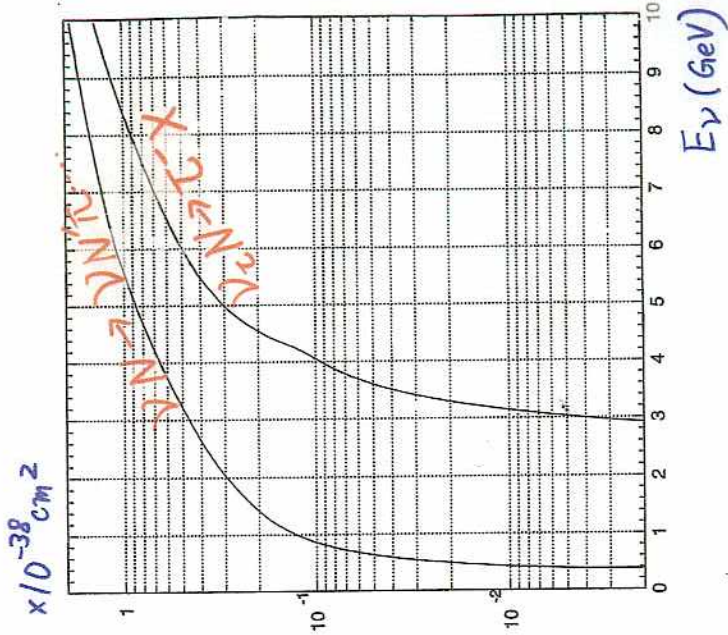




$\nu_\tau$  cross sections

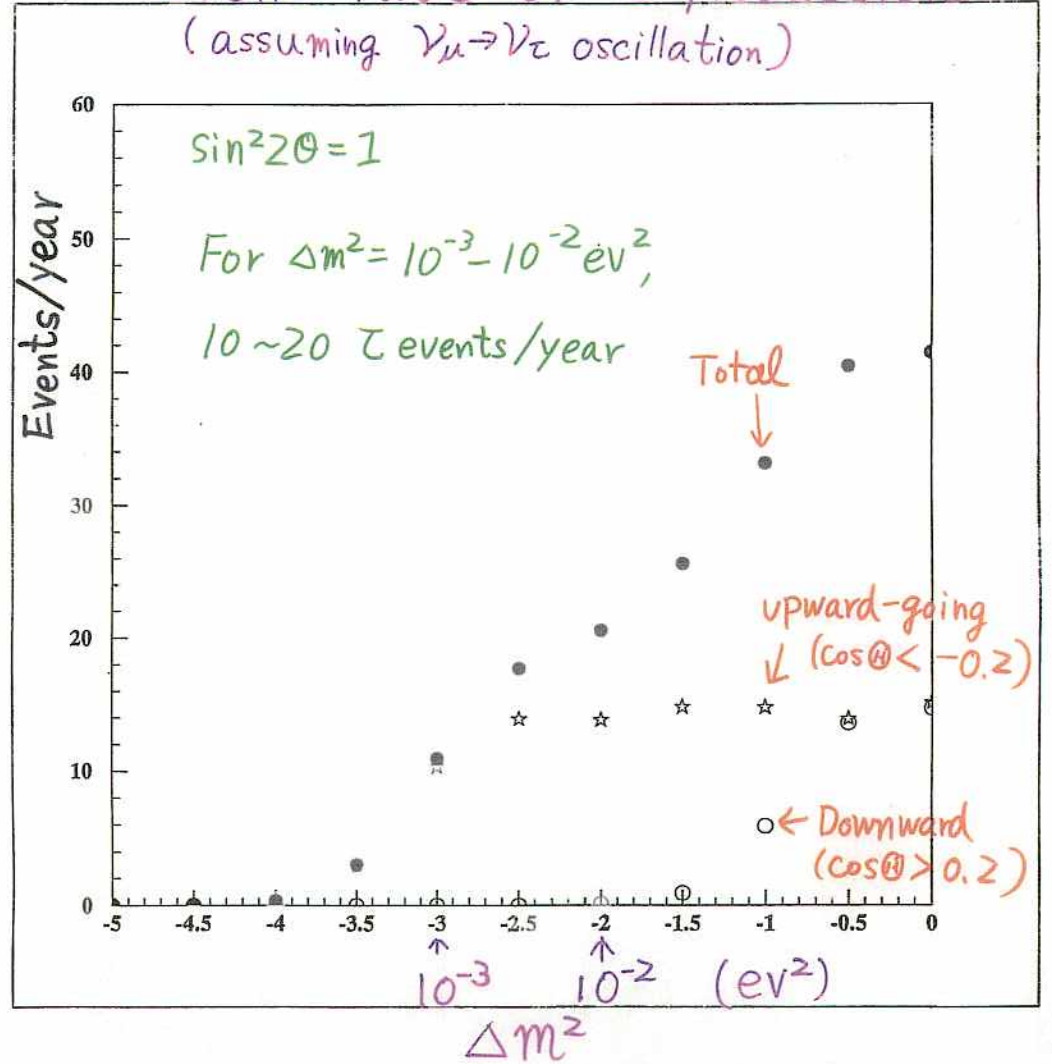


atmsk-18



atmsk-19

Event rate of  $\tau$  production  
(assuming  $\nu_\mu \rightarrow \nu_\tau$  oscillation)



*→ 2.1.1.2*

$\nu_\mu \rightarrow \nu_\tau$  or  $\nu_\mu \rightarrow \nu_{\text{sterile}}$  ?

Using MSW effect and enriched NC sample

$\nu_\mu \rightarrow \nu_\tau$  : No matter effect

$\nu_\mu \rightarrow \nu_s$  : With matter effect

**Neutrino oscillation in matter:**

$$\begin{pmatrix} \nu_\mu \\ \nu_s \end{pmatrix} = \begin{pmatrix} \cos\theta_m & \sin\theta_m \\ -\sin\theta_m & \cos\theta_m \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

$$\sin^2 2\theta_m = \frac{\sin^2 2\theta}{(\zeta - \cos 2\theta)^2 + \sin^2 2\theta}$$

$$\zeta = -\sqrt{2} G_F n_n E_\nu / \Delta m^2$$

For  $\sin^2 2\theta = \sim 1$      $\sin^2 2\theta_m \sim \frac{1}{\zeta^2 + 1}$

And for  $E_\nu = 30 \sim 100$  GeV  $\Rightarrow \zeta \gg 1$  and

$$\sin^2 2\theta_m \ll 1$$

**Suppression !**

**Strategy:**

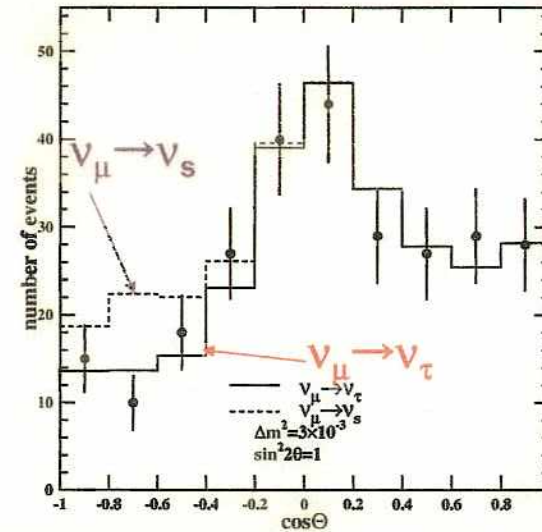
Obtained allowed region using lower energy events (Fully contained sample)

Then,

Test zenith angle of NC enriched events, high energy PC and through-going muon events.

## Zenith angle of high energy PC events

zenith angle distribution of high E ( $E_\nu > 5$  GeV) PC events (1144 days)

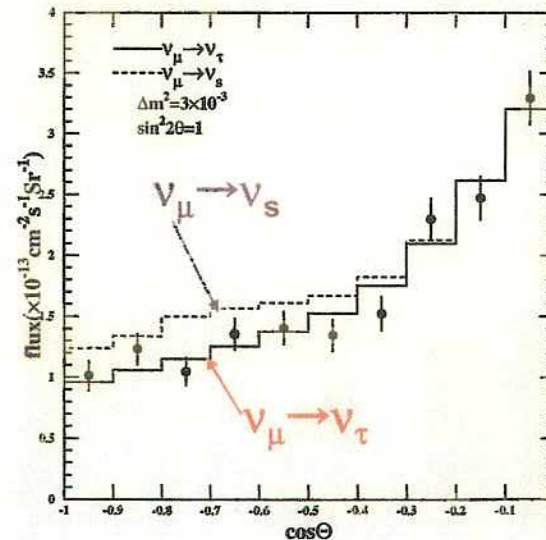


> 45000 p.e.  
( $E > \sim 5$  GeV)  
<math>\langle E \rangle \sim 25 GeV

$\Delta m^2 = 3 \times 10^{-3} \text{eV}^2$   
 $\sin^2 2\theta = 1$

## Zenith angle of upward-going muon

zenith angle distribution of upward through going  $\mu$  events (1138 days)



$\Delta m^2 = 3 \times 10^{-3} \text{eV}^2$   
 $\sin^2 2\theta = 1$

# Zenith angle of NC enriched events

## Criteria

> 400 MeV visible energy

Multi-ring event

e-like ring is the most energetic ring

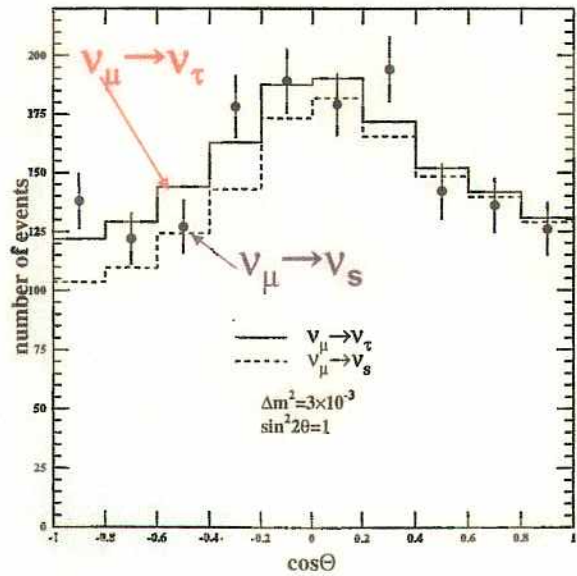
## Contents

NC : 29 %

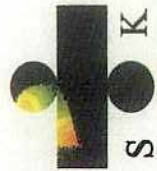
$\nu_e$  CC : 46 %

$\nu_\mu$  CC : 25 %

zenith angle distribution of N.C. enriched multi-ring events (1144days)



$\Delta m^2 = 3 \times 10^{-3} \text{eV}^2$   
 $\sin^2 2\theta = 1$



# $\nu_\mu$ to $\nu$ sterile ?



• High energy  $\nu$  experience matter effects which suppress oscillations to sterile  $\nu$

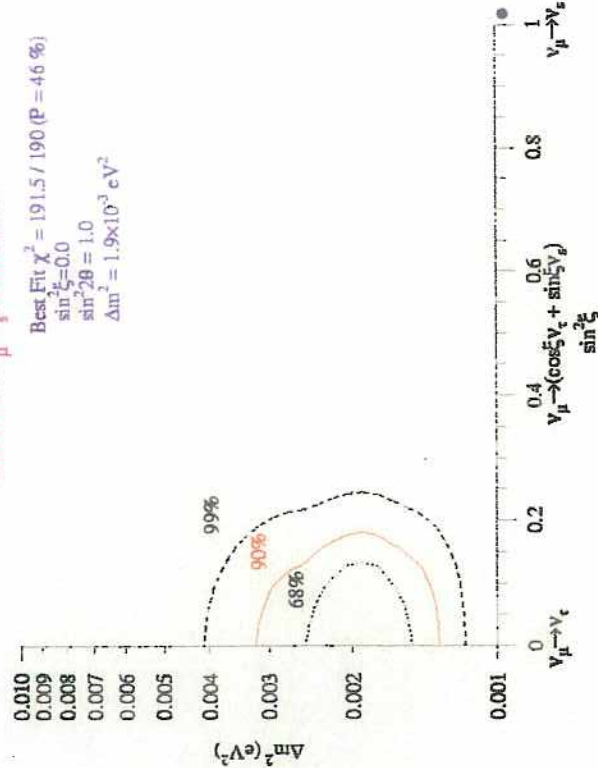
- Matter effects not seen in up- $\mu$  or high-energy PC data
- Reduction in neutral current interactions also not seen
- constrains  $\nu_s$  component of  $\nu_\mu$  disappearance oscillations

Pure  $\nu_\mu \leftrightarrow \nu_s$  disfavored

- $\nu_s$  fraction < 20% at 90% c.l.

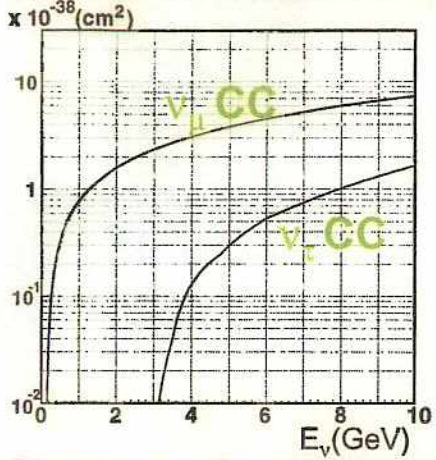
### Limit On $\nu_\mu - \nu_s$ Admixture

Best Fit  $\chi^2 = 191.5 / 190$  (P = 46 %)  
 $\sin^2 \xi = 0.0$   
 $\sin^2 2\theta = 1.0$   
 $\Delta m^2 = 1.9 \times 10^{-3} \text{ eV}^2$

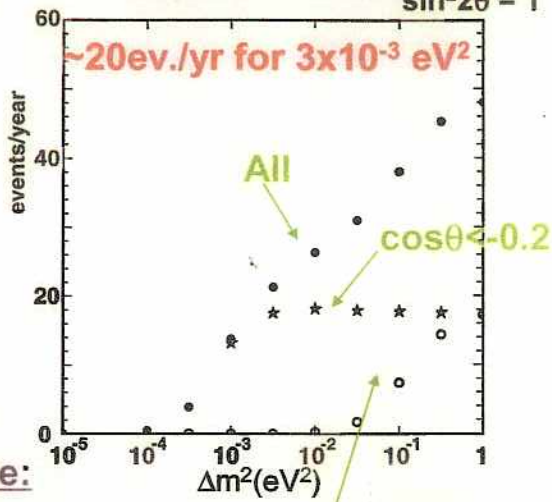


# $\nu_\mu \rightarrow \nu_\tau$ appearance search

## Neutrino CC cross sections



## Expected $\tau$ events $\sin^2 2\theta = 1$



## Signature of $\tau$ appearance:

$\nu_\tau + N \rightarrow \tau + N' + \pi + \pi \dots$   
 $\rightarrow \mu\nu\nu, e\nu\nu, \nu + \text{hadrons}(\pi, \pi, \dots)$

- Higher multiplicity of Cherenkov rings
- More  $\mu \rightarrow e$  decay signals
- More spherical event pattern

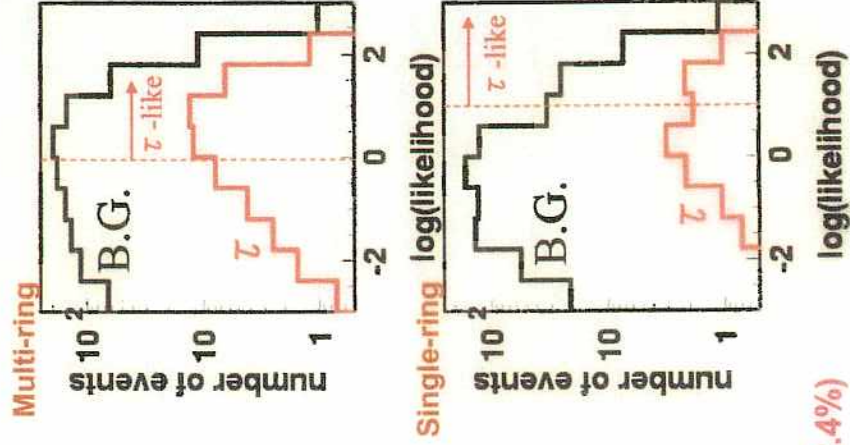
## Search for $\tau$ appearance (3 methods):

- (1) Energy flow and event shape analysis
- (2) Likelihood method using # of rings,  $\mu \rightarrow e$ , max p.e. ring and etc.
- (3) Neural network method

Each method is optimized using only downward going events and then looks at upward going events. (I.e. blind method to disable systematic bias.)

May-2002 Neutrino2002 @ Munich

## $\tau$ detection in atmospheric $\nu$



### Selection Criteria

- multi-GeV, multi-ring
- most energetic ring is e-like
- $\log(\text{likelihood}) > 1$  (single-ring)  
 $> 0$  (multi-ring)

$\tau$  likelihood is defined using:

- total energy
- number of rings
- number of decay electrons
- $\max(E_i) / \sum E_i$
- distance between  $\nu$  interaction point and decay-e point
- $\max(P_\mu)$
- $P_t / E_{vis}^{3/4}$
- PID likelihood of most energetic ring

$\tau$ -like selection;  $\text{eff} \tau = 44\%$ ,  $S/N = 8\%$

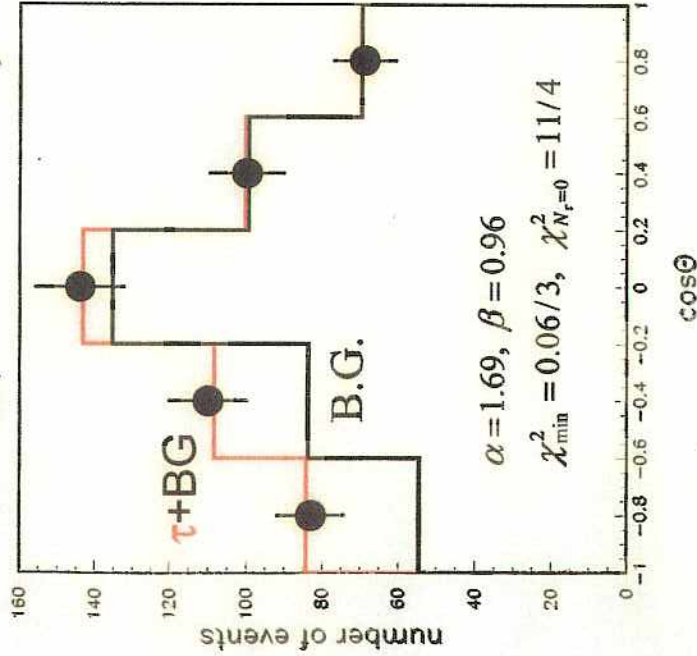
observed  $\tau$ -like events; 506

MC expectation; CC  $\nu_\tau$  37 events,

BG 461 events (CC  $\nu_e$  43.1%, CC  $\nu_\mu$  24.5%, NC 32.4%)

# zenith angle dist. of $\tau$ -like events

$$\chi^2 = \sum_{\cos\theta}^3 \left( \frac{N_{data} - (\alpha N_{MC}^{\tau} + \beta N_{BG}^{BG})}{\sigma} \right)^2$$



■  $N_{FC\tau} = \alpha N_{MC}^{\tau} / (\text{eff.} = 0.44)$   
 $= 145 \pm 44 (\text{stat.})$   
 $+ 11 / -16 (\text{sys.})$

$N_{exp} = 86$

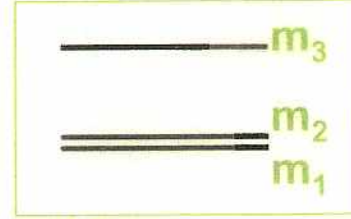
■ consistent with  $\nu_{\mu} \leftrightarrow \nu_{\tau}$

■ another analysis gives similar results:

\* analysis-2 (neural network)  
 $N_{FC\tau} = 99 \pm 39 (\text{stat.})$   
 $+/- 13 (\Delta m^2)$   
 $+ 0 / -16 (3\text{-flavor})$

## Three-Flavor Analysis

$$\begin{pmatrix} \nu_e \\ \nu_{\mu} \\ \nu_{\tau} \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$



Assuming  $m_3 \gg m_1, m_2$

Oscillation can be expressed by

$$\Delta m^2 (= m_3^2 - m_{1,2}^2), U_{e3}^2, U_{\mu3}^2, U_{\tau3}^2 (= 1 - U_{e3}^2 - U_{\mu3}^2)$$

U (Maki-Nakagawa-Sakata Matrix) =

$$\begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{13}s_{23}e^{-i\delta} & c_{12}c_{23} - s_{12}s_{13}s_{23}e^{-i\delta} & c_{13}s_{23} \\ s_{12}s_{23} - c_{12}s_{13}c_{23}e^{-i\delta} & -c_{12}s_{23} - s_{12}s_{13}c_{23}e^{-i\delta} & c_{13}c_{23} \end{pmatrix}$$

$s_{ij} = \sin\theta_{ij}, c_{ij} = \cos\theta_{ij}$

- $\theta_{13} = 0$  pure  $\nu_{\mu} \leftrightarrow \nu_{\tau}$
- $\theta_{23} = \pi/2$  pure  $\nu_e \leftrightarrow \nu_{\mu}$
- $\theta_{23} = 0$  pure  $\nu_e \leftrightarrow \nu_{\tau}$

# Active 3 flavor oscillation analysis



assuming  $\Delta m^2_{23} = \Delta m^2_{\text{atm}} \sim O(10^{-3}) \text{ eV}^2$   
 $\Delta m^2_{12} = \Delta m^2_{\text{sol}} < O(10^{-4}) \text{ eV}^2 \ll \Delta m^2_{\text{atm}}$

neutrino oscillations in vacuum are described as;

$$\begin{aligned}
 P(\nu_e \rightarrow \nu_\mu) &= \sin^2(2\theta_{13}) \times \sin^2\theta_{23} \times \sin^2(1.27\Delta m^2 L/E) \\
 P(\nu_\mu \rightarrow \nu_\tau) &= \cos^4\theta_{13} \times \sin^2(2\theta_{23}) \times \sin^2(1.27\Delta m^2 L/E) \\
 P(\nu_\tau \rightarrow \nu_e) &= \sin^2(2\theta_{13}) \times \cos^2\theta_{23} \times \sin^2(1.27\Delta m^2 L/E)
 \end{aligned}$$

3 parameters;  $\Delta m^2(=m^2_3 - m^2_2)$ ,  $\theta_{13}$  ( $\sin^2\theta_{13} < 0.026$ ),  $\theta_{23}$  ( $\sim \pi/4$ )

Oscillation effect of  $\nu_e$  flux is cancelled out @ low energy ( $E_\nu < 1 \text{ GeV}$ )  
 However, possible matter effect @ high energy ( $E_\nu > 3 \text{ GeV}$ )

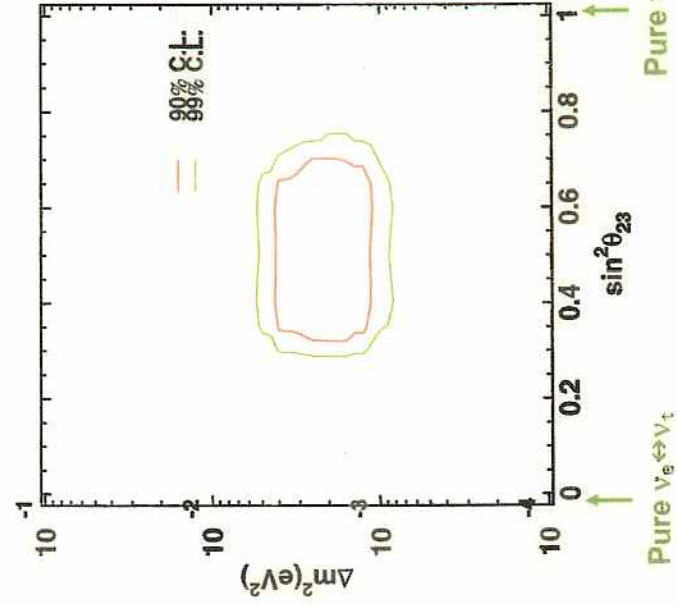
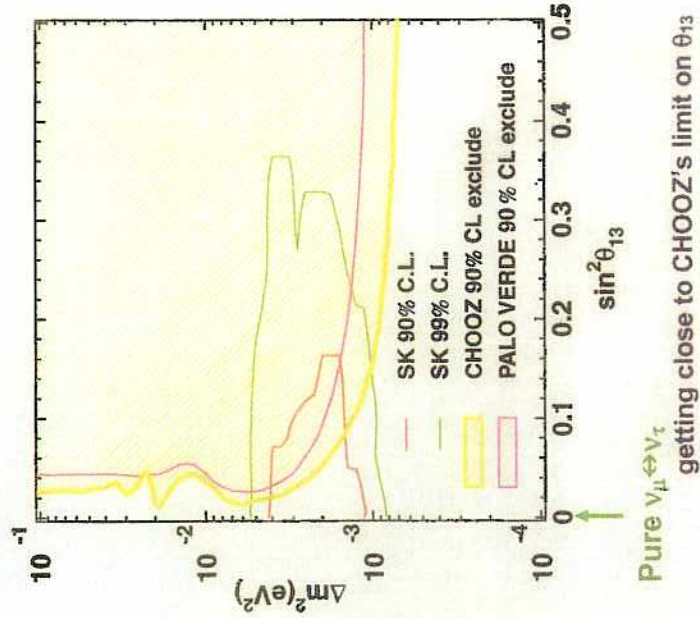
nonzero  $\theta_{13}$



resonance happens at  $E_\nu \sim 8 \text{ GeV}$  (Mantle)  
 $E_\nu \sim 3 \text{ GeV}$  (core) (for  $\Delta m^2 = 3 \times 10^{-3} \text{ eV}^2$ )

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# Allowed region for active 3-flavor oscillations



consistent with CHOOZ's excluded region

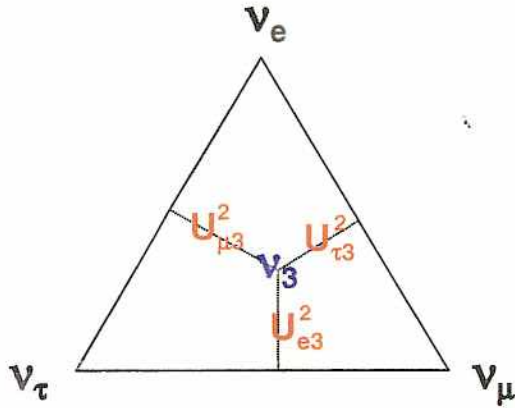


### Triangular plot for three flavor analysis

ref.: Fogli, Lisi, Marrone and Scioscia,

Phys. Rev. D59, 33001(1998); hep-ph/9904465.

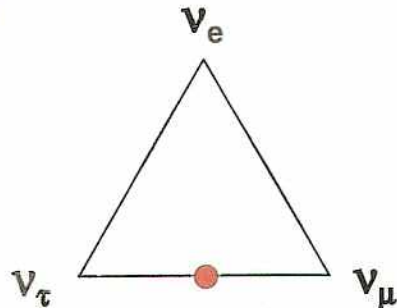
$$\nu_3 = U_{e3}\nu_e + U_{\mu3}\nu_\mu + U_{\tau3}\nu_\tau$$



Unitarity is automatically satisfied by the triangular representation.

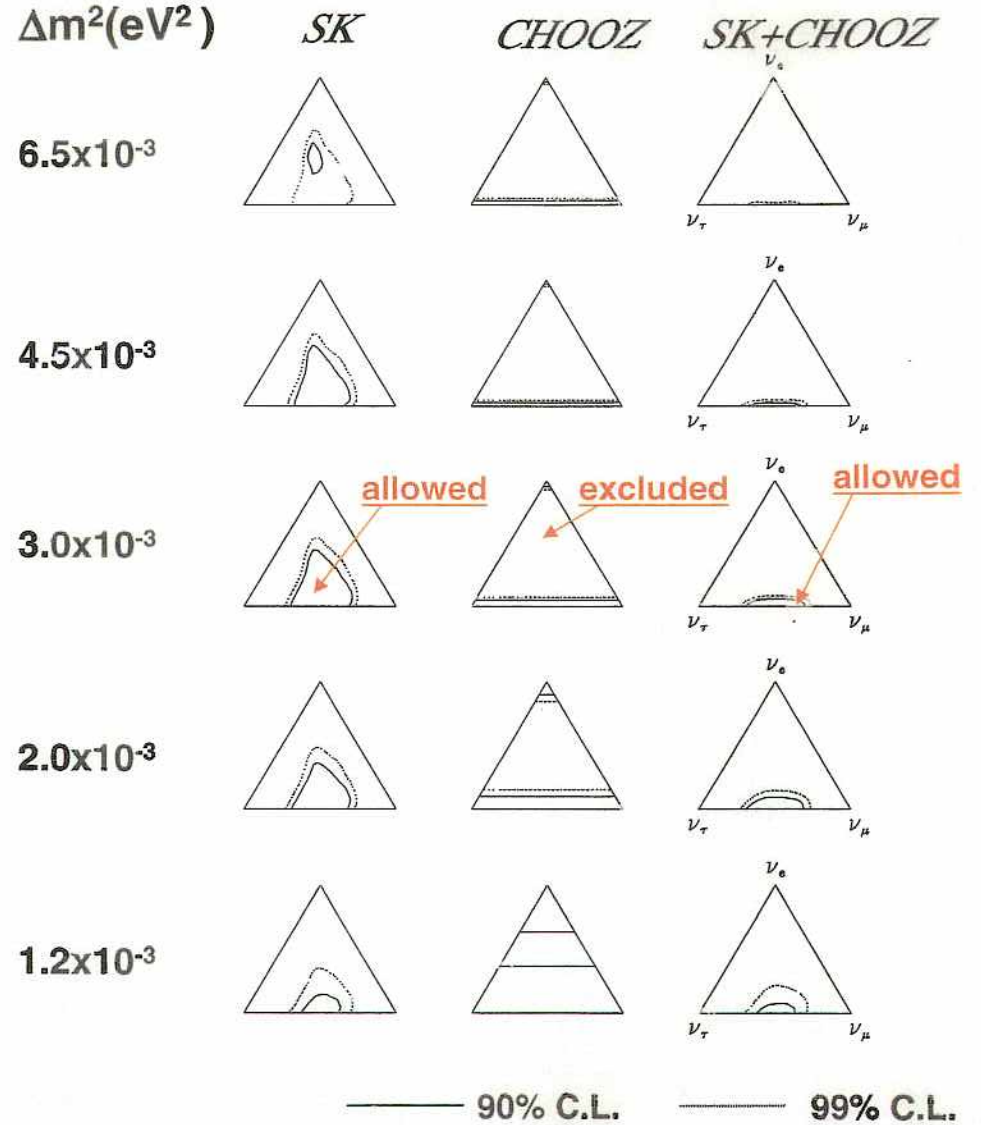
$$U_{e3}^2 + U_{\mu3}^2 + U_{\tau3}^2 = 1$$

For example, maximal pure  $\nu_\mu \rightarrow \nu_\tau$  oscillation ( $U_{\mu3}^2 = U_{\tau3}^2 = 1/2$ ) is



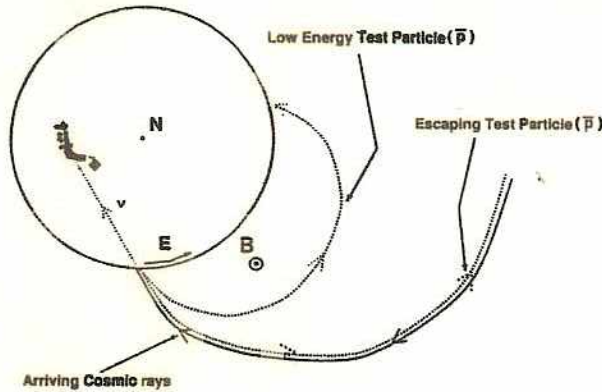
### Allowed region for each $\Delta m^2$

(Fogli et al., hep-ph/9904465)

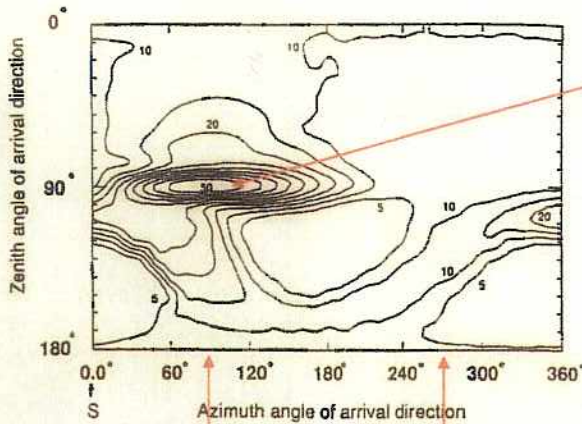


# East/West effect of atmospheric neutrinos

## Trajectory of cosmic-rays in earth magnet



## Cutoff rigidity



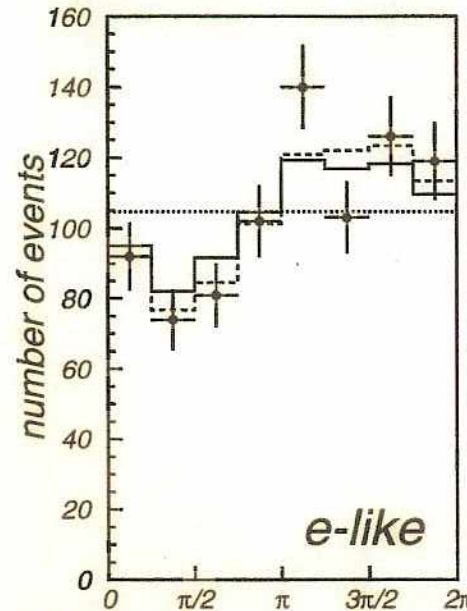
Suppress primary cosmic Rays for this direction  
 $P > 50 \text{ GeV}$

Coming from east (going to west)

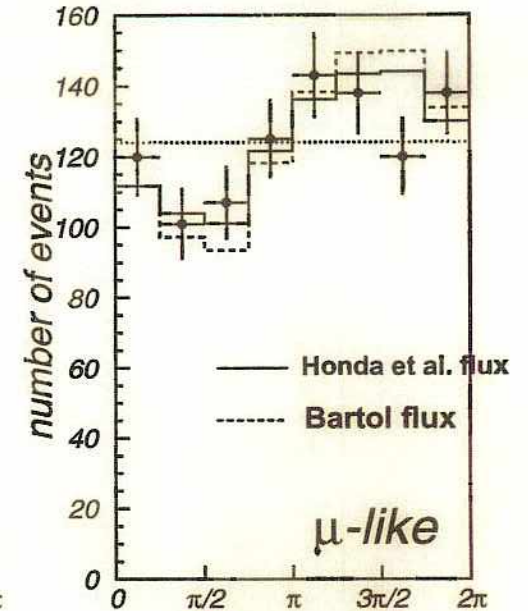
west

# East/West effect data from SK

## Azimuth angle distribution



Going to W  $\phi$  E



W  $\phi$  E

## Event selection criteria

$$-0.5 < \cos\theta < 0.5$$

$$400 \text{ MeV}/c < p < 3000 \text{ MeV}/c$$

# Atmospheric neutrinos

## Other experiments

SOUDAN-2

MACRO

...

⑤

## # of "other" atmospheric $\nu$ 's

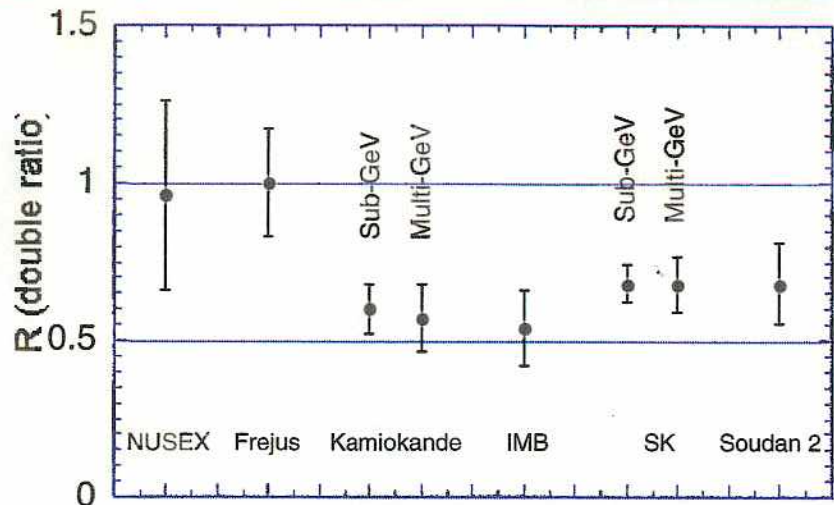
	0 contained	121 $\nu$ induced $\mu$
● CWI/SAND	0	121
● KGF	100	229
● NUSEX	40	0
● Soudan 1	1	0
● Frejus	271	44
● IMB	935	624
● Kamioka	557	372
● Soudan 2	561	85
● LVD*	0	?+
● BAKSAN*	0	801+
● MACRO	285	940

$\Sigma = 6214\nu$

+  $\nu$  telescopes  
 AMANDA\* 204+(cut-L7)  
 Baikal\* 44+  
 Talks Thursday

\* Still running

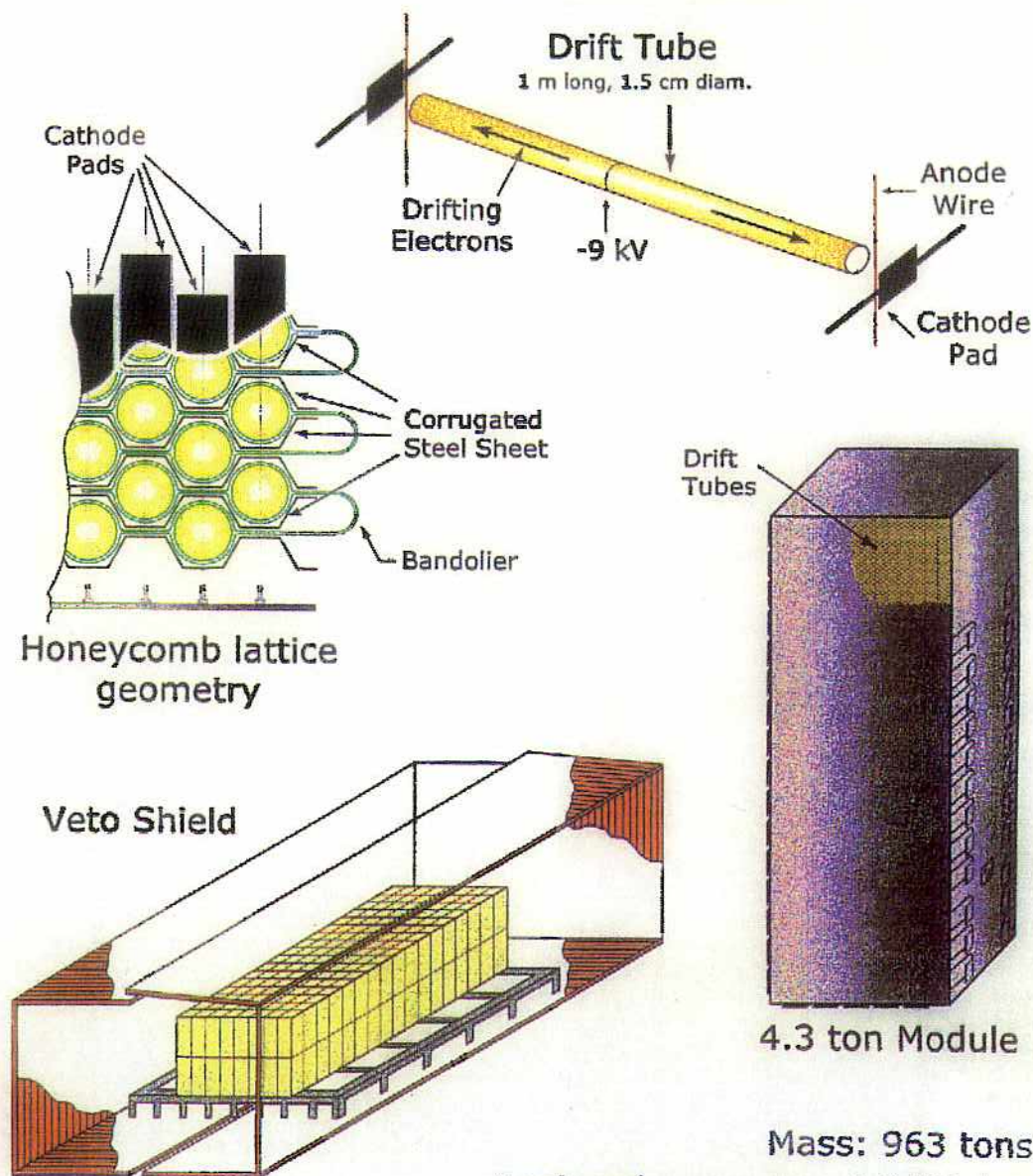
$R(= \frac{(\mu/e)_{Data}}{(\mu/e)_{MC}})$  measured by experiments



experiment	kt·yr	ev #	R (data/MC)
NUSEX	0.74	50	0.96 +0.32/-0.28
Frejus	2.0	200	1.00 ± 0.15 ± 0.08
Kam sub-GeV	7.7	482	0.60 +0.06/-0.05 ± 0.05
Kam multi-GeV	8.2	233	0.57 +0.08/-0.07 ± 0.07
IMB	7.7	610	0.54 ± 0.05 ± 0.11
SK sub-GeV	52.3	5134	0.68 ± 0.02 ± 0.05
SK multi-GeV	52.3	2122	0.68 ± 0.04 ± 0.08
Soudan 2	4.6	220	0.68 ± 0.11 ± 0.06

## The Soudan 2 Detector:

Slow-drift time projection chamber



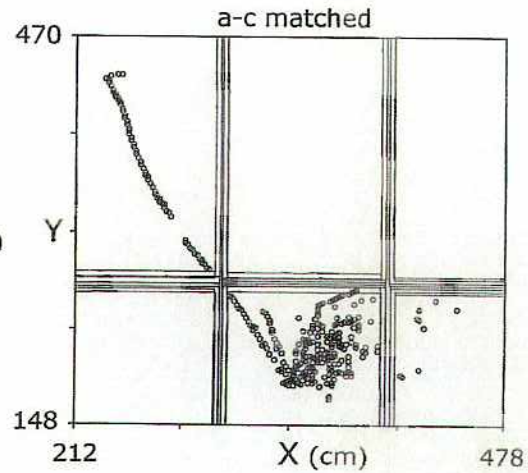
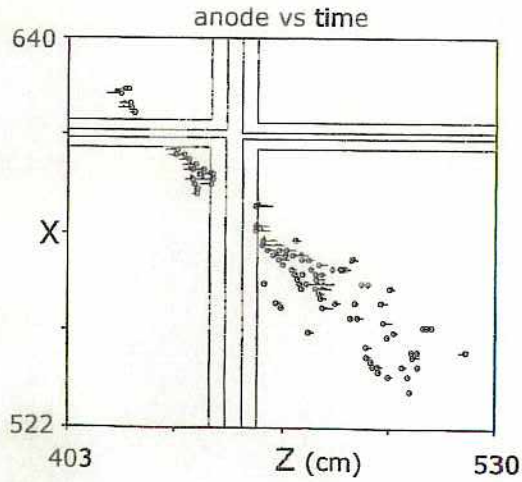
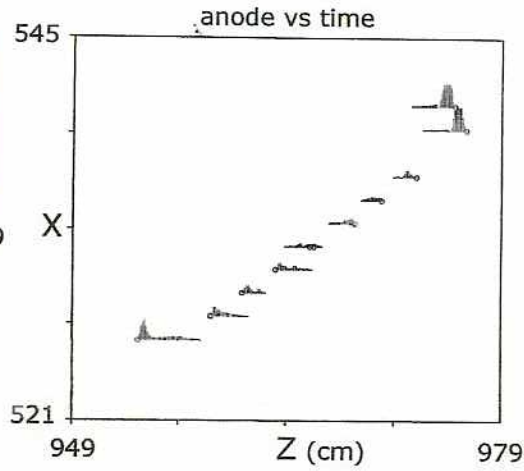
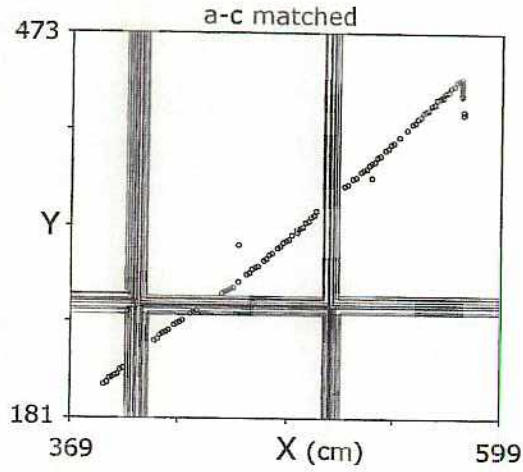
4.3 ton Module

Mass: 963 tons

Analyzed exposure: 4.6 fid. kty

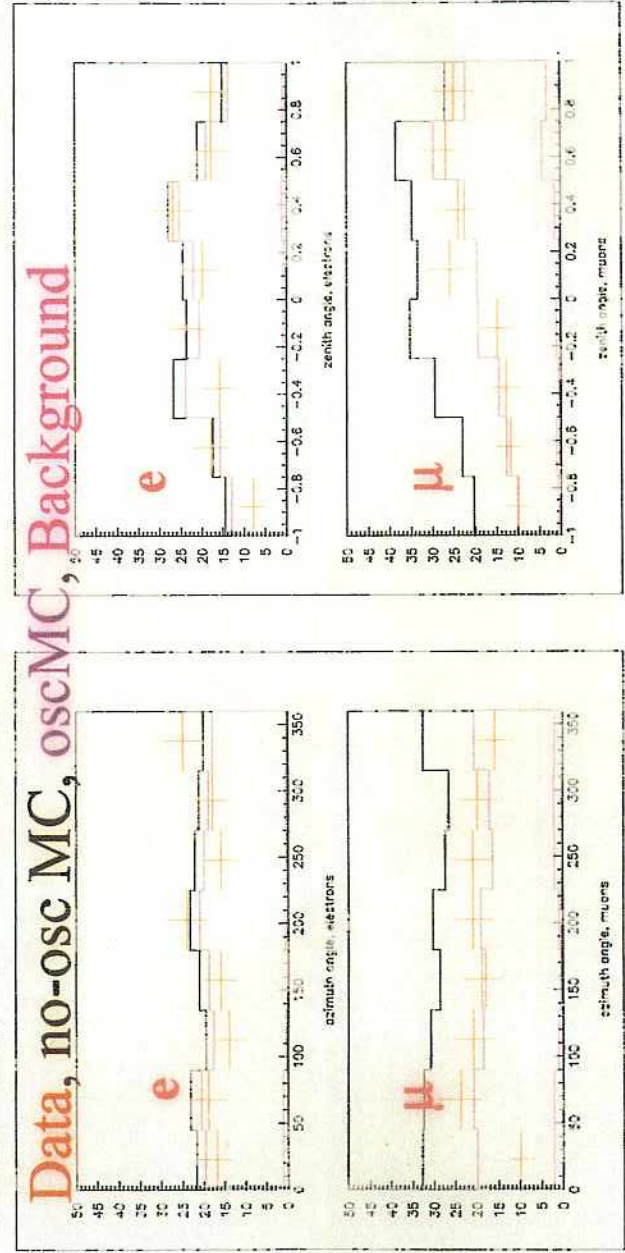
# Soudan 2

## Track, Shower, Multiprong Events in Soudan 2:



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## Soudan 2; Azimuth & Zenith plots



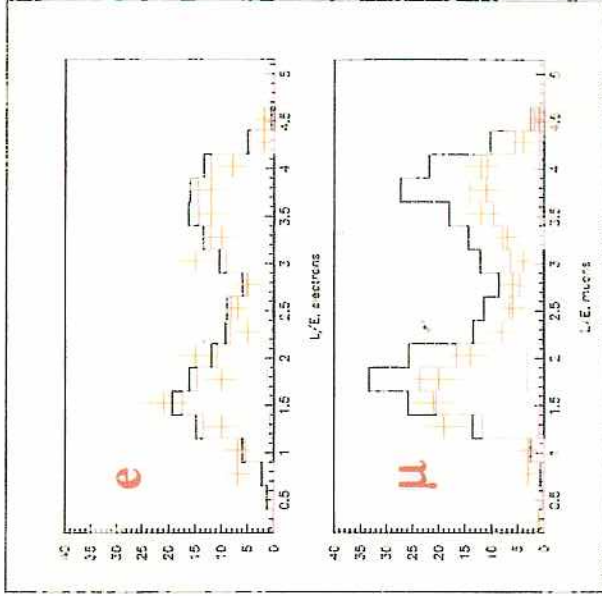
# Soudan 2 Data sets, 5.90 kt years

## R values

no cut	
❖ all	0.768 ± 0.098
❖ hires	0.681 ± 0.096
❖ lowres t/s	0.807 ± 0.278
❖ lowres m	0.826 ± 0.224

## 300 Mev cut

❖ all	0.708 ± 0.092
❖ hires	0.643 ± 0.105
❖ lowres t/s	0.641 ± 0.260
❖ lowres m	0.851 ± 0.167



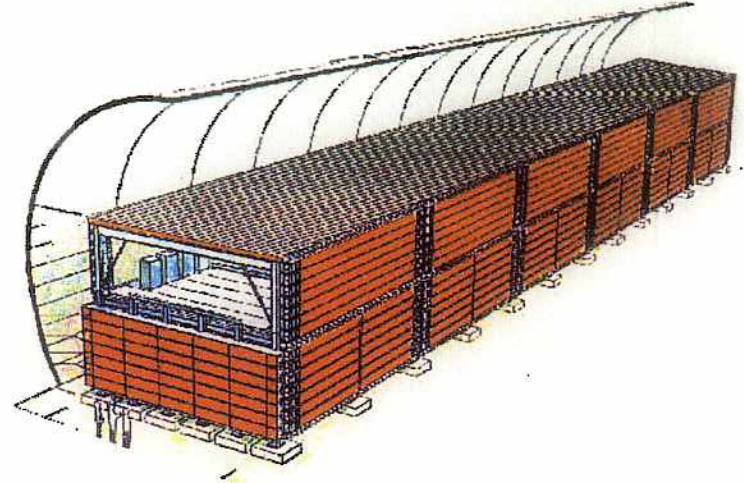
May 26, 2002

Maury Goodman, Neutrino 2002  
"Other Atmospheric ν Experiments"

L/E

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## MACRO detector (In Gran Sasso)



Tracking calorimeter: 76.6m x 12m x 9.3 m

Tracking: Streamer tubes with 3cm cells, wire and 27 deg. stereo strip readout.

Angular resolution < 1 deg.

Timing for directionality:

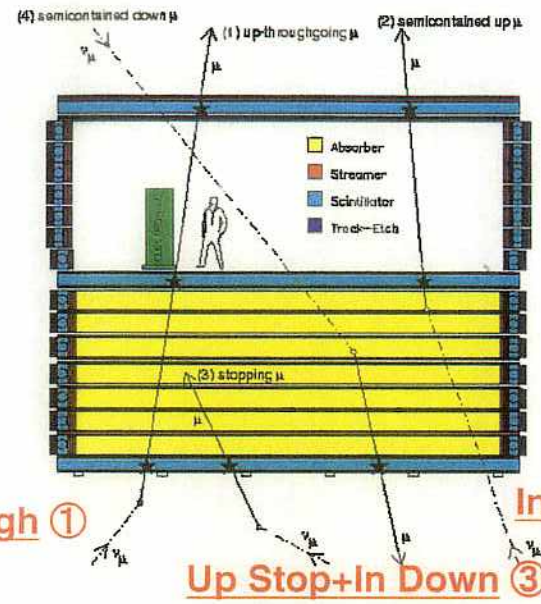
Liquid scintillation counters

3 horizontal planes and vertical walls

Timing resolution 0.5 nsec.

Total Mass: ~5.3 kilotons

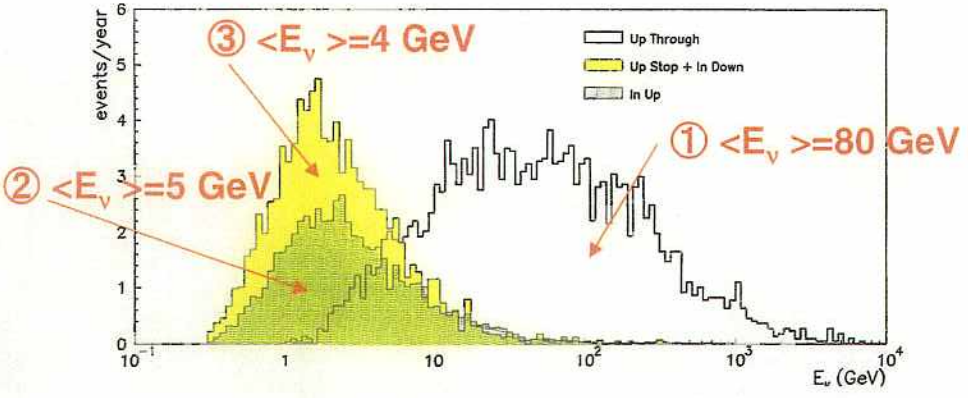
# MACRO $\nu_\mu$ event topology



Up Through ①

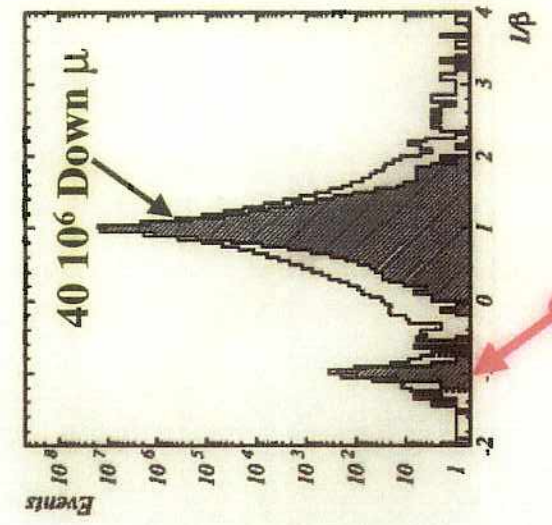
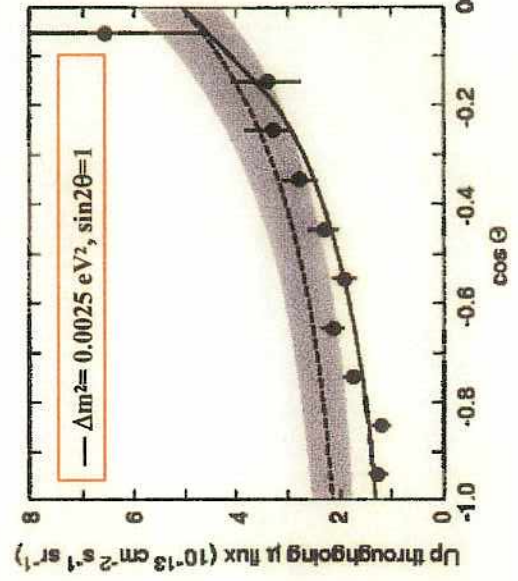
In Up ②

Up Stop+In Down ③



Parent neutrino energy

# Macro High Energy Events



863 Upward  $\mu$

# $\Delta m^2 \sin^2(2\theta)$ Comparison

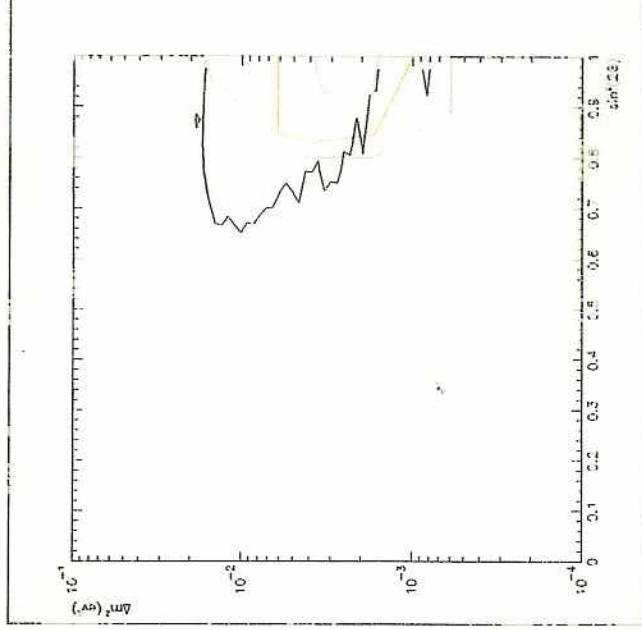
color code:

Soudan

Macro HE

Macro LE

Super-Kamiokande



May 26, 2002

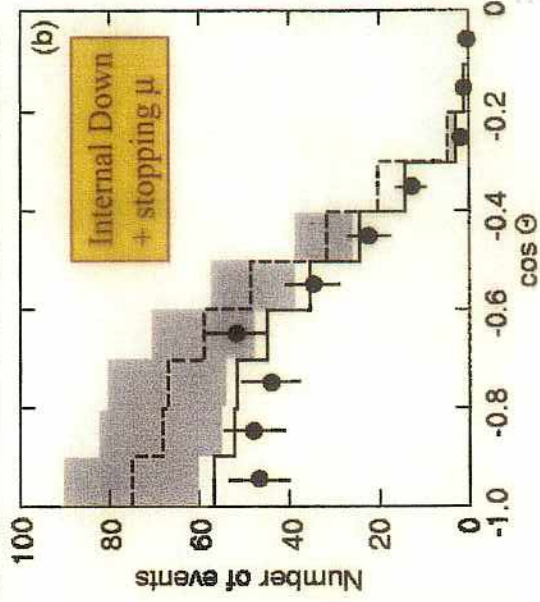
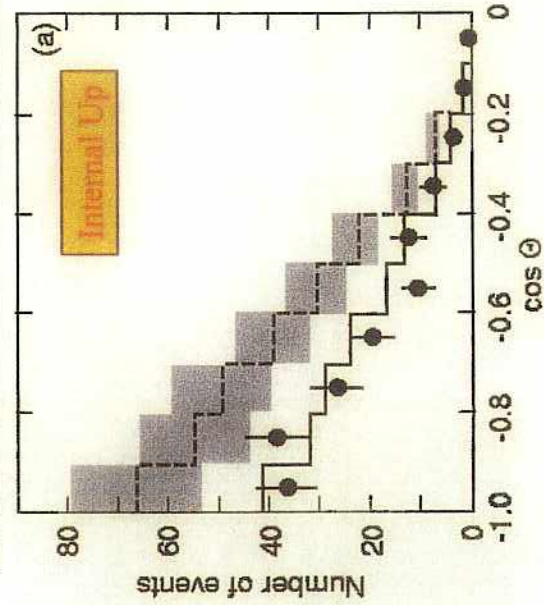
Meury Goodman, Neutrino 2002  
"Other Atmospheric ν Experiments"

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ATM07-10

08

# Macro Low Energy Events



May 26, 2002

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"Other Atmospheric ν Experiments"

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ATM07-10



# K2K long baseline experiment

⑥

## K2K long baseline neutrino experiment

**Super-Kamiokande**

**250 km**

**Front detectors**

**KEK PS**



~400 ν events  
(no oscillation)

$\nu_\mu$  beam

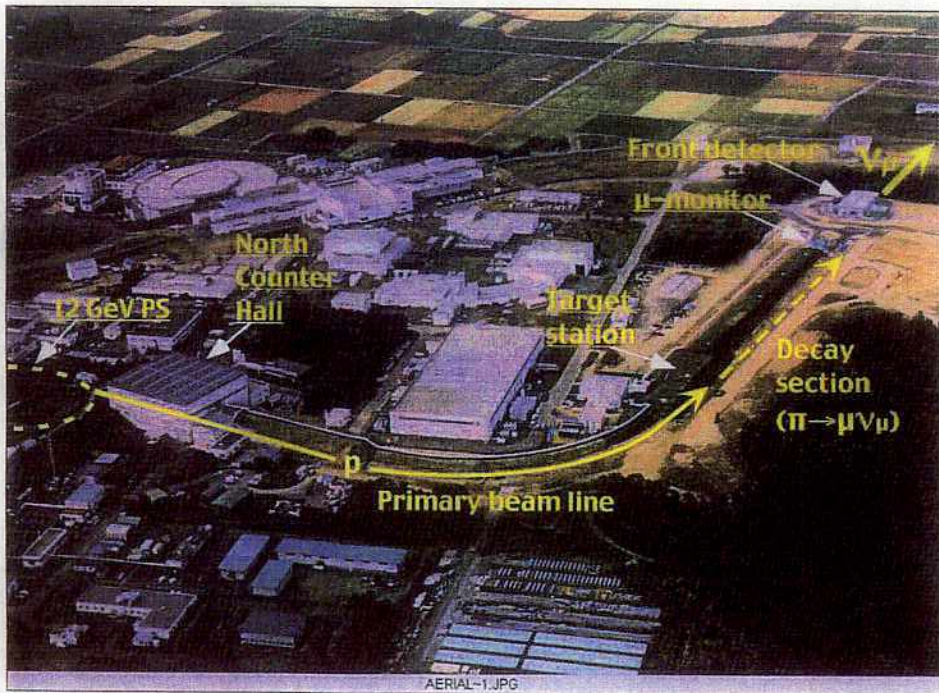
$\langle E_\nu \rangle = \sim 1.5 \text{ GeV}$

10<sup>20</sup> pot

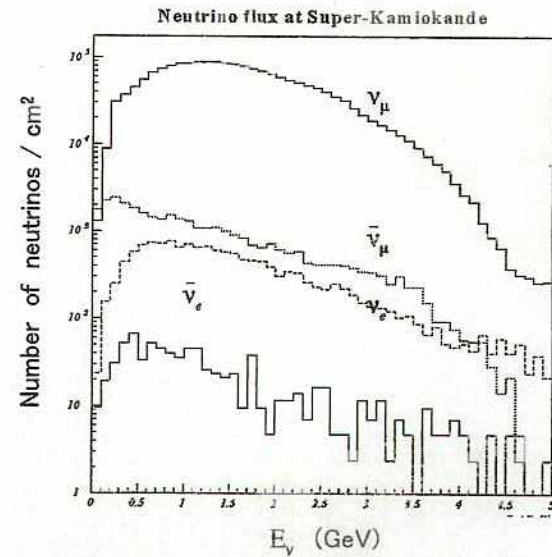
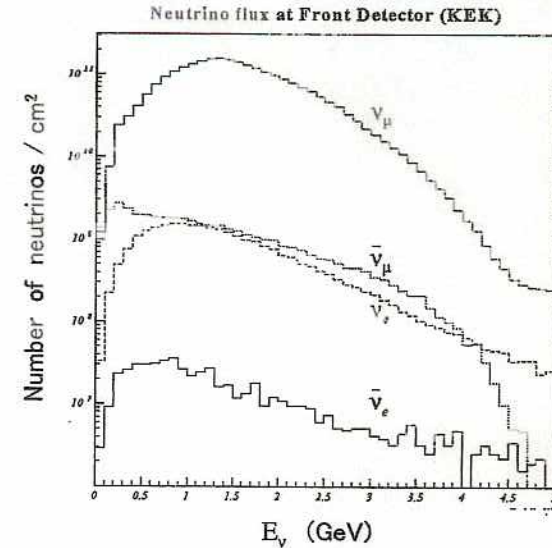
12 GeV

## K2K Experiment

- Accelerator: 12 GeV proton synchrotron  
 beam intensity:  $6 \times 10^{12}$  protons/pulse  
 repetition: 1 pulse / 2.2 sec  
 pulse width: 1.1  $\mu$ sec (9 bunches)
- Front (near) detector: 300m from the target
- Far detector (Super-Kamiokande): 250 km from the target



K2K

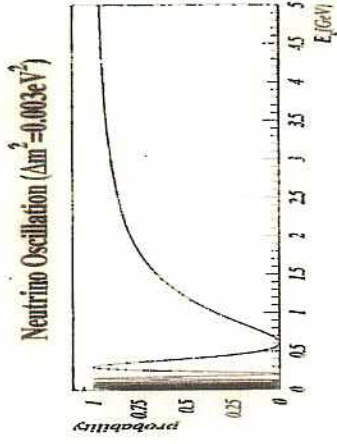
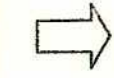


# Neutrino oscillation @ K2K

Oscillation probability

constant (250km)

$$\text{prob.} = \sin^2 2\theta \cdot \sin^2 \left( \frac{1.27 \Delta m^2 L}{E_\nu} \right)$$

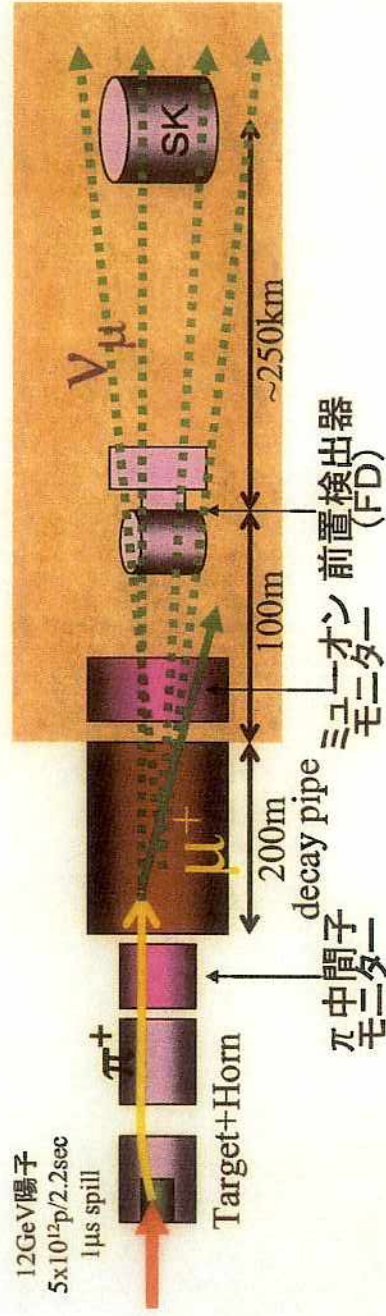


- reduction of number of events
- Spectrum distortion

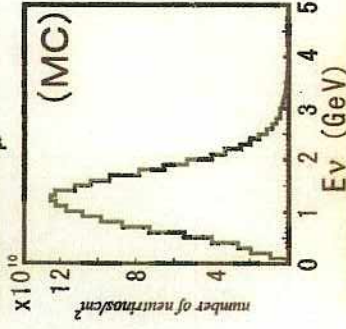
- measure neutrino flux @ ND
- Near to Far extrapolation
- compare number of events and spectrum shape @ SK



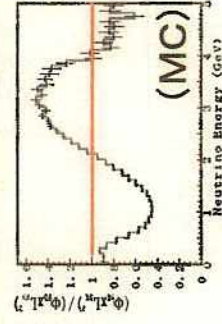
## Overview of experiment



生成時の  $\nu_\mu$  スペクトル



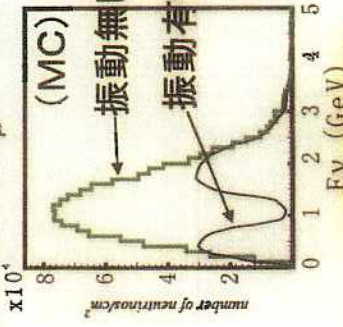
前置検出器で測定



スペクトルの比 ≠ 1

π 中間子モニターで測定

SK到達時の  $\nu_\mu$  スペクトル



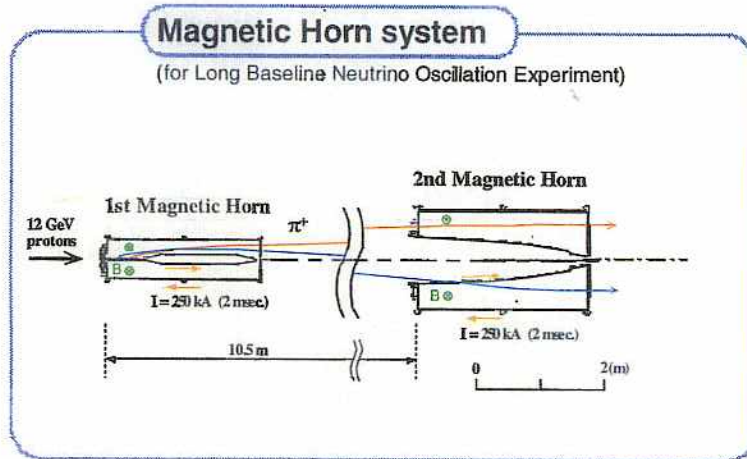
観測と比較

K2K

# Magnetic horn system

Maximum current: 250 kA

Neutrino flux: intensified by a factor of 14



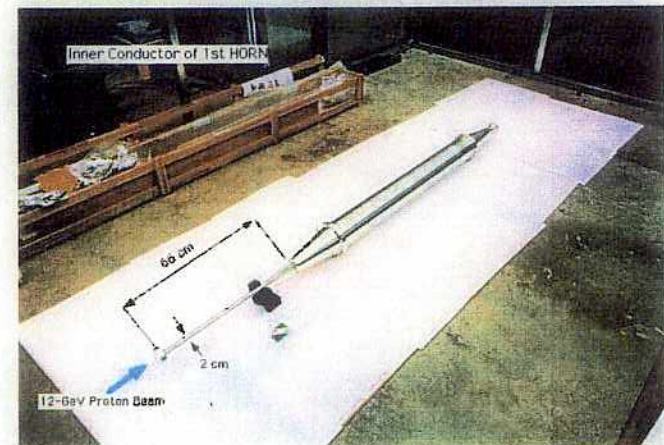
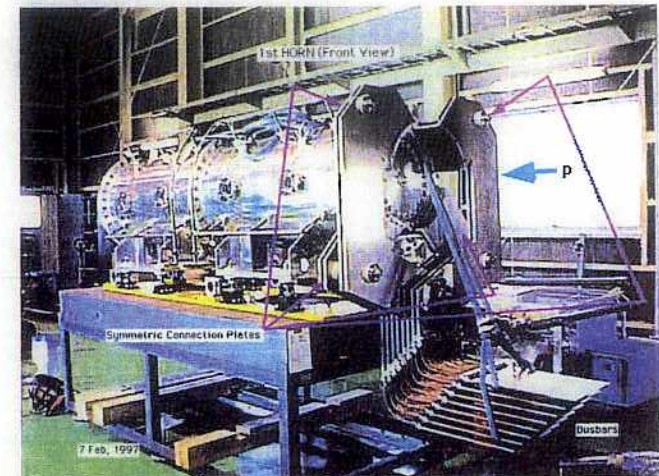
Design

- Optical Higher  $\nu$ -flux
- Mechanical Maxwell stress
- Electrical R, L

		1st Horn	2nd Horn
Length	(mm)	2400	2650
Outer Diam.	(mm)	620	1520
Inner conductor	(mm)	3	3
Outer conductor	(mm)	10	10
Material		A6061-T6	A6061-T6 & T651

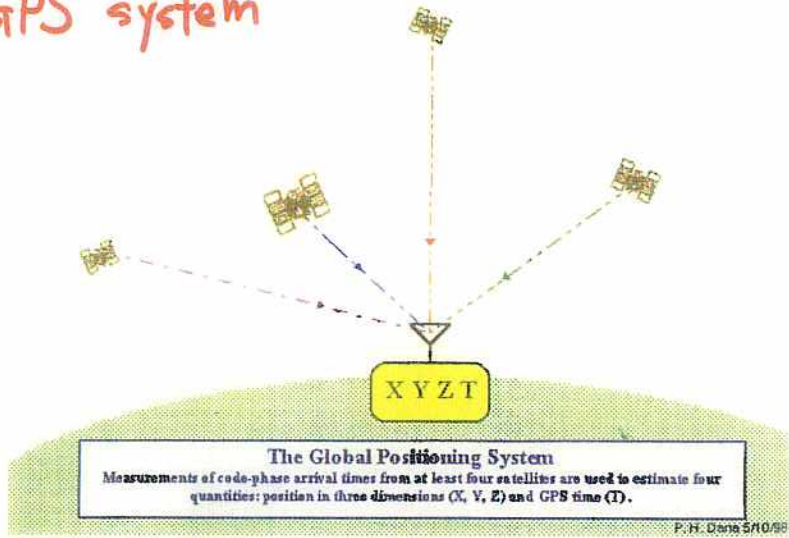
K2K

Horn Magnet / Target system  
1st - HORN



# GPS system

K2K



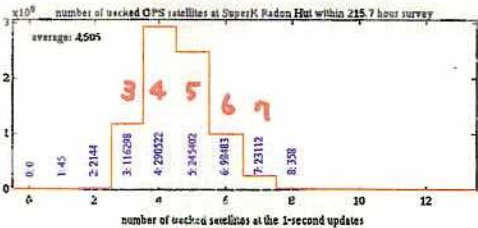
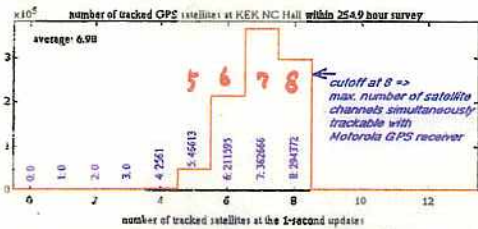
# Front detector

Ground level

#of Observed Satellites

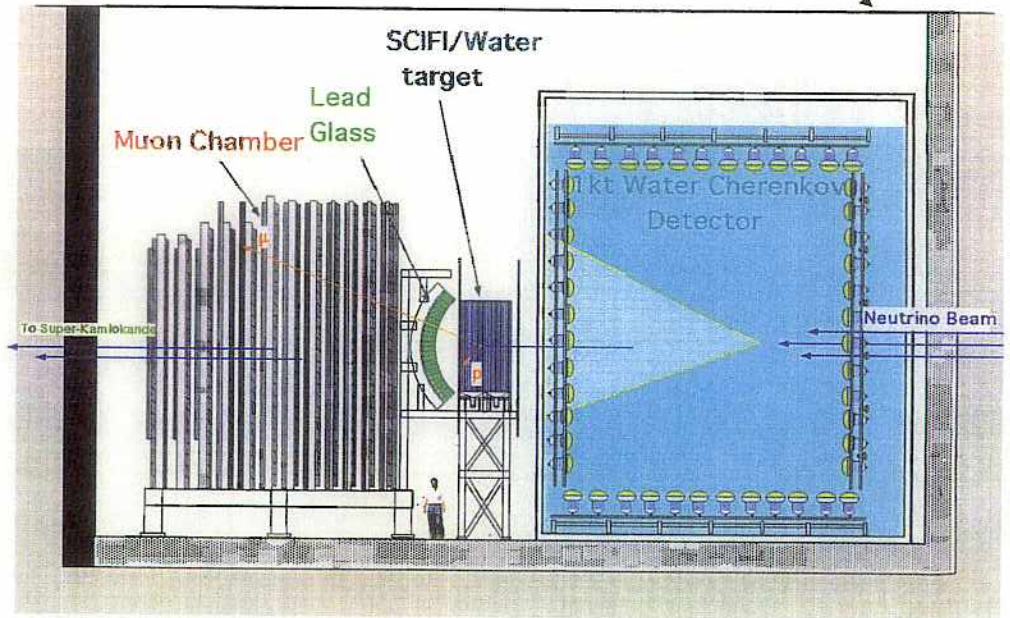
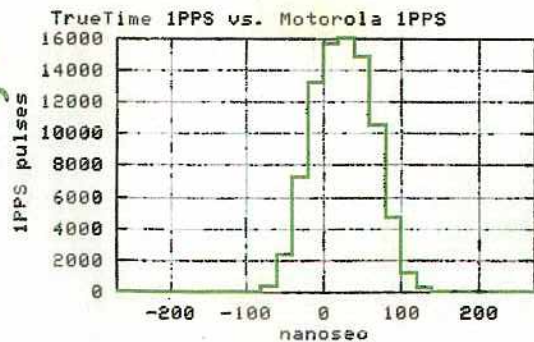
KEK →

SK →



GPS time resolution

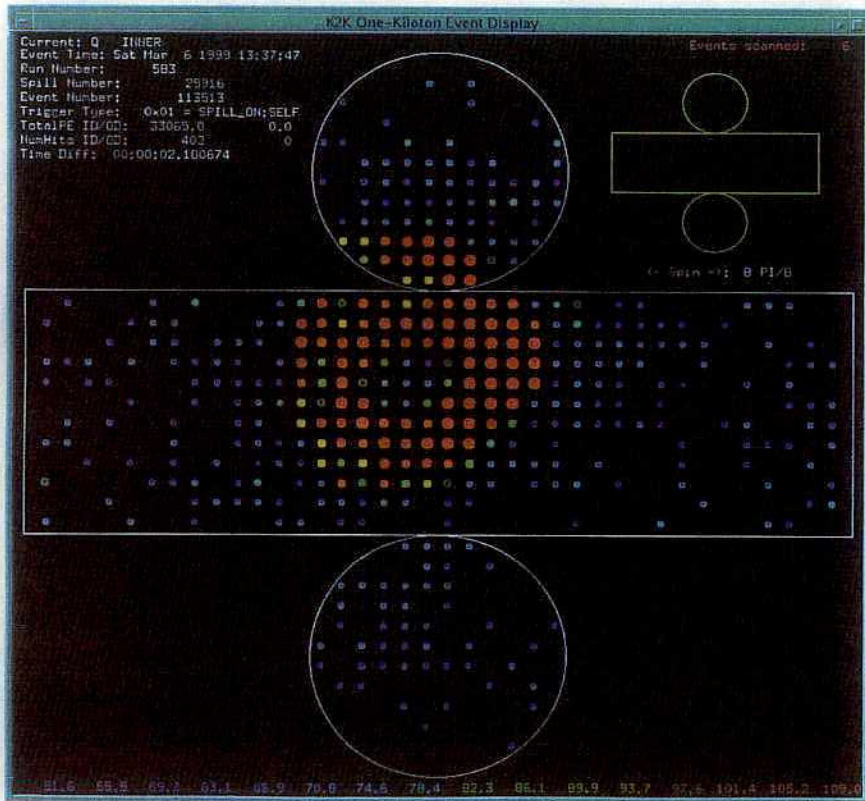
⇒



### K2K

## A neutrino event in the 1 kton water Cherenkov detector

Shown here is a Cherenkov ring produced by a muon which was created by a  $\nu_\mu$  interacted in the water tank. Upper right corner shows that there was no activity in the anticounter.



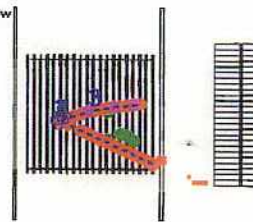
### K2K

## A candidate for a two-prong neutrino event, $\nu_\mu + n \rightarrow \mu^- + p$

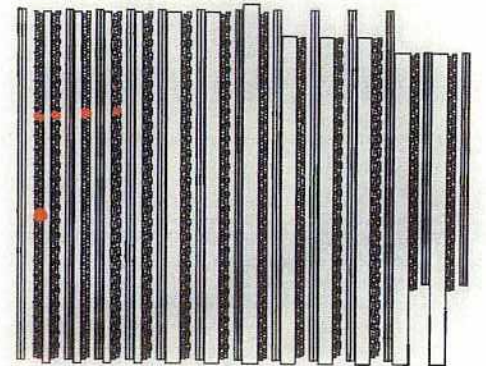
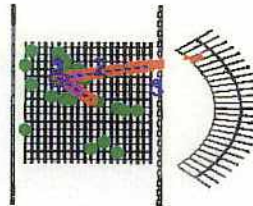
K2K Fine-Grained Detector

Run 1032 Spill 10176 TRID 1  
88 5 12 13 5 3 0  
Nvx 0

Top View



Side View



K2K

K2K

## Goal of the experiment

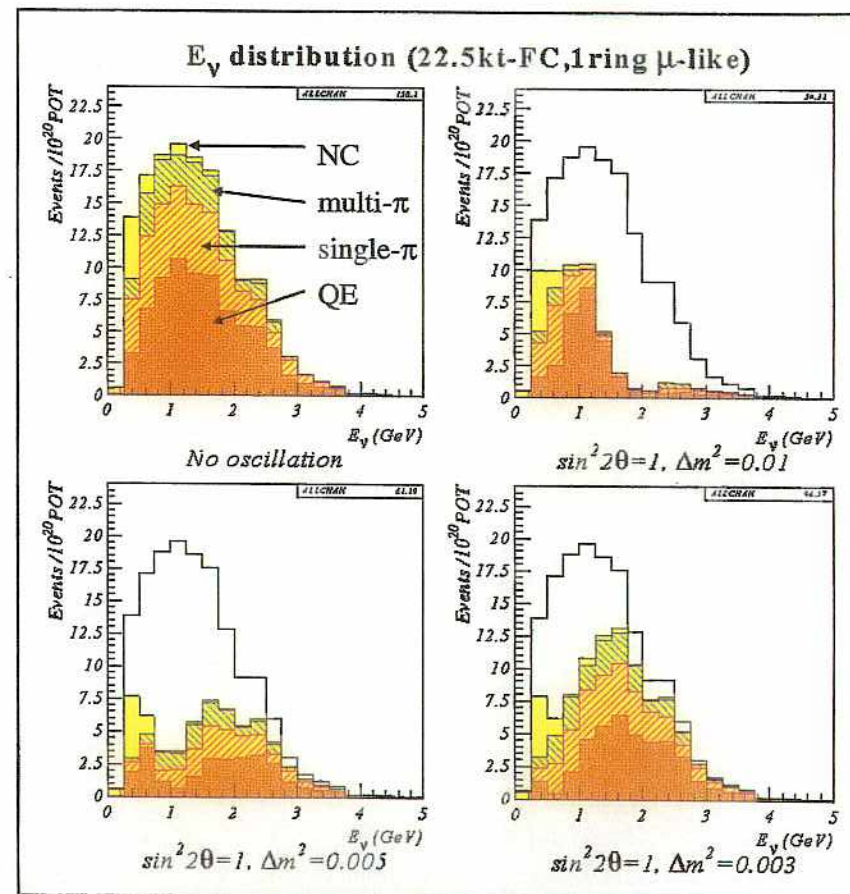
$10^{20}$  protons on target in 3 ~ 4 years

(All our plots and tables are normalized to this number)

Detector	Fine grained	1 kt water Cherenkov	Super-Kamiokande
Fiducial mass	water 4tons	water 21 tons	water 22.5 ktons
Integrated flux	$2.6 \times 10^{12}/\text{cm}^2$	$2.4 \times 10^{12}/\text{cm}^2$	$1.84 \times 10^6/\text{cm}^2$ (before cuts)
$\nu_\mu$ CC	63208	325526	281
Quasi-elastic	21969	114216	93
single- $\pi$	18812	97283	79
multi- $\pi$	21031	106784	103
$\nu_\mu$ NC	22530	116226	99
single- $\pi^0$	4642	24006	20
$\nu_\mu$ total	85744	441710	381

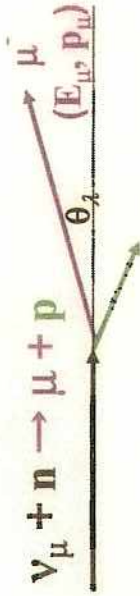
$E_\nu$  Distribution at Super-Kamiokande for the case of  $\nu_\mu \rightarrow \nu_\tau$

( $E_\nu$  is calculated assuming quasi-elastic scattering)



# Neutrino Energy $E_\nu$ Reconstruction

CC quasi elastic (QE)

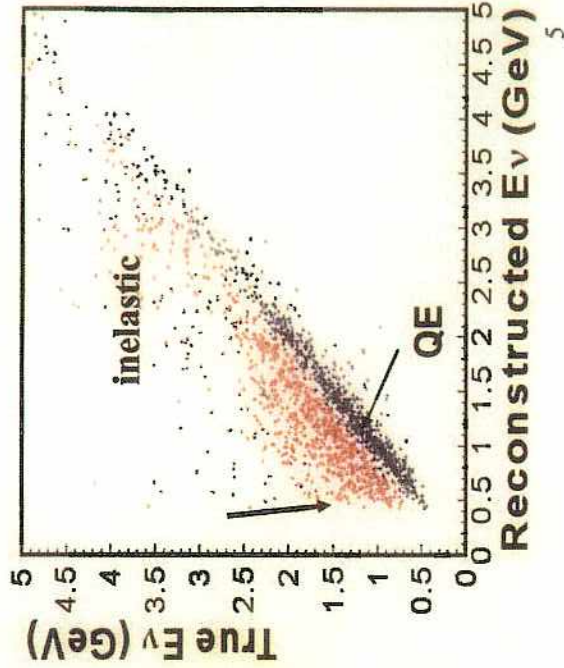
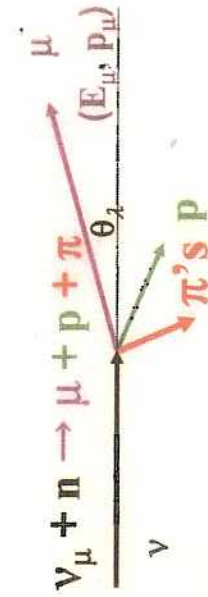


$$E_\nu = \frac{m_N E_\mu - m_\mu^2 / 2}{m_N - E_\mu + p_\mu \cos \theta_\mu}$$

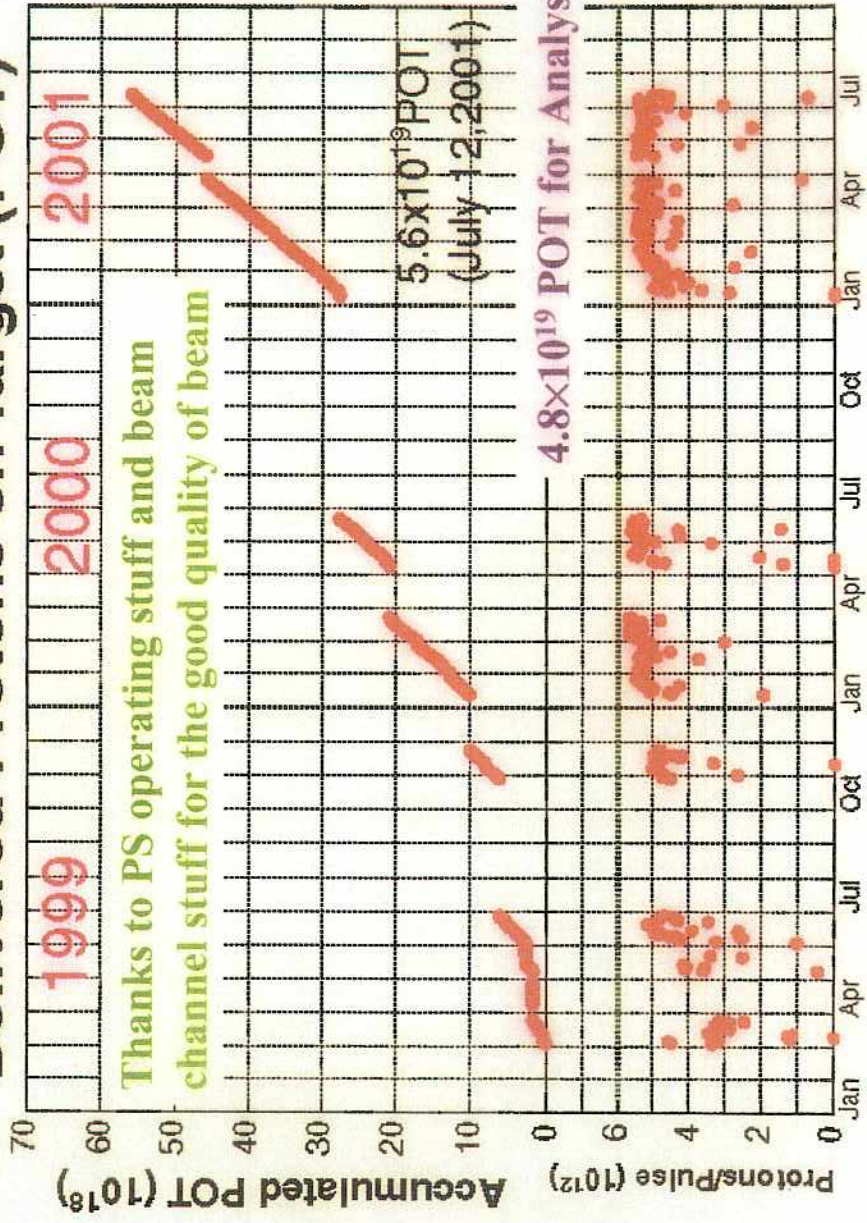
Rate( $E_\nu$ ,Near)  $\rightarrow$   $\Phi(E_\nu$ ,Near)

$\sigma(QE), \sigma(\text{nonQE})$

CC inelastic



## Delivered Protons on Target (POT)

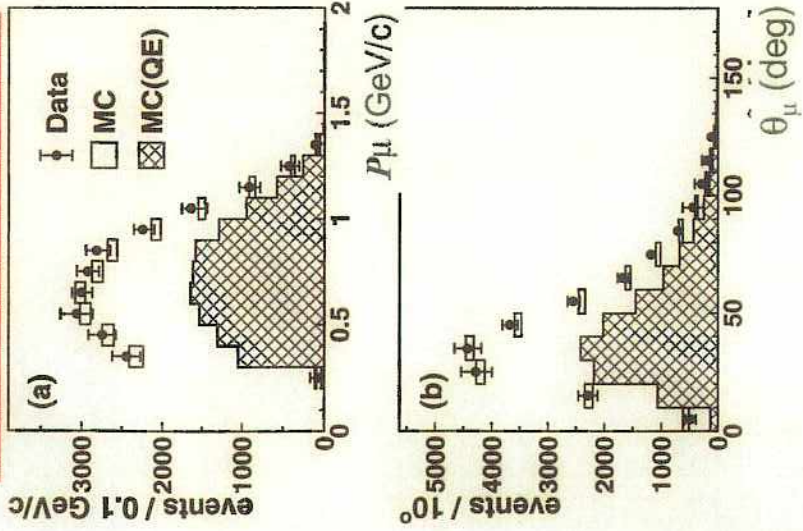


Date

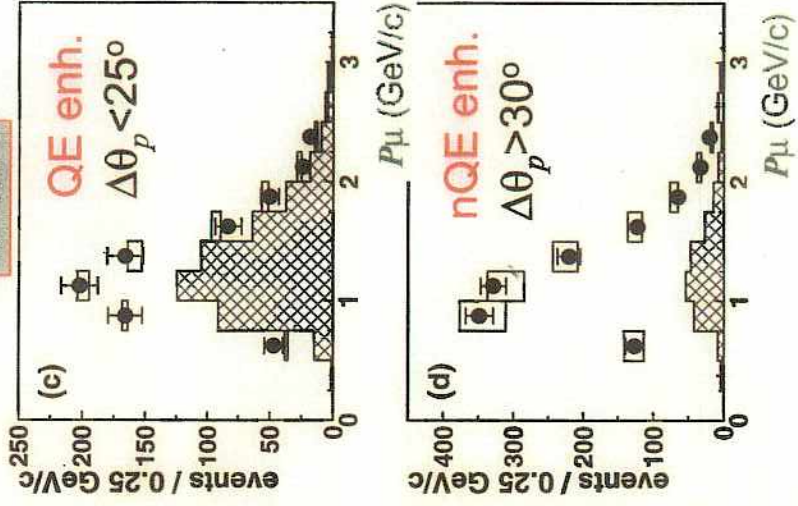


# Spectrum at near detector

## 1kt 1Rμ sample



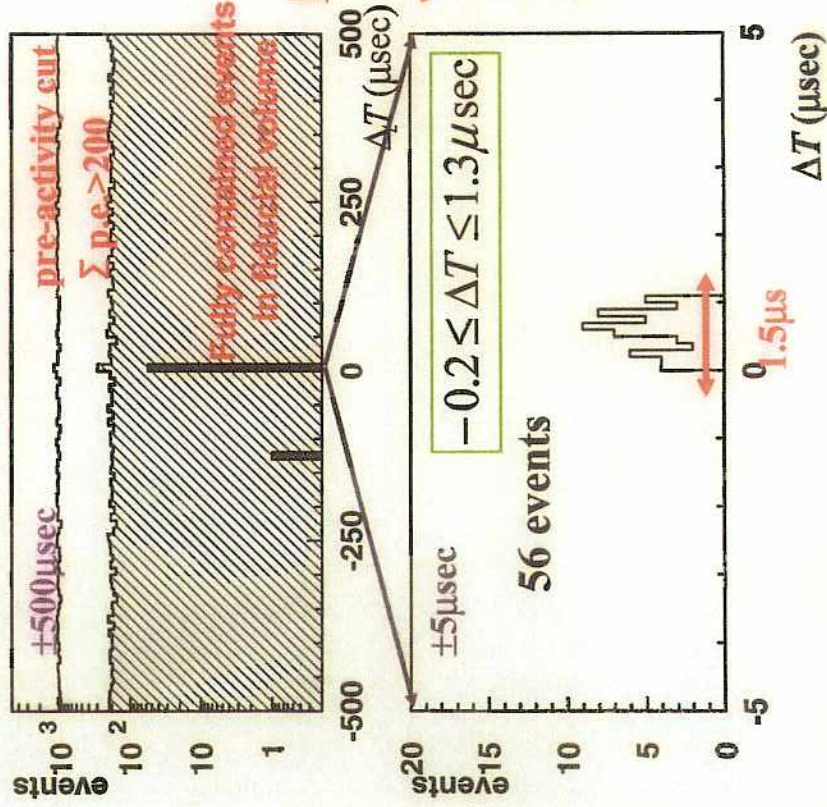
## Scifi



12

# Event selection @ SK

## Event time distribution



**$N_{\text{SK}}$  (number of events) analysis**

**Jun 99-Jul 01**

**fully contained events**

**56 events observed**

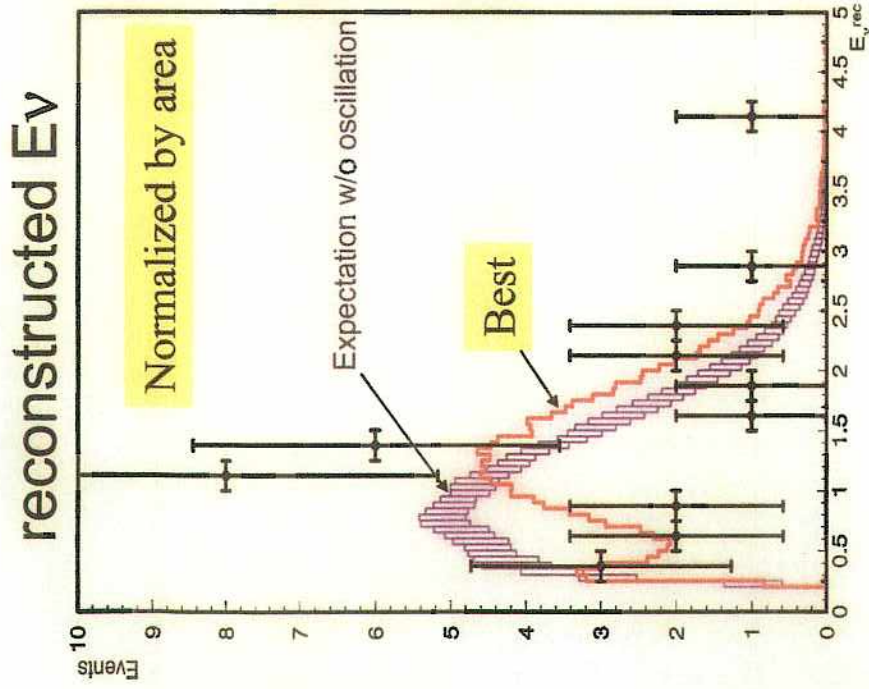
**$80.1^{+6.2}$  events expected**

**w/o oscillation**

**null oscillation prob. 1.3%**

16

# Best fit 1ring $\mu$ -like spectrum & $N_{SK}$



Best fit point  
 $(\sin^2 2\theta, \Delta m^2)$   
 $= (1.0, 2.8 \times 10^{-3} \text{eV}^2)$

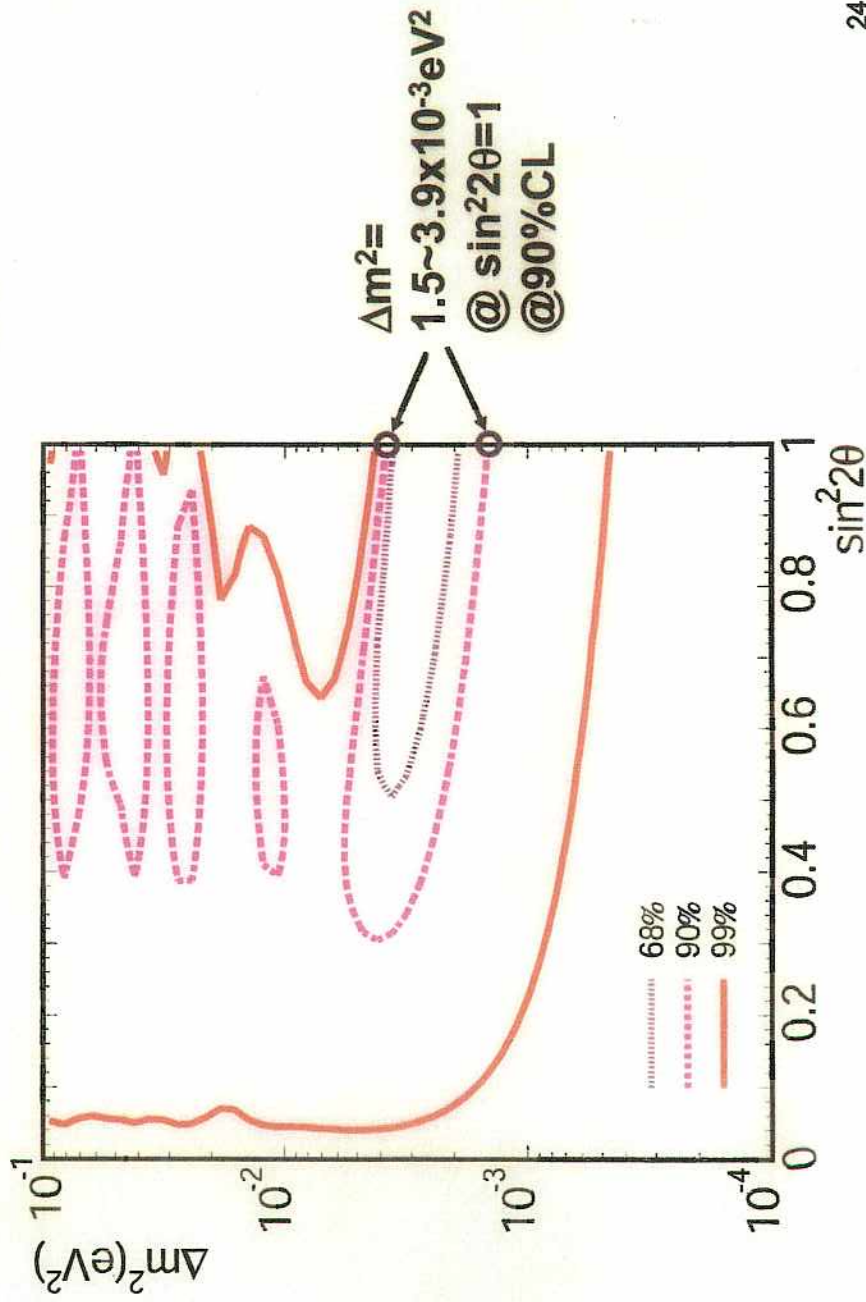
KS test (shape): 79%

for  $N_{SK}$   
 56ev obs. / 54ev exp.

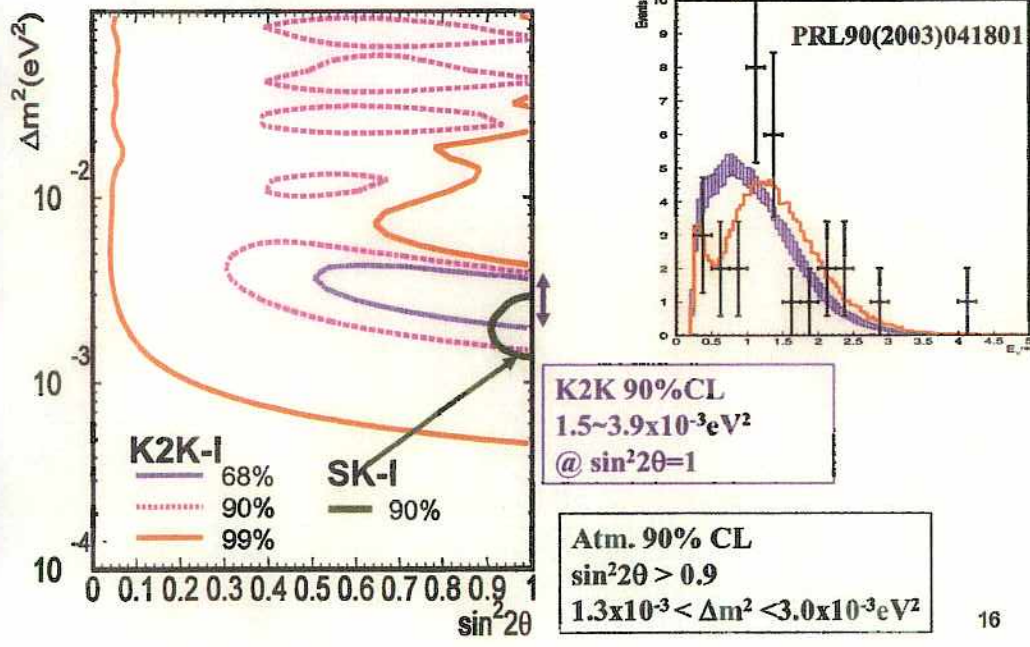
Both Shape &  $N_{SK}$   
 agree with best fit  
 expectation

06

# Allowed region (Shape+ $N_{SK}$ )



Comparison of K2K-I result and new result of atmospheric neutrinos in SK-I



16

91

## SK Data Summary K2K-II

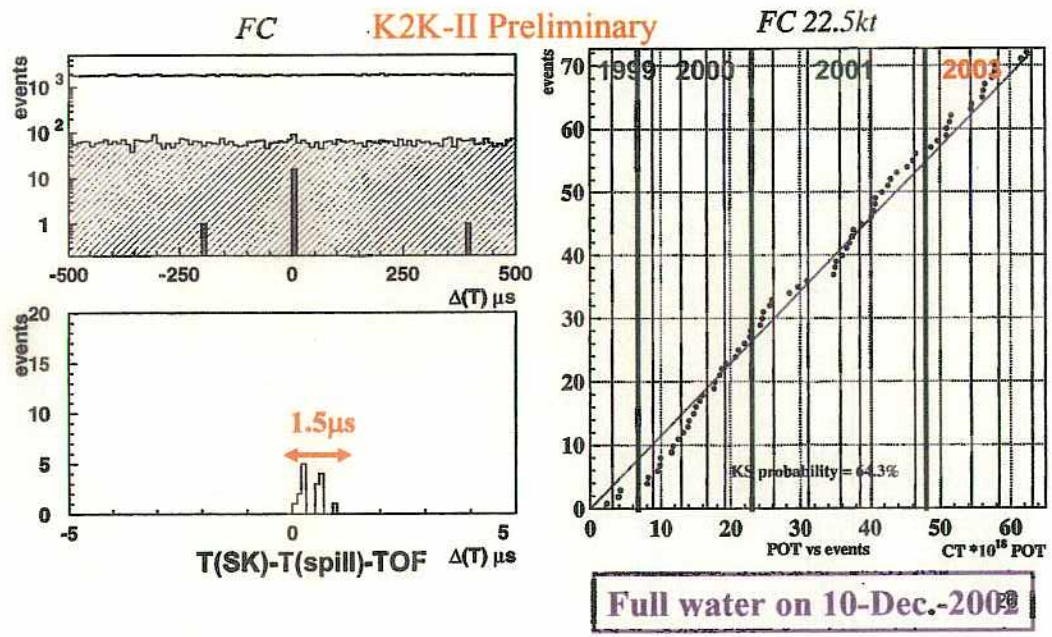
	K2K-II (Jan.-Apr. '03)	(K2K-I)
• #spills:	$2.8 \times 10^6$	$9.2 \times 10^6$
• POT:	$1.5 \times 10^{19}$	$4.8 \times 10^{19}$
• Observed:	16 (23 incl out of FV)	56 (91)
• Expected:	$26.4^{+2.3}_{-2.1}$	$80.1^{+6.2}_{-5.4}$
• <b>Obs/Exp(1kt)</b>	<b><math>0.61 \pm 0.15</math>(stat) ↔</b>	<b><math>0.70 \pm 0.09</math>(stat)</b>

**Consistent rate reduction**

Calibration of SK-II (new configuration of PMTs)

Upgrade of near detector with fine segmented scintillator  
 detector

# SK is back ! Updated SK events in K2K-II



Full water on 10-Dec.-2003

7

50|-1

# Solar $\nu$

- Introduction
- Experiments
  - $^{37}\text{Cl}$
  - Kamiokande
  - Ga experiments
  - SK
  - SNO

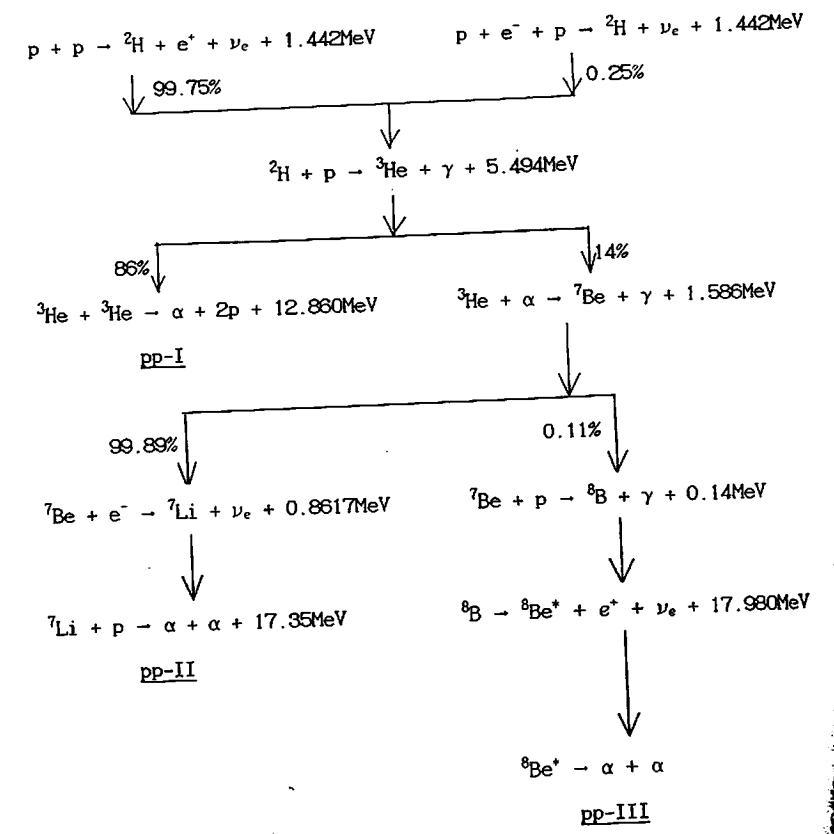


Fig. 1.1

### The CNO cycle

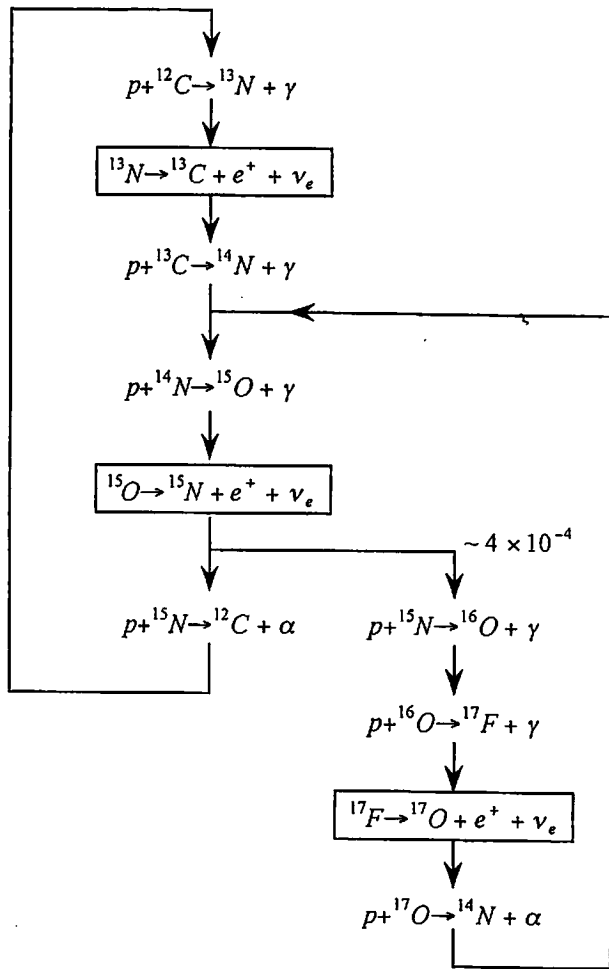


Fig. 1.2



$$\phi = 2 \times \frac{L}{Q} \times \frac{1}{4\pi R^2}$$

Q: PP-I 26.2 MeV

-II 25.6 MeV

-III 19.7 MeV

Luminosity

$$L = 3.86 \times 10^{33} \text{ erg/sec}$$

R: earth orbit  $1.5 \times 10^{12} \text{ m}$

Q: local の平均  $\sim 26 \text{ MeV}$

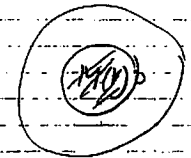
$$\phi = 6.6 \times 10^{10} \text{ } \nu_e / \text{cm}^2 / \text{sec}$$

flux の計算

Standard solar model

(1) Hydrostatic equilibrium

$$\frac{dP}{dr} = -\rho \times \frac{GM(r)}{r^2}$$



(2) Mass continuity

$$\frac{dM(r)}{dr} = 4\pi r^2 \rho$$

(3) Energy conservation

$$\frac{dL(r)}{dr} = 4\pi r^2 \rho \times \epsilon$$

$\epsilon$ : energy release from nuclear process  
 $L(r)$ : Luminosity

(4) Energy transportation

Sol-4

$$-\frac{dT}{dr} = \frac{3}{4ac} \frac{kP}{T^3} \frac{L(r)}{4\pi r^2} \quad \text{輻射 (radiation)}$$

or

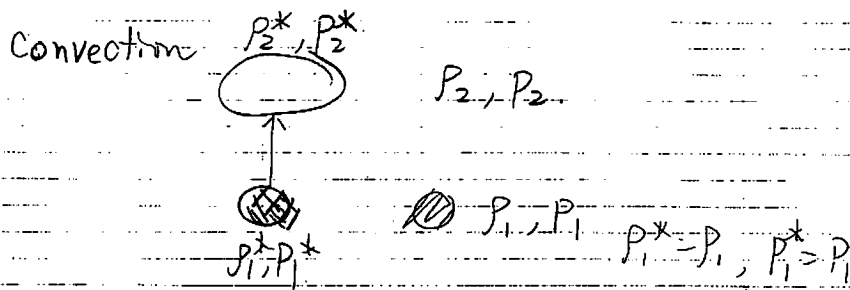
$$-\frac{dT}{dr} = -(1 - \frac{1}{\gamma}) \frac{T}{P} \frac{dP}{dr} \quad \text{(convection)}$$

$k$ : absorption coefficient

$a$ : Stefan-Boltzmann constant

$c$ : light velocity

$\gamma$ : ratio of the specific heats =  $\frac{C_p}{C_v}$



$$P_2^* = P_2 \quad \text{or} \quad P_2^* = P_1 \times \left(\frac{\rho_2^*}{\rho_1}\right)^{\frac{1}{\gamma}}$$

$\gamma = \frac{5}{3}$  for highly ionized gas

$P_2^* > P_2$  is gravitational forceにより起これる

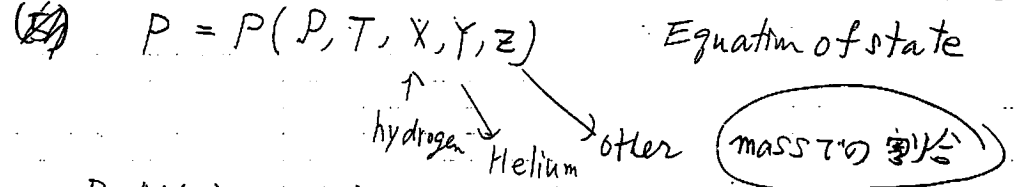
これは不安定。

しかし  $P_2^* < P_2$  is convectionが起こる

Structure and evolution of the star  
Martin Schwarzschild 1957

$P$  と  $P, T$  の関係  
Equation of state

Sol-5



$P, M(r), L(r), T$  の4つの分節を4つの方程式でつなぐ  
Boundary condition:

- $M_0 = 1.99 \times 10^{33} \text{ g}$
- $R_0 = 6.96 \times 10^{10} \text{ cm}$
- Age =  $4.6 \times 10^9 \text{ year}$
- $L_0$  surface Luminosity:  $3.86 \times 10^{33} \text{ erg/sec}$
- 注意: 中心温度、densityなどは inputではない。  
計算から出るもの。

Assumptions

- (1)  $t=0$  の時 太陽は chemically homogeneous
- (2) 現在の太陽表面での abundance は initial abundance  
He 以外の element について ほぼ同じである。  
 $Z = 0.020$  only 2%

total  $Z = 0.020$

TABLE II. Fractional abundances of heavy elements.

Element	Number fraction (Grevesse, 1984)	Number fraction (Aller, 1986)	Number fraction (Ross-Aller, 1976)
C	0.29661	0.27983	0.30279
N	0.05918	0.05846	0.06326
O	0.49226	0.49761	0.50249
Ne	0.06056	0.06869	0.02699
Na	0.00129	0.00125	0.00138
Mg	0.02302	0.02552	0.02892
Al	0.00179	0.00198	0.00241
Si	0.02149	0.02672	0.03244
P	0.00017	0.00018	0.00023
S	0.00982	0.01040	0.01151
Cl	0.00019	0.00019	0.00023
Ar	0.00230	0.00227	0.00073
Ca	0.00139	0.00134	0.00163
Ti	0.00006	0.00007	0.00008
Cr	0.00028	0.00035	0.00037
Mn	0.00017	0.00016	0.00019
Fe	0.02833	0.02382	0.02297
Ni	0.00108	0.00114	0.00138
Total	1.000	1.000	1.000

TABLE III. Rosseland mean opacities ( $\text{cm}^2\text{g}^{-1}$ ). Here  $E - X = 10^{-X}$ .

$\rho/(T^3)^{1/2}$	2.818E-2	3.981E-2	5.623E-2	2.818E-2	3.981E-2	5.623E-2	2.818E-2	3.981E-2	5.623E-2	2.818E-2	3.981E-2	5.623E-2	
Ta	1.000	4.659E-1	5.802E-1	7.116E-1	4.407E-1	5.557E-1	6.892E-1	4.886E-1	6.085E-1	7.463E-1	4.609E-1	5.813E-1	7.208E-1
1.218	4.122E-1	5.168E-1	6.421E-1	3.823E-1	4.850E-1	6.075E-1	4.326E-1	5.424E-1	6.738E-1	4.002E-1	5.078E-1	6.359E-1	
1.483	3.931E-1	4.931E-1	6.083E-1	3.592E-1	4.528E-1	5.681E-1	4.136E-1	5.188E-1	6.399E-1	3.772E-1	4.752E-1	5.963E-1	
1.807	3.262E-1	4.050E-1	5.009E-1	2.989E-1	3.694E-1	4.555E-1	3.434E-1	4.267E-1	5.280E-1	3.140E-1	3.883E-1	4.791E-1	
2.200	2.728E-1	3.286E-1	3.889E-1	2.464E-1	3.004E-1	3.588E-1	2.879E-1	3.470E-1	4.109E-1	2.595E-1	3.167E-1	3.786E-1	
2.680	1.921E-1	2.214E-1	2.496E-1	1.760E-1	2.048E-1	2.335E-1	2.030E-1	2.342E-1	2.641E-1	1.859E-1	2.164E-1	2.468E-1	
3.264	1.267E-1	1.433E-1	1.606E-1	1.160E-1	1.326E-1	1.494E-1	1.340E-1	1.515E-1	1.698E-1	1.226E-1	1.402E-1	1.579E-1	
3.975	8.107E-2	9.145E-2	1.036E-1	7.404E-2	8.393E-2	9.451E-2	8.553E-2	9.644E-2	1.092E-1	7.814E-2	8.855E-2	9.966E-2	
4.841	5.236E-2	5.940E-2	6.731E-2	4.703E-2	5.358E-2	6.115E-2	5.502E-2	6.238E-2	7.062E-2	4.947E-2	5.633E-2	6.422E-2	
5.896	3.527E-2	4.017E-2	4.597E-2	3.137E-2	3.584E-2	4.118E-2	3.687E-2	4.197E-2	4.798E-2	3.284E-2	3.749E-2	4.303E-2	
7.181	2.528E-2	2.895E-2	3.341E-2	2.205E-2	2.553E-2	2.957E-2	2.627E-2	3.008E-2	3.468E-2	2.295E-2	2.655E-2	3.074E-2	
8.746	1.941E-2	2.240E-2	2.604E-2	1.680E-2	1.952E-2	2.279E-2	2.007E-2	2.315E-2	2.689E-2	1.739E-2	2.020E-2	2.356E-2	
10.652	1.620E-2	1.875E-2	2.192E-2	1.397E-2	1.624E-2	1.910E-2	1.668E-2	1.930E-2	2.256E-2	1.440E-2	1.674E-2	1.968E-2	
12.973	1.434E-2	1.666E-2	1.938E-2	1.237E-2	1.434E-2	1.672E-2	1.473E-2	1.712E-2	1.991E-2	1.272E-2	1.475E-2	1.720E-2	
15.800	1.306E-2	1.484E-2	1.719E-2	1.122E-2	1.285E-2	1.474E-2	1.340E-2	1.523E-2	1.762E-2	1.153E-2	1.321E-2	1.514E-2	
19.243	1.130E-2	1.285E-2	1.429E-2	9.754E-3	1.086E-2	1.242E-2	1.156E-2	1.313E-2	1.459E-2	1.000E-2	1.114E-2	1.270E-2	
23.436	9.526E-3	1.053E-2	1.176E-2	8.077E-3	8.932E-3	1.000E-2	9.707E-3	1.072E-2	1.196E-2	8.246E-3	9.111E-3	1.019E-2	
X=0.7300, Z=0.0195	X=0.3500, Z=0.0195	X=0.7300, Z=0.0208	X=0.3500, Z=0.0208										

Thermo nuclear reaction

2種類の原子核の反応 rateは

$$I_{12} = \underbrace{n_1 n_2}_{\text{density}} \int \sigma(\vec{v}_1 - \vec{v}_2) |\vec{v}_1 - \vec{v}_2| N_1(\vec{v}_1) N_2(\vec{v}_2) d\vec{v}_1 d\vec{v}_2$$

N: Maxwell-Boltzmann 分布

$$\left(\frac{m_i}{2\pi kT}\right)^{3/2} \exp\left(-\frac{m_i v_i^2}{2kT}\right)$$

$$I_{12} = n_1 n_2 \langle \sigma v \rangle \text{ とか。}$$



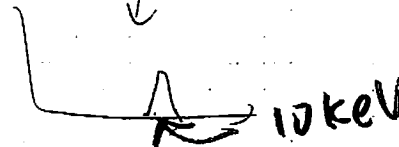
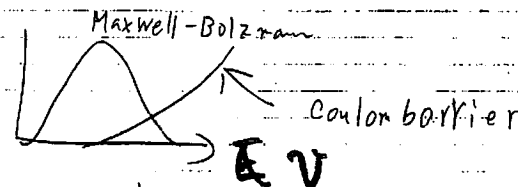
原子核反応の時には Coulomb barrier をこえなければいけない。

$$V \equiv \frac{Z_1 Z_2 e^2}{R} = \frac{1.44 Z_1 Z_2}{R(\text{fm})} \text{ MeV}$$

Maxwell-Boltzmann の平均 energy は

$$kT = 8.62 \times 10^{-8} T \text{ keV}$$

太陽中心  $1.55 \times 10^7 \text{ K}$  での  $kT$  は  $1.3 \text{ keV}$  程度





Coulomb barrier のトンネル効果の確率

$$\propto \exp\left(-\frac{2\pi Z_1 Z_2 e^2}{\hbar v}\right)$$

cross section は 通常 geometrical factor  $\propto \pi \lambda^2$  程度

$$\pi \lambda^2 \propto \left(\frac{\hbar}{p}\right)^2 \propto \frac{1}{E}$$

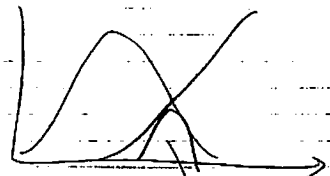
↑  
de Broglie  
トングの波長

よって

$$\sigma(E) \propto \frac{1}{E} \exp\left(-\dots\right)$$

$$\sigma(E) = \frac{S(E)}{E} \exp\left(-\frac{2\pi Z_1 Z_2 e^2}{\hbar v}\right) \text{ とかく。}$$

$S(E)$  は 原子核に固有の反応の強さ



#E0 peak :  $E_0 \approx (a k T / 2)^{3/2}$

$$\Delta E_0 = \sqrt{4 E_0 k T / 3}$$

$$a = \sqrt{2} \pi \frac{Z_1 Z_2 e^2 \sqrt{\mu}}{\hbar} =$$

$$\mu = \frac{m_1 m_2}{m_1 + m_2}$$

$$E_0 \approx \text{数 } 10 \text{ keV}$$

~~mean~~ reduced mass

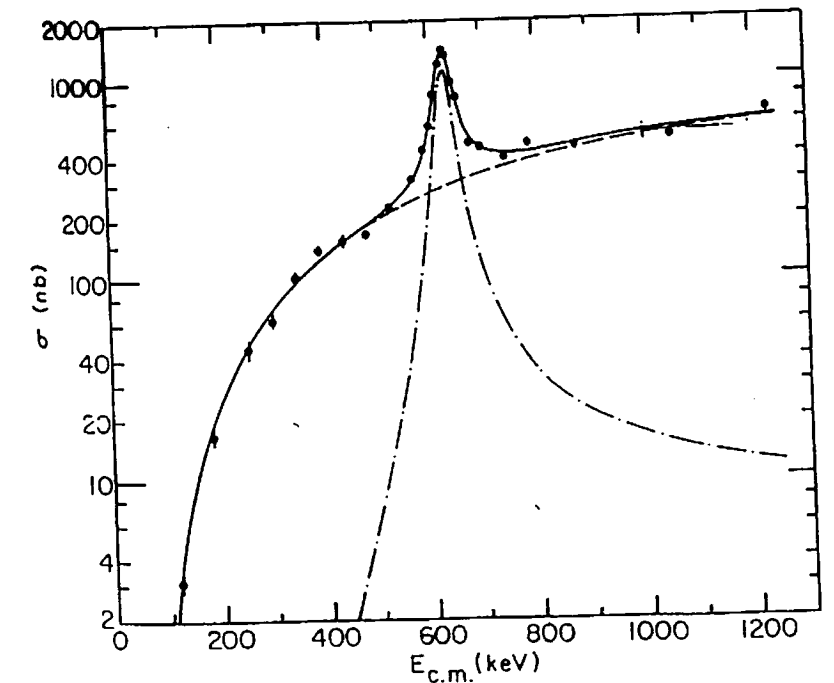
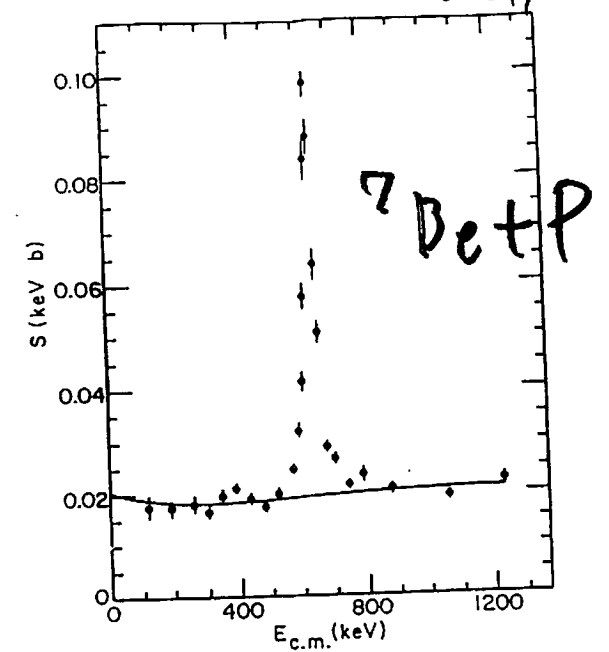


TABLE I. Some important nuclear cross-section factors.<sup>a</sup>

Reaction	No.	Recent Refs.	BP <sup>b</sup> (1992)	This work <sup>b</sup> (1994)	Comment
<sup>1</sup> H( <i>p, e<sup>+</sup>, ν<sub>e</sub></i> ) <sup>2</sup> H	1	c	4.00 <sup>+0.06</sup> <sub>-0.04</sub> × 10 <sup>-22</sup>	3.89(1 ± 0.01) × 10 <sup>-22</sup>	improved matrix element; vac. polarization
<sup>1</sup> H( <i>p + e<sup>-</sup>, ν<sub>e</sub></i> ) <sup>2</sup> H	2		Eq. (3.17)	Eq. (3.17)	see note (a)
<sup>3</sup> He( <sup>3</sup> He, 2 <i>p</i> ) <sup>4</sup> He	3	d	5.0(1 ± 0.06) × 10 <sup>3</sup>	4.99(1 ± 0.06) × 10 <sup>3</sup>	vac. polarization
<sup>3</sup> He( <sup>4</sup> He, γ) <sup>7</sup> Be	4	d	0.533(1 ± 0.032)	0.524(1 ± 0.032)	vac. polarization
<sup>7</sup> Be( <i>e<sup>-</sup>, ν<sub>e</sub></i> ) <sup>7</sup> Li	5	e	Eq. (3.18)	Eq. (3.18)	improved plasma screening
<sup>7</sup> Be( <i>p, γ</i> ) <sup>8</sup> B	6	d,e	0.0224(1 ± 0.093)	0.0224(1 ± 0.093)	small vac. polarization
<sup>14</sup> N( <i>p, γ</i> ) <sup>15</sup> O	7	d	3.32(1 ± 0.12)	3.29(1 ± 0.12)	vac. polarization

<sup>a</sup>The tabulated values of *S*(0) are expressed in keV barn. Other recommended nuclear parameters are given in Tables 3.2 and 3.4 of Bahcall (1989), which is also the source of "Eq. (3.17)" and "Eq. (3.18)."

<sup>b</sup>The uncertainties for the cross-section factors are indicated in parentheses; they represent 1 σ errors in the experimental values (see Parker and Rolfs, 1991).

<sup>c</sup>Kamionkowski and Bahcall (1994a).

<sup>d</sup>Kamionkowski and Bahcall (1994b).

<sup>e</sup>Johnson *et al.* (1992).

the observed flux of <sup>8</sup>B neutrinos is 0.45 of the standard model rate including diffusion and 0.61 of the standard model without diffusion.

The present paper is organized as follows. In Sec. II, we describe improved input data and compare with the parameters that were used in earlier solar model calculations. In Sec. III, we describe and compare the various prescriptions for element diffusion. We present our principal results on a series of solar models in Sec. IV. We describe in Sec. V how we calculate uncertainties in the predicted fluxes and event

A. Nuclear reaction rates

The principal progress on the nuclear reaction rates since 1992 has been theoretical, including a recalculation of the nuclear matrix element for the *p-p* reaction (Kamionkowski and Bahcall, 1994a) and a self-consistent evaluation of the effects of vacuum polarization on the rates of the other important solar nuclear reactions (Kamionkowski and Bahcall, 1994b). Two recent reviews summarize the experimental situation (Parker, 1994) and the theoretical situation

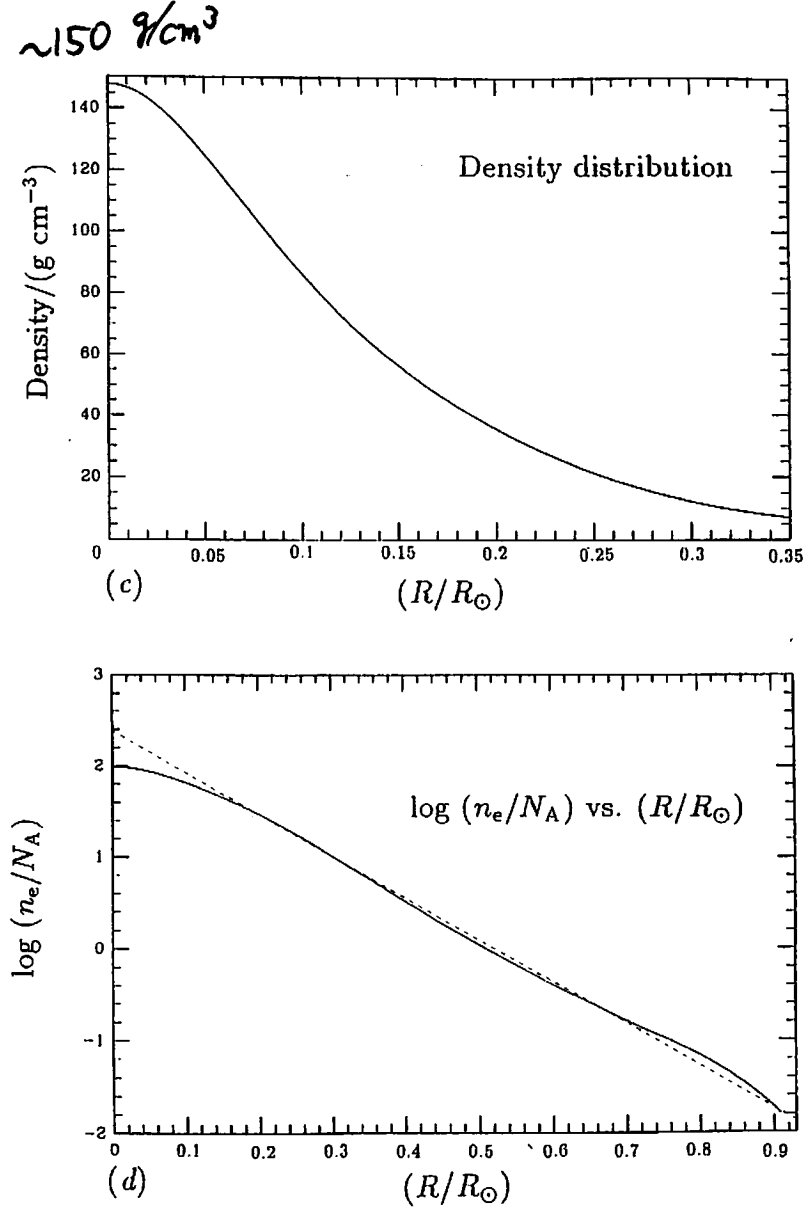


Figure 4.1 (c,d) Radial profiles of physical parameters (con't) Figure 4.1c illustrates the density distribution in the standard solar model. Figure 4.1d shows as a solid line the logarithm of the electron number density, *N<sub>e</sub>*, divided by Avogadro's number, *N<sub>A</sub>*, as a function of solar radius. The dotted line is an exponential fit to the density distribution, the parameters of which are given in the text [from Bahcall and Ulrich (1988)].

nominal (cf. paper 1, Sec. III.B) effective temperature has increased by 3%.

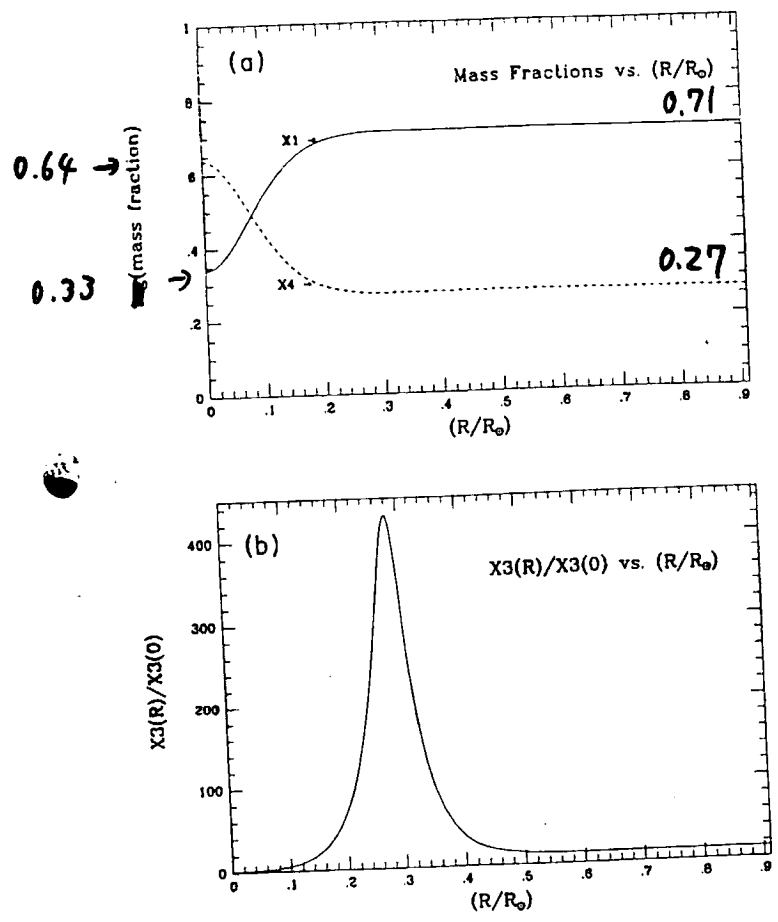


FIG. 7: Mass fractions as a function of radius: (a) logarithm of the hydrogen and helium mass fractions as a function of position in the sun; (b) dependence of the <sup>3</sup>He abundance upon position. The figures shown here illustrate the values obtained for the standard solar model described in Sec. V.B and Table X.

sol. 12

Our best estimates for the solar model are given together with the theoretical fluxes in Table XIII. The fluxes were evaluated using the solar model discussed in Sec. II and the input parameters of Table XV and Eqs. (15) and (16). Table XIII gives the fluxes of the indicated parameters.

What information about the individual neutrino fluxes from the sun the *p-p*, <sup>8</sup>B, <sup>7</sup>Be comparison with Figure 4.1a gives the physical conditions in this figure allows one to see the region in which the *p-p* reaction that of the total energy production occurs in both distributions, 0.09R<sub>⊙</sub> and 0.13R<sub>⊙</sub> for the *p-p* and <sup>8</sup>B reactions respectively to the energy production.

Because of its strong production is peaked at T = 15 × 10<sup>6</sup> K, and 0.02R<sub>⊙</sub> to 0.07R<sub>⊙</sub>. Intermediate between 0.06R<sub>⊙</sub> and spread out to 0.10R<sub>⊙</sub>.

The hep distribution (half-peak points) from 0.13R<sub>⊙</sub> and T = 12 × 10<sup>6</sup> K. Neutrinos are produced in the solar core is that the temperature outward from the center at lower temperatures.

sol-13

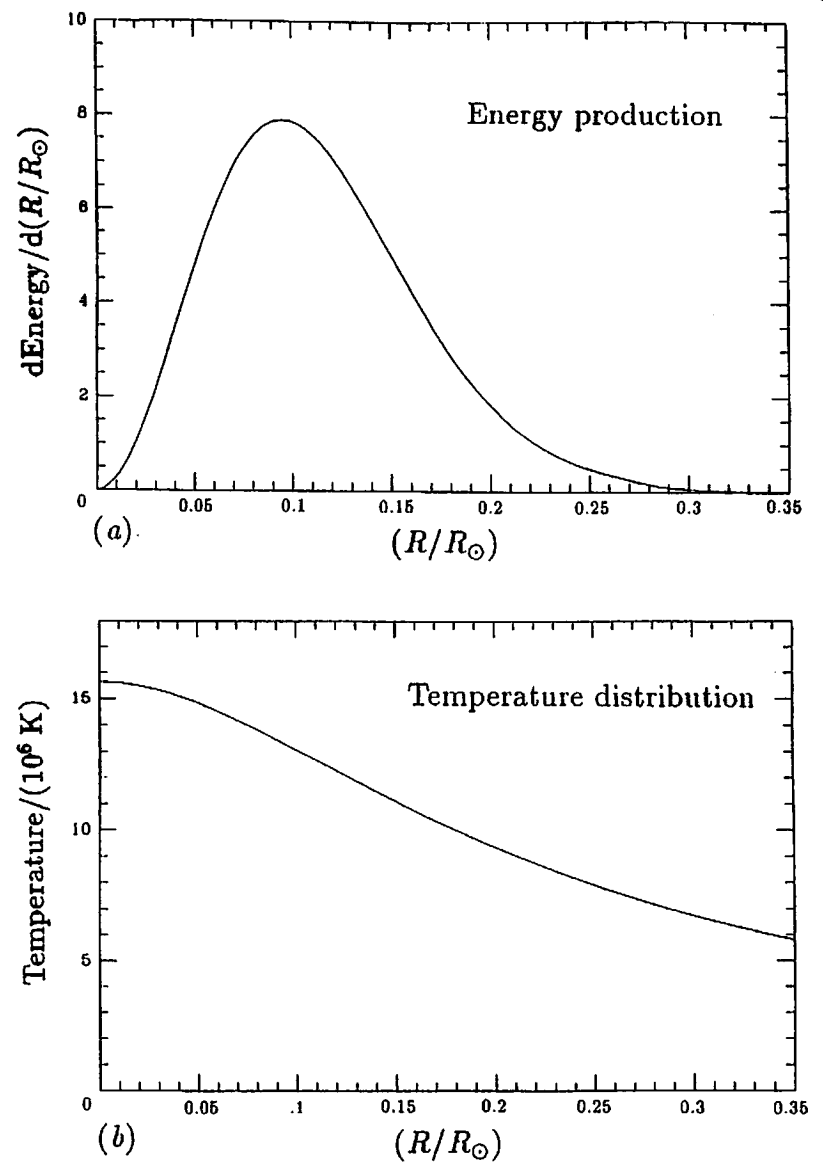


Figure 4.1 (a,b) Radial profiles of physical parameters. Figure 4.1a shows the fraction of the energy generation that is produced at each position in the standard solar model. Figure 4.1b illustrates the temperature distribution in the standard solar model.

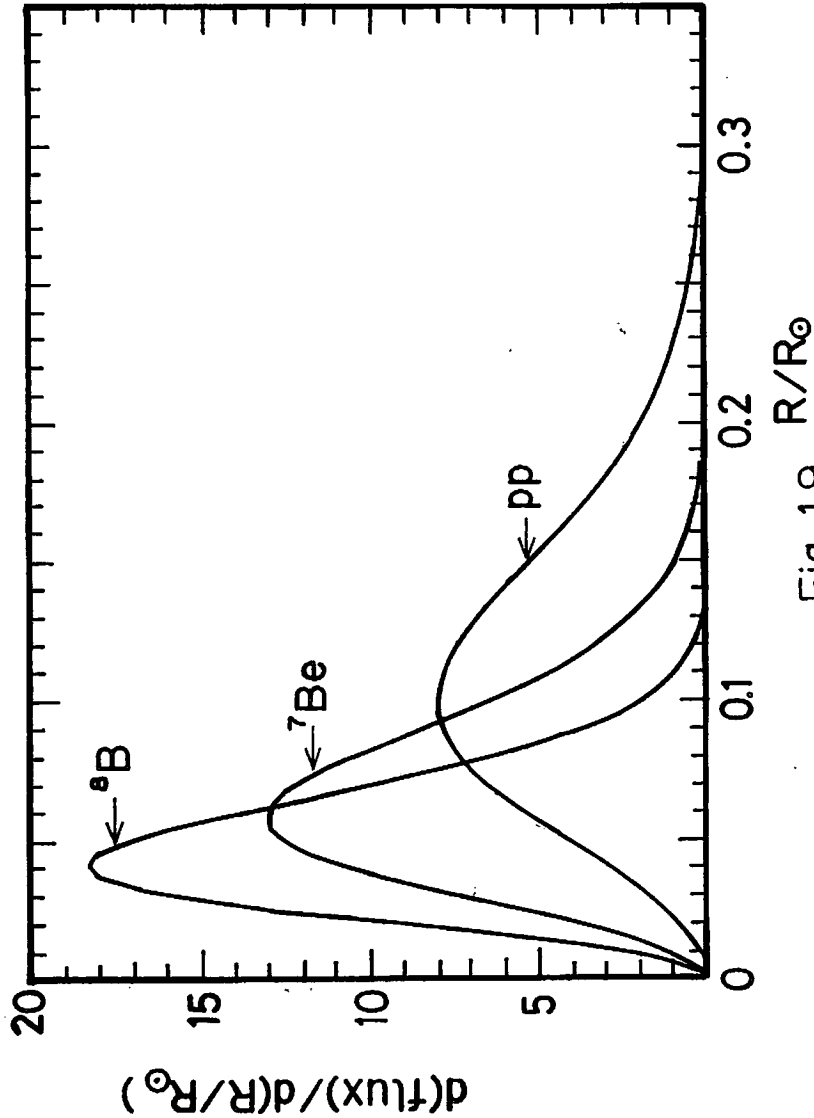


Fig. 1.9  $R/R_{\odot}$

Iben (1967,1974) and Demarque & Guenther (1991) summarize in comprehensive presentations the evolution of solar parameters in models that were calculated prior to the inclusion of element diffusion in solar evolutionary codes. These discussions did not encounter the problem of semi-convection discussed here in § 3.3 because this phenomenon is caused by effects of diffusion near the base of the convective zone.

The solar radius and luminosity (or equivalently, the solar effective temperature and luminosity) constitute precise constraints on the possible geological histories of the earth. We quantify these constraints in the following subsection and specify upper limits to the allowed discrepancies from the standard solar model profile of solar luminosity versus age.

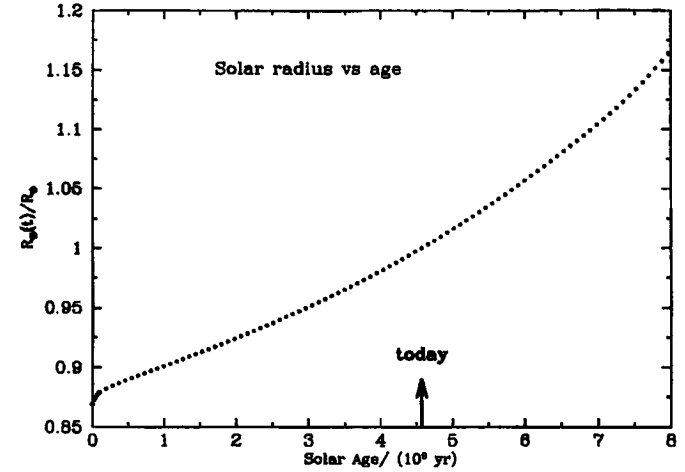


Fig. 1.— The calculated radius,  $R_{\odot}(t)$ , as a function of age for the standard solar model, Bahcall-Pinsonneault (2000). The solar age is measured in units of  $10^9$  yr. The present age of the sun,  $4.57 \times 10^9$  years, is indicated by an arrow in Figure 1. The radius increases from  $0.87R_{\odot}$  at the zero age main sequence to  $1.0R_{\odot}$  at the present epoch and  $1.18R_{\odot}$  at a solar age of 8 billion years.

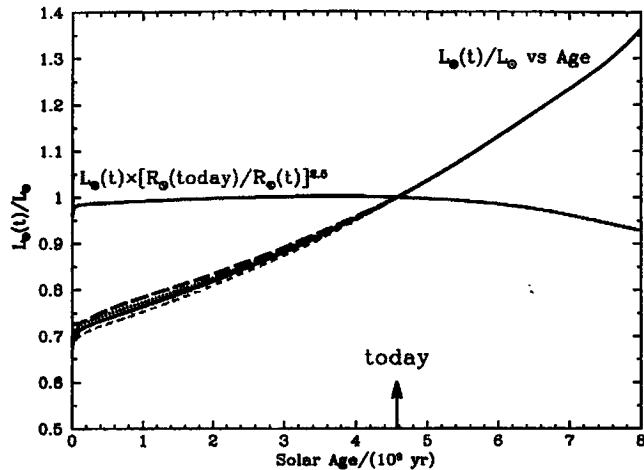


Fig. 2.— The normalized solar luminosity,  $L_{\odot}(t)/L_{\odot}(\text{today})$  versus solar age for the Standard solar model (solid curve) and for three 'deficient' solar models: the No Diffusion model (dotted curve), the  $S_{34} = 0$  model (short dashes), and the Mixed model (long dashes). The luminosity evolution of the sun is essentially the same in all solar models we have investigated, including deficient solar models. The rms deviation of the deviant models from the standard solar model luminosity is only 1% over the history of the sun from the zero-age main sequence to the current epoch (see text for more details). The product  $L_{\odot}(t) \times R_{\odot}(t)^{-2.5}$  varies by  $\pm 4\%$  over the entire period from the zero age main sequence to a solar age of 8 billion years, while the solar luminosity itself varies by slightly more than a factor of two during this period. In the period between 4 billion years to 8 billion years, the relation  $L_{\odot}(t) \propto R_{\odot}(t)^2$  is satisfied to  $\pm 1/2\%$ . The solar luminosity has increased by 48% from the zero main sequence to the present epoch. The present age of the sun is indicated by an arrow at  $4.59 \times 10^9$  years.

risen monotonically from a zero-age value of  $0.677L_{\odot}$ .

The time evolution of the solar luminosity is robust. We also show in Figure 2 the solar luminosity as a function of time for the three most deficient solar models that are described in the following section, § 4. The rms difference between the standard luminosity and the luminosity of the deviant models is 1.6% for the mixed model (1.2% ignoring the first Gyr), 0.7% for the no diffusion model (0.5% ignoring the first Gyr), and 0.9% for the  $S_{34} = 0$  model (0.8% ignoring the first Gyr). The largest deviations occur for the zero-age main sequence models and are 2.5% for

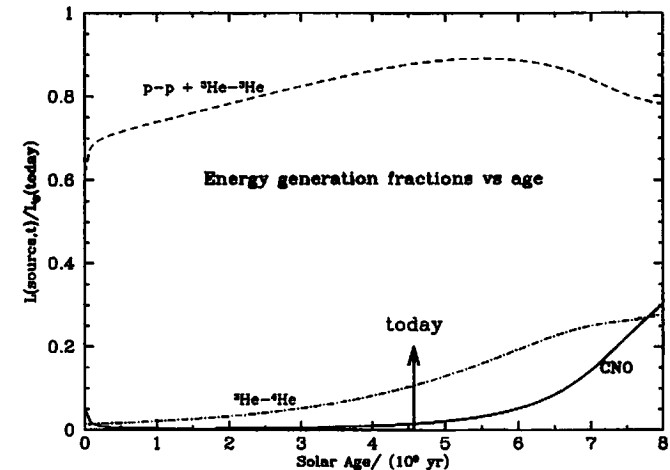


Fig. 3.— The fraction in the Standard model of the solar luminosity produced by different nuclear fusion reactions versus solar age. The luminosity generated by the  $p-p$  nuclear fusion branch that is terminated by the  ${}^3\text{He}-{}^3\text{He}$  reaction is marked by a dashed curve and the luminosity produced by the  $p-p$  branches that proceed through the  ${}^3\text{He}-{}^4\text{He}$  reaction is denoted by a dot-dashed curve. The luminosity generation by the CNO cycle is indicated by a solid line. The unit of luminosity is the present-day total solar luminosity. At the present epoch, the  $p-p + {}^3\text{He}-{}^3\text{He}$  reactions produce 87.8% of the solar luminosity and the branches terminating through the  ${}^3\text{He}-{}^4\text{He}$  reaction generate 10.7% of the solar luminosity. The CNO cycle produces 1.5% of the present-epoch luminosity.

### 3.2. Energy fractions

Figure 3 shows, for the Standard model, the energy generated by different nuclear fusion reactions as a function of solar age. The present-day total solar luminosity,  $L_{\odot}(\text{today})$ , is the unit of luminosity in Figure 3.

The branch of the  $p-p$  chain that is denoted in Figure 3 by  $p-p + {}^3\text{He}-{}^3\text{He}$  (the dashed curve) proceeds primarily through the reactions  $p(p, e^+ \nu_e){}^2\text{H}(p, \gamma){}^3\text{He}({}^3\text{He}, 2p){}^4\text{He}$ . For simplicity, we include all  $p-p$  reactions in this sum but do not show explicitly the  $pep$  reactions in the above scheme. The small energy contribution due to  $pep$  reactions is included in the calcu-

gradient is nearly constant in time, the equations of stellar structure imply that the quantity  $\kappa PL/MT^4$  will be approximately constant at the base of the convective zone. From Figure 6, we see that both the opacity and the temperature decrease slowly at the base of the convective zone. Solar models therefore compensate for the increase of the luminosity by the decrease of the pressure at the boundary between radiative and convective equilibrium.

### 3.4. Central values of Temperature, Density, and Pressure

Figure 7 shows the time dependence of the central values for the temperature, density, and pressure of the Standard solar model. The results are normalized to the computed values for the present epoch.

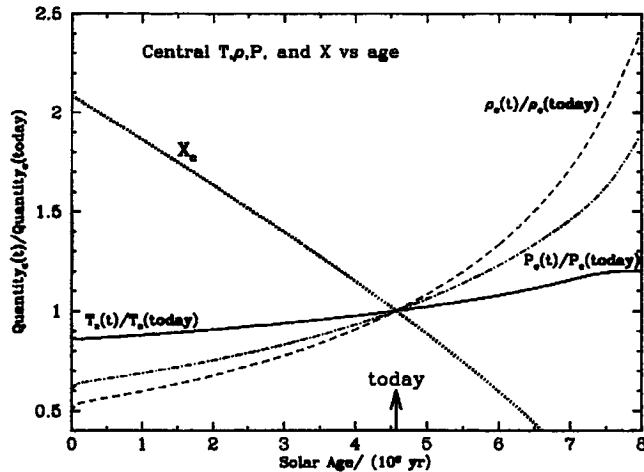


Fig. 7.— The temporal evolution of the central temperature, density, pressure, and hydrogen mass fraction. The figure shows the computed values for the Standard solar model of the central temperature (solid line), pressure (dot-dash line), density (dash line), and hydrogen mass fraction (dotted line).

Over the 8 billion years shown in Figure 7, the central temperature increases by about 39%.

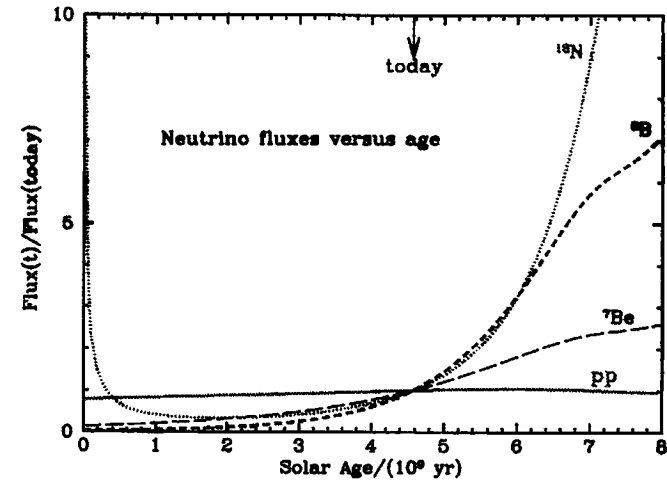


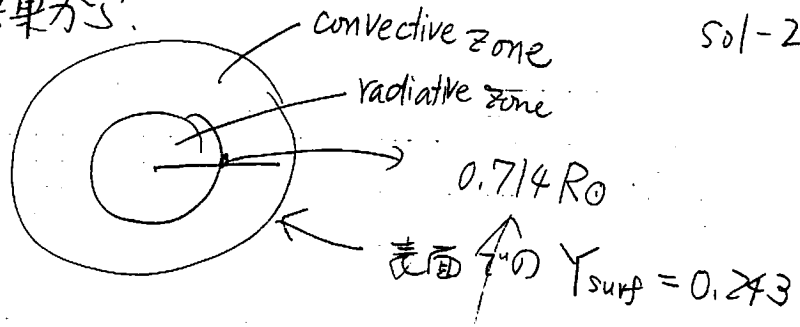
Fig. 10.— The  $pp$ ,  ${}^7\text{Be}$ ,  ${}^8\text{B}$ , and  ${}^{13}\text{N}$  neutrino fluxes as a function of solar age. The figure shows the Standard model ratios of the fluxes divided by their values at  $4.57 \times 10^9$  yr. The  $pp$  flux is represented by a solid line, the  ${}^7\text{Be}$  flux by a line of long dashes, the  ${}^8\text{B}$  flux by short dashes, and the  ${}^{13}\text{N}$  flux by a dotted line.

The  ${}^7\text{Be}$  and  ${}^8\text{B}$  neutrino fluxes increase monotonically and by larger amounts than the  $pp$  flux. Both the  ${}^7\text{Be}$  and the  ${}^8\text{B}$  fluxes begin with very low fluxes relative to their current values, 14% and 3%, respectively, of their intensities at  $4.57 \times 10^9$  yr. At a solar age of 8 billion years, the  ${}^7\text{Be}$  neutrino flux is 2.6 times larger than it is today and the  ${}^8\text{B}$  neutrino flux is 7.1 times larger than today. At the current epoch, the  ${}^7\text{Be}$  flux is increasing by about 65% per billion years and the  ${}^8\text{B}$  flux is increasing faster, about 120% per billion years.

The  ${}^{13}\text{N}$  neutrino flux has the most interesting time dependence. In the first  $10^9$  y on the main sequence, the  ${}^{13}\text{N}$  flux is much larger than its current value because  ${}^{12}\text{C}$  has not yet been burned to the equilibrium value appropriate for the CNO cycle. The reaction  ${}^{12}\text{C}(p, \gamma){}^{13}\text{N}$  occurs relatively often in this early stage of solar evolution and the neutrino flux from  ${}^{13}\text{N}$  beta-decay has a peak value of about 11 times its current flux. The minimum  ${}^{13}\text{N}$  flux, 33% of its present value, is attained at a solar age of 1.8 billion years. Thereafter, the  ${}^{13}\text{N}$  flux increases steadily as the central

SSMの結果から

Sol-20



↑  
model

実測値: (0.714 ± 0.001) R<sub>⊙</sub>

Y<sub>surf</sub> = (0.249 ± 0.003)

consistent

sum: 6.55 × 10<sup>10</sup> cm<sup>-2</sup> sec<sup>-1</sup>

(1 ± 0.01)

PP 5.95 × 10<sup>10</sup> cm<sup>-2</sup> sec<sup>-1</sup> E<sub>max</sub> = 0.42 MeV

pep 1.40 × 10<sup>8</sup> (1 ± 0.01) E = 1.44 MeV

hep 9.3 × 10<sup>3</sup> E<sub>max</sub> = 18.8 MeV

<sup>7</sup>Be 4.77 × 10<sup>9</sup> (1 ± 0.10) E = 0.862 MeV

0.384 MeV

± 12.4%

<sup>8</sup>B 5.05 × 10<sup>6</sup> (1 ± 0.20 / 0.16) E<sub>max</sub> = 14 MeV

<sup>13</sup>N 5.48 × 10<sup>8</sup> (1.00 ± 0.21 / 0.17)

<sup>15</sup>O 4.80 × 10<sup>8</sup> (1.00 ± 0.25 / 0.19)

<sup>17</sup>F 6.33 × 10<sup>-4</sup> (1.00 ± 0.12 / 0.11)

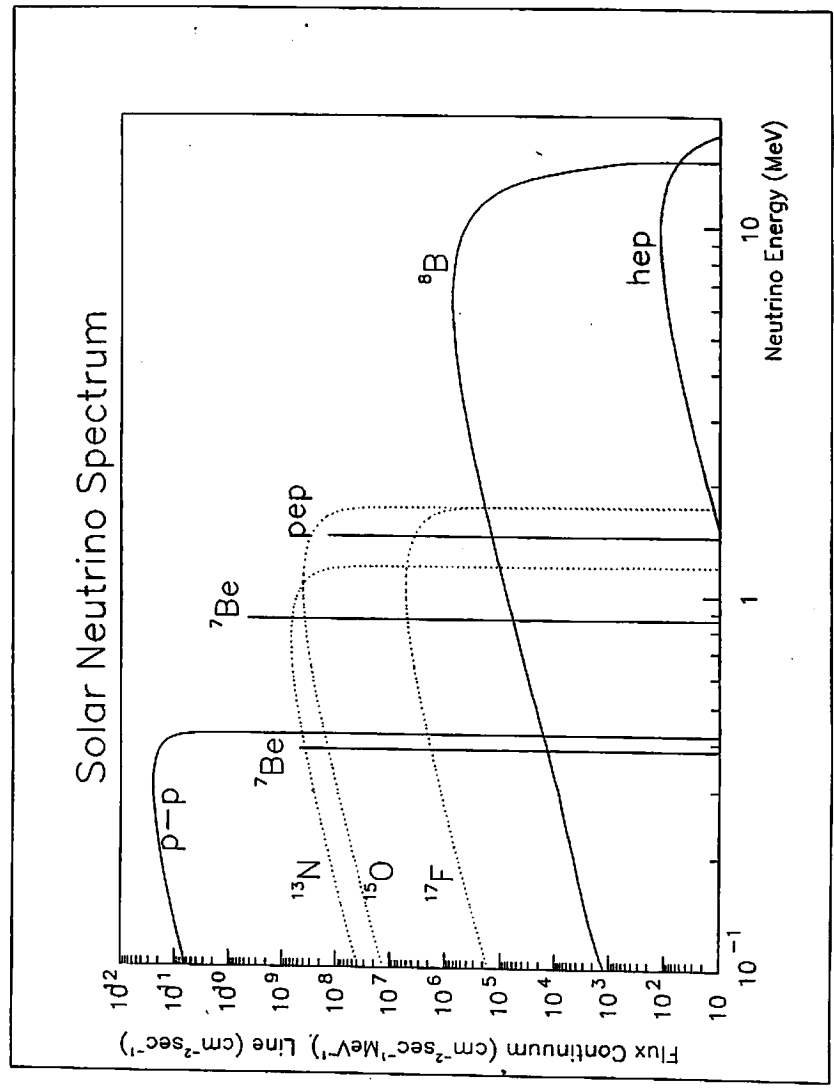


Fig. 1.3

Test ssm by helioseismology 日震学

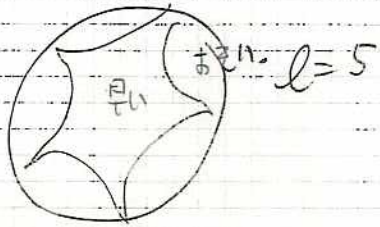
sound speed  $c^2 = \gamma \frac{P}{\rho}$   $\gamma = \frac{c_p}{c_v} = \frac{5}{3}$

Helium voice air  $\rightarrow$  Helium

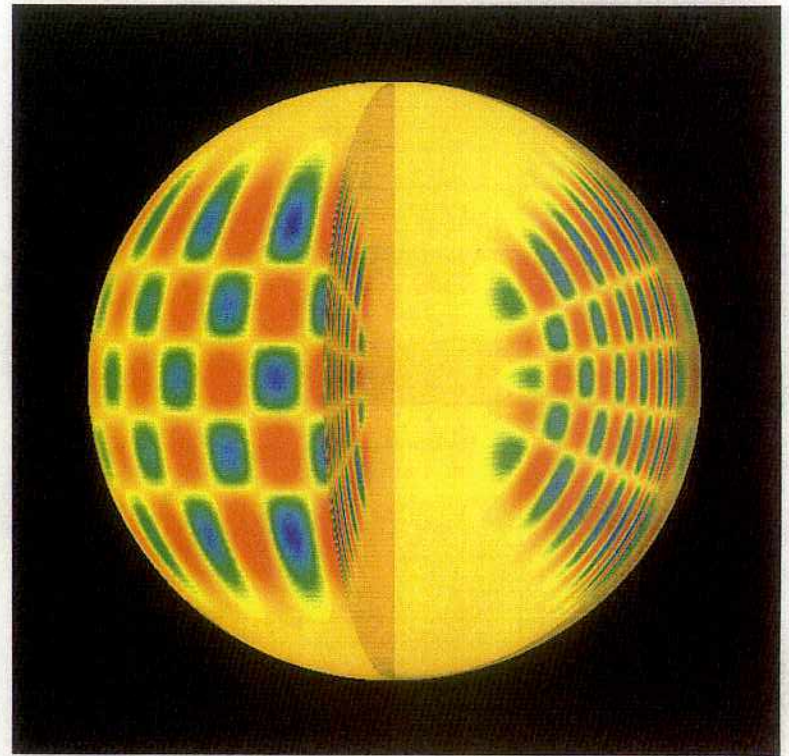
$\rho$ が小さくなる  
 $c$ が大きくなる

$c = \lambda \nu$   $\lambda$ は同じでも  $\nu$ が大きくなる

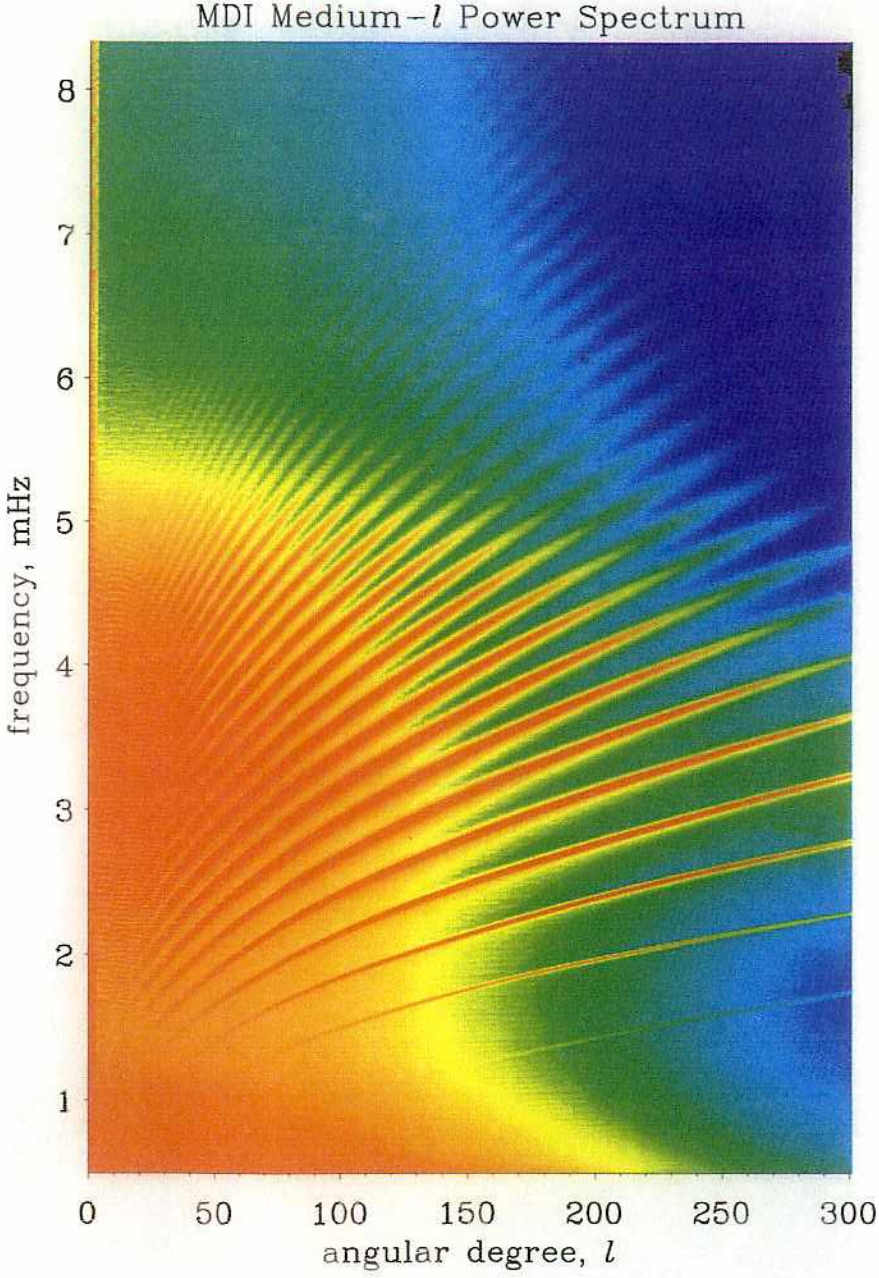
Heliumの割合が変わる。



Solar model と 0.5% 以内の精度で一致している。







MDI Medium-*l* Power Spectrum

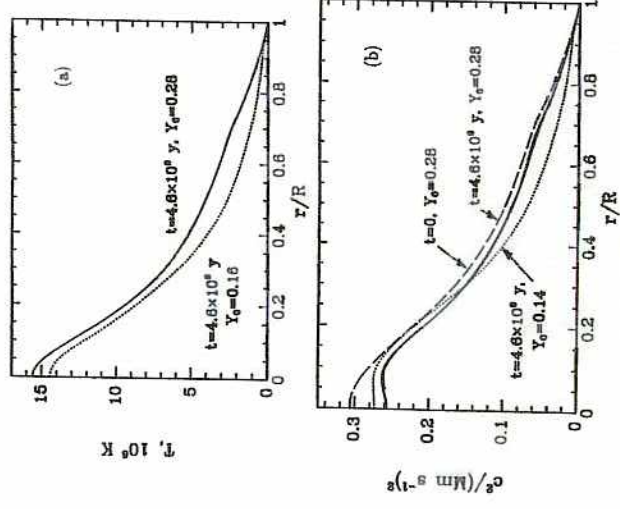


Fig. 5. (a) temperature  $T(r)$  of two solar models with initial helium abundances  $Y_0 = 0.28$  (solid curve) and  $Y_0 = 0.14$  (dotted curve). (b) squares of sound speeds,  $c^2(r)$ , of the two models of (a); the dashed curve represents  $c^2$  at zero age in the model with  $Y_0 = 0.28$ . A sound-speed inversion of solar data is included also as a continuous curve.

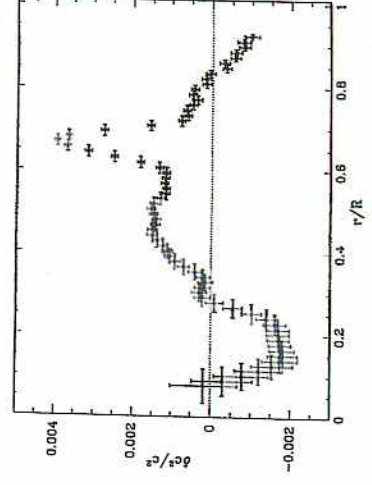


Fig. 6. Localized averages of the relative difference  $\delta c^2 / c^2$  between the squared sound speeds in the Sun and in the standard solar model of Christensen-Dalsgaard *et al.* (1996). The horizontal bars represent the characteristic widths of the averaging kernels  $A$ , such as are illustrated in Figure 4; the vertical bars are standard errors (which are correlated).

difficulty lies in explaining three different measure-

But would merely removing the discrepancy be scientifically sufficient? Certainly not. Firstly, it is important to be sure that the model really does represent the spherically averaged structure of the Sun, which involves investigating more than just the sound speed. Secondly, we need also to investigate the asphericity, which, as Haxton has pointed out, may not be small in all respects.

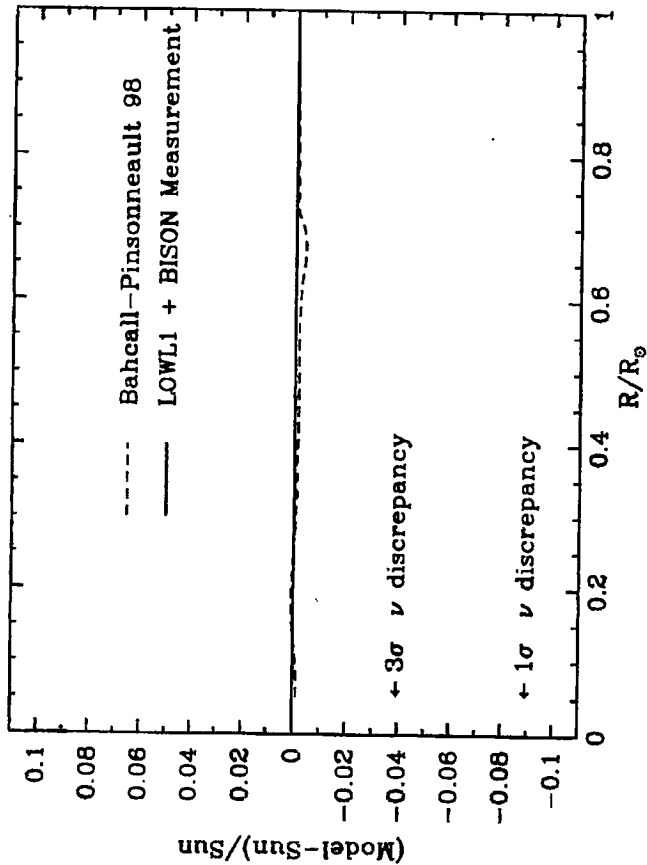


Figure 2. Predicted versus Measured Sound Speeds. This figure shows the excellent agreement between the calculated (solar model BP98, Model) and the measured (Sun) sound speeds, a fractional difference of 0.001 rms for all speeds measured between  $0.05R_{\odot}$  and  $0.95R_{\odot}$ . The vertical scale is chosen so as to emphasize that the fractional error is much smaller than generic changes in the model, 0.03 to 0.08, that might significantly affect the solar neutrino predictions.

Solar  $\nu$  experiments

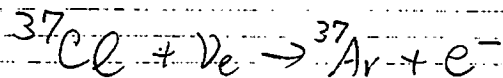
- ① Davis exp. 1970 ~
- ② Kamiokande.  $\nu e \rightarrow \nu e^- \rightarrow SK \tau^+ CBLC$
- ③ Gallium experiment GALLEX SAGE
- ④ SK

Davis exp.

He gas purge  $\tau^+$  Ar を回収する。

ext. efficiency は 95.8% 回収前に known

amount の  $^{36}\text{Ar}$ ,  $^{37}\text{Ar}$  を回収してその回収率を見る



24.2% abundance

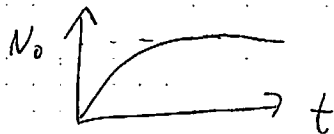
$$E_{\text{th}} = 0.814 \text{ MeV}$$

$$T_{1/2}(^{37}\text{Ar}) = 35 \text{ days}$$

expose: ~ 80 days

SSM expected rate ~ 1.4 atms/day production

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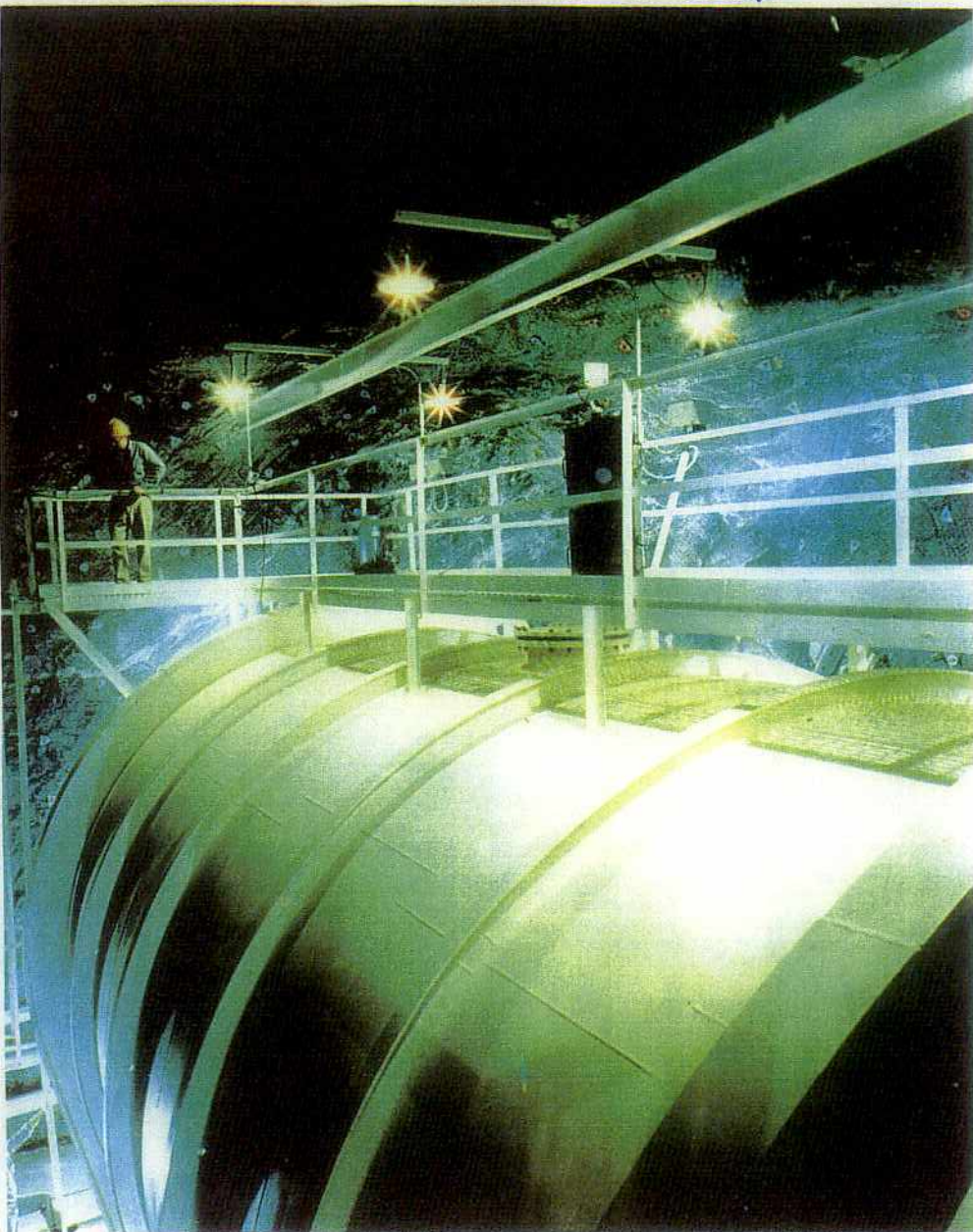
$$N_0 (1 - e^{-\frac{t}{\tau}})$$

$$1.4 \text{ atms} \times \frac{\tau}{\ln 2} \approx 7 \text{ atm 程度}$$

$^{37}\text{Cl}$  detector

1480m underground

615 tons of  $\text{C}_2\text{Cl}_4$



107

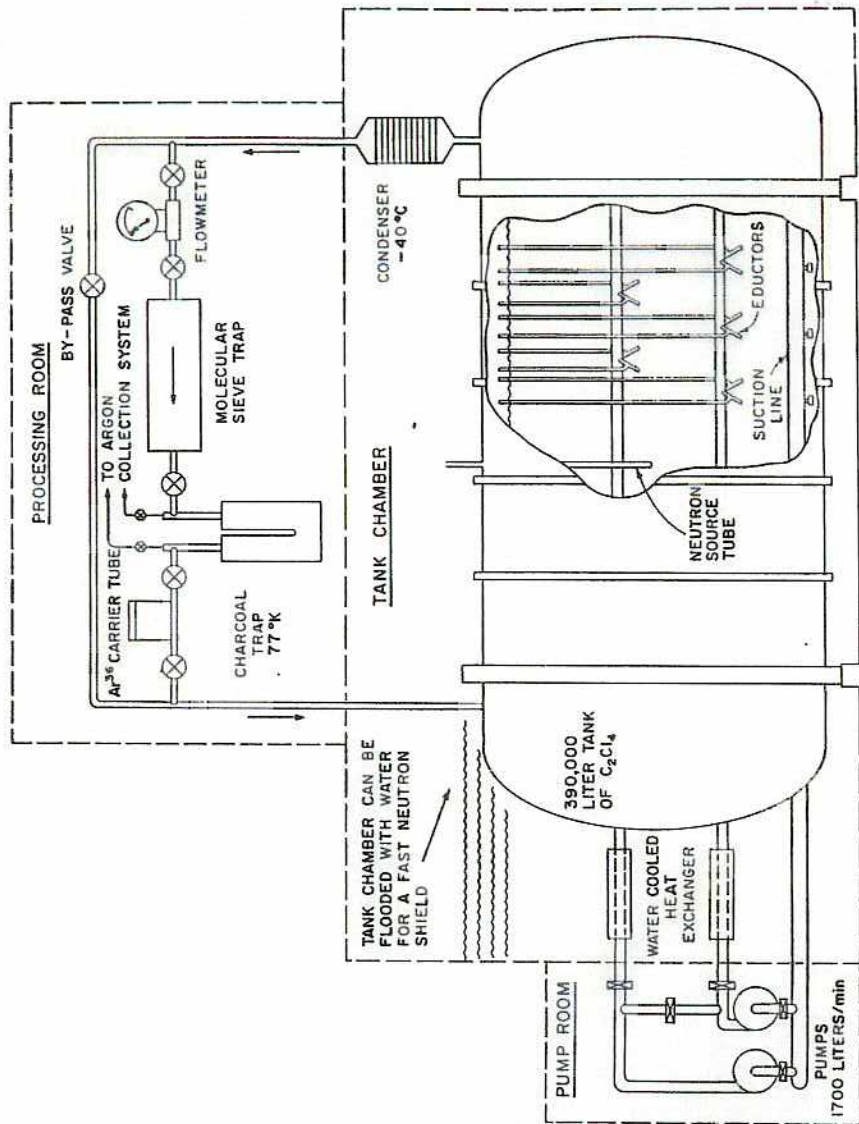


FIG. 1. Schematic arrangement of the Brookhaven solar neutrino detector.

Each contribution (SSM prediction) 50/-30

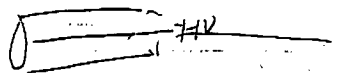
SNU

PP	0.0		
PeP	0.22		
<sup>7</sup> Be	1.15	← 15%	
<sup>8</sup> B	5.76	← 76%	他 1's 8%
<sup>13</sup> N	0.09		
<sup>15</sup> O	0.33		
<sup>17</sup> F	0.0		
<hr/>			
	7.6 ± 1.3		
	1.1		

Davis 1.6 Ar/day

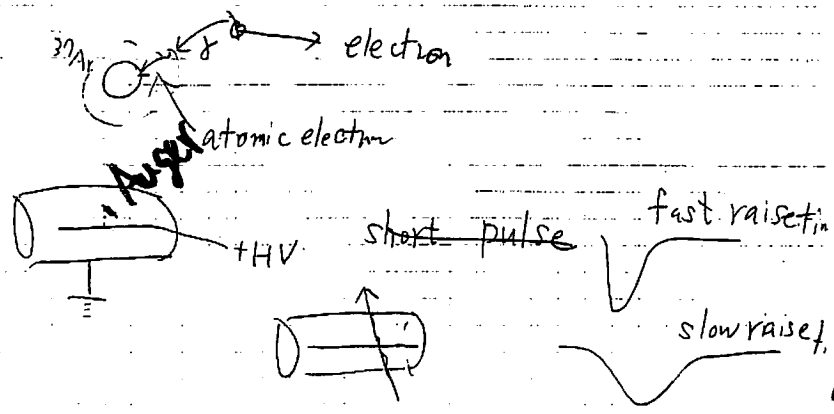
SNU = 10<sup>-36</sup> captures/atms/sec

<sup>37</sup>Ar # electron capture decay



Small proportional counter

2.82 keV - Auger electron



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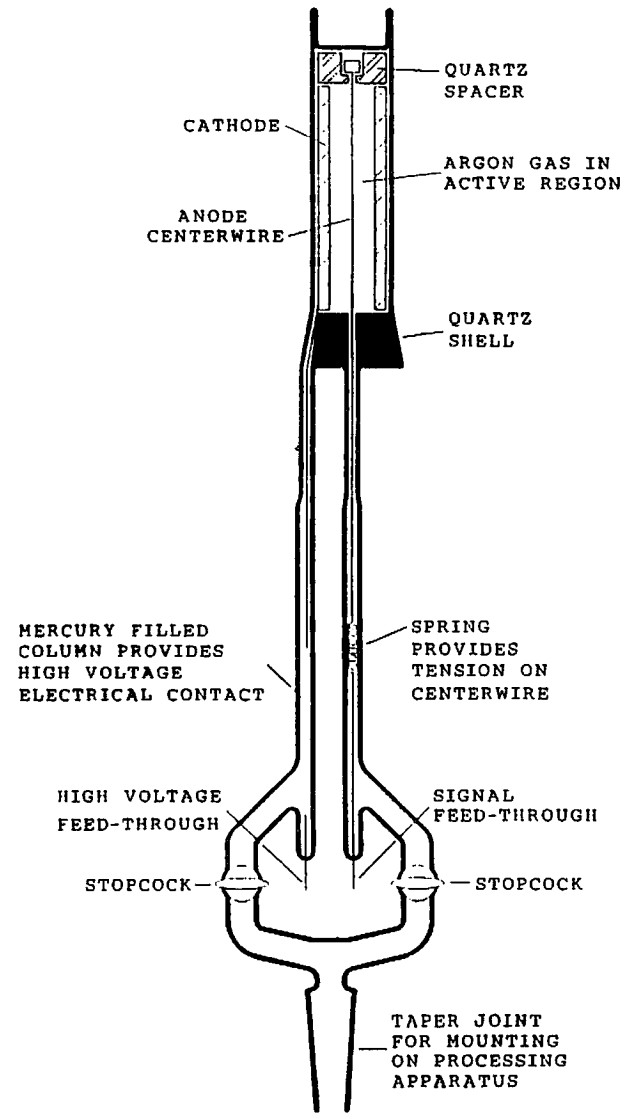


FIG. 7.—Proportional counter geometry. Sketch of the miniature proportional counters used to observe <sup>37</sup>Ar decays. Counters typically have an overall length of 20 cm, with an active region 30 mm long and 4.5 mm in diameter.

extracted from the tank (argon) to fill the counter methane. The methane is very low in tritium.

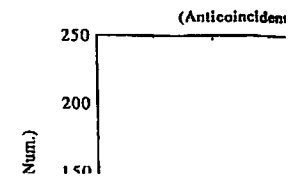
<sup>37</sup>Ar decays in the mixture distinguished from background by their distinct energy and characteristic decay mode of <sup>37</sup>Ar. Its 2.82 keV of energy is used to distinguish Auger electrons from that of the approximately 100 keV of the Compton scattering; the background deposits energy comparable to that of the counter and will thus be distinguished from the signal.

The signal from the counter is directly coupled to a fast preamplifier output is then processed by a standard shaping amplifier to measure the pulse rise time. This timing amplifier then integrates the short time constant (5 ns) into a pulse stretcher that produces a signal for an analog-to-digital converter proportional to energy and time of the pulse from the counter.

In an ADP versus a narrow band (Fig. 8), the broad region below this

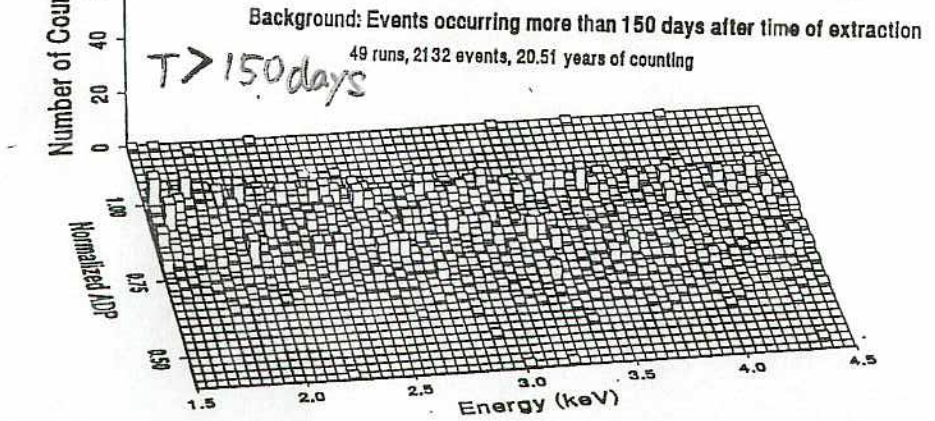
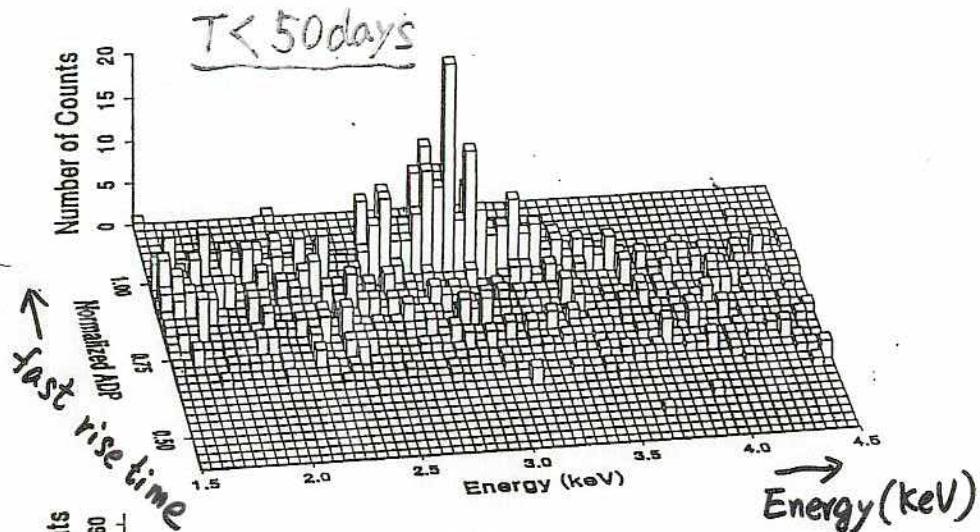
This seal assures that no gas is lost by leakage through the valve.

Since the counters are baked out at temperatures in excess of 200° C, a space must be left between the cathode and the counter shell to allow for differential thermal



<sup>37</sup>Cl experiment

Signal: Events occurring less than 50 days after time of extraction  
49 runs, 1065 events, 6.41 years of counting



109

The method of maximum likelihood is also used to combine the results of all 108 observations to find the production rate that is most likely to have produced the entire data set. The average production rate for several runs is found by multiplying the likelihood functions of these runs together and searching parameter space for the most likely

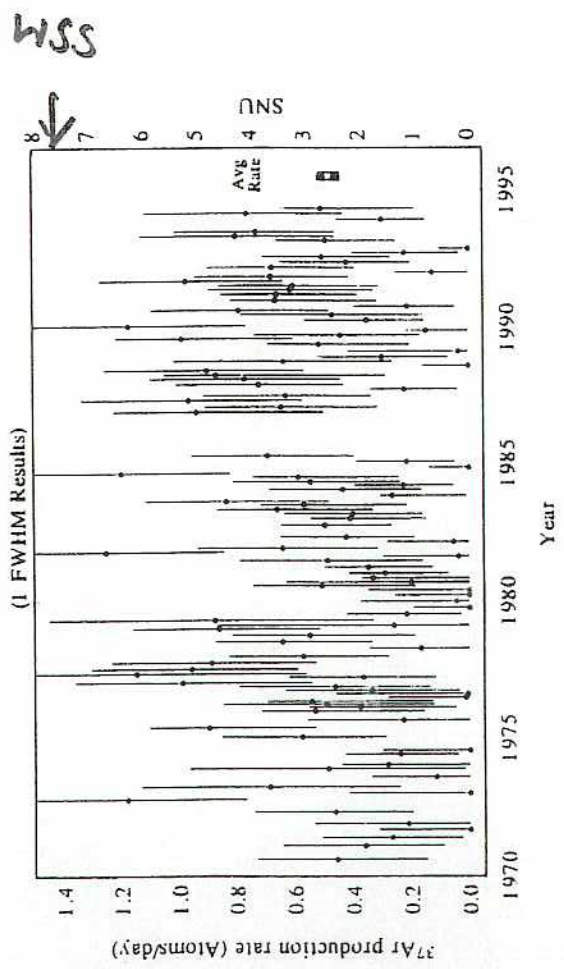


FIG. 13.—Homestake Experiment—one FWHM results. Results for 108 individual solar neutrino observations made with the Homestake chlorine detector. The production rate of <sup>37</sup>Ar shown has already had all known sources of nonsolar <sup>37</sup>Ar production subtracted from it. The errors shown for individual measurements are statistical errors only and are significantly non-Gaussian for results near zero. The error shown for the cumulative result is the combination of the statistical and systematic errors

on  
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1),

$^{37}\text{Cl}$  result:  $2.56 \pm 0.16 \pm 0.16 \text{ SNU}$

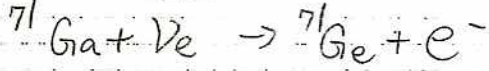
501-34

$\updownarrow$   
7.6 SNU SSM

ratio 0.34

Gallium exp.

G. GALLEX 1991 ~ 1997 2.6 SNU  
SAGE 1990 ~ 2.6 SNU



$E_{\text{thr.}} = 0.233 \text{ MeV}$

$T_{1/2}(^{71}\text{Ge}) = 11.4 \text{ days}$

Dark:  $\sim 20 \text{ days}$

SSM expectation

PP	69.7	$\leftarrow$	54%
pep	2.8		
$^7\text{Be}$	34.2	$\leftarrow$	27%
$^8\text{B}$	12.1	$\leftarrow$	$\sim 9\%$
$^{13}\text{N}$	3.4		
$^{15}\text{O}$	5.5		
$^{17}\text{F}$	0.1		
Total	$128 \pm 9$		SNU

110

GALLEX detector

Gran Sasso (3100m.w.e.)

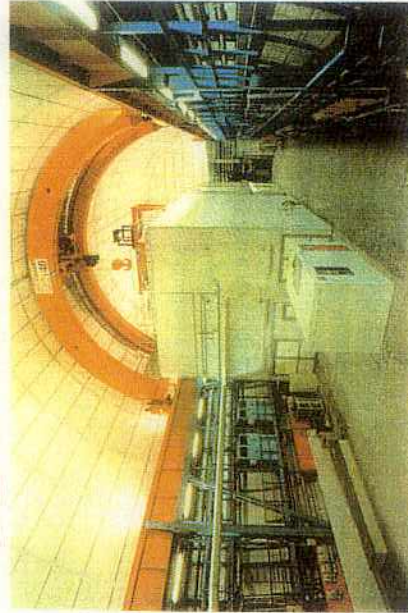


Fig. 9. GALLEX process building in hall A of the LNGS underground laboratory.

are passed through scrubbers and continuously monitored for HCl.

A general overview of the technical equipment is given in Figure 11. The detector tanks are two  $70 \text{ m}^3$  vessels of vinyl ester resin reinforced with fiberglass, with an inner lining of 4 mm of PVDF; the outer dimensions are 3.9 m diameter

and 7.8 m overall height. These dimensions just barely allow the tanks to be transported inside the tunnel (Fig. 12). The central tube to accommodate a calibration neutrino source exists only in tank A. The sparging system in tank A has been designed for a gas flow of  $250 \text{ m}^3/\text{h}$  and is made up of four concentric pipe rings perforated at the bottom. The sparging system in tank B consists of only one pipe ring and has been designed for ten times lower gas throughputs. The



Fig. 12. Transportation of a detector tank into the Gran Sasso tunnel.

501-35

GALLEX

30.3 tons of Ga in GaCl<sub>3</sub> solution

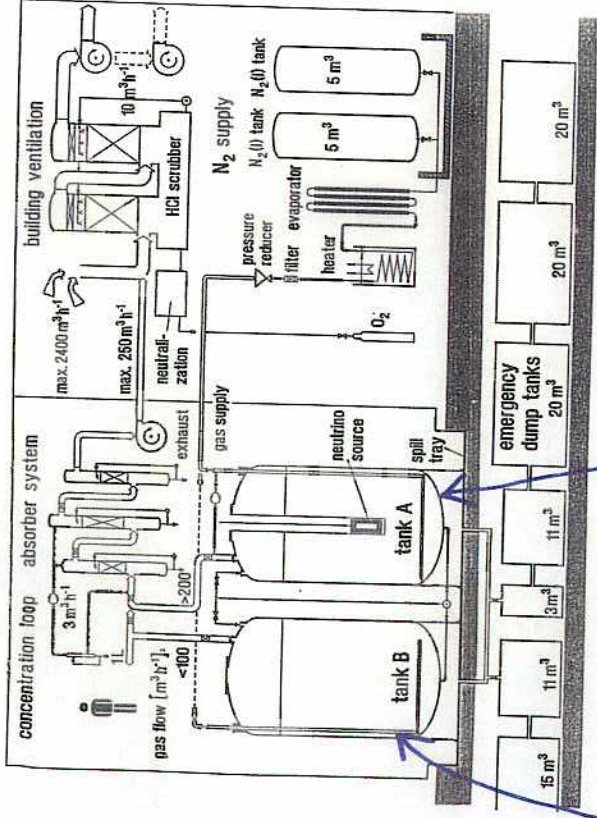


Fig. 11. Simplified flowsheet and survey of the technical equipment of the GALLEX facility.

Angew. Chem. Int. Ed. Engl. 1992, 31, 1283-1297

GALLEX-I

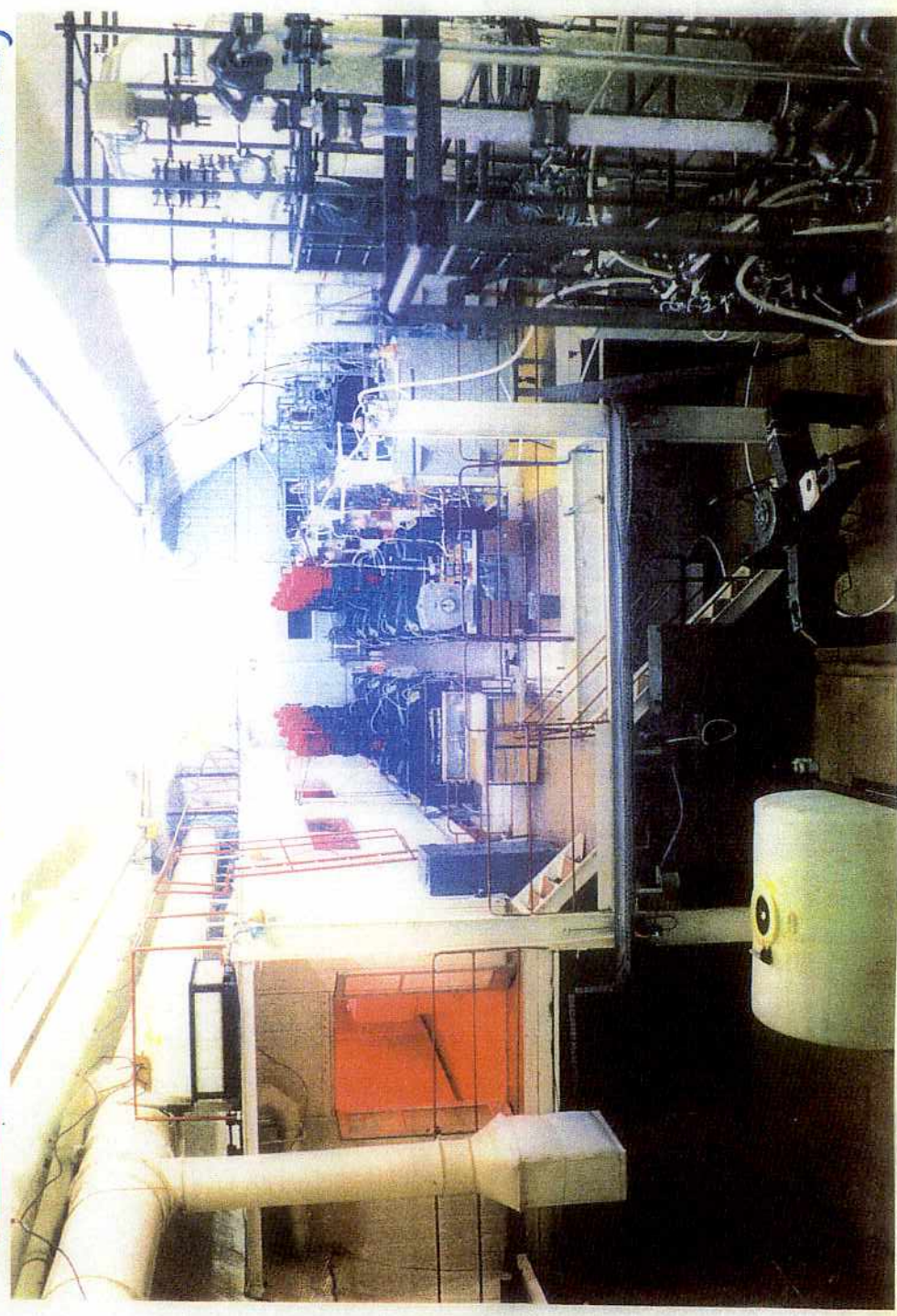
GALLEX-II

50/-36

SAGE detector

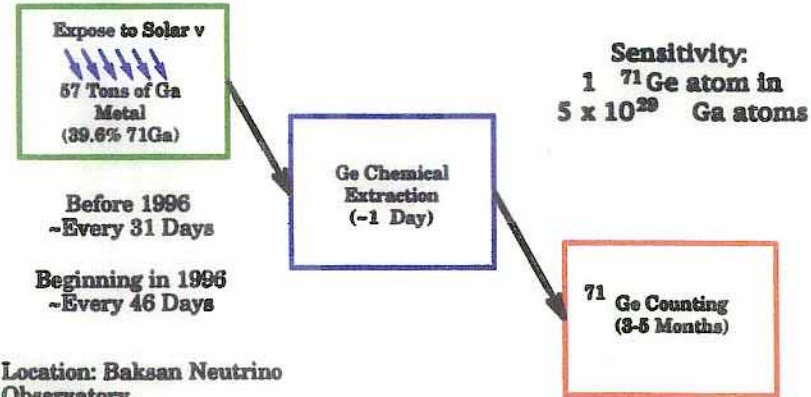
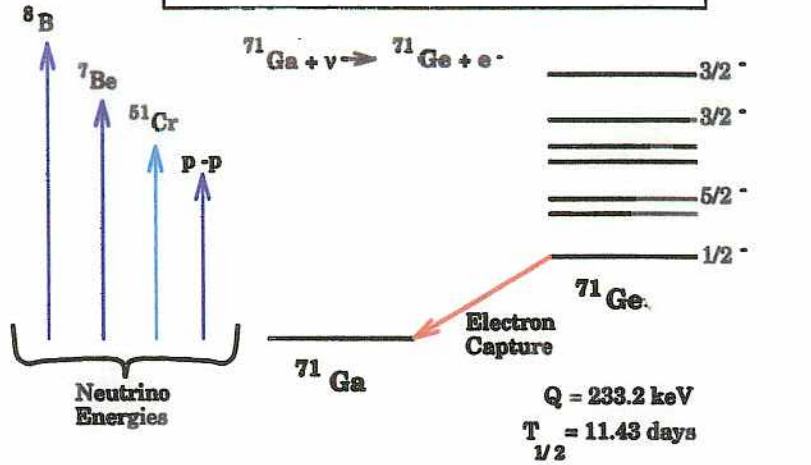
~ 7 ton x 8

Ga reactors (metallic Ga)

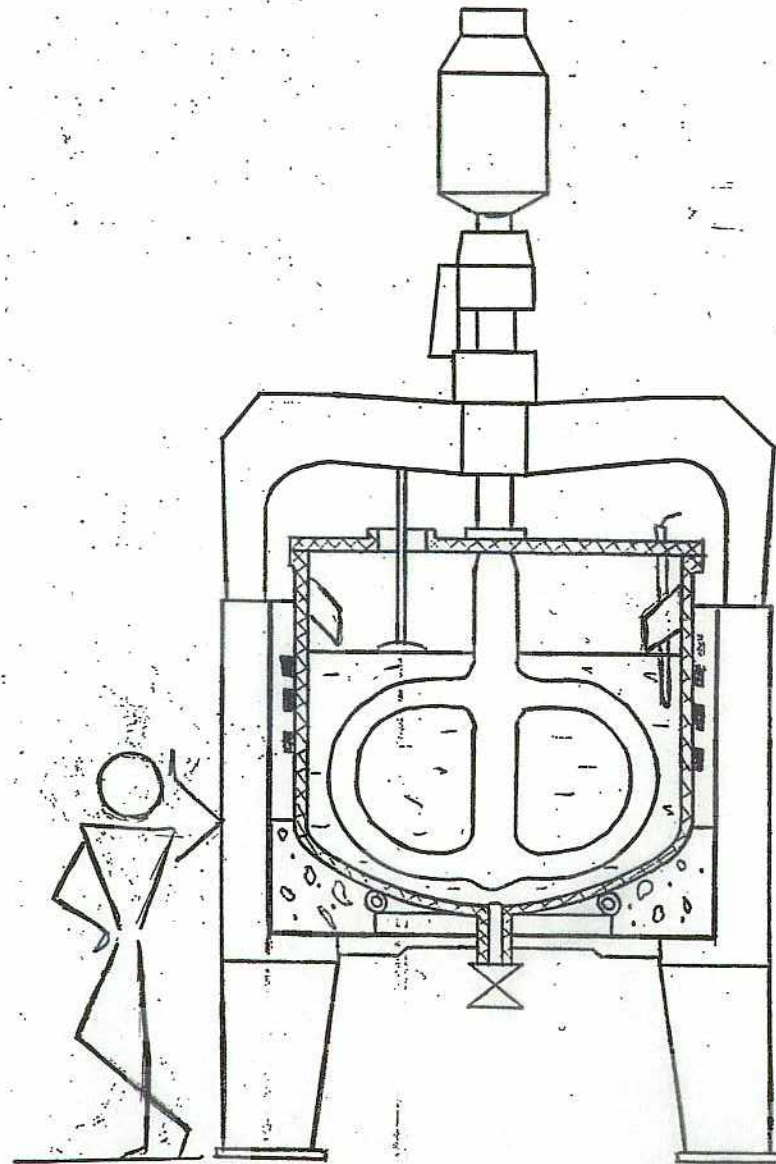
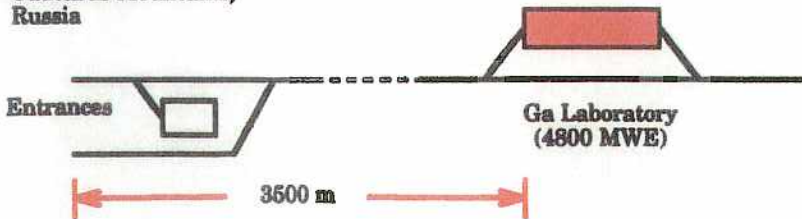


Baksan (4715 m.w.o)

### SAGE Experiment Overview



Location: Baksan Neutrino Observatory  
 Mount Andyrchi  
 Caucasus Mountains,  
 Russia





**SAGE Extraction Chemistry  
(SAGE III)**

- Add Ge carrier (~700  $\mu\text{g}$  Ge) to 50 tons of Ga
- Extract Ge with ( $\text{H}_2\text{O}_2 + \text{HCl}$ ) aqueous solution (double step procedure)
- Separate Ge from the Ga solution by distillation
- Sweep out  $\text{GeCl}_4$  from the acidified condensate
- Trap Ge in small amount (~1 l) of  $\text{H}_2\text{O}$
- Extract  $\text{GeCl}_4$  into  $\text{CCl}_4$
- Back extract  $\text{GeCl}_4$  into 50  $\text{cm}^3$  low tritium  $\text{H}_2\text{O}$
- Synthesize  $\text{GeH}_4$  (germane gas)
- Fill counter with  $\text{GeH}_4$  (~20%) and Xe (~80%)

**Metallic Ga is in liquid form**

**Oxidize < 0.1% Ga**  
**Dissolve Ge in HCl**  
**Check Ga concentration**

**Check Ge concentration by atomic absorption**

**Check Ge concentration by atomic absorption**

**Check Ge concentration by volume measurement**

GALLEX I 13

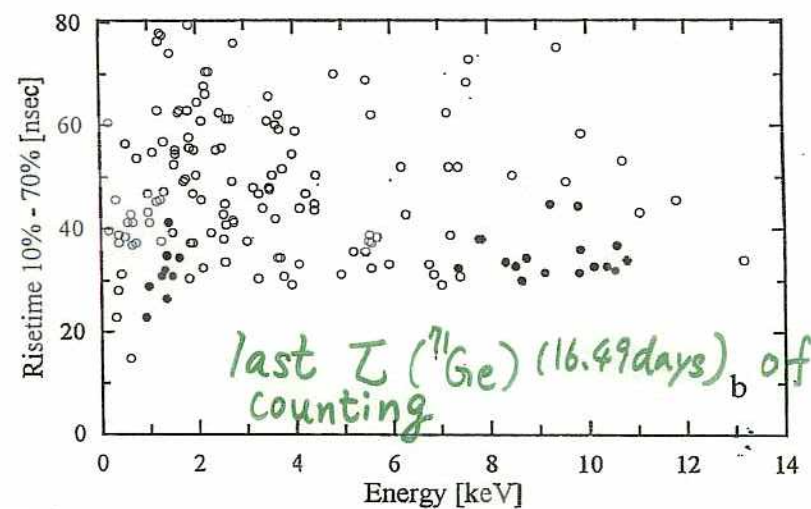
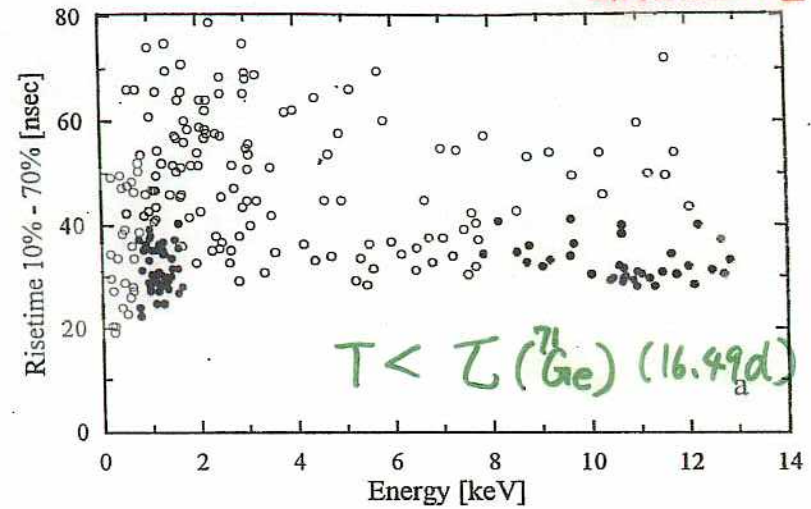


Figure 1

- a Rise time versus energy for all unvetoes events observed in GALLEX I solar runs during the first 16.49 live days of counting, taken onwards from the start of counting of each run, respectively (16.49 days is one mean life of  $^{71}\text{Ge}$ ).
- b As above, but for the last 16.49 live days of counting taken backwards from the end of counting for each run, respectively.

Solid dots mark counts within the L- or K- energy and rise time windows, open dots mark counts outside the windows. The population of solid dots defines no sharp window boundaries since counts come from many similar, yet not identical windows. Enrichments in L- and K- windows of (a) are apparent. No significant differences between (a) and (b) are seen outside the windows. This is quantified in table 2.

# Calibration spectrum (SAGE)

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J.N. Abdurashitov et al. / Physics Letters B 328 (1994) 234-248

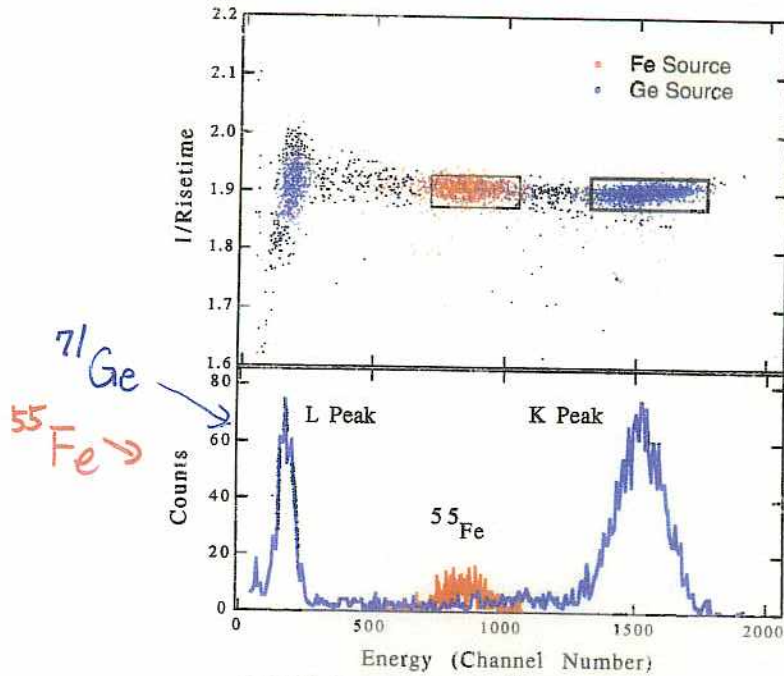


Fig. 1. Calibration spectrum using external <sup>55</sup>Fe and internal <sup>71</sup>Ge sources.

background channels in 60 t of Ga metal show that:

(i) As the laboratory is lined with low-background concrete, the external neutron background is low and has been measured to be  $(4.56 \pm 1.62) \times 10^{-3}$  fast neutrons/cm<sup>2</sup>/d [21]. The (n, p) cross sections on the Ga isotopes are small and this results in a production rate of less than 0.001 <sup>71</sup>Ge atoms/d.

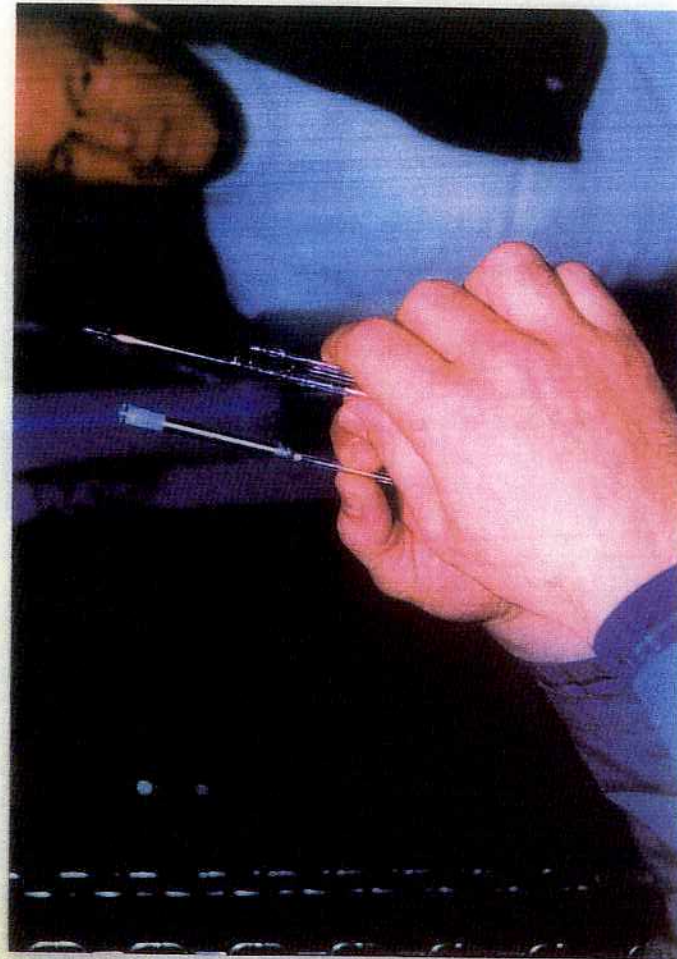
(ii) The background from internal radioactivity is mainly determined by the concentrations of U, Th, and <sup>226</sup>Ra in the Ga. These concentrations have been measured [22] to be less than  $3.0 \times 10^{-10}$  gm U/gm Ga,  $4.2 \times 10^{-10}$  gm Th/gm Ga and less than  $1.0 \times 10^{-16}$  gm <sup>226</sup>Ra/gm Ga. These limits, combined with measured yields of <sup>71</sup>Ge from alpha particles [16], indicate that less than 0.015 <sup>71</sup>Ge atoms/d are produced.

(iii) The measured muon flux in the underground laboratory [23] is  $(2.23 \pm 0.07) \times 10^{-9}$  muon/cm<sup>2</sup>/s corresponding to a depth of 4715 mwe. With this flux the production rates of the Ge isotopes from cosmic ray muons have been calculated [24] to be 0.007 <sup>71</sup>Ge, 0.020 <sup>69</sup>Ge, and 0.013 <sup>68</sup>Ge atoms/d.

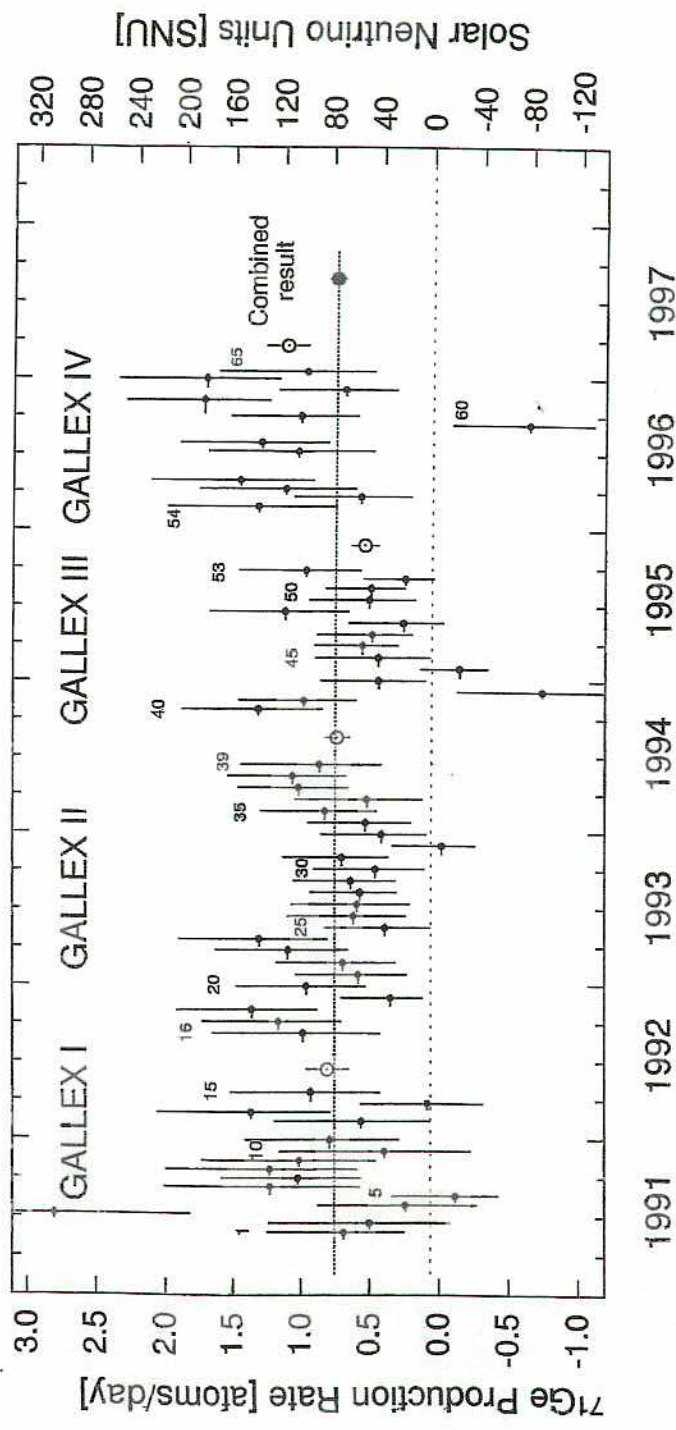
Thus, the total background production rate of all Ge activities from all sources (if all sources were at the measured limits) has been determined to be less than 1.0% (i.e., 1.3 SNU) of the SSM production rate.

### 3. Extraction history

The experiment began operation in May 1988 when purification of the 30 t of Ga commenced. Long-lived

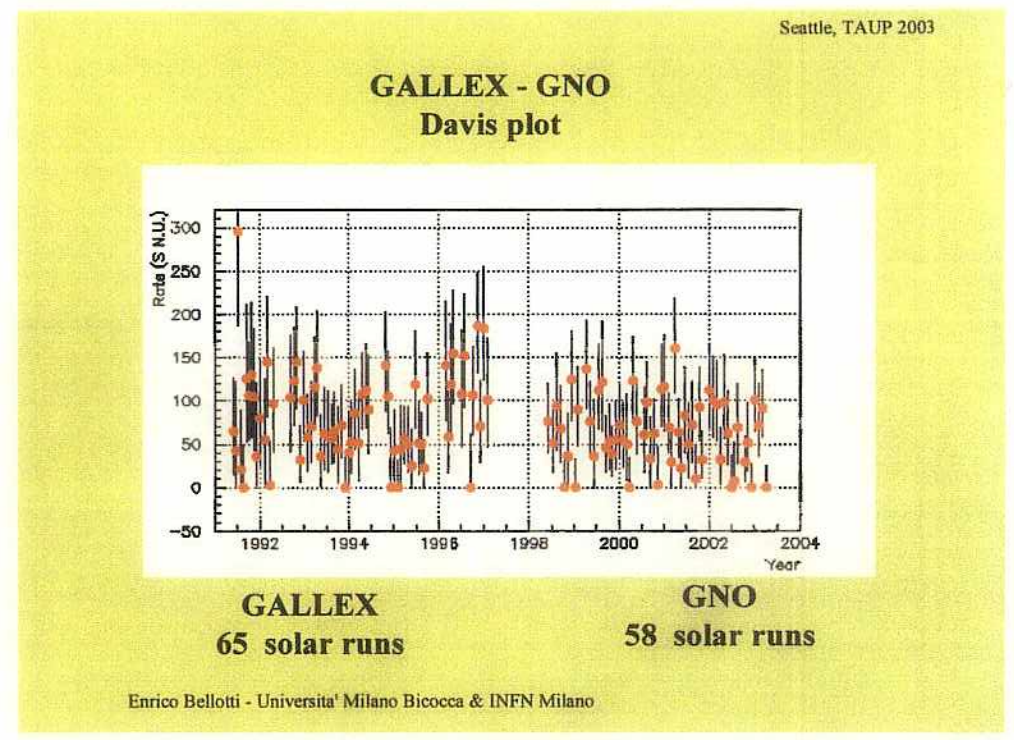


SAGE proportional counter



58.1.10c  
5

115

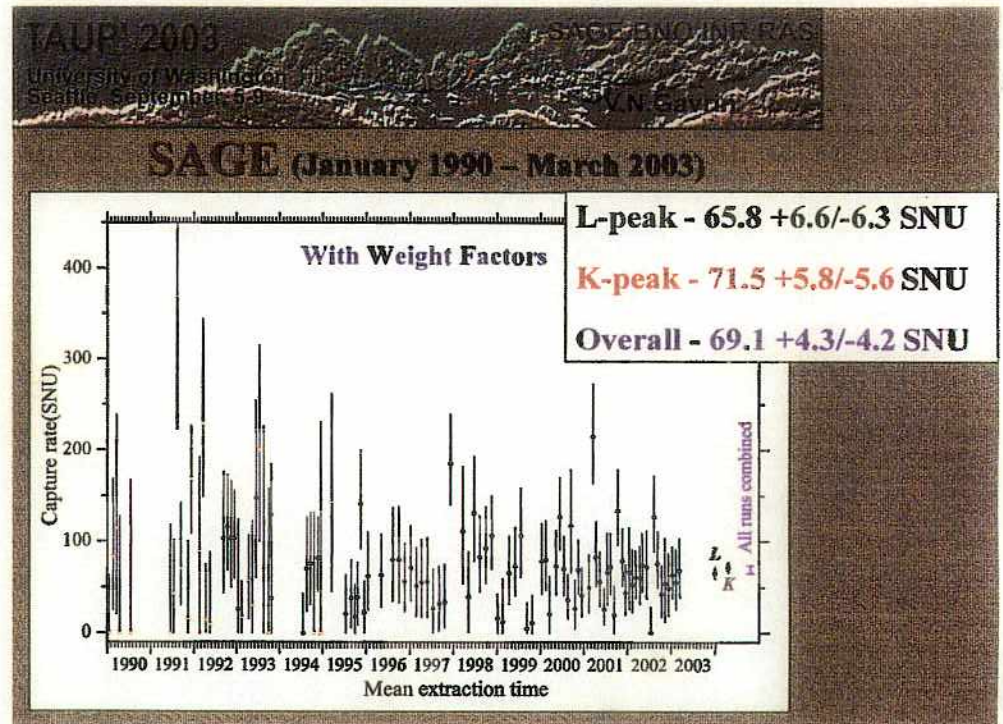


**GNO - Results**

completed	58 solar runs	1713 days
still counting	5 solar runs	(30 days)
blanks	12	
<b>GNO (31/08/2003)</b>	<b><math>62.9 \pm 5.4 \pm 2.5</math> SNU</b>	
	(L $68. \pm 9.$ K $60. \pm 7.$ )	
<b>GALLEX</b>	$77.5 \pm 6.2^{+4.3}_{-4.7}$ SNU	
<b>GALLEX+GNO</b>	<b><math>69.3 \pm 4.1 \pm 3.6</math> SNU</b>	

Further minor improvements expected in a short time ( analysis of counter calibration data... )

Enrico Bellotti - Universita' Milano Bicocca & INFN Milano

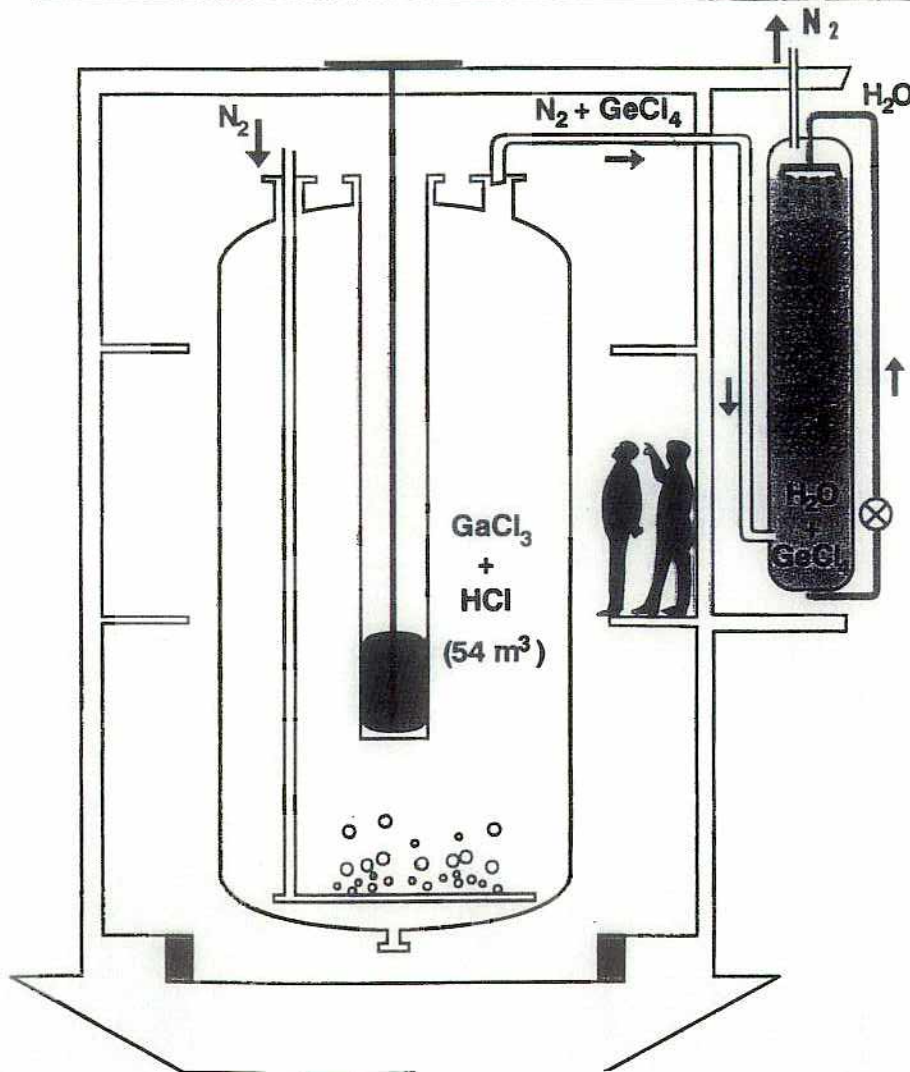
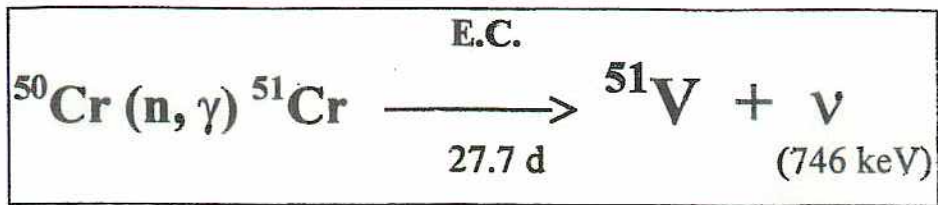


systematic error  $+3.8$   
 $-3.4$  SNU

⇒ overall  
 $69.1^{+5.7}_{-5.4}$  SNU

2a)

239



## $^{51}\text{Cr}$ ニュートリノ線源

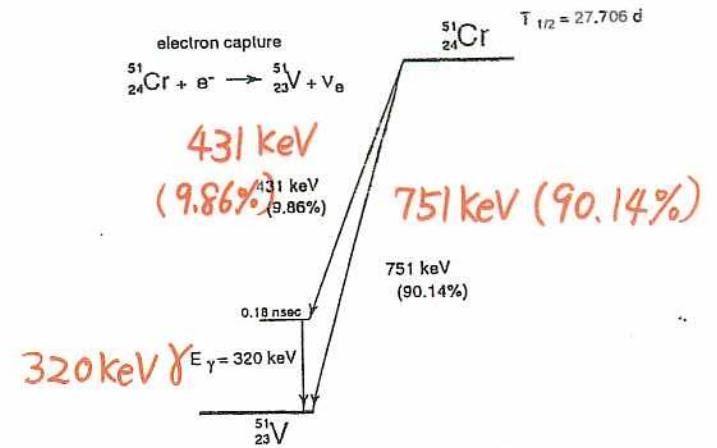


Fig. 1. Characteristics of the decay of  $^{51}\text{Cr}$ . The "751 keV" line combines the 746 and 751 keV lines and the "431 keV" line combines the 426 and 431 keV lines.

## Enriched Cr ヲズ

	$\sigma_{\text{therm}}$ [barn]	$i_{\text{natural}}$ %	$i_{\text{Gallex}}$ %	
$^{50}\text{Cr}$	15.9	4.35	38.6	$+n \rightarrow ^{51}\text{Cr}$
$^{51}\text{Cr}$	30	-	-	burn-up < 1%
$^{52}\text{Cr}$	0.76	83.8	60.7	
$^{53}\text{Cr}$	18.2	9.5	0.7	
$^{54}\text{Cr}$	0.36	2.35	<0.3	

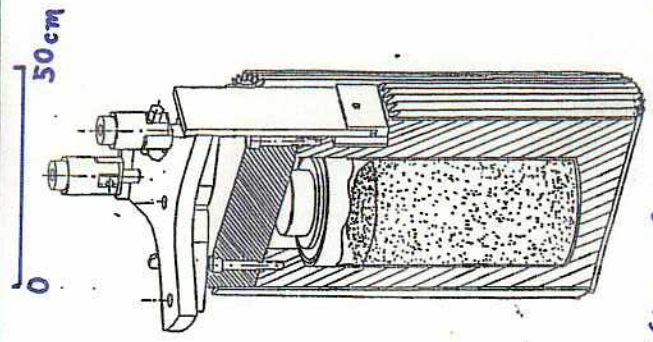
# GALLEX: Calibration by neutrino

## Cr Source Time Table

$^{51}\text{Cr}$ : 746 keV  $\gamma$

- irradiation of the source at the Siloé reactor (Grenoble)
  - 27. May - 20. June 1994
- exposure of the GALLEX target to the source at the LNGS
  - 23. June - 19. October 1994
- first preliminary result expected in November 1994
- end of counting planned for April 1995
- final result expected for early summer 1995

## The Chromium Source



$6.2 \times 10^{16} \text{ Bq}$   
( $\sim 2 \text{ MCi}$ )

$\sim 200 \text{ } ^{71}\text{Ge}$   
decays

36 kg of enriched Cr

118

## GALLEX $^{51}\text{Cr}$ $\nu$ - SOURCES

### Source strengths:

1:  $1.71 \pm .03 .04 \text{ MCi}$ ; 2:  $1.87 \pm .09 .06 \text{ MCi}$

### Measured rate:

$$R = \frac{\text{OBSERVED}}{\text{EXPECTED}} = 0.93 \pm 0.08$$

[ $1.01 \pm 0.10$  and  $0.84 \pm 0.11$ ]

*Phys.Lett B 420 (1998) 114*

with new value for solar "background" after GALLEX IV (=77.5 SNU):

$$R = 0.91 \pm 0.08$$

EXPERIMENTAL PROOF OF THE RADIOCHEMICAL METHOD

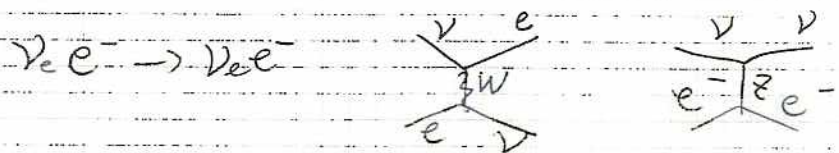
solar  $\nu$  measurement by Kamiokande and SK.

$\nu e^- \rightarrow \nu e^-$  scattering.

$E_{thr.} \approx 5 \text{ MeV} \Rightarrow$  only  $\text{B}$  solar  $\nu$  measurement

Advantage:

- real time experiment
- 方向性
- Energy information



$$\frac{d\sigma(E)}{dT} = \frac{2 G_F^2 m_e^2}{\pi A^4} \left[ g_L^2 + g_R^2 (1 - T/E_i)^2 - \frac{2}{A} g_L g_R \left( \frac{T x m_e}{E_i^2} \right) \right]$$

T: kinetic energy of **electron**

$$g_L = (\pm \frac{1}{2} + \sin^2 \theta_w), \quad g_R = \sin^2 \theta_w$$

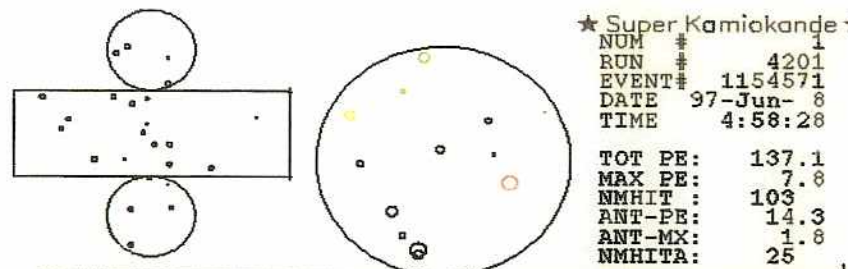
0.23

$\pm: \nu_e e^-$

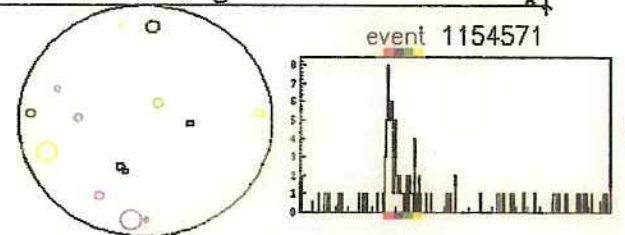
$\mp: \nu_{\mu} e^-$

## A Typical low-energy event

- Timing information
  - Ring pattern
  - number of hit PMTs
- vertex position  
direction  
energy



$E_e = 9.87 \text{ MeV}$   
 $\cos \theta_{\text{sun}} = 0.915$



Detect solar neutrinos by

$\nu + e \rightarrow \nu + e$  scattering

$\gamma$  -  $e^-$  maximum energy

$$y_{max} = \frac{T}{E_D} = \frac{2E_D}{2E_D + m_e}$$

$$m_e \approx 0.511 \text{ MeV}$$

$$\sigma_{total} = 0.95 \times 10^{-44} E_D (\text{MeV})$$

$\nu e^+ e^-$

$$\sigma_{total \nu n e^-} = 0.16 \times 10^{-44} E_D (\text{MeV})$$

$\sim \frac{1}{6}$  of  $\sigma_{\nu e^+ e^-}$

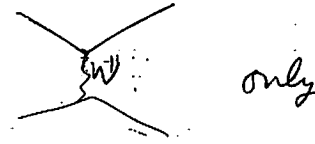


$$\cos \theta = \frac{y \left(1 + \frac{m_e}{E_D}\right)}{\sqrt{y^2 + 2 \frac{m_e}{E_D} y}}$$

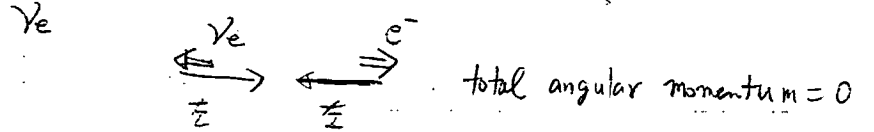
$\theta \lesssim 8^\circ$  くらい

$$g_L^2 = 0.53, \quad g_R^2 = 0.05$$

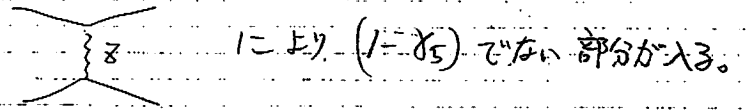
(V-A) classical V-A  $\nu e^-$  scattering



only



Go to any angle



$$M(\nu e) = \left[ \frac{-ig}{4 \cos \theta_W} \bar{u}_{\nu 2} \gamma (1 - g_A) u_{e 1} \right] \frac{g_V^2 - g_A^2 g_V^2 / m_Z^2}{q^2 - m_Z^2}$$

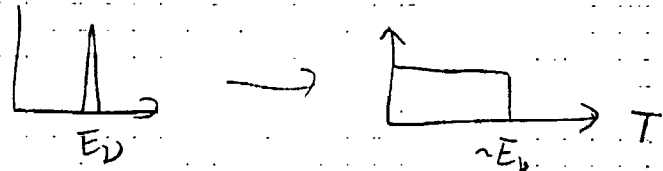
$$\times \left[ \frac{-ig}{2 \cos \theta_W} \bar{u}_{e 2} \gamma (g_V - g_A g_A) u_{\nu 1} \right]$$

$$g_V = 2 \sin^2 \theta_W - \frac{1}{2}$$

$$g_A = -\frac{1}{2}$$

Anyway,  $g_L^2 = 0.53, g_R^2 = 0.05$  くらい

$y \sim \frac{T}{E_D}$  1.713 くらい





### ve scattering kinematics

#### ve → ve scattering



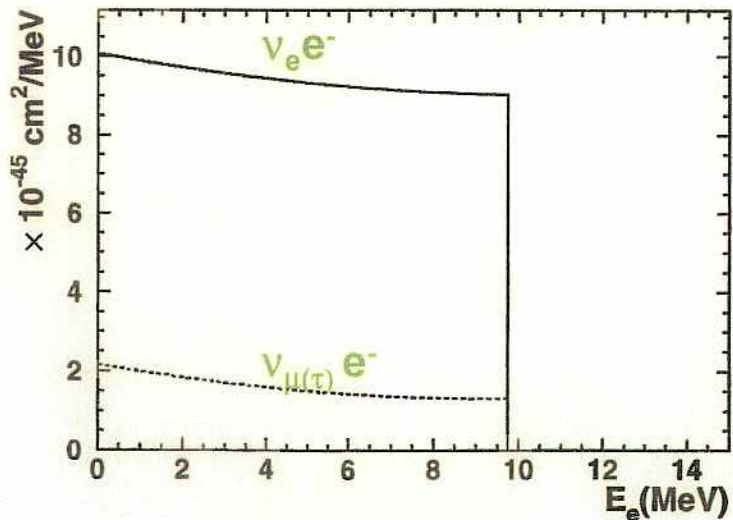
$$\frac{d\sigma}{dT} = \frac{2G_F^2 m_e}{\pi} [g_L^2 + g_R^2 (1 - T/E_\nu)^2 - g_L g_R m_e T/E_\nu^2]$$

T: kinetic energy of recoil electron

$g_L = (\pm 1/2 + \sin^2 \theta_W)$  for  $\nu_e$  and  $\nu_{\mu(\tau)}$

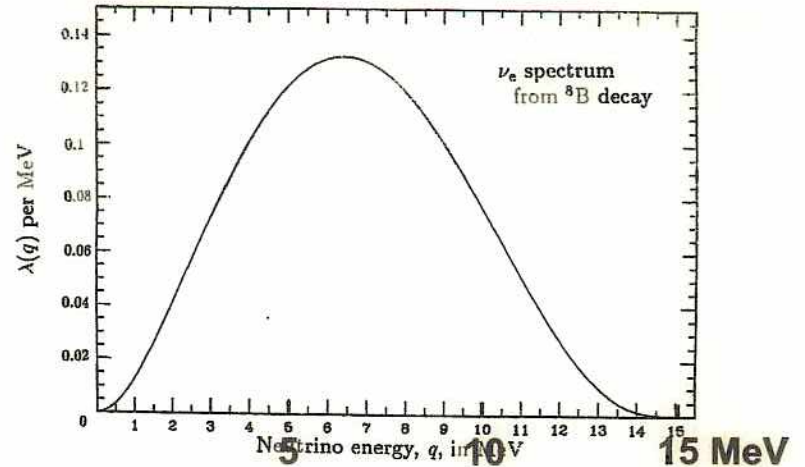
$g_R = \sin^2 \theta_W$

#### e.g. $d\sigma/dT$ for 10 MeV neutrino

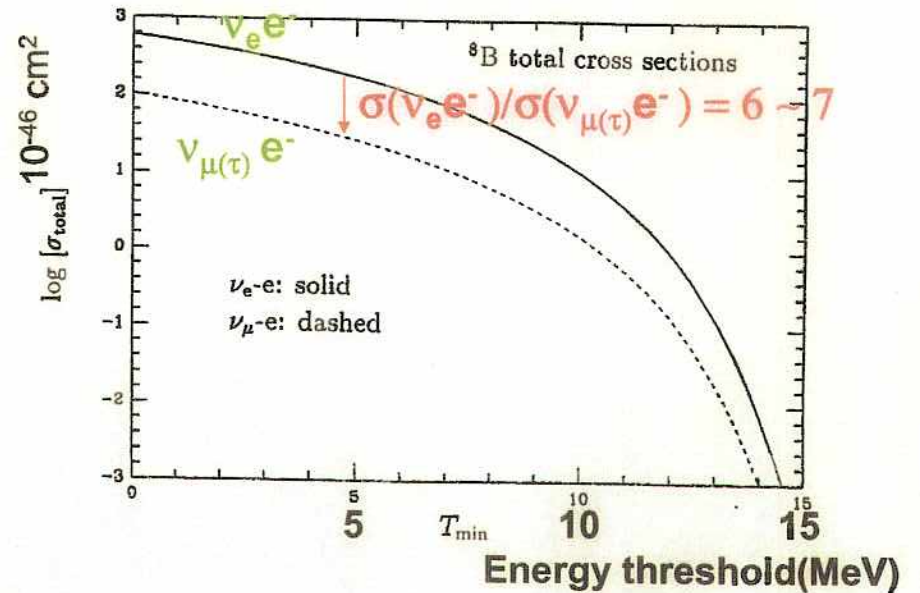


### ve scattering kinematics

#### <sup>8</sup>B solar neutrino spectrum

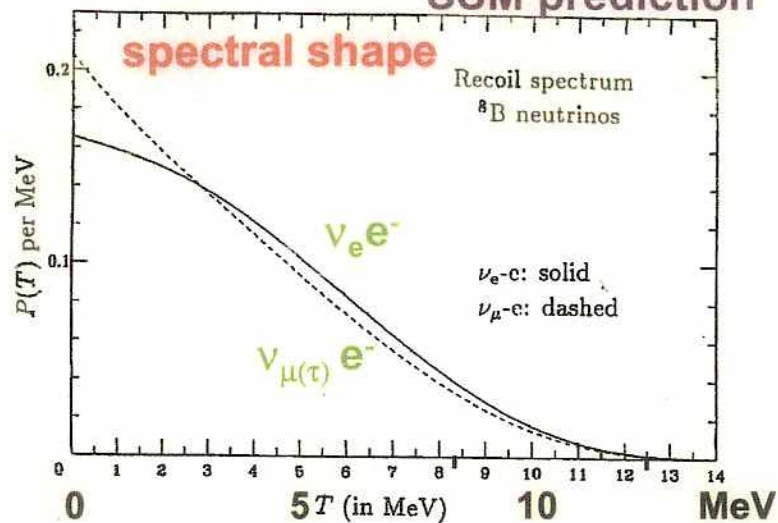


#### Total cross section for <sup>8</sup>B neutrinos



# Recoil electron energy spectrum

## SSM prediction



ゆがみ

Skの結果 flux:  $(2.35 \pm 0.02 \pm 0.08) \times 10^6 / \text{cm}^2/\text{s}$

SSM:  $5.05 \times 10^6 / \text{cm}^2/\text{sec}$

$$\frac{\text{Data}}{\text{SSM}} = 0.465 \pm 0.005 \pm 0.016$$

$0.015$

約半分 //

$^7\text{Be}$  vs. SK

実験値: 2.56 SNU

PP 0.0

PeP 0.22

$^7\text{Be}$  1.15

$^8\text{B}$  5.76  $\Rightarrow$  SKの結果が 0.465 倍だと 2.7 SNU

$^{13}\text{N}$  0.09

他元素の contribution は 1.01

$^{15}\text{O}$  0.33

近い。

$^{17}\text{F}$  0.0

$^7\text{Be}$  が 1.0!

7.6 SNU

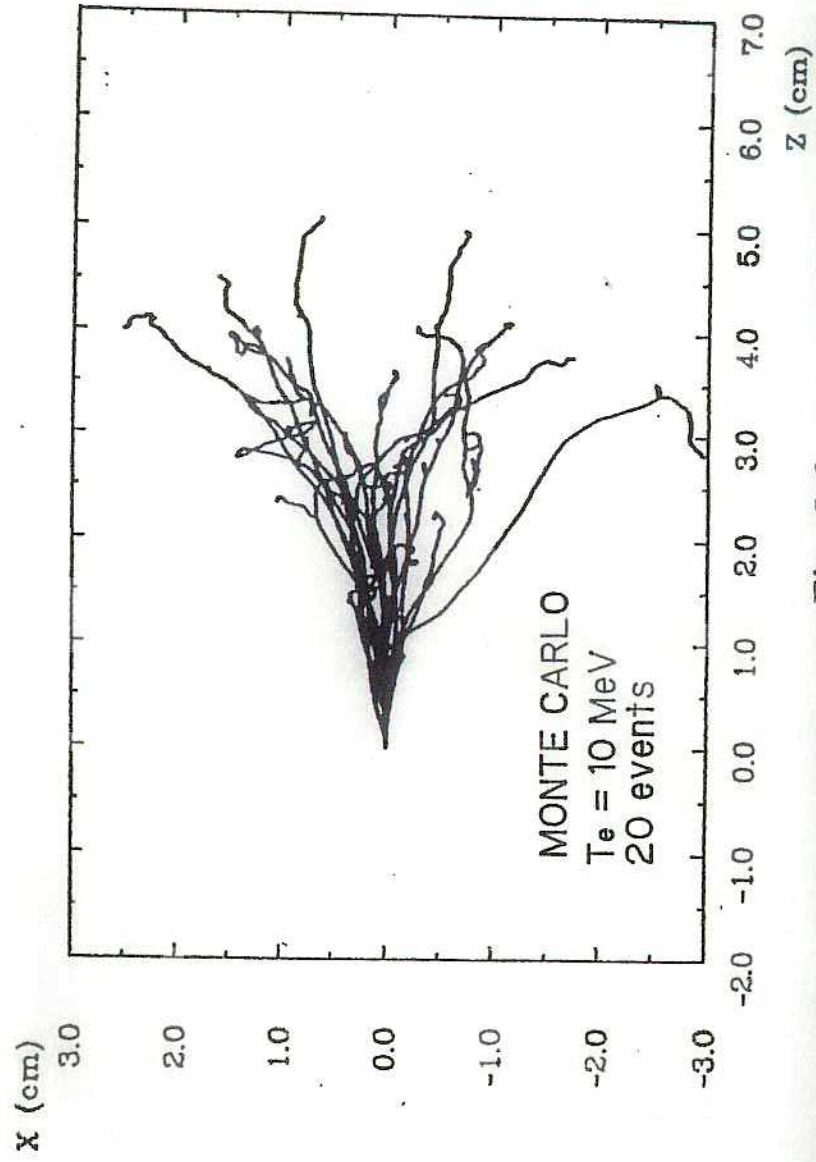
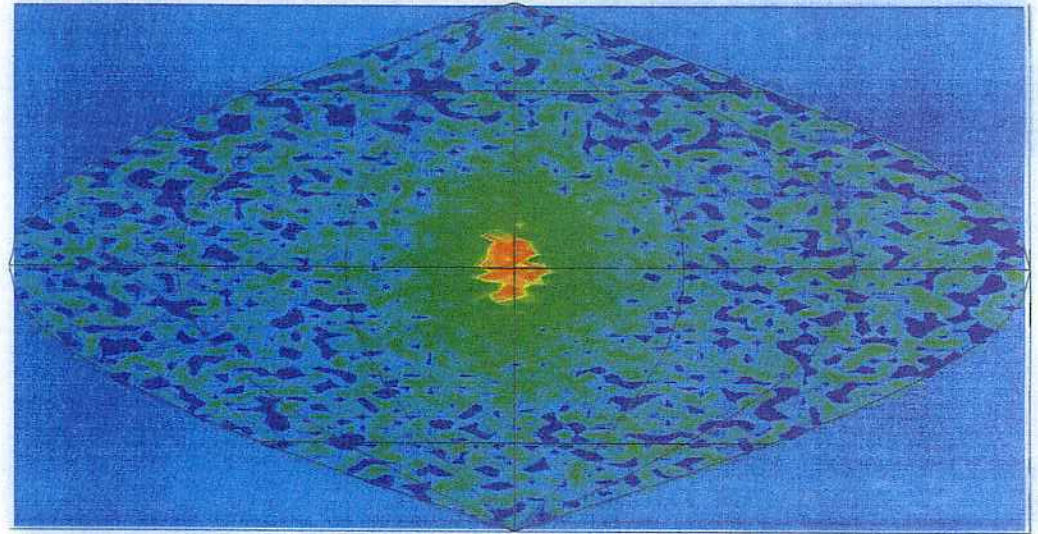
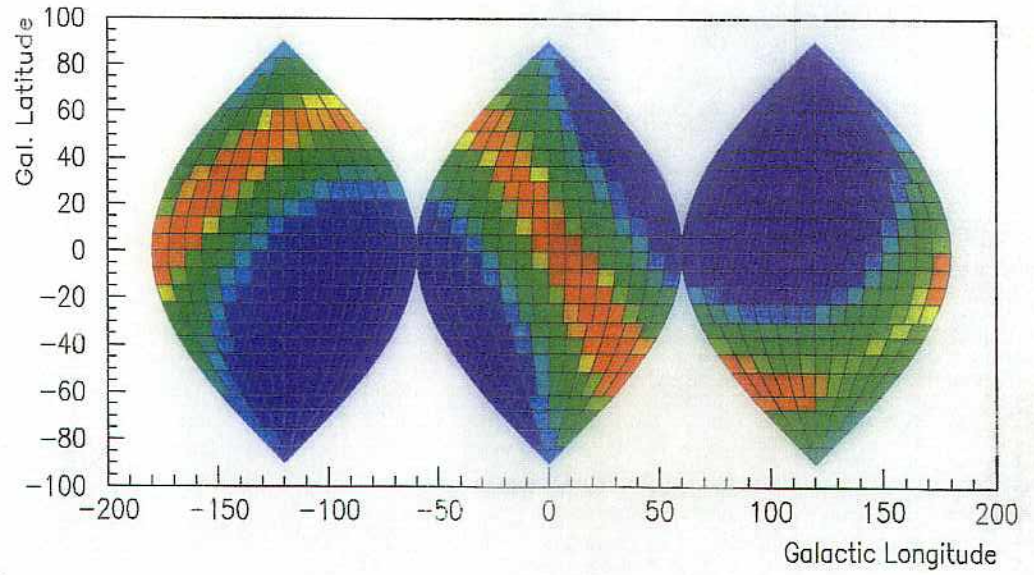


Fig. 5.6

# The Sun by Neutrino-graph



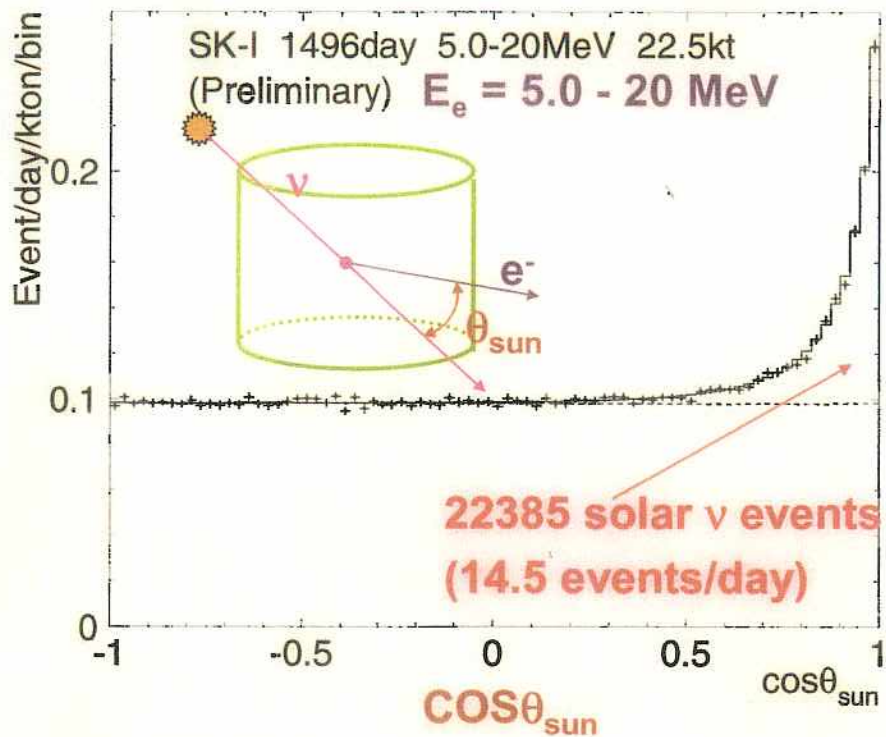
relative amplitude (0.1 n.e.)



### Direction to the Sun

May 31, 1996 – July 13, 2001

1496 days



$^8\text{B}$  flux :  $2.35 \pm 0.02 \pm 0.08$  [ $\times 10^6$  /cm<sup>2</sup>/sec]

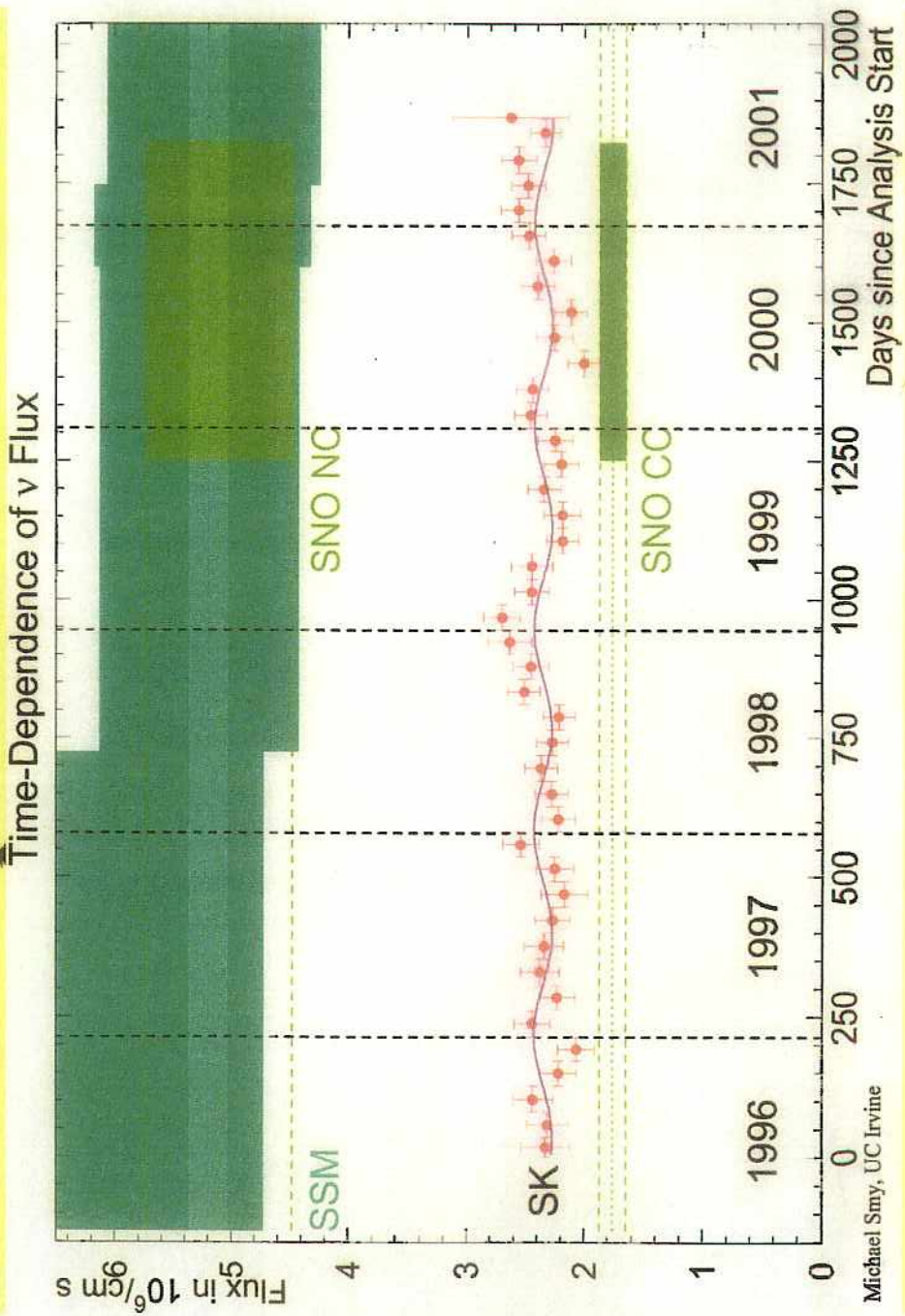
$$\frac{\text{Data}}{\text{SSM(BP2000)}} = 0.465 \pm 0.005^{+0.016}_{-0.015}$$

(BP2000:  $5.05 \times 10^6$  /cm<sup>2</sup>/sec)

(using Ortiz et al. spectrum shape(nucl-ex/0003006))

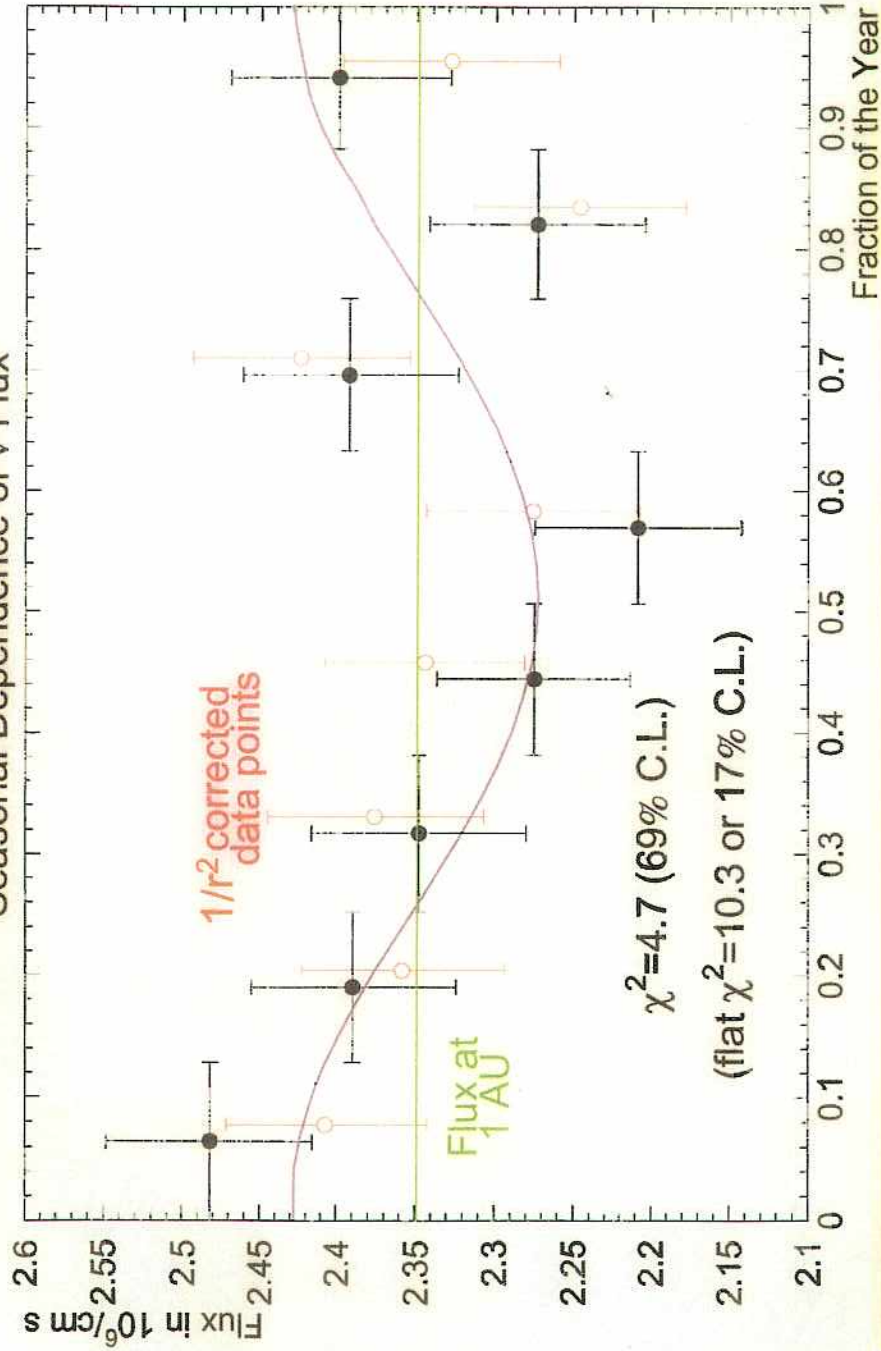
124

### Time Dependence of SK Rate



# Yearly Variation of SK Rate

Seasonal Dependence of  $\nu$  Flux



Michael Smy, UC Irvine

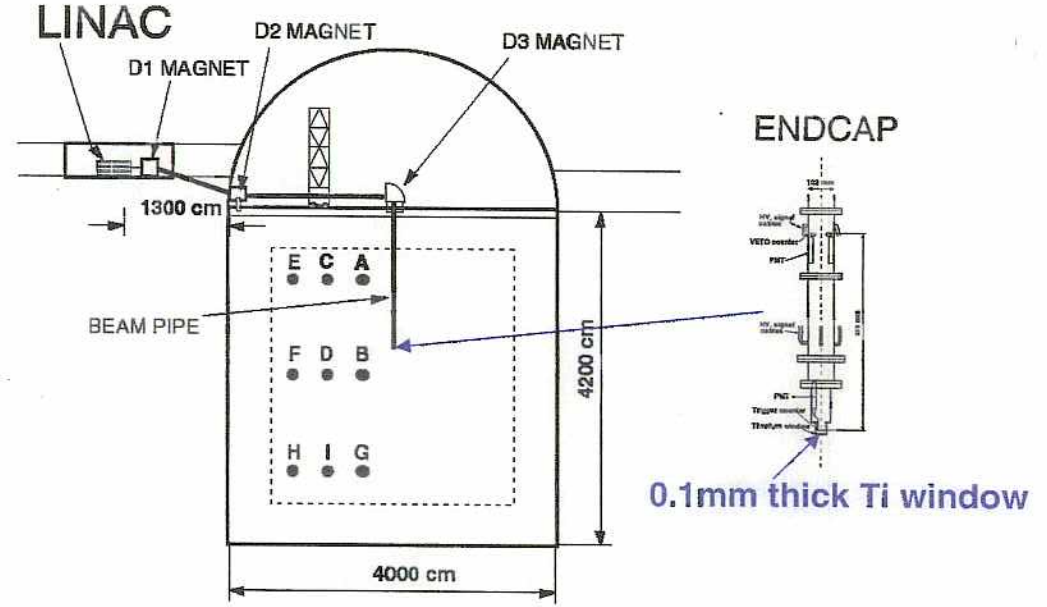
125

sol-65

sol-66

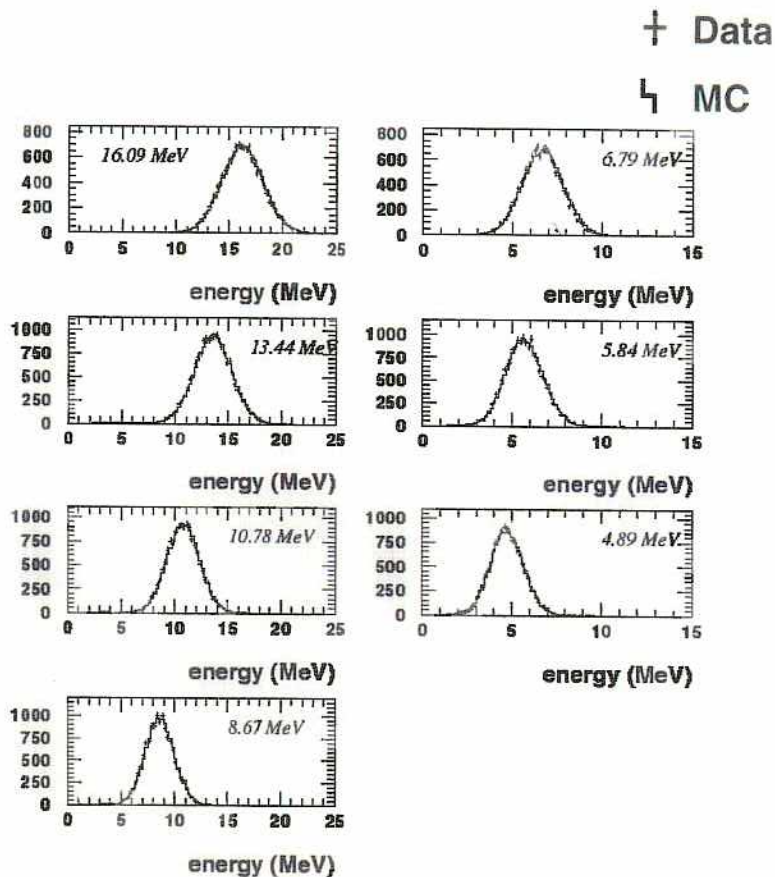
## LINAC calibration

Precise calibration of absolute energy scale, energy resolution, and angular resolution using electron LINAC.



- Beam energy: 5 ~ 16 MeV/c
- Beam energy spread: < 0.5 %
- Data taking at 9 typical positions in SK
- Beam energy determined by Ge detector (<20 keV accuracy)

# Energy spectrum of LINAC calibration

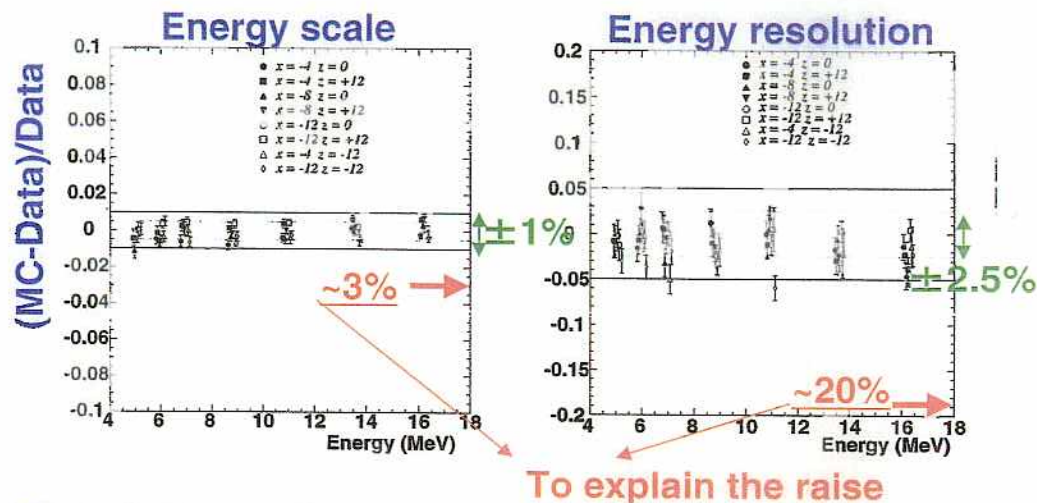


Energy scale and resolution are precisely calibrated.

Systematic error of the absolute energy scale is 0.64 %.

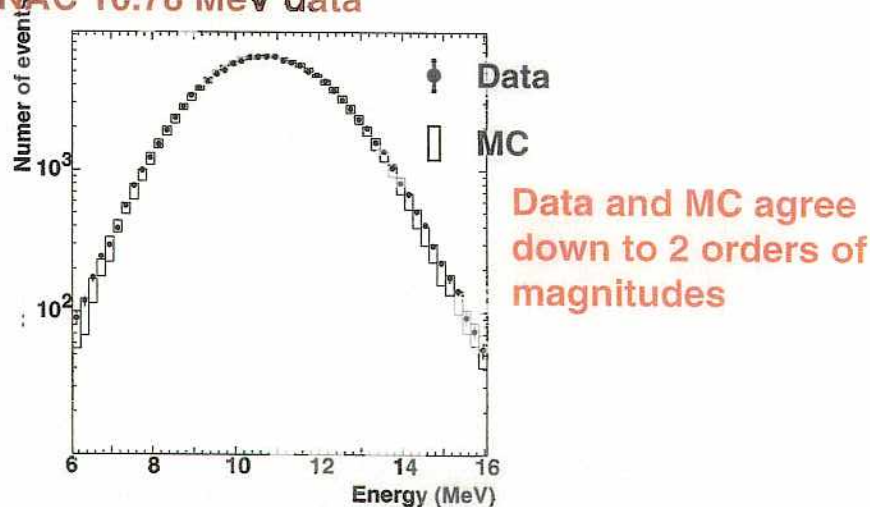
# Error in energy scale or resolution ?

## LINAC calibration



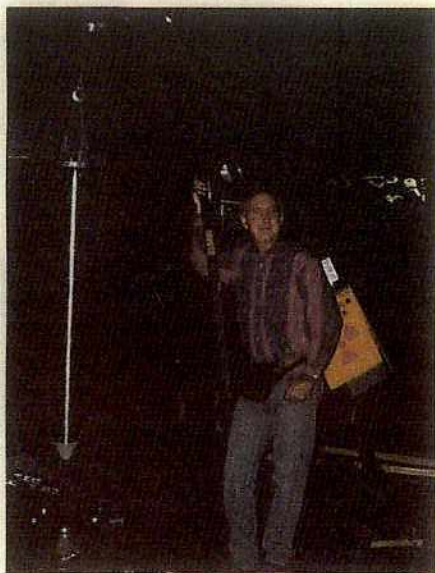
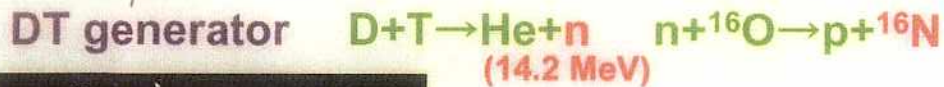
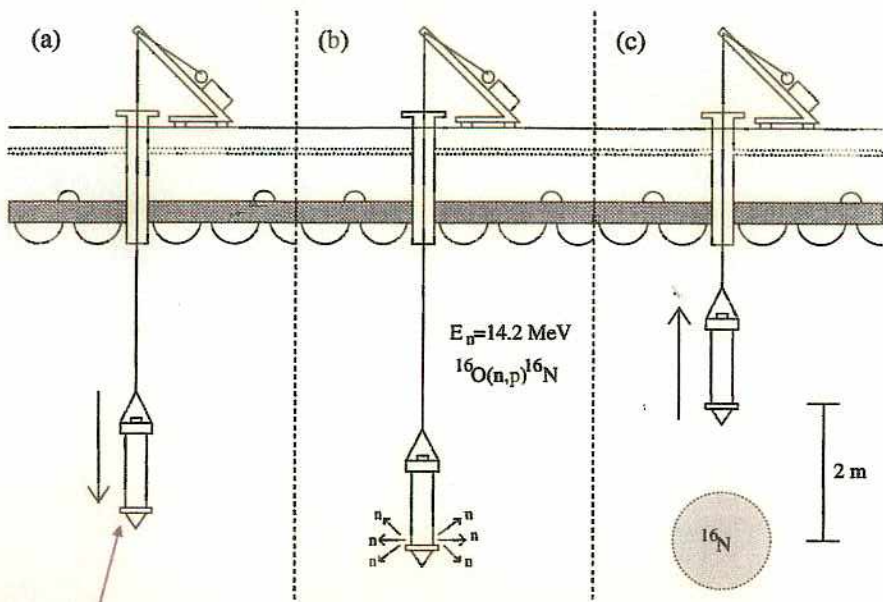
# Resolution tail ?

## LINAC 10.78 MeV data



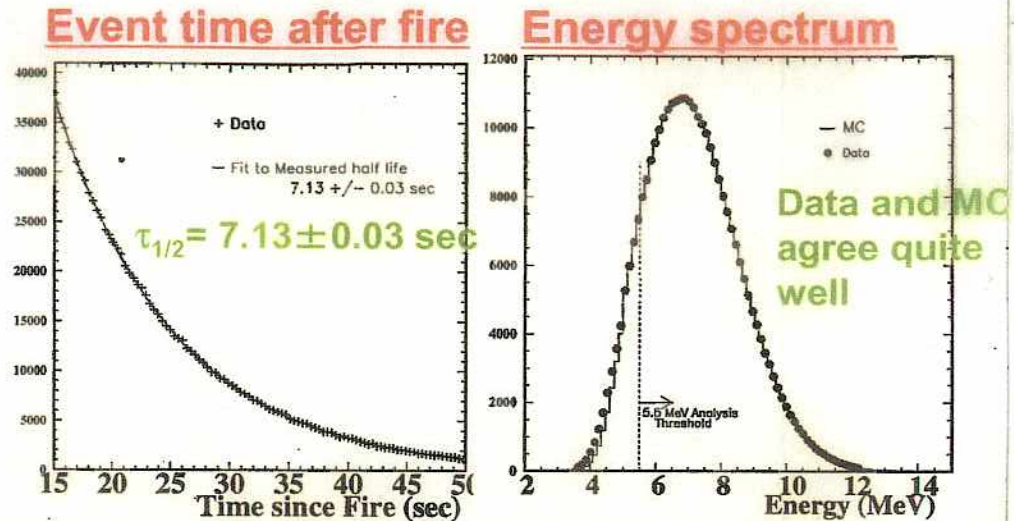
The raise is not due to wrong calibration.

# <sup>16</sup>N calibration

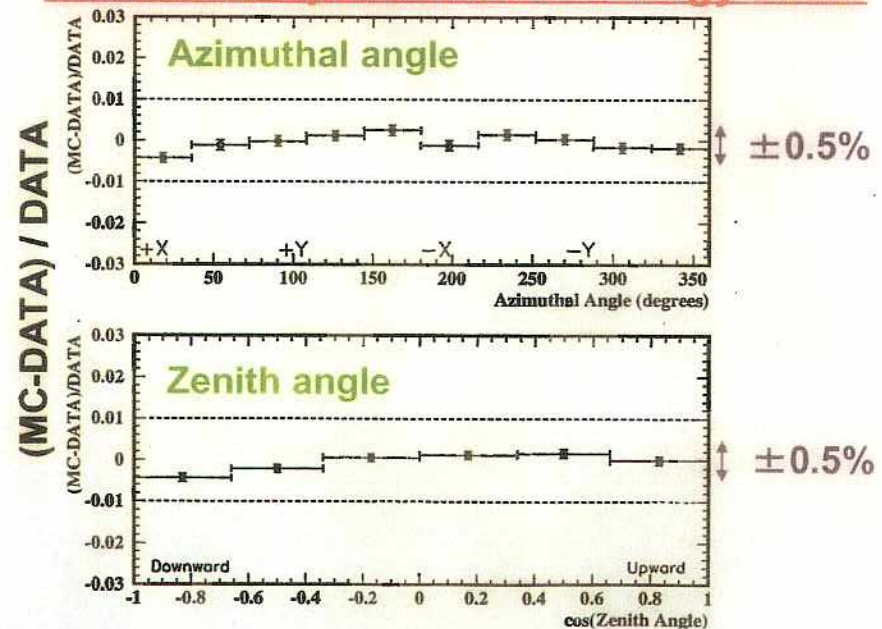


~ $10^6$  n / pulse  
 ~1% of n creates  $^{16}\text{N}$   
 $^{16}\text{N}$  decay is precisely known.  
 66.2%  $6.129 \text{ MeV } \gamma + 4.29 \text{ MeV } \beta$   
 28.0%  $10.419 \text{ MeV } \beta$ , and etc.  
 Data taken at various positions in the detector.  
 Uniform direction complementary to LINAC calibration.

# <sup>16</sup>N calibration data

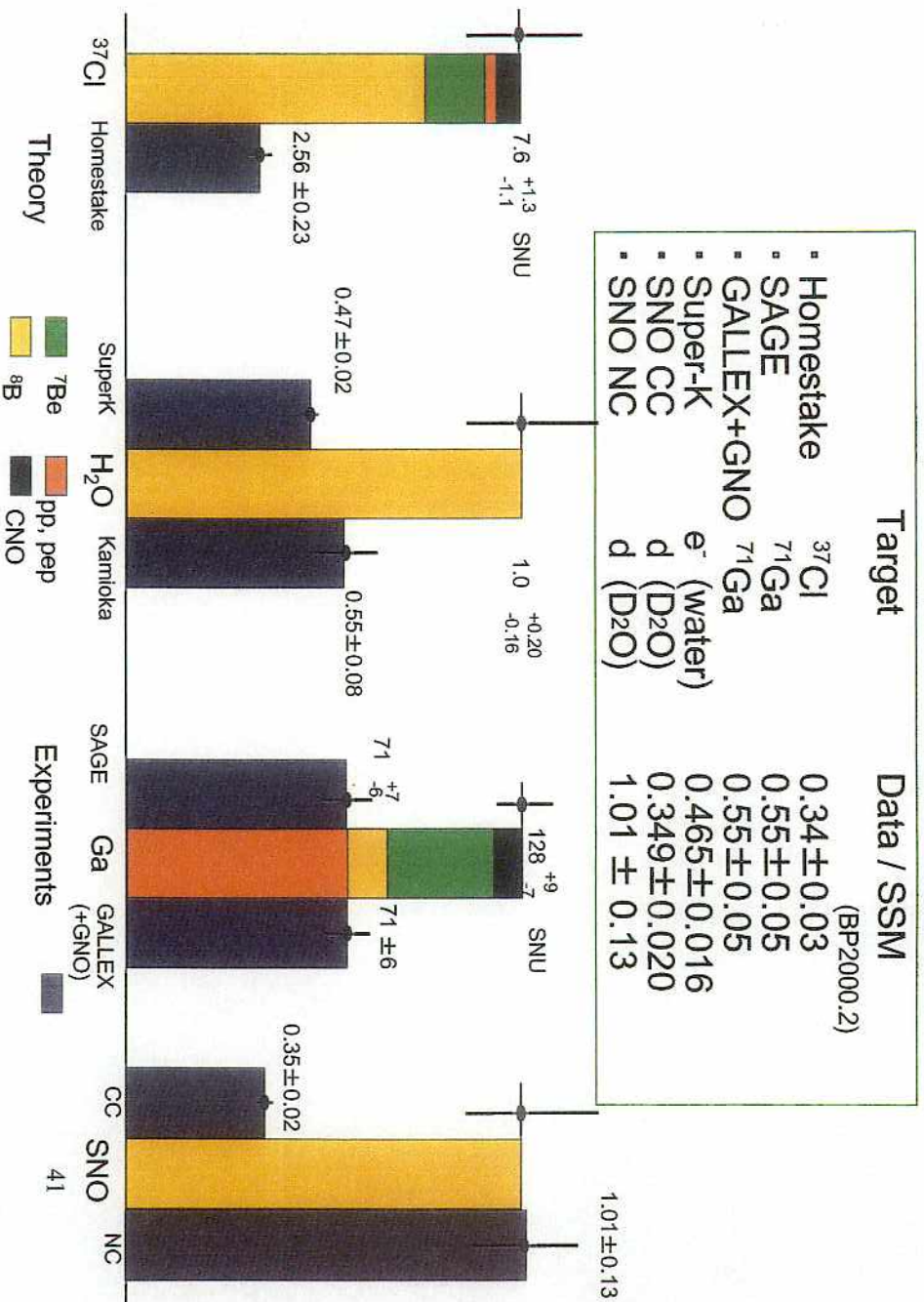


## Direction dependence of energy scale



# 太陽ニュートリノ強度測定結果

V2002 data



8

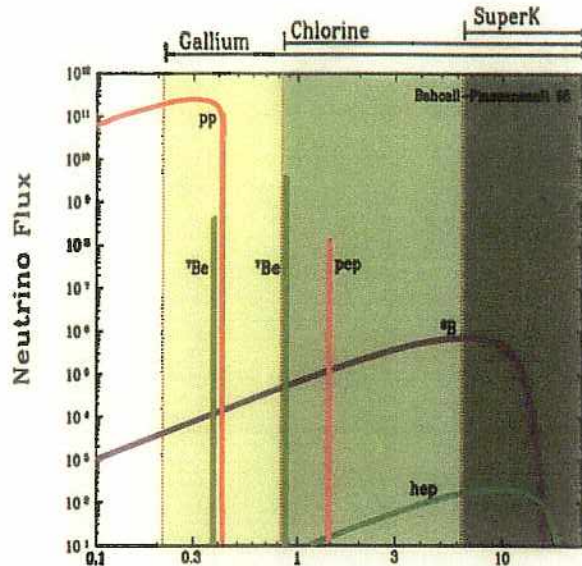
Solar  $\checkmark$

neutrino oscillation

128

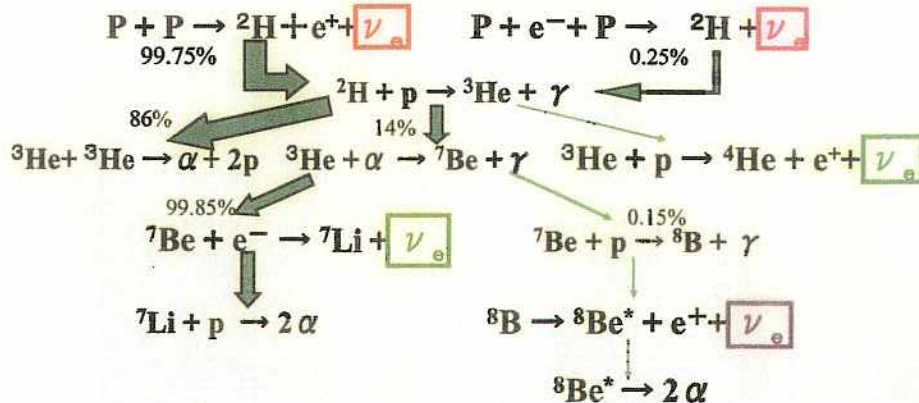


# Solar Neutrinos



Neutrino Energy (MeV) <http://www.sns.ias.edu/~jnb/>

## pp-chain



Ga 実験 vs SK

PP 54%

$^7Be$  27%

$^8B$  9%

Gallex, SAGEの平均値は

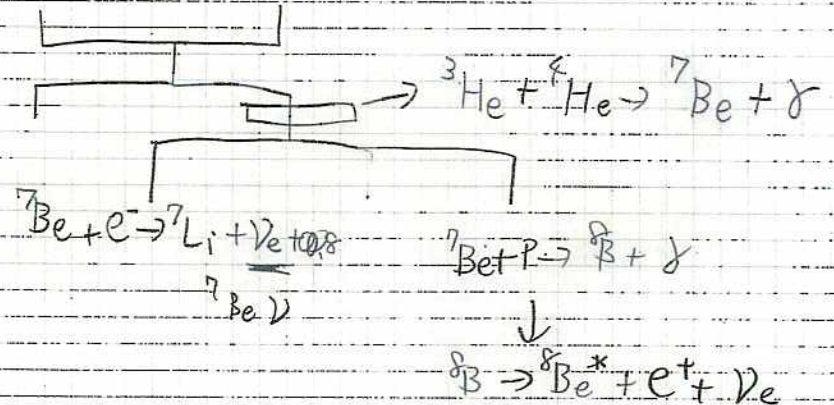
55% ± 4% (GALLE + SAGE)

PPが17%ほどとわかっている

SK 5%位

$^7Be \sim 0\%$  consistent

Solar modelの問題から



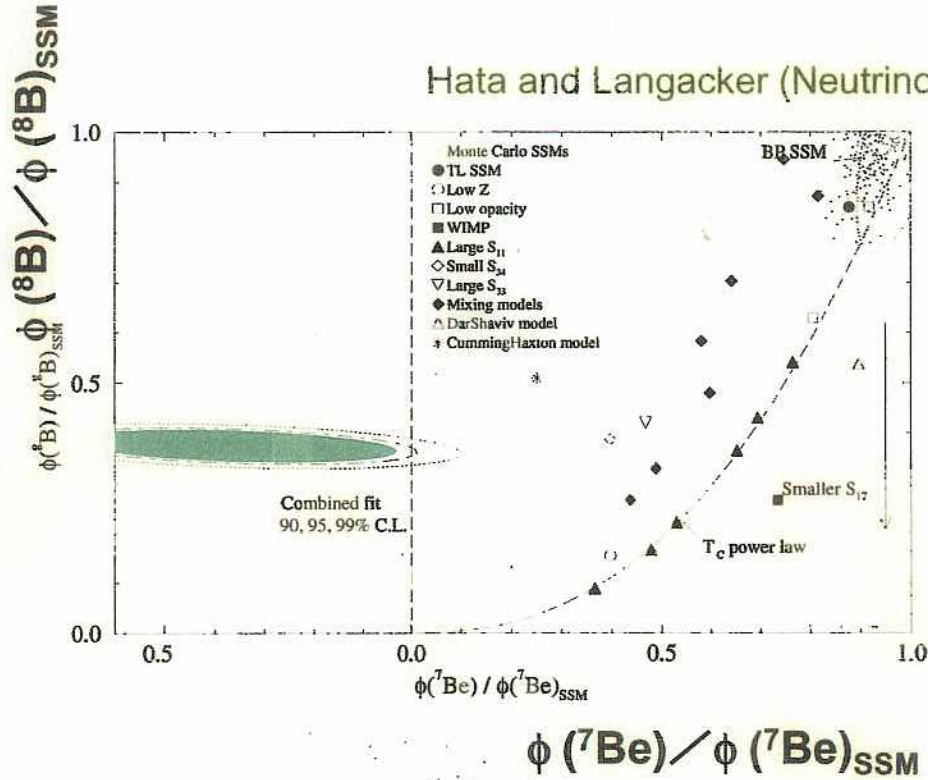
$^7Be$  が1%未満だと  $^8B$  を半分は不可能と見られる

実験結果で  $^8B$ ,  $^7Be$  を free にして 対応

Solar model の変更で 説明がつかない

$\Rightarrow \nu$  oscillation

# <sup>8</sup>B vs. <sup>7</sup>Be flux compared with standard solar models

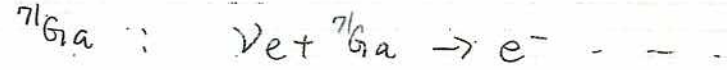
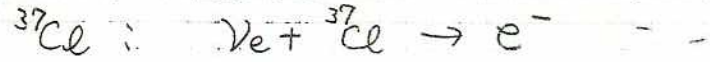


Hata and Langacker (Neutrino 98)

Astrophysical solution has difficulty to explain solar neutrino problem.

## solar ν oscillation

$$\nu_e \rightarrow \nu_\mu \text{ or } \nu_\tau$$



νeの計に敏感

$$\nu_e e^- \rightarrow \nu_e e^-$$

↓  
 $\nu_e e^- \rightarrow \nu_\mu e^-$  1/7 cross sectionが

例えは νeが全て νμになると 1/7 になってしまふ。

① ν vacuum oscillation

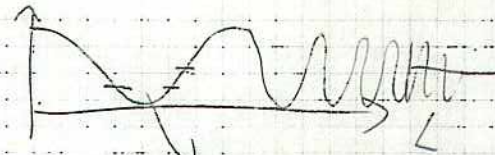
$$1 - \sin^2 2\theta \sin^2 \left( 1.27 \times \frac{L}{E} \Delta m^2 \right)$$

Δm<sup>2</sup>が大きいほど ~  $\frac{L}{E}$  meV

$$1 - \frac{1}{2} \sin^2 2\theta$$

↑ 最大で1 → νe → νe 1/2 ~ 1/2 の間

もし <sup>37</sup>Cl で 1/2 以上の割合が有意になると



これは 第1. 数 周期単位になると 1/2 になる

これを Just-So といい。

の  $\Delta m^2$  は  $\frac{L(m)}{E(\text{eV})} \Delta m^2$  が 1 の order.

$L = 1.5 \times 10^{11} \text{ m}$

$E_\nu$ : 数 MeV

$\Delta m^2 \approx 10^{-11} \sim 10^{-10} \text{ eV}^2$

② MSW solution

MSW resonance effect をおこすためには

Resonance 条件

$\frac{L_\nu}{L_0} = \cos 2\theta$

$L_0 = 2\pi / \sqrt{2} G_N = 1.6 \times 10^7 \text{ m} / \rho$

$\rho$  は  $/\text{cm}^3$  での

$\rho = N / 6 \times 10^{23}$  electron density

太陽中心:  $\sim 100$   $\rho \approx 100$

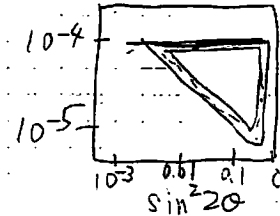
$\cos 2\theta$   $\theta \sim 0$  のとき  $\cos 2\theta = 1$

$L_\nu \approx 1.6 \times 10^5 \text{ m}$

$L_0 = 2.48 \text{ m} \left( \frac{\rho}{1 \text{ MeV}} \right) (\Delta m^2)^{-1}$

$\Rightarrow \Delta m^2 = 10^{-4} \sim 10^{-5} \text{ eV}^2$  を仮定して おこす

$P(\nu_e \rightarrow \nu_e)$  がある値 例:  $0.4 \pm 8$



Adiabatic 条件を併せて 13 と

太陽 から出てきた 時は heavier state 1 に近い

$\nu_2 = \sin \theta \nu_e + \cos \theta \nu_{\mu, \tau}$

$\nu_e$  の probability は  $\sin^2 \theta$

$\sin^2 2\theta$  が小さいとき  $\sin^2 \theta$  も小さい 1 とんど "0" に近づくと

よがって  $P_{ee}$  が 0 になることもしらる

MSW をおこすための条件

(1) Resonance をおこす density が 太陽中心の electron density 以下

$\frac{L_\nu}{L_0} = \cos 2\theta$

$L_\nu = 2.5 \text{ m} \times \left( \frac{E}{\Delta m^2} \right)$

$L_0 = 1.6 \times 10^7 / \rho$

$\left( \frac{2.5 \times E}{\Delta m^2} \right)$

$\frac{1.6 \times 10^7 / \rho}{1.6 \times 10^7 / \rho} = \cos 2\theta$

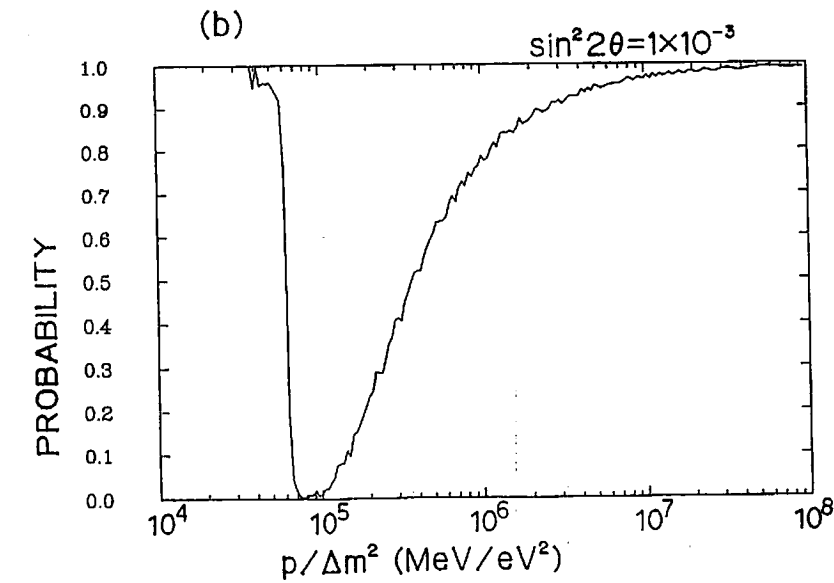
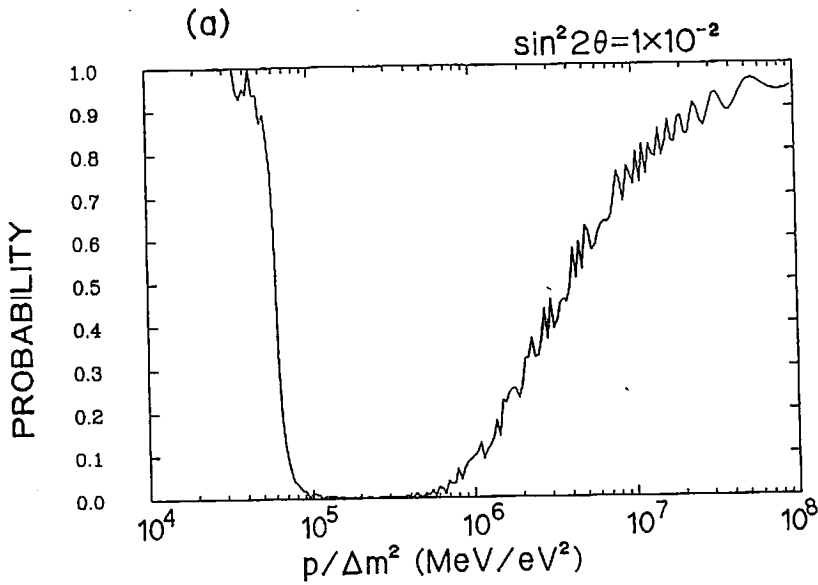


Fig. 1.12

$$P = \frac{\cos 2\theta}{2.5 \times \frac{E}{\Delta m^2}} \times 1.6 \times 10^7$$

$$\approx \frac{\Delta m^2}{E} \times \cos 2\theta \times 10^7 < 100$$

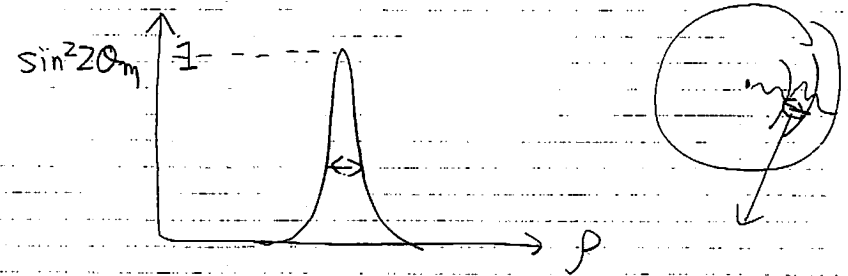
$$\frac{\Delta m^2}{E} < 10^{-5}$$

↑  
MeV

$E = 10 \text{ MeV}$  と 仮定

$\Delta m^2 < 10^{-4} \text{ eV}^2$

(2) Adiabatic 条件



$$\sin^2 2\theta_m = \frac{\sin^2 2\theta}{\sin^2 2\theta + \left(\frac{L\nu}{L_0} \cos 2\theta\right)^2}$$

$\sin^2 2\theta_m$  が大い程に 何度か 振動すること。  
matter 中の oscillation length

$$L_m = \frac{L\nu}{\sqrt{1 - 2\left(\frac{L\nu}{L_0}\right) \cos 2\theta + \left(\frac{L\nu}{L_0}\right)^2}}$$

$$\frac{L_\nu}{L_\odot} = \cos 2\theta \text{ 程度}$$

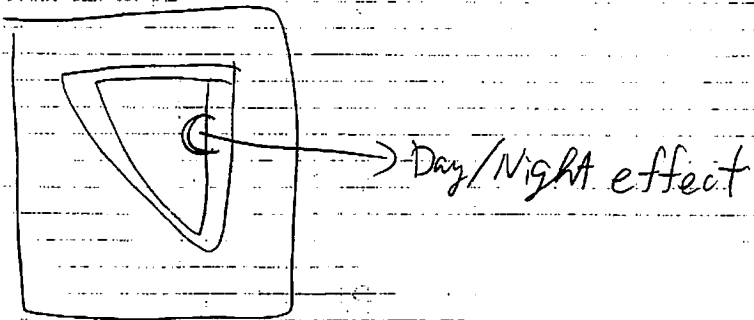
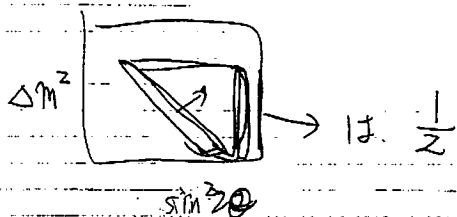
$$L_m = \frac{L_\nu}{\sin^2 2\theta}$$

$$L_m \ll \left( \frac{1}{P} \frac{dP}{dR} \right)^{-1} \approx 10^8$$

$$L_\nu = 2.48 \times \frac{P}{\Delta m^2}$$

$$2.48 \times \frac{P}{\Delta m^2 \times \sin^2 2\theta} < 10^8$$

$$\Delta m^2 \times \sin^2 2\theta \gtrsim 10^{-8} \times P$$



地球の density  $\sim 5 \text{ g/cm}^3$

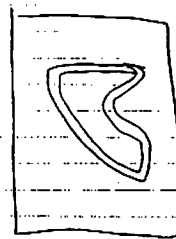
だから  $\nu_e$  が regeneration により 増える

$5 \text{ g/cm}^3$  程度

$$\frac{L_\nu}{L_\odot} = \cos 2\theta \text{ 程度 } 10^{-6} \sim 10^{-5} \text{ eV}^2$$

( $P = 10 \text{ MeV}$  程度)

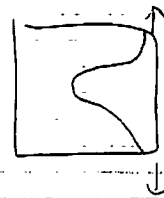
地球の物質 effect と regeneration



程度

Galllex, SAGE II

Data  $\sin^2 2\theta > 0.5$  程度



$\sin^2 2\theta$  が 1 の程度になる

combine すると 3つの solution がなくなる

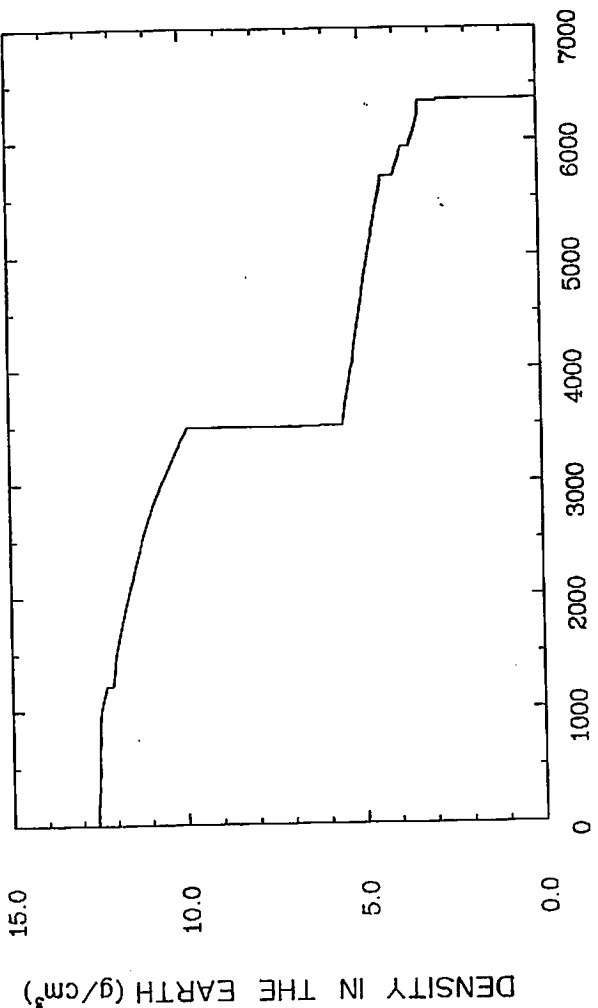


Fig. 1.15

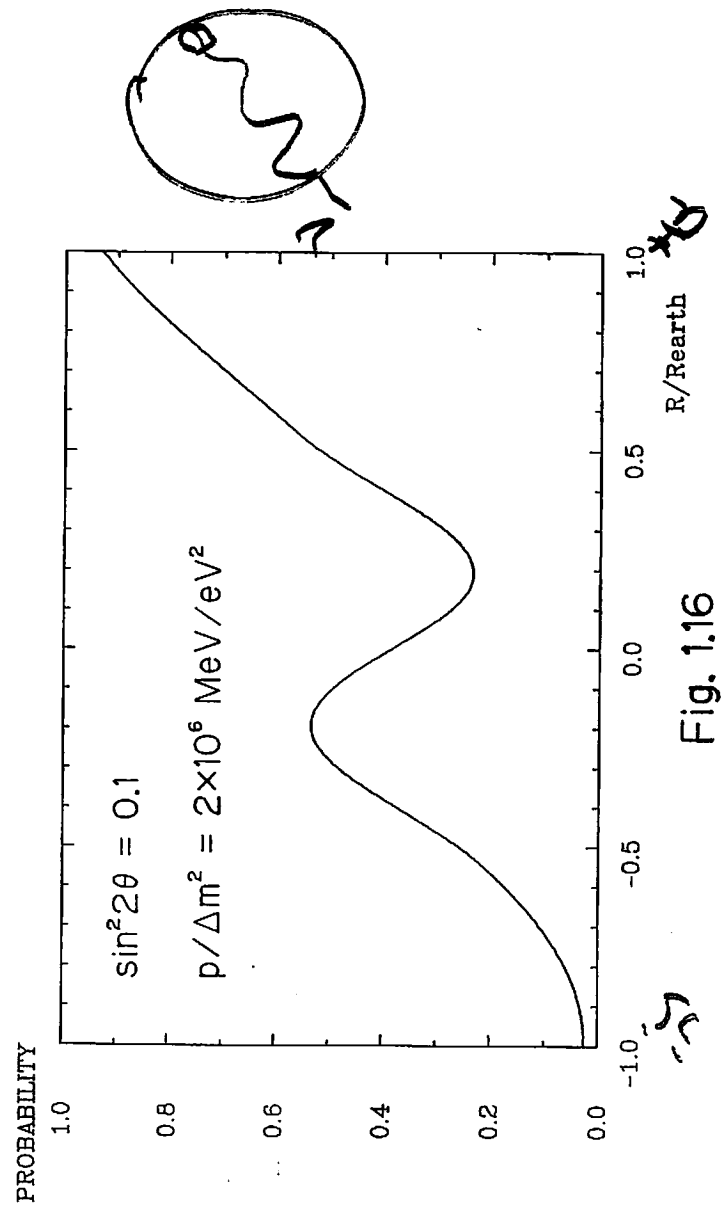
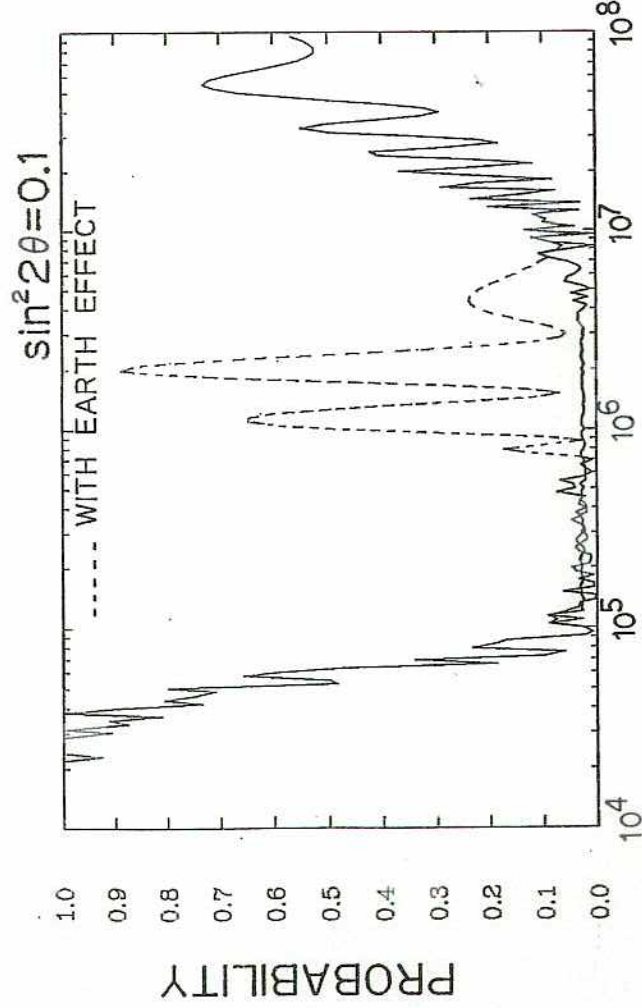


Fig. 1.16

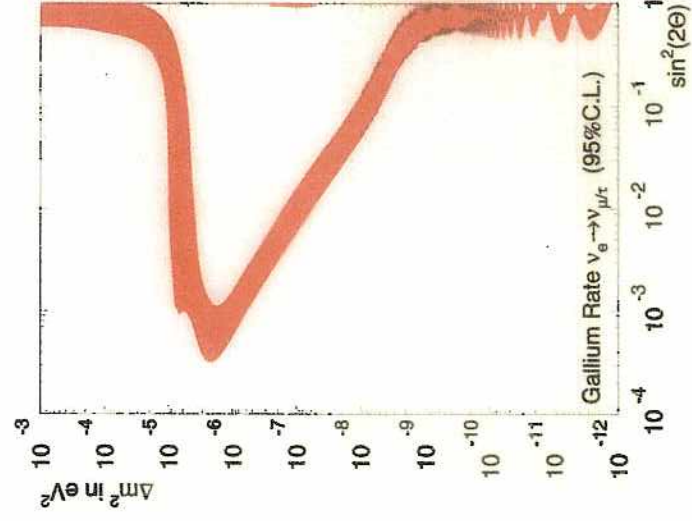
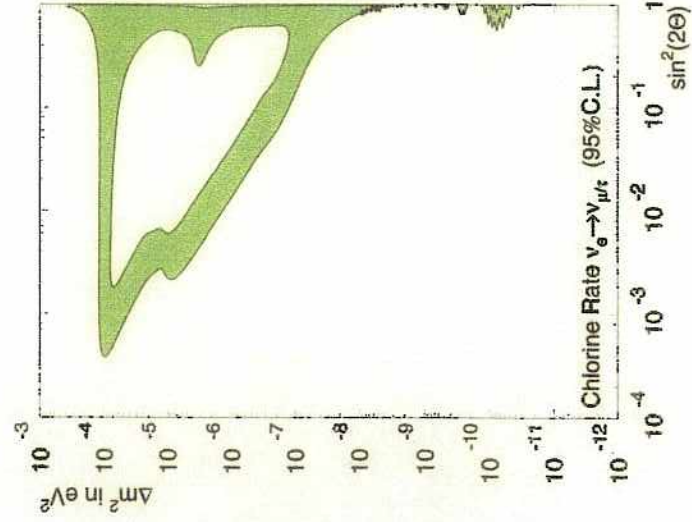


$p / \Delta m^2$  (MeV/eV<sup>2</sup>)

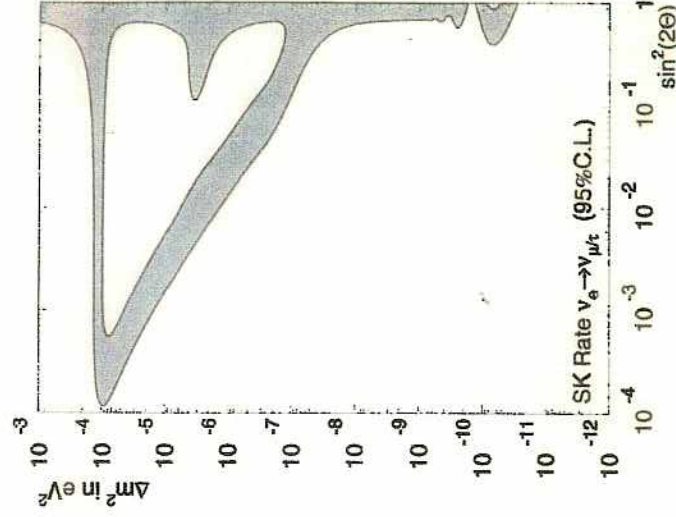
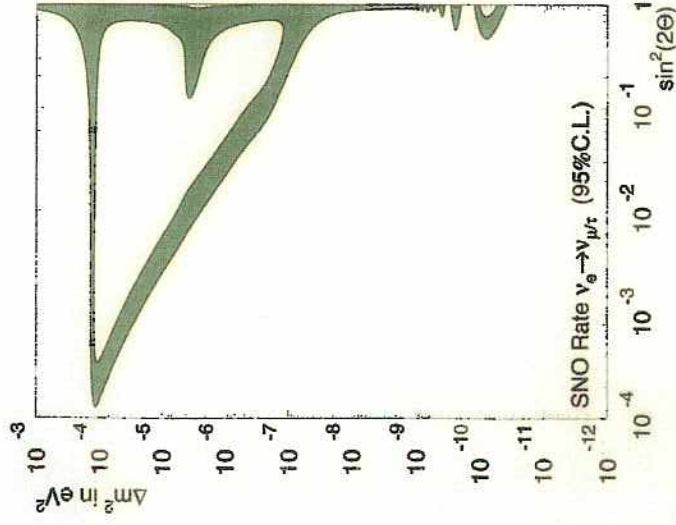
Fig. 1.17

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## Cl & Ga



# SNO & SK



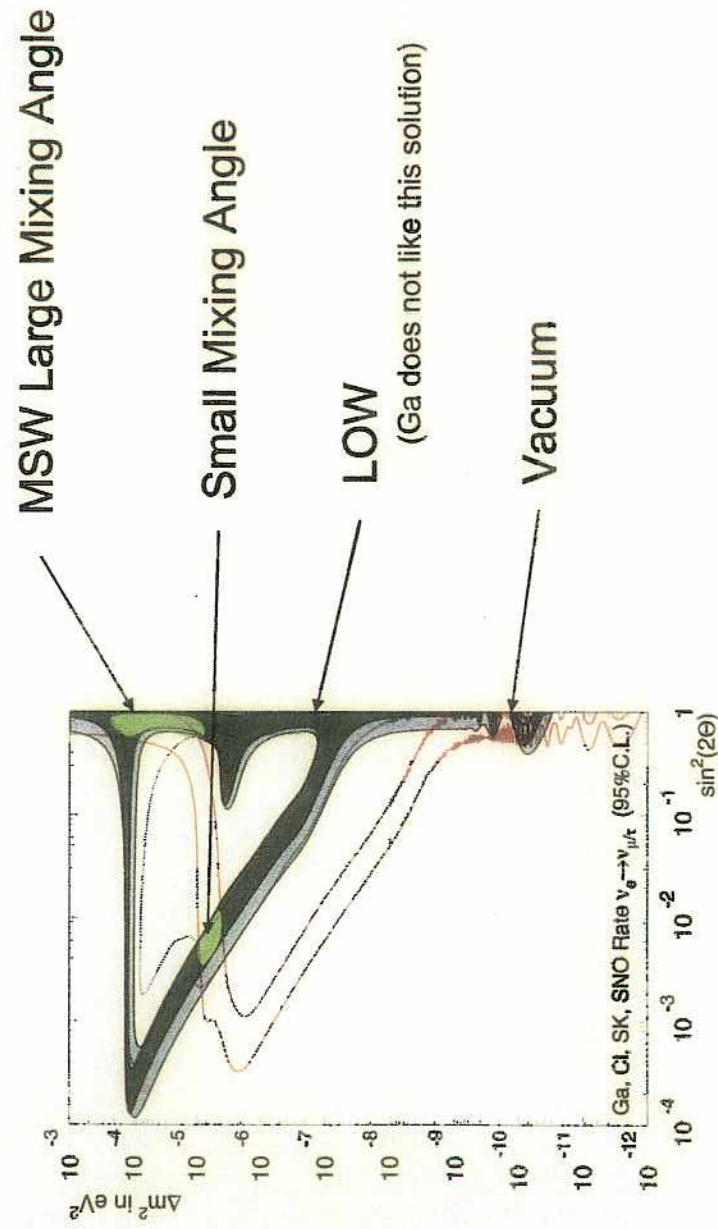
91-250105

Hawaii01- 7

136

## Flux (Rate)-global analysis (guide for the solution)

$\nu_e \rightarrow \nu_{\mu}(\nu_{\tau})$  95% C.L.



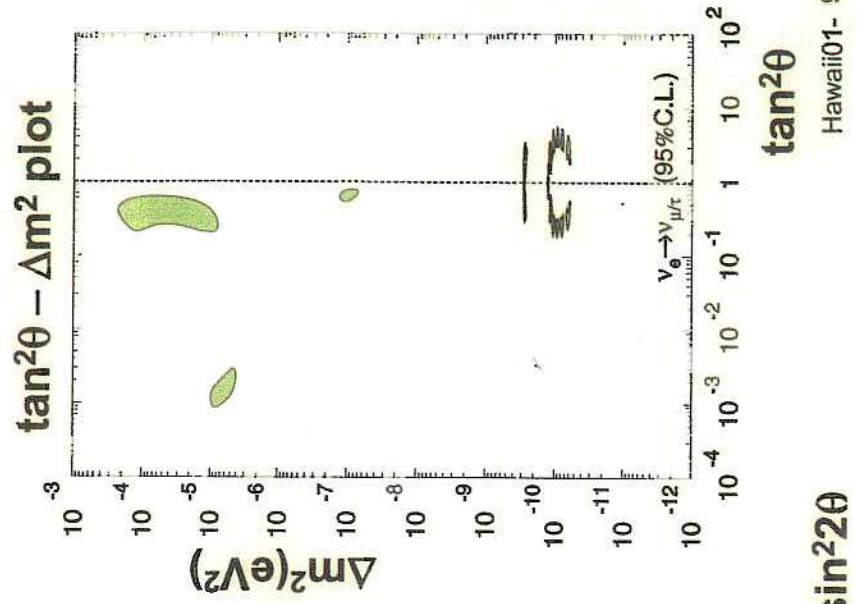
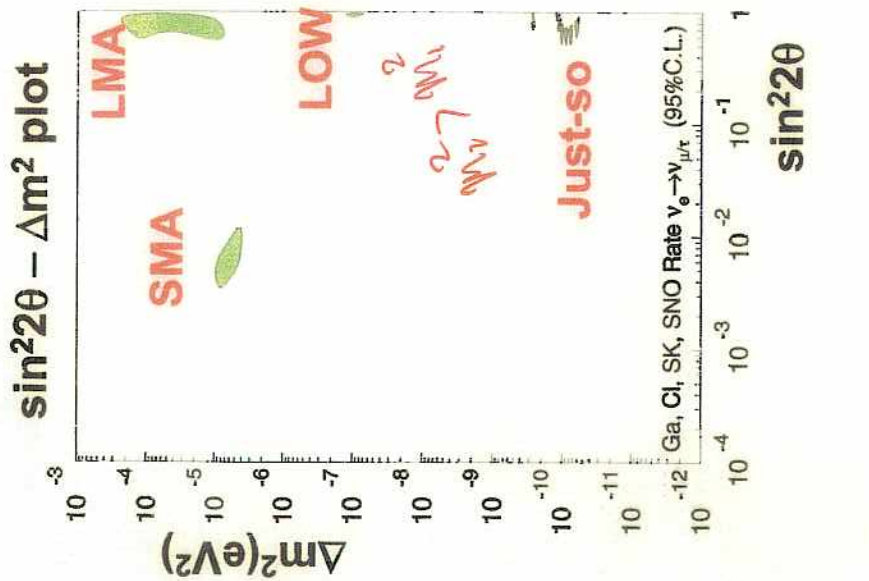
91-250105

Hawaii01- 8



Oscillation parameters based on flux of Homestake,

GALLEX, SAGE, SK and SNO

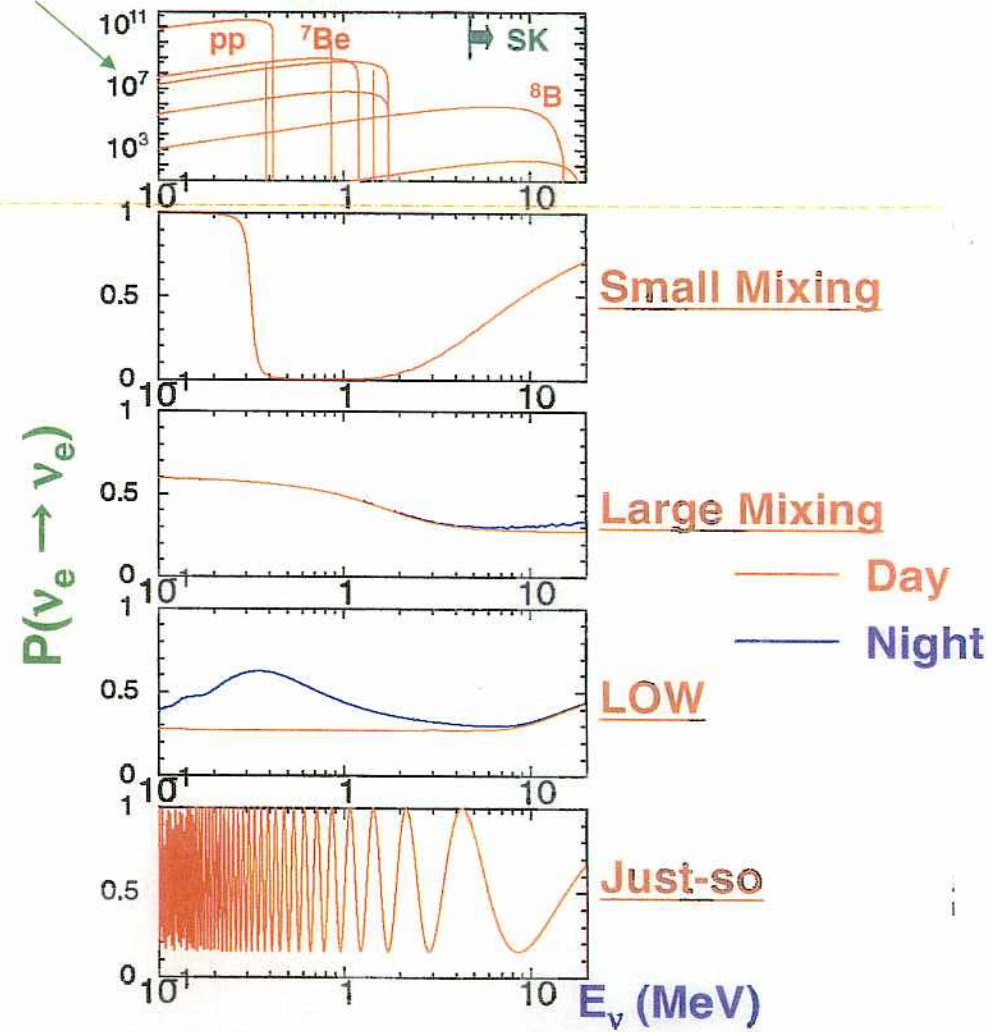


solosc-18

solosc-19

Oscillation probability for each solution

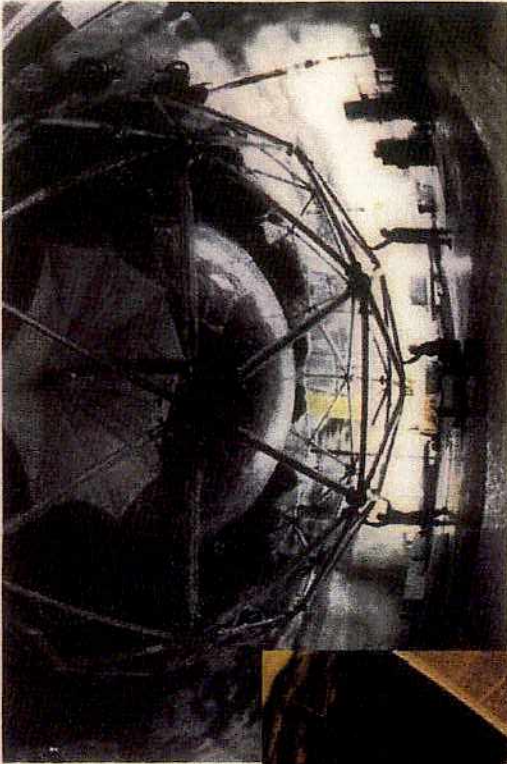
solar neutrino spectrum



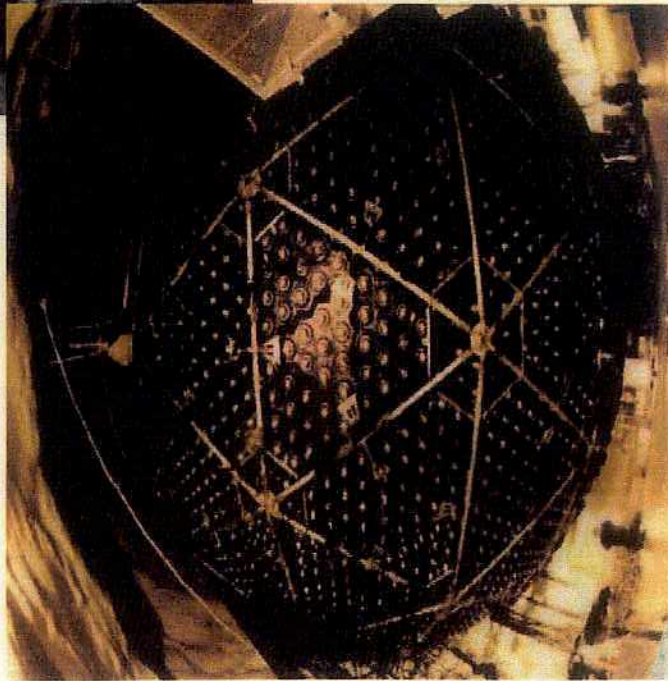
Spectral shape and Day/night analysis

Model independent test of  $\nu$  oscillation

重水(D<sub>2</sub>O)を用いたカナダのSNO実験



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## SNO

<http://www.sno.phy.queensu.ca/>

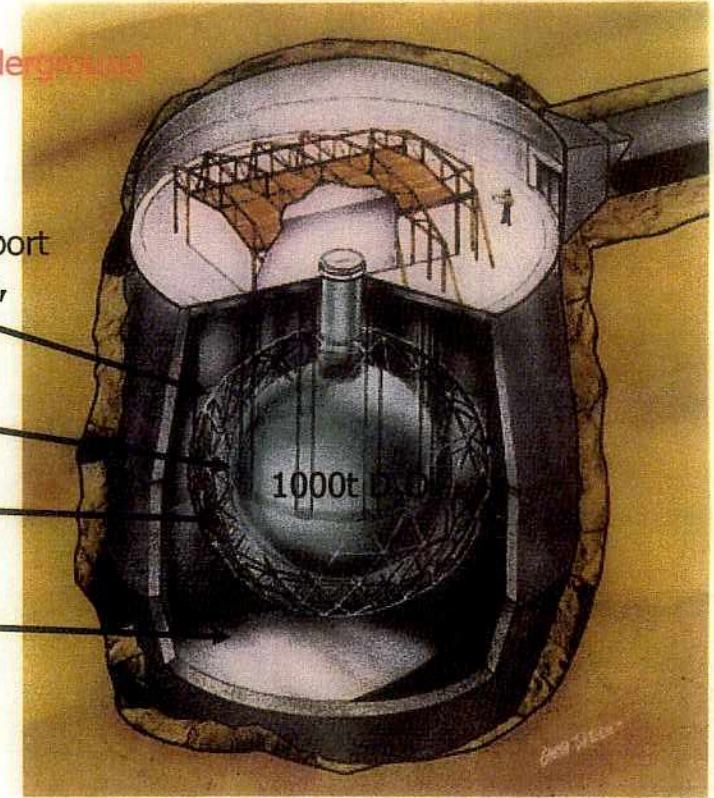
2092m underground

17.8m dia. PMT support structure, 9456PMTs, 56% coverage

12.01m dia. acrylic vessel

1700t inner shielding H<sub>2</sub>O

5300t outer shielding H<sub>2</sub>O



- 5.5m radial fiducial volume for solar neutrino analysis
- 5MeV energy threshold
- Energy scale uncertainty 1.2%
- Energy resolution 14% at 10MeV

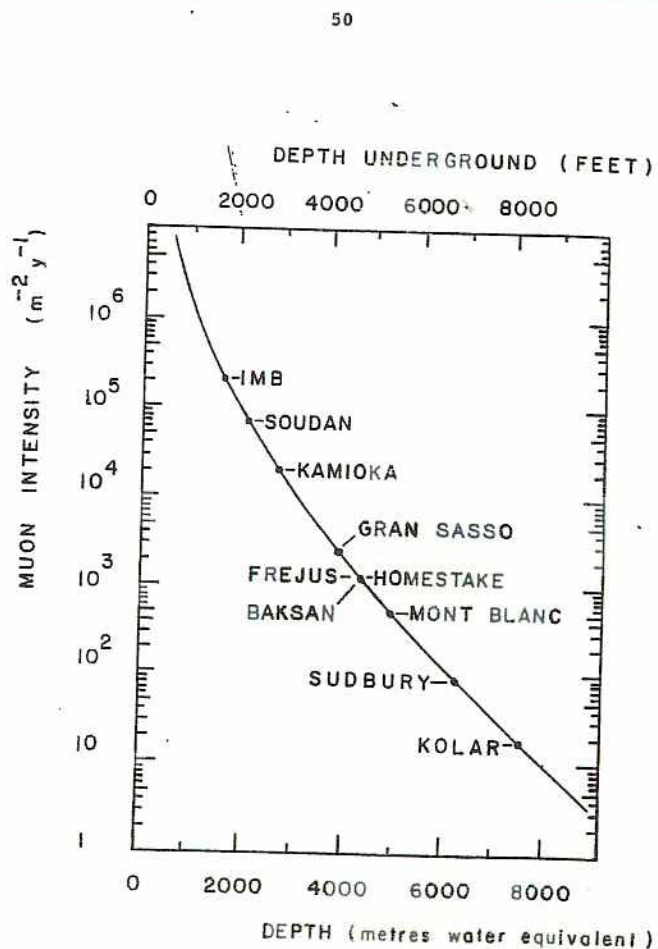


Figure III.5 Cosmic ray muon intensity as a function of overburden (mwe) or depth underground (feet in standard rock).

## $\nu$ Reactions in SNO



- Good measurement of  $\nu_e$  energy spectrum
- Weak directional sensitivity  $\propto 1 - 1/3 \cos(\theta)$
- $\nu_e$  only.



- Equal cross section for all  $\nu$  types
- Measure total  $^8\text{B}$   $\nu$  flux from the sun.



- Low Statistics
- Mainly sensitive to  $\nu_e$ , some sensitivity to  $\nu_\mu$  and  $\nu_\tau$
- Strong directional sensitivity

### Neutron Capture Efficiency & Uncertainties

#### Response vs Radius

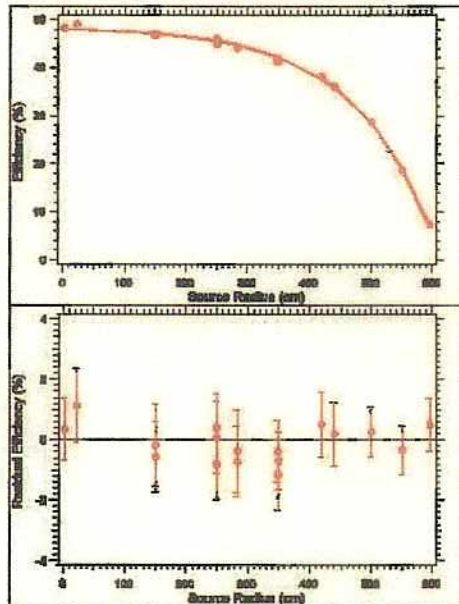
#### Capture Efficiency

Total **29.90 +/- 1.10 %**

With threshold & fiducial cut **14.38 +/- 0.53 %**

Flux  
Uncertainty

<b><math>\Delta NC/NC</math></b>
<b>-4.0, +3.6 %</b>



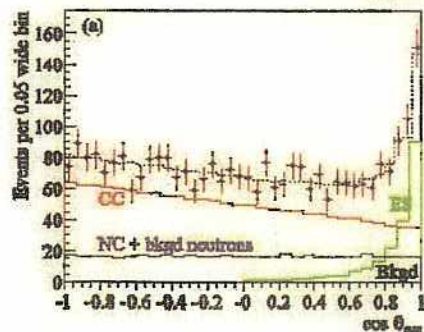
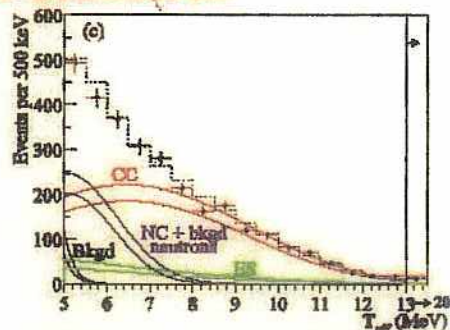
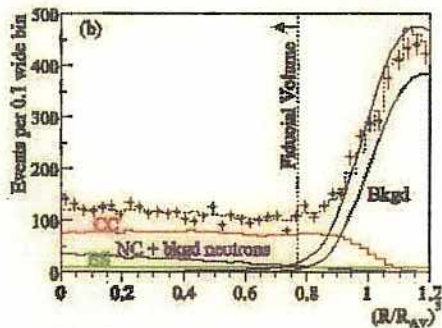
### The Pure D<sub>2</sub>O Phase Dataset

- Lifetime: 306.4 days (November 2, 1999 → May 27, 2001)  
Day: 128.5 days      Night: 177.9 days
- Energy Threshold: 5 MeV Kinetic
- Fiducial Volume Cut: 550 cm
- Total Number of Events after cuts: 2928  
Neutron Bkg **78<sup>+12</sup><sub>-12</sub>**      Cherenkov Bkg **45<sup>+18</sup><sub>-12</sub>**

# Shape Constrained Signal Extraction Results

#EVENTS

CC	1967.7	+61.9	-60.9
ES	263.6	+26.4	-25.6
NC	576.5	+49.5	-48.9

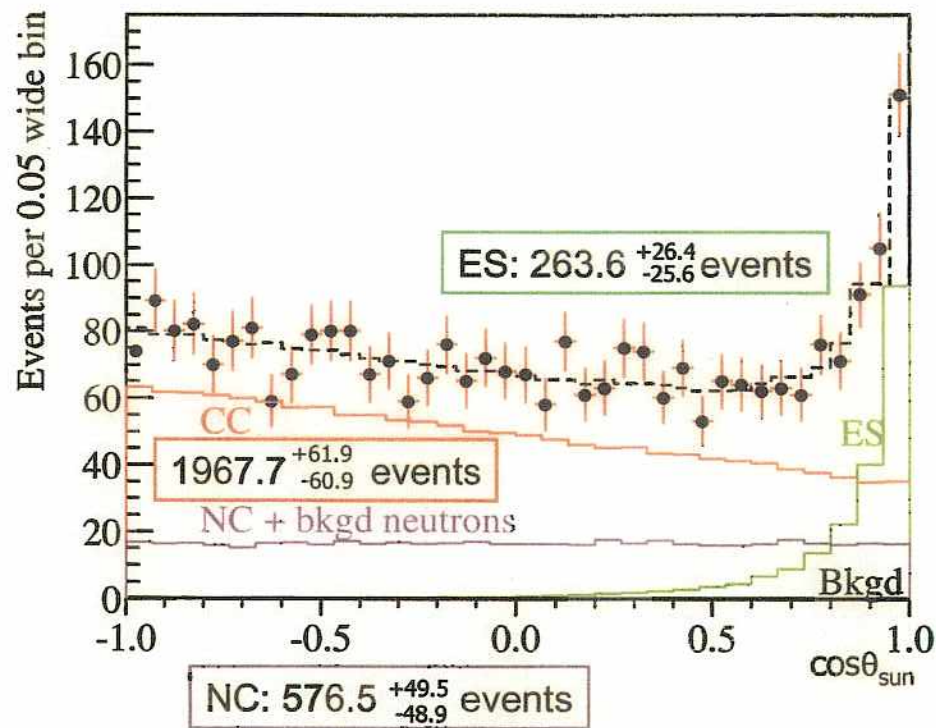


# The latest results

Nov.2,1999~May.28,2001

total: 306.4days, 2928events

nucl-ex/0204008



[x10<sup>6</sup>/cm<sup>2</sup>/s]

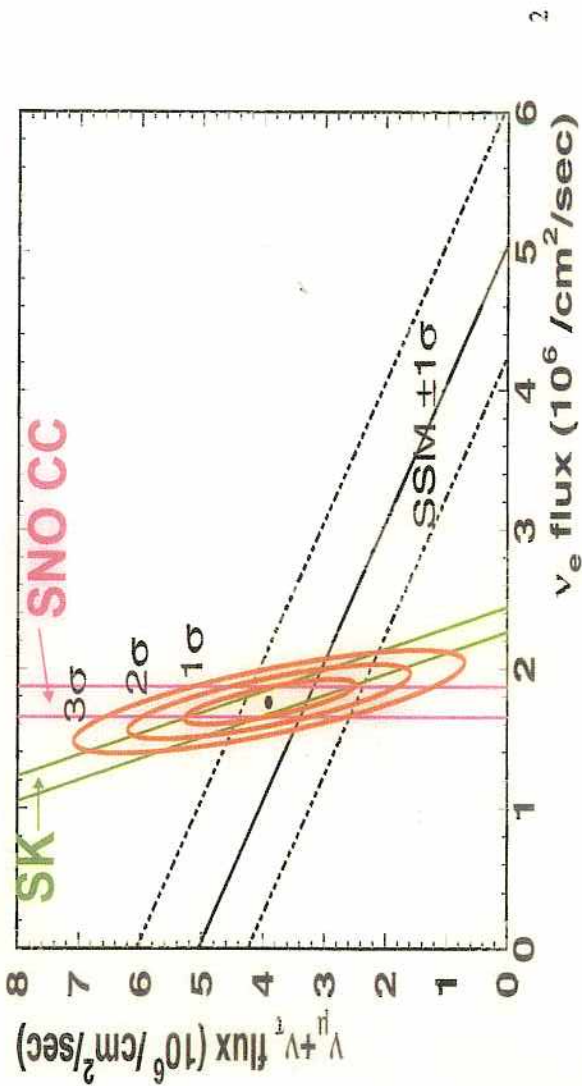
$$\phi_{CC} = 1.76^{+0.06}_{-0.05} \text{ (stat.)} \pm 0.09 \text{ (syst.)}$$

$$\phi_{ES} = 2.39^{+0.24}_{-0.23} \text{ (stat.)} \pm 0.12 \text{ (syst.)}$$

$$\phi_{NC} = 5.09^{+0.44}_{-0.43} \text{ (stat.)} \begin{matrix} +0.46 \\ -0.43 \end{matrix} \text{ (syst.)}$$

SKとSNO CCとの比較から求めたニュートリノ成分

**SNO**  $\phi_{CC} = 1.76 \pm 0.11$  [ $\times 10^6 / \text{cm}^2 / \text{s}$ ]       $\phi_{CC} = \phi_e$   
**SK**  $\phi_{ES} = 2.35 \pm 0.09$        $\phi_{ES} = \phi_e + 0.15 \phi_{\mu, \tau}$   
 ↑  $\phi_{\mu, \tau} = 3.9 \pm 0.9$   
 $\phi_x = 5.7 \pm 0.9$  (total active  ${}^8\text{B}$  neutrino flux)  
 $(\phi_{SSM} = 5.05 + 1.01 / -0.81)$



## Present and Future of SNO

### The Salt Phase

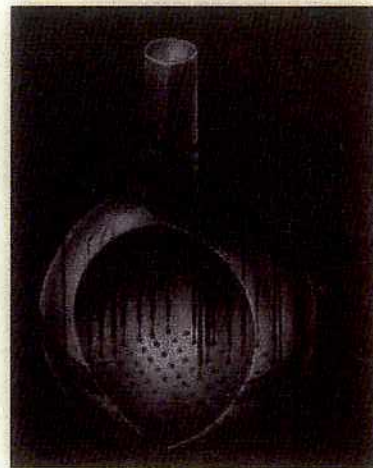


- Higher n-capture efficiency
- Higher event light output
- Event isotropy differs from  $e^-$
- Running since June 2001

### Neutral Current Detectors

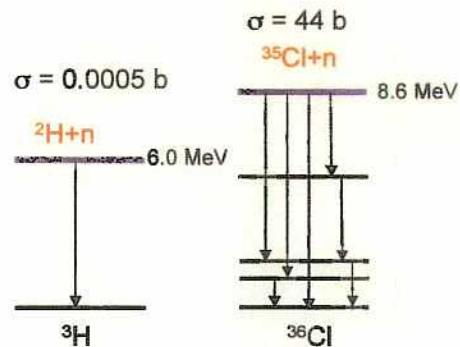
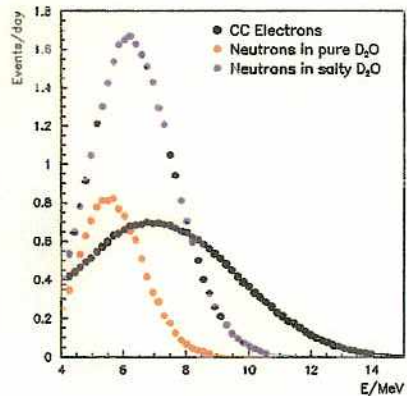
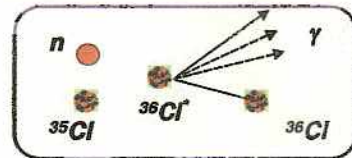


- Event by event separation



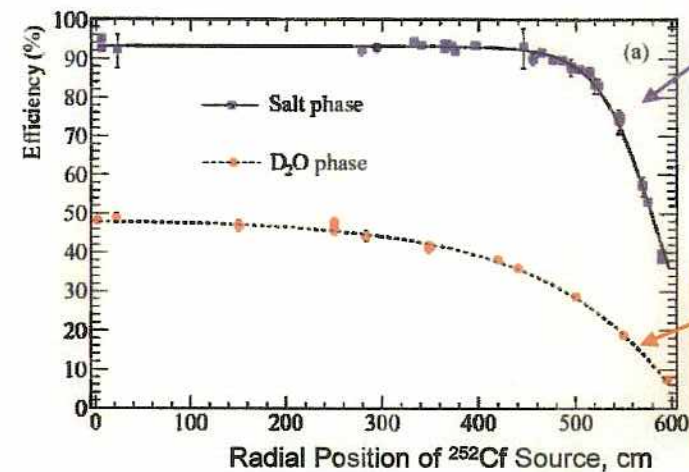
## Advantages of NaCl for Neutron Detection

- Higher capture cross section
- Higher energy release
- Many gammas



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## Neutron Capture Efficiency in SNO

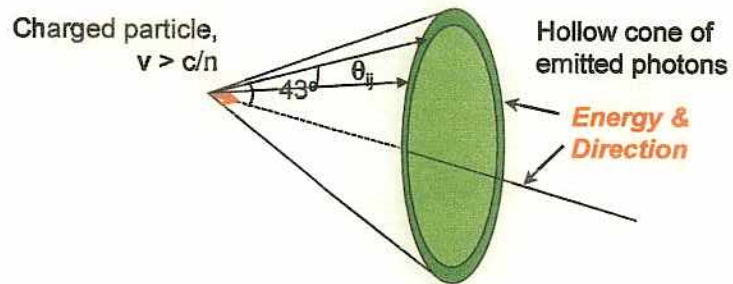


${}^{35}\text{Cl}(n,\gamma){}^{36}\text{Cl}$   
 Average Eff. = 0.399  
 $T_e \geq 5.5 \text{ MeV}$  and  
 $R_s \leq 550 \text{ cm}$

${}^2\text{H}(n,\gamma){}^3\text{H}$   
 Average Eff. = 0.144  
 $T_e \geq 5.0 \text{ MeV}$  and  
 $R_s \leq 550 \text{ cm}$

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## Cherenkov light and $\beta_{14}$



Water

$$\beta_1 = \frac{2}{N(N-1)} \sum_{i=1}^{N-1} \sum_{j=i+1}^N \cos\theta_{ij}$$

$$\beta_4 = \frac{2}{N(N-1)} \sum_{i=1}^{N-1} \sum_{j=i+1}^N \frac{1}{64} (9 + 20\cos 2\theta_{ij} + 35\cos 4\theta_{ij})$$

$$\beta_{14} = \beta_1 + 4\beta_4$$

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## $\beta_{14}$ Distributions for SNO Salt Data

Data from July 26, 2001 to Oct. 10, 2002

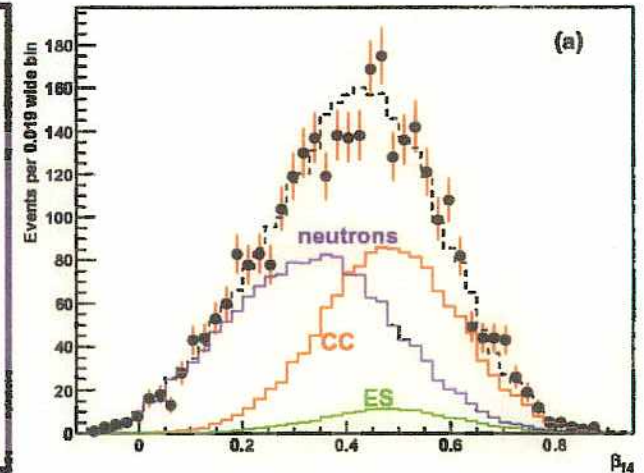
254.2 live days

3055 candidate events:

1339.6  $^{+63.8}_{-61.5}$  CC

1344.2  $^{+69.8}_{-69.0}$  NC

170.3  $^{+23.9}_{-20.1}$  ES

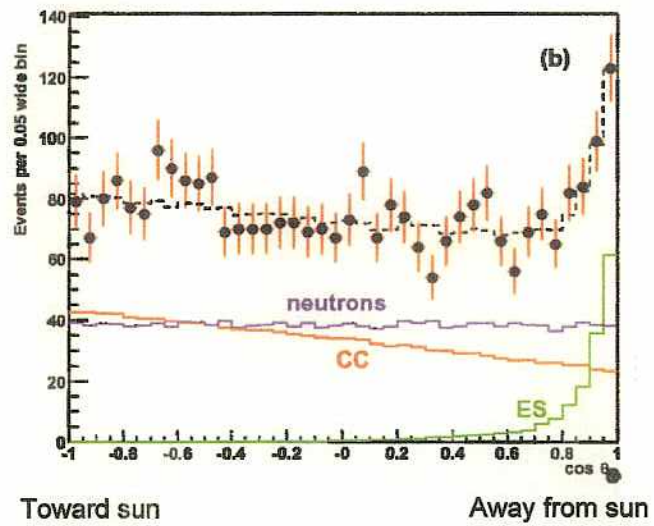


20

144

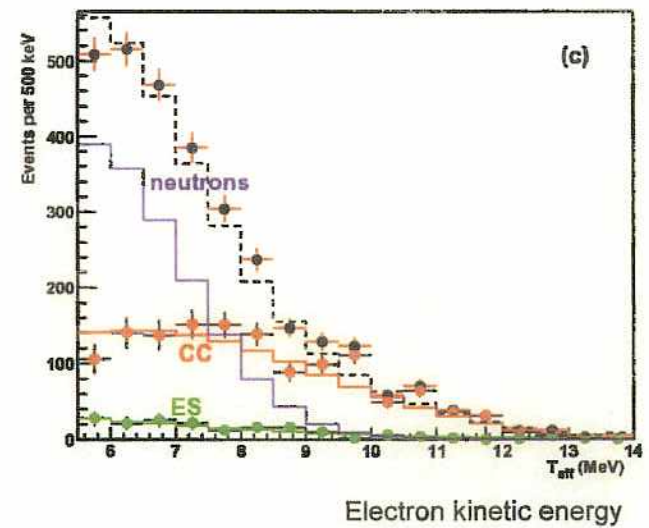


## Sun-angle distributions



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## Energy spectra



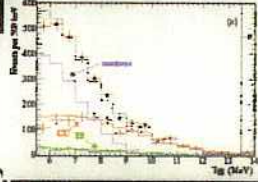
22

145

## Salt Phase: "Box" Opened Aug. 13, 2003

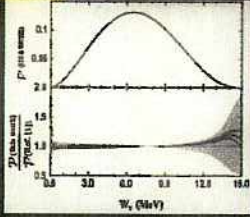
Shape of  $^8\text{B}$  spectrum in CC and ES not constrained:

$$\begin{aligned}\phi_{\text{CC}}^{\text{SNO}} &= 1.59^{+0.08}_{-0.07}(\text{stat})^{+0.06}_{-0.08}(\text{syst}) \\ \phi_{\text{ES}}^{\text{SNO}} &= 2.21^{+0.31}_{-0.26}(\text{stat}) \pm 0.10(\text{syst}) \\ \phi_{\text{NC}}^{\text{SNO}} &= 5.21 \pm 0.27(\text{stat}) \pm 0.38(\text{syst})\end{aligned}$$



Standard (Ortiz et al.) shape of  $^8\text{B}$  spectrum in CC and ES:

$$\begin{aligned}\phi_{\text{CC}}^{\text{SNO}} &= 1.70 \pm 0.07(\text{stat.})^{+0.09}_{-0.10}(\text{syst.}) \\ \phi_{\text{ES}}^{\text{SNO}} &= 2.13^{+0.29}_{-0.28}(\text{stat.})^{+0.15}_{-0.08}(\text{syst.}) \\ \phi_{\text{NC}}^{\text{SNO}} &= 4.90 \pm 0.24(\text{stat.})^{+0.29}_{-0.27}(\text{syst.})\end{aligned}$$



## $\nu_e$ flux and $\nu_\mu + \nu_\tau$ flux from SK and SNO

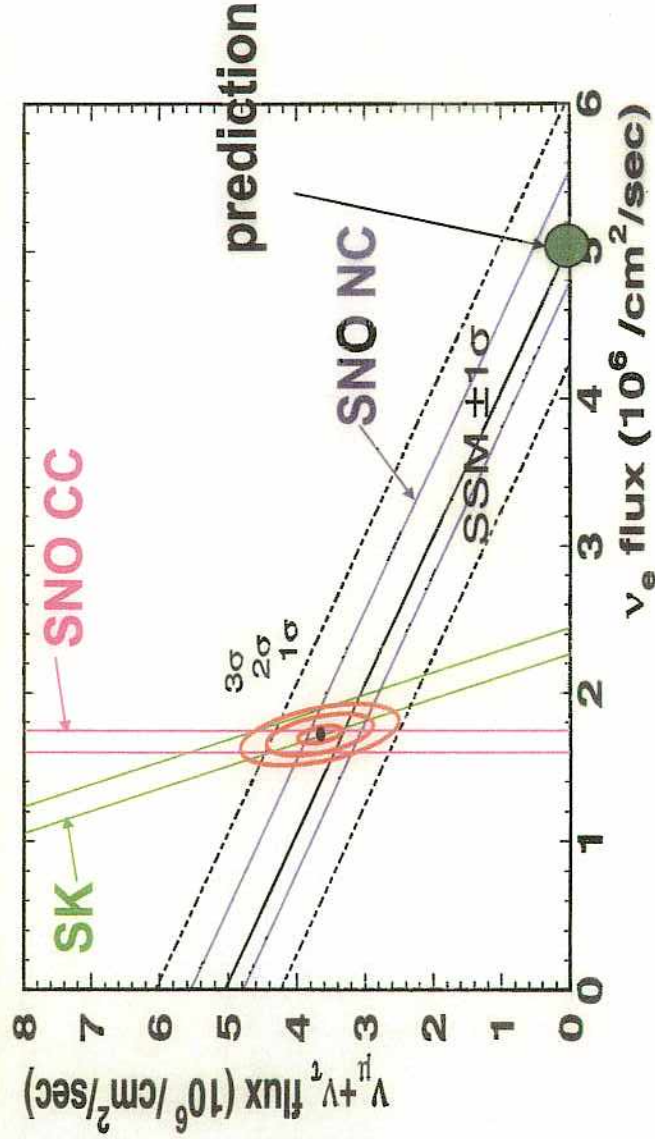
SK  $\phi_{\text{ES}} = 2.35 \pm 0.09$  [ $\times 10^6 / \text{cm}^2 / \text{s}$ ]

SNO  $\phi_{\text{CC}} = 1.68 \pm 0.09$

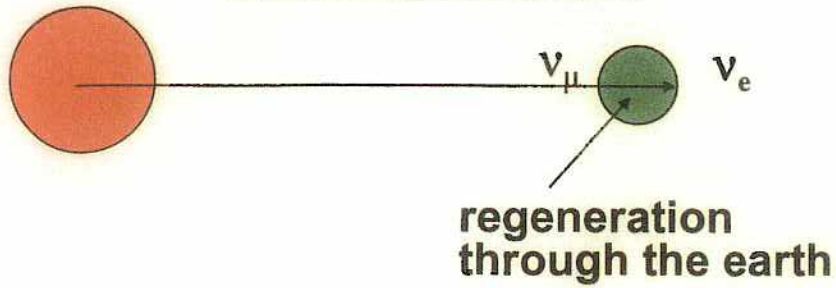
SNO  $\phi_{\text{NC}} = 5.21 \pm 0.47$

Obtained total flux:  $\phi_{\text{exp}} = 5.4 \pm 0.3$  (cf.  $\phi_{\text{SSM}} = 5.05 \pm 1.01 / -0.81$ )

$$\begin{aligned}\phi_{\text{ES}} &= \phi_e + 0.15 \phi_{\mu, \tau} \\ \phi_{\text{CC}} &= \phi_e \\ \phi_{\text{NC}} &= \phi_e + \phi_{\mu} + \phi_{\tau}\end{aligned}$$

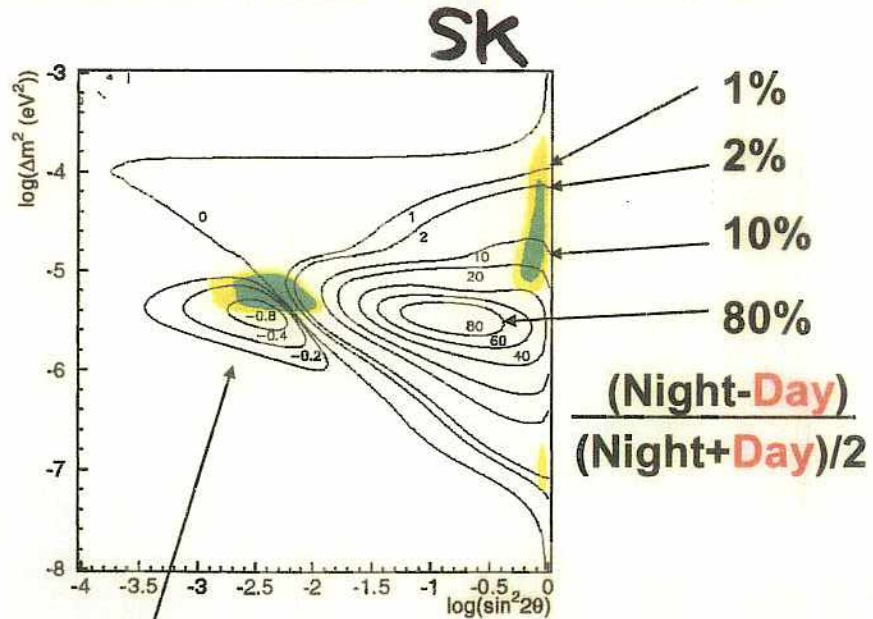


### Day/Night Effect



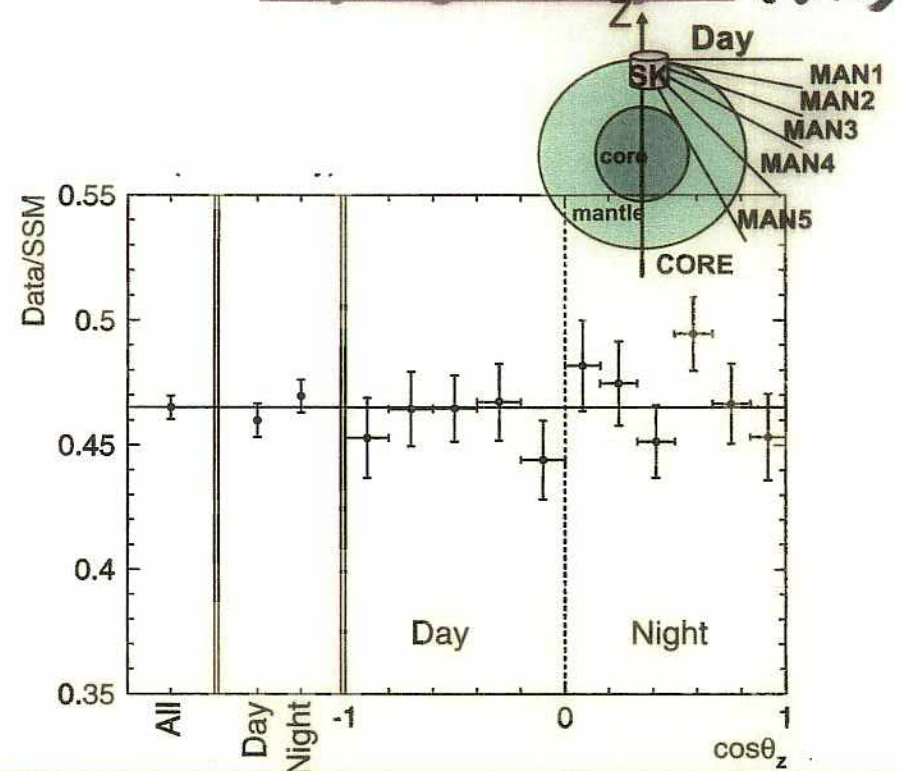
Earth density:  $\rho=5\text{g/cm}^2$  (average), 13(at core)

Affect to oscillations for  $\Delta m^2 = 10^{-6} - 10^{-4} \text{ eV}^2$



slight negative day/night effect

### Day/Night analysis (SK)

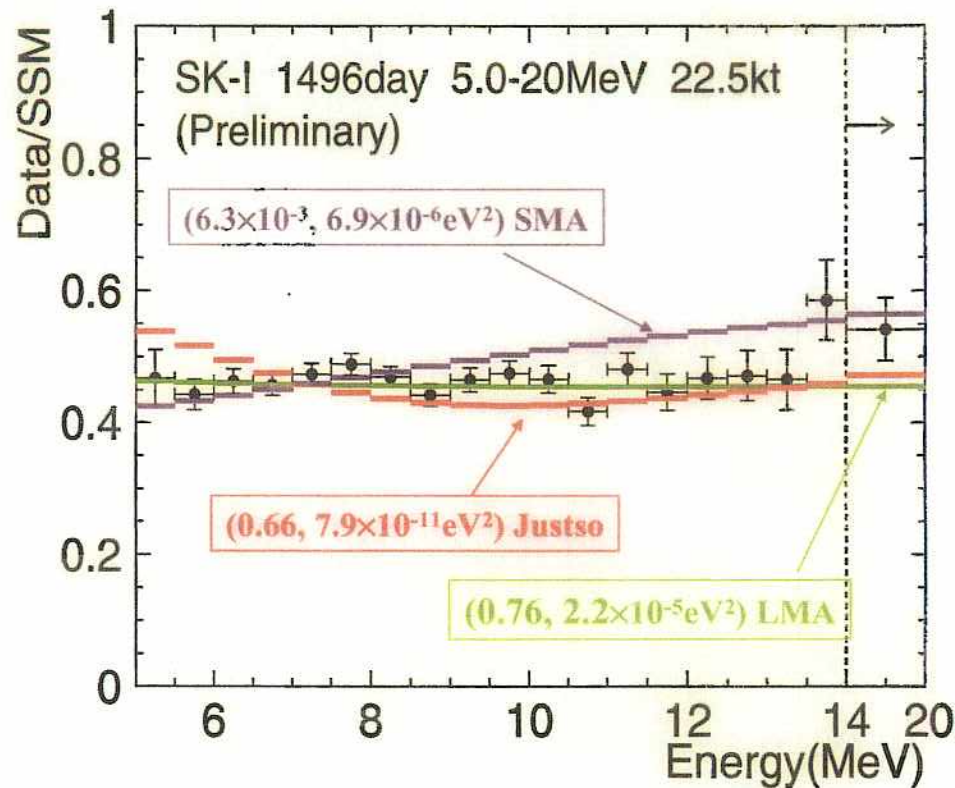
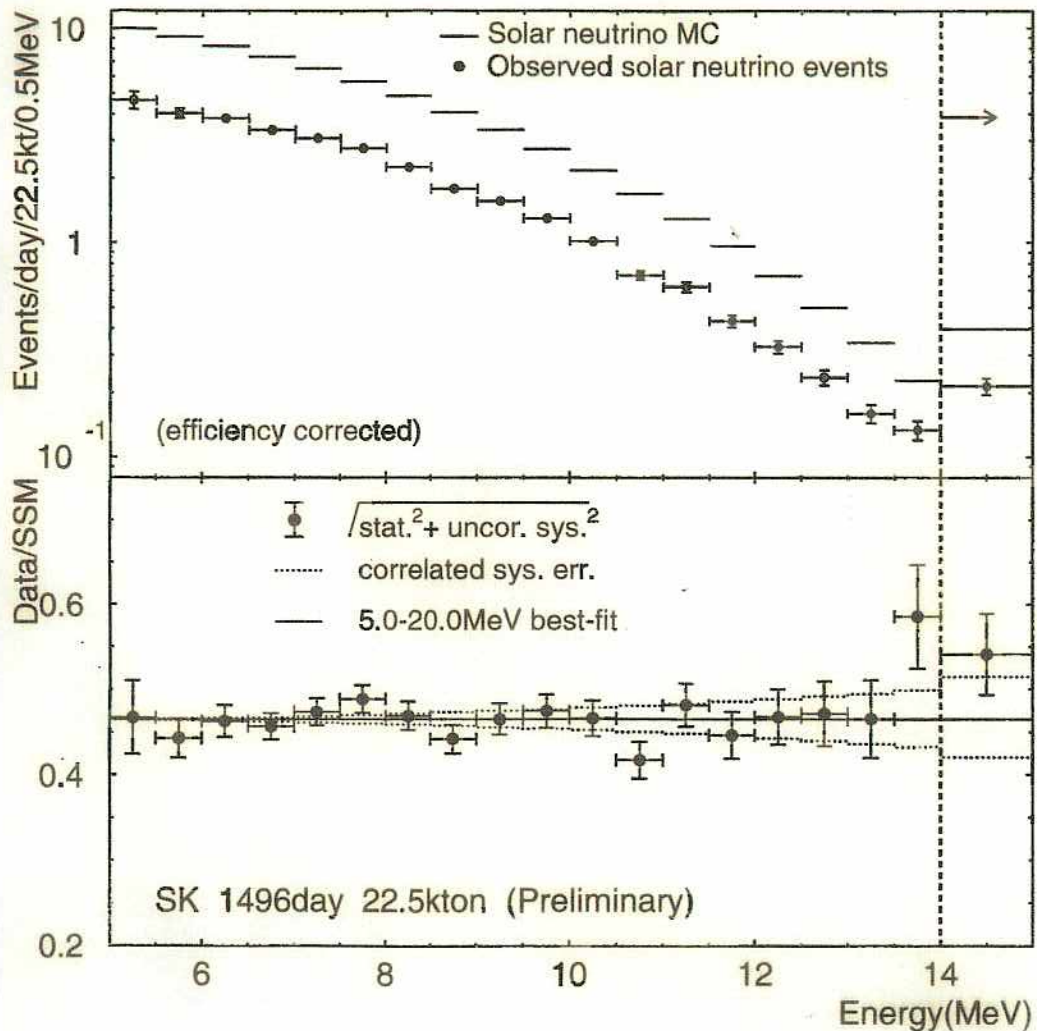


**Day: 733 effective days**  
 $f(^8\text{B}) = 2.32 \pm 0.03 \pm 0.07 [\times 10^6/\text{cm}^2/\text{s}]$

**Night: 763 effective days**  
 $f(^8\text{B}) = 2.37 \pm 0.03 \pm 0.03 [\times 10^6/\text{cm}^2/\text{s}]$

$$\frac{\text{N-D}}{(\text{N+D})/2} = 0.021 \pm 0.020(\text{stat.}) \pm 0.013(\text{sys.})$$

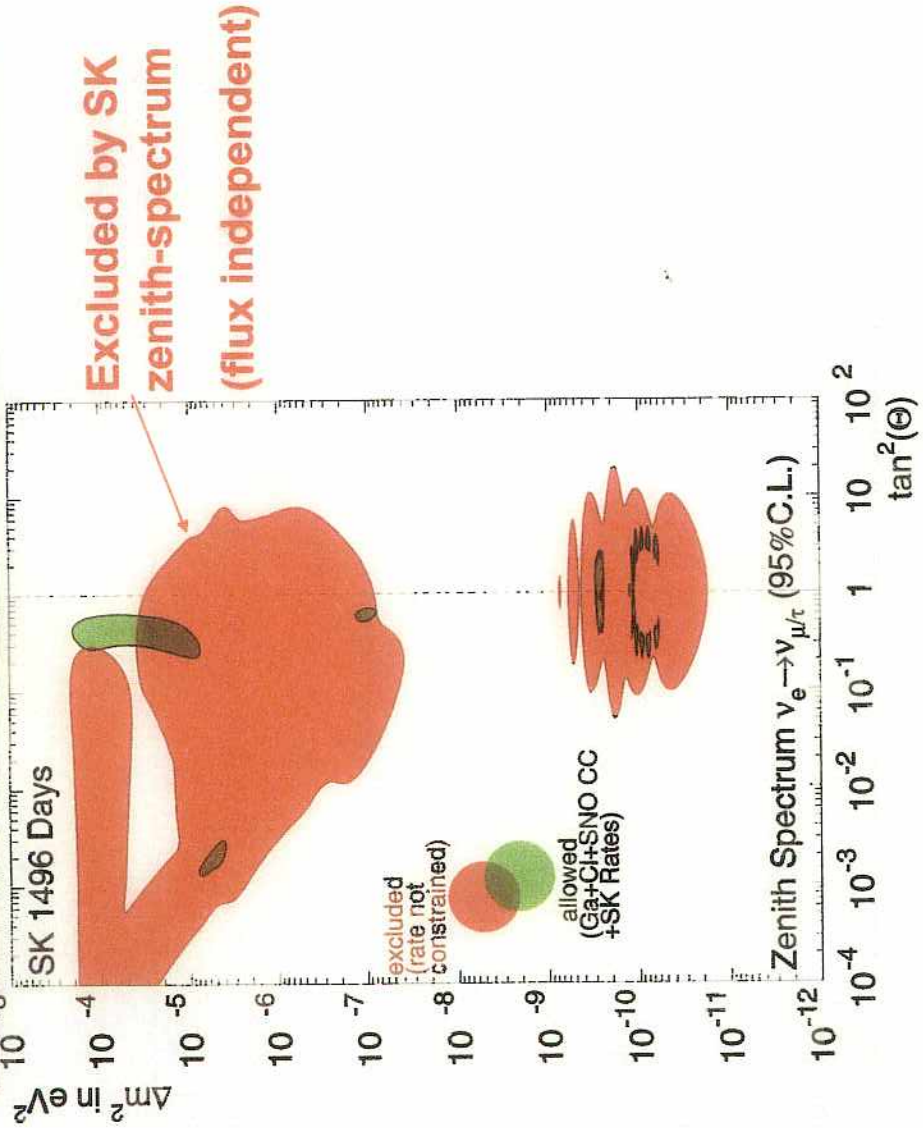
### Oscillation analysis Spectrum shape comparison



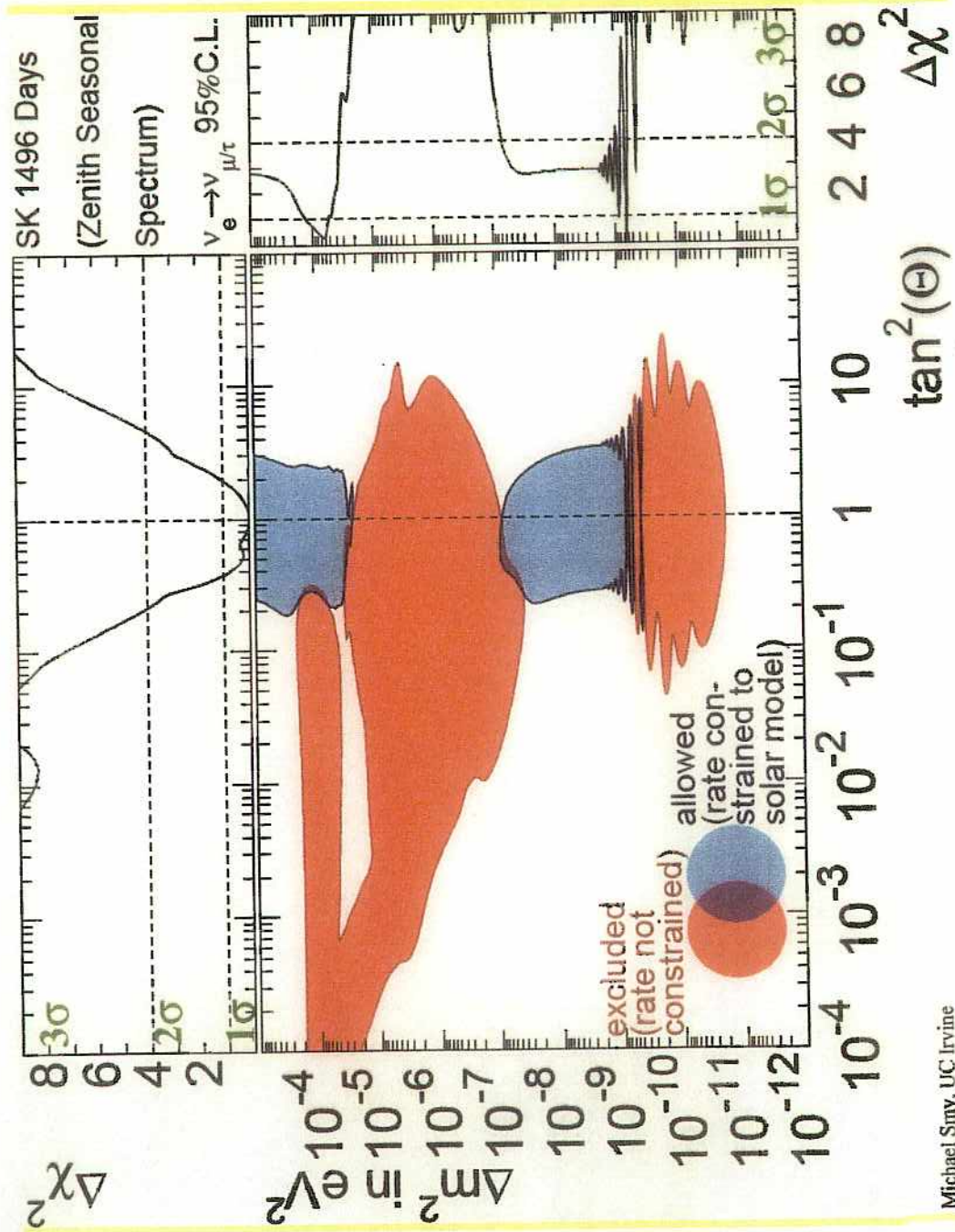
**Bad fit for SMA and Just-so solutions.**

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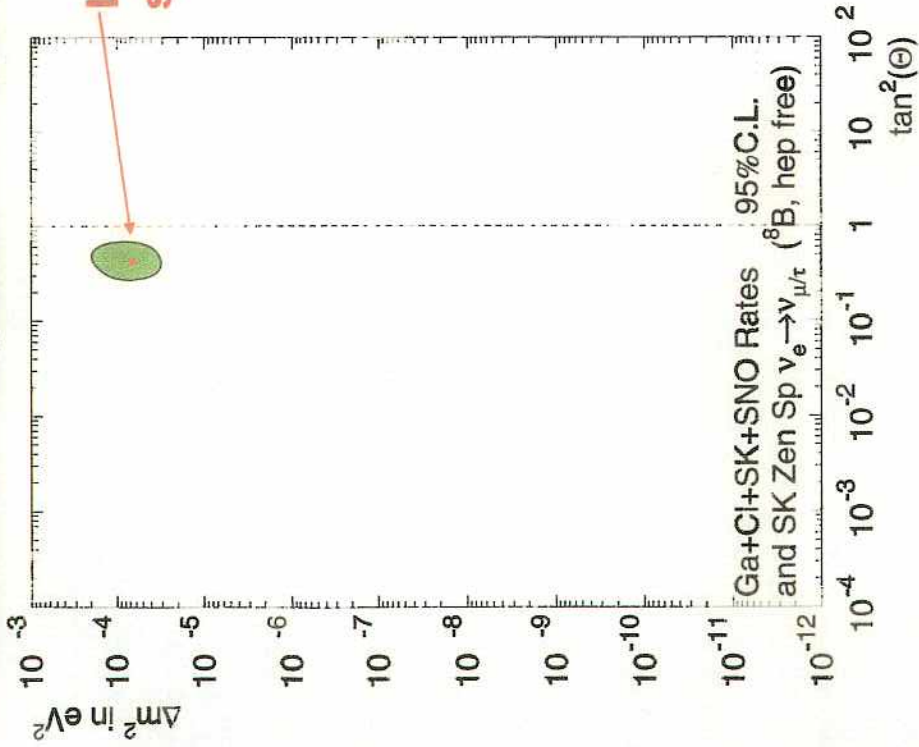
# Excluded region from SK zenith-spectrum



$\Delta\chi^2$

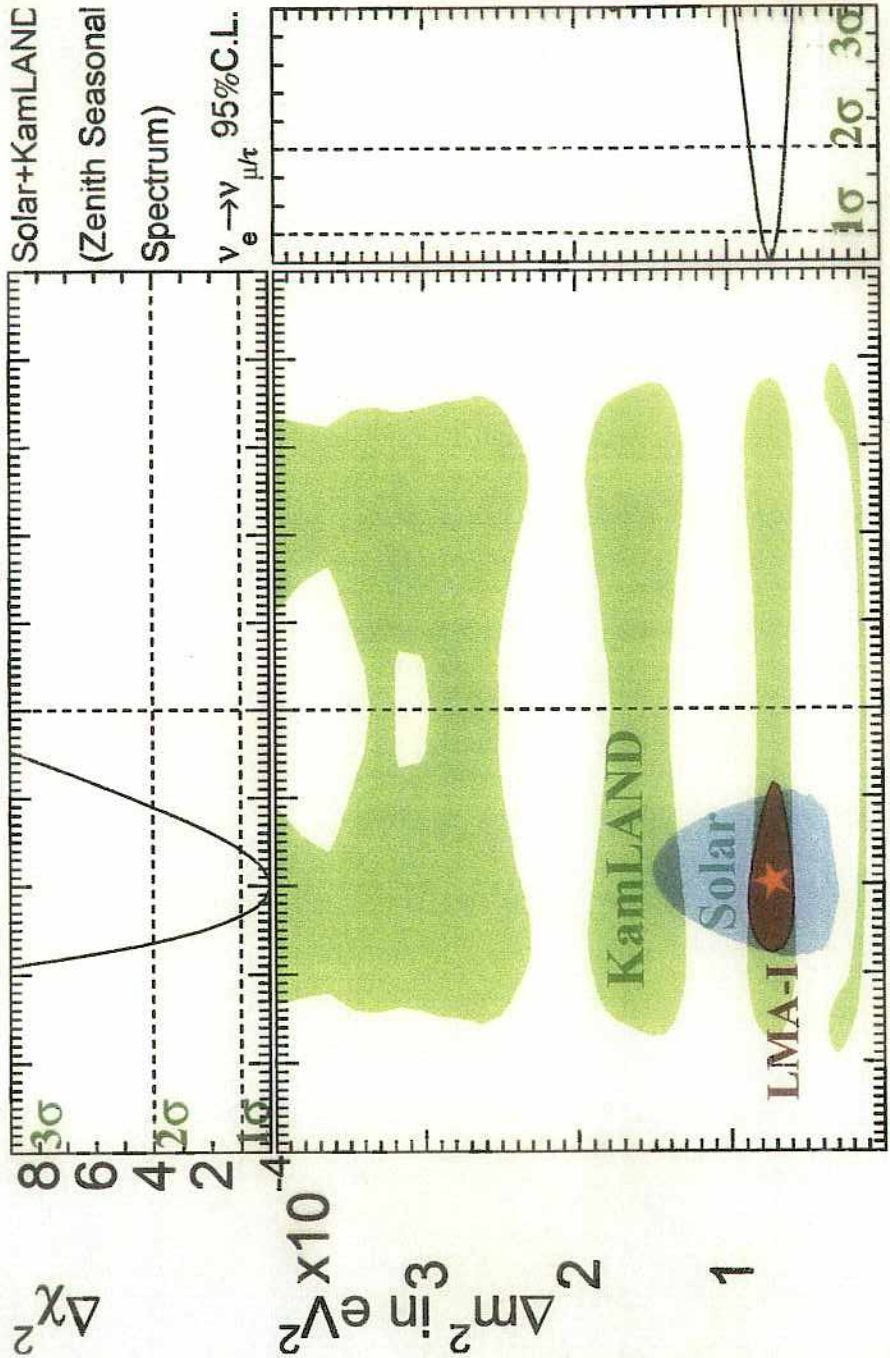


# Combined analysis with all solar $\nu$ experiments



Phys.Lett.B539(2002)179.

150



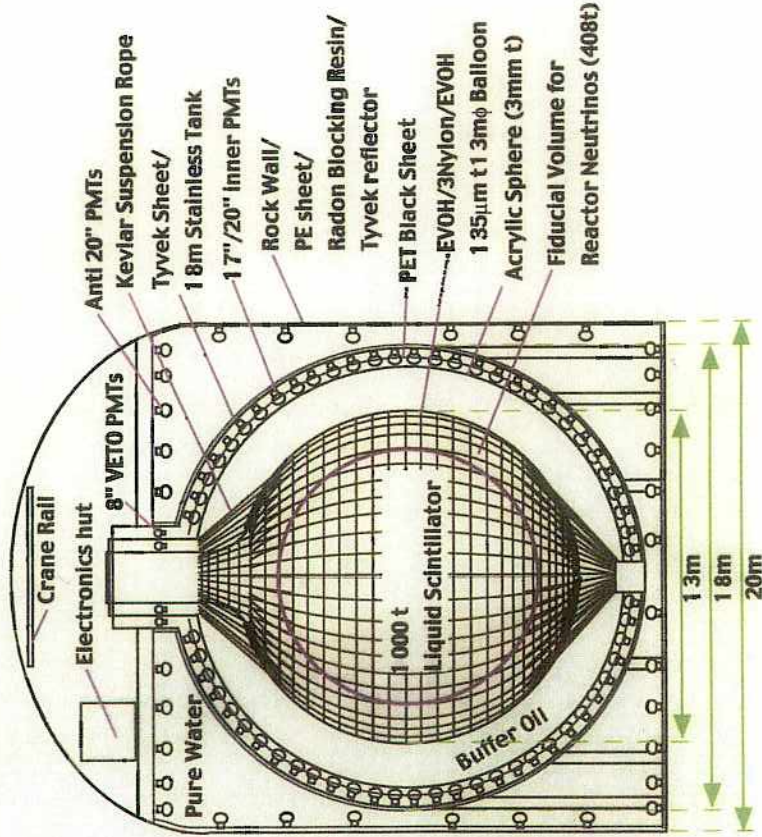
0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9      2 4 6 8       $\Delta\chi^2$

KamLAND Analysis from:       $\sin^2(\theta)$        $\Delta\chi^2$   
 hep-ph/0302230v2 (A. Ianni)

# Recent results from KamLAND

⑨

## KamLAND Schematics



### Liquid Scintillator

20% PseudoCumene  
80% dodecane  
1.5g/l PPO  
8,000 photons/MeV  
L = 10 m @400 nm  
 $\rho_{LS} = 0.780 \text{ g/cm}^3$

### Buffer Oil

50% dodecane  
50% isoparaffin  
 $\rho_{LS}/\rho_{BO} = 1.0004$

### PMTs

13251 7" PMTs  $\sigma \sim 1 \text{ nsec}$   
22% coverage  
(554 20" PMTs  $\sigma \sim 5 \text{ nsec}$ )  
(34% coverage)

### Energy Resolution

$\sigma/E \sim 7.5\%/E \text{ (MeV)}$   $\sim 320 \text{ p.e./MeV}$   
 $(\sigma/E \sim 6\%/E \text{ (MeV)})$   $(\sim 500 \text{ p.e./MeV})$

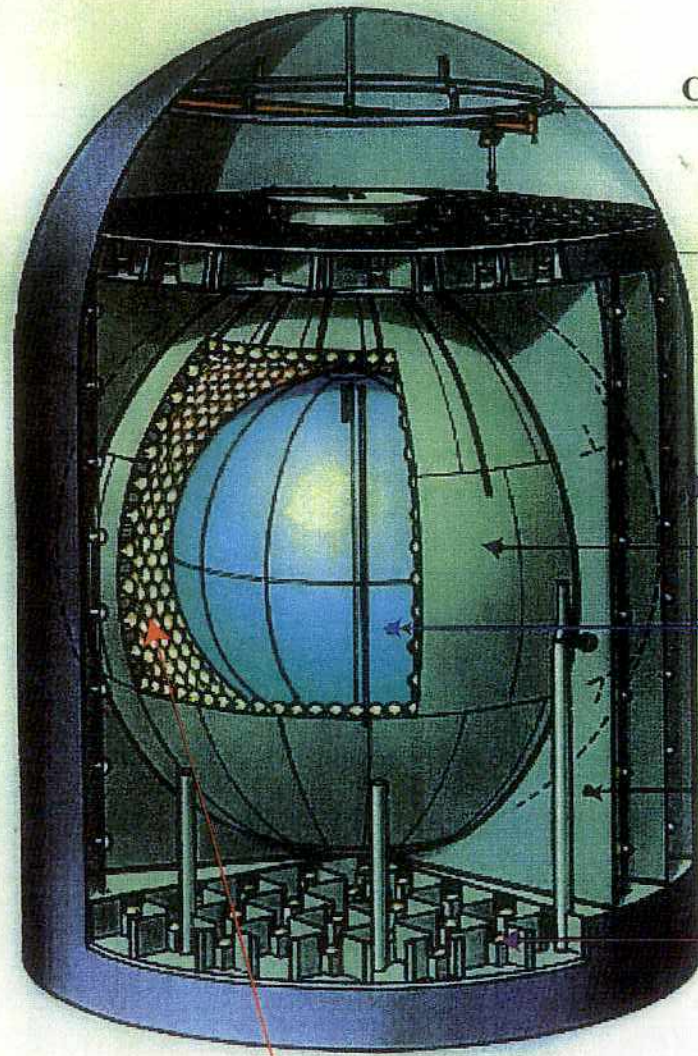
### Vertex Resolution

$\sigma \sim 25 \text{ cm @ 1 MeV}$

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1-21

# Detector



Crane

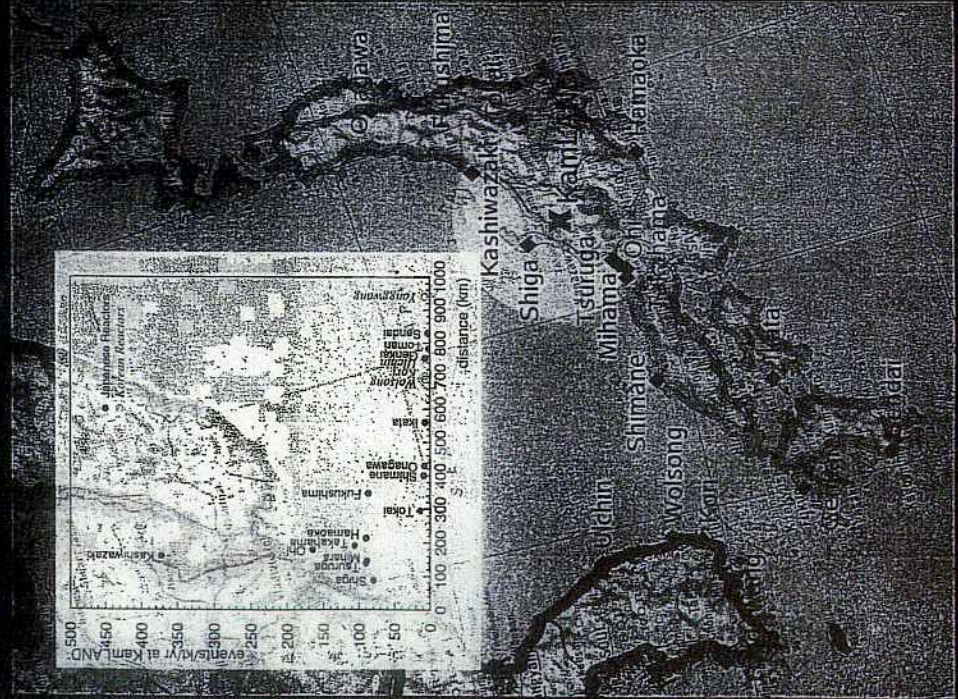
1,000 m<sup>3</sup> Isoparaffin  
Buffer Tank

1,200 m<sup>3</sup> Scintillator  
Balloon

Aluminium Sheet

Water Cherenkov  
Anti-Counter

1,280 New 17" PMT's (~22% photosensitive coverage)



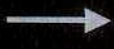
80% of contribution comes from reactors located at ~200km from Kamioka.

If adopted for oscillation search

$$P = 1 - \sin^2 2\theta \sin^2 \left( 1.27 \Delta m^2 L / E_\nu \right)$$

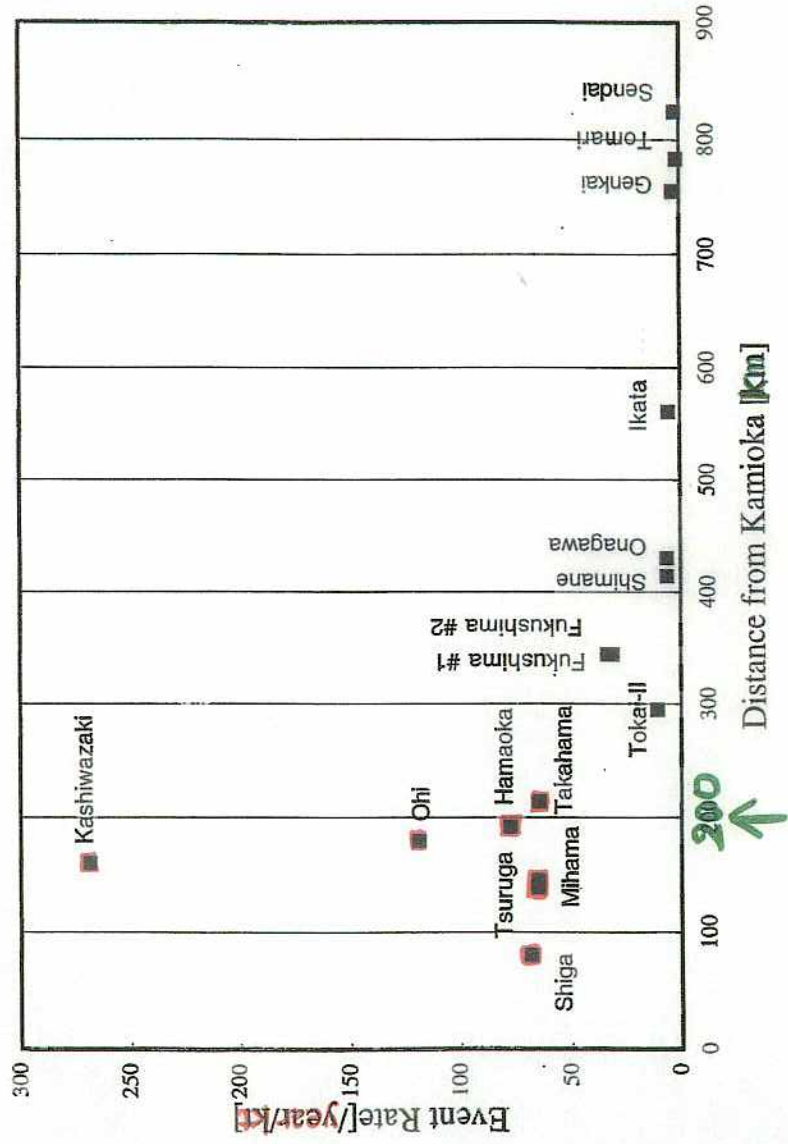
$$\approx \pi/2$$

Typical distance 175km  
Typical energy 5MeV



Sensitive @  $\Delta m^2 \sim 4 \times 10^{-5} \text{eV}^2$





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KL-7

Total man-made thermal output with nuclear power reactors in the world amounts to ~1.1 TW.

- Japan 152 GW
- Asia w/o Japan 60 GW
- Europe 521 GW
- North America 333 GW
- Others 11 GW

It corresponds to  $2 \times 10^{23}$  anti electron neutrino creation / sec.

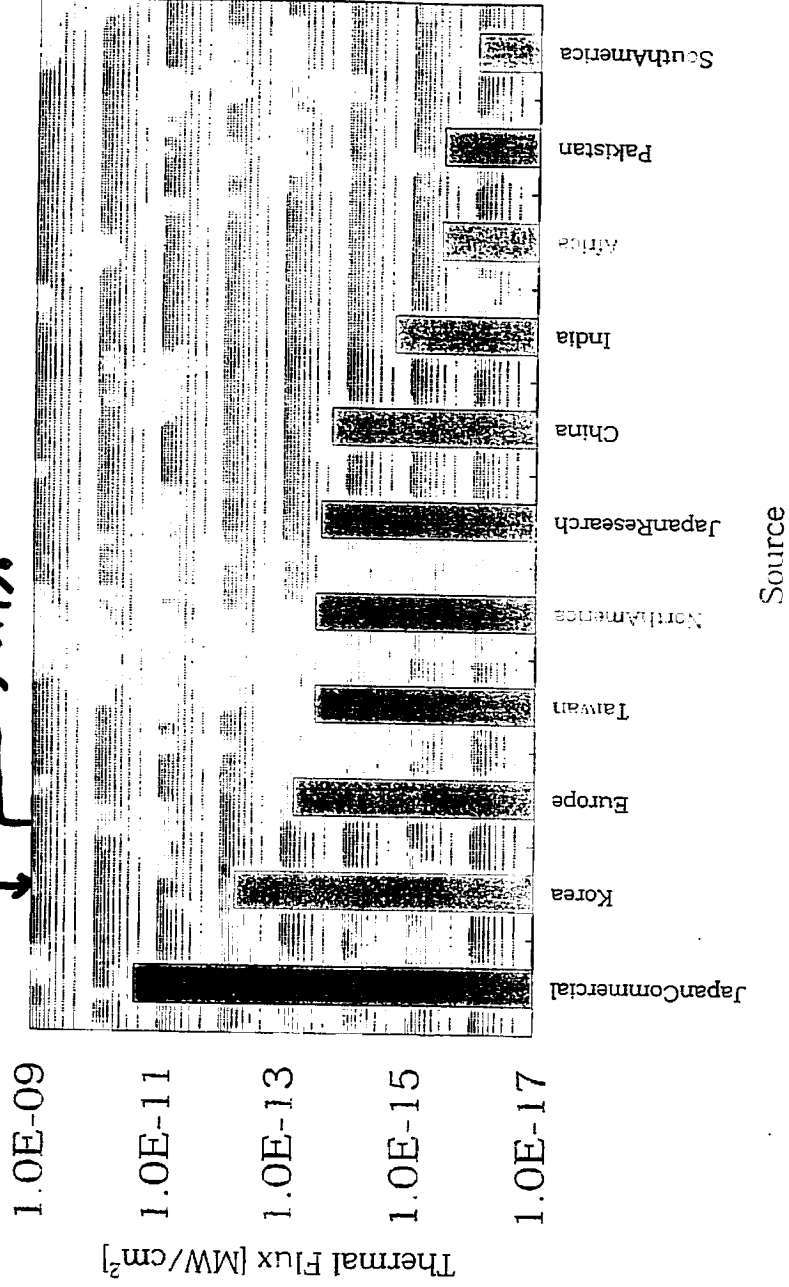
70 GW (7% of world total) is generated at  $175 \pm 30$  km distance from Kamioka site.

This high population provides  $5 \times 10^6$  /cm<sup>2</sup>/sec of neutrino flux at Kamioka and it is measurable amount with an O(kiloton) underground detector.

KL-8

Thermal Flux

2.5% ↓  
→ 0.7%



751

## Fission Rate

Only 4 fissile nuclei contribute to reactor power outputs.

$^{235}\text{U}$	201.8 MeV
$^{238}\text{U}$	205.0 MeV
$^{239}\text{Pu}$	210.3 MeV
$^{241}\text{Pu}$	212.6 MeV

Normalization to the total fission rate is well defined by the measured at much better than level.

Contribution of each nuclei evolves as fuel burns (burn up effect).  
can be accurately calculated knowing history of thermal power, fraction of new fuel and  $^{235}\text{U}$  enrichment.  
Systematic error to the neutrino event rate is much smaller than

KL-9

7510

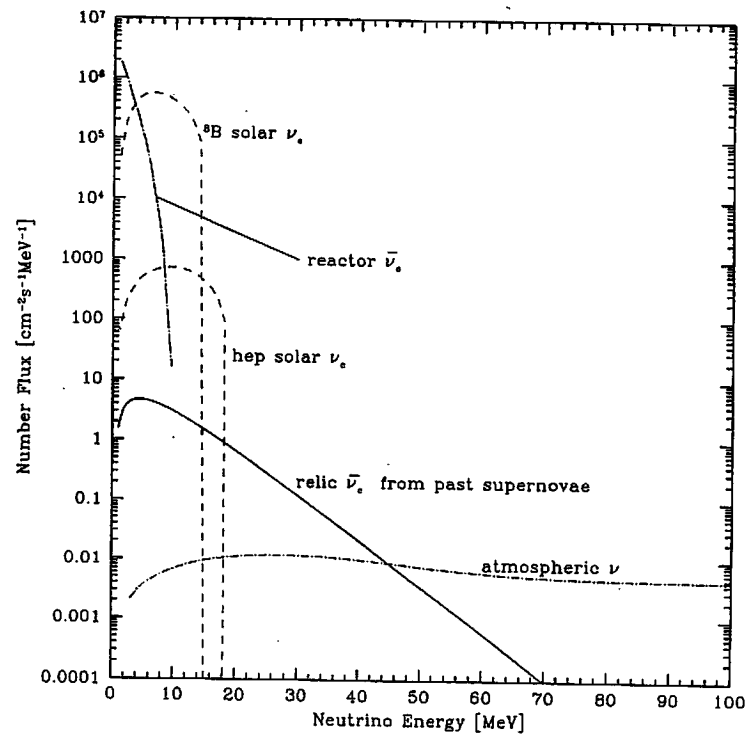
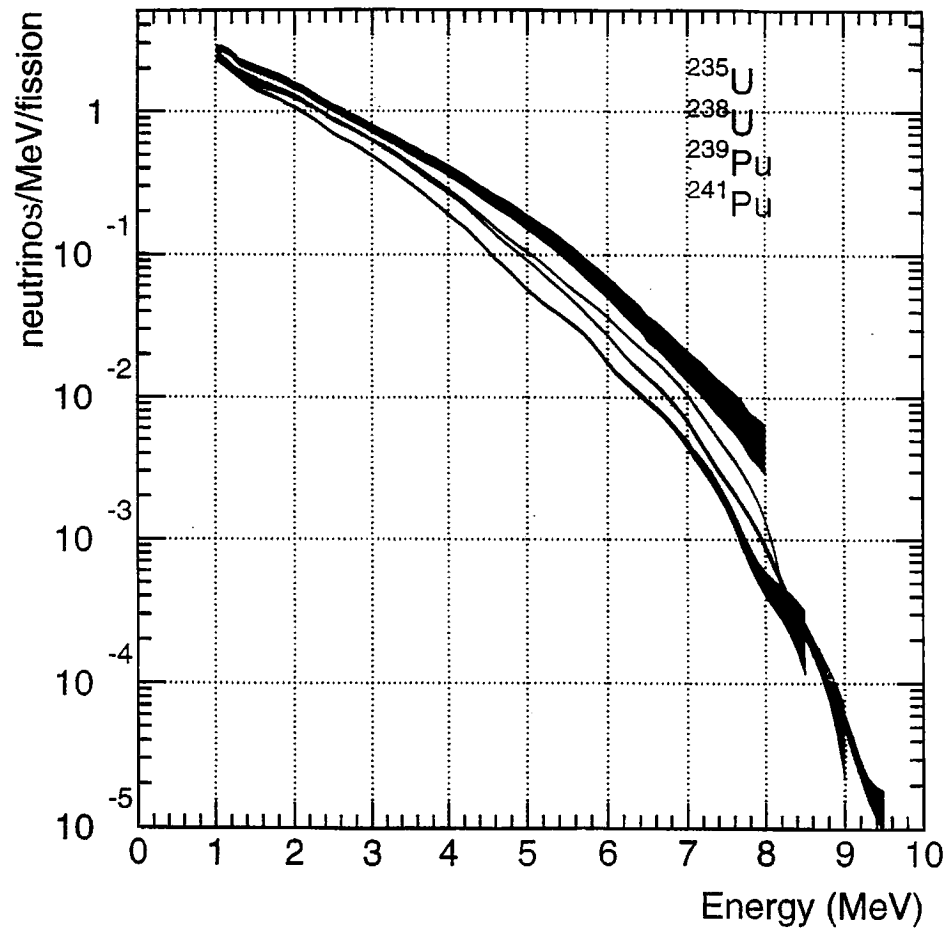
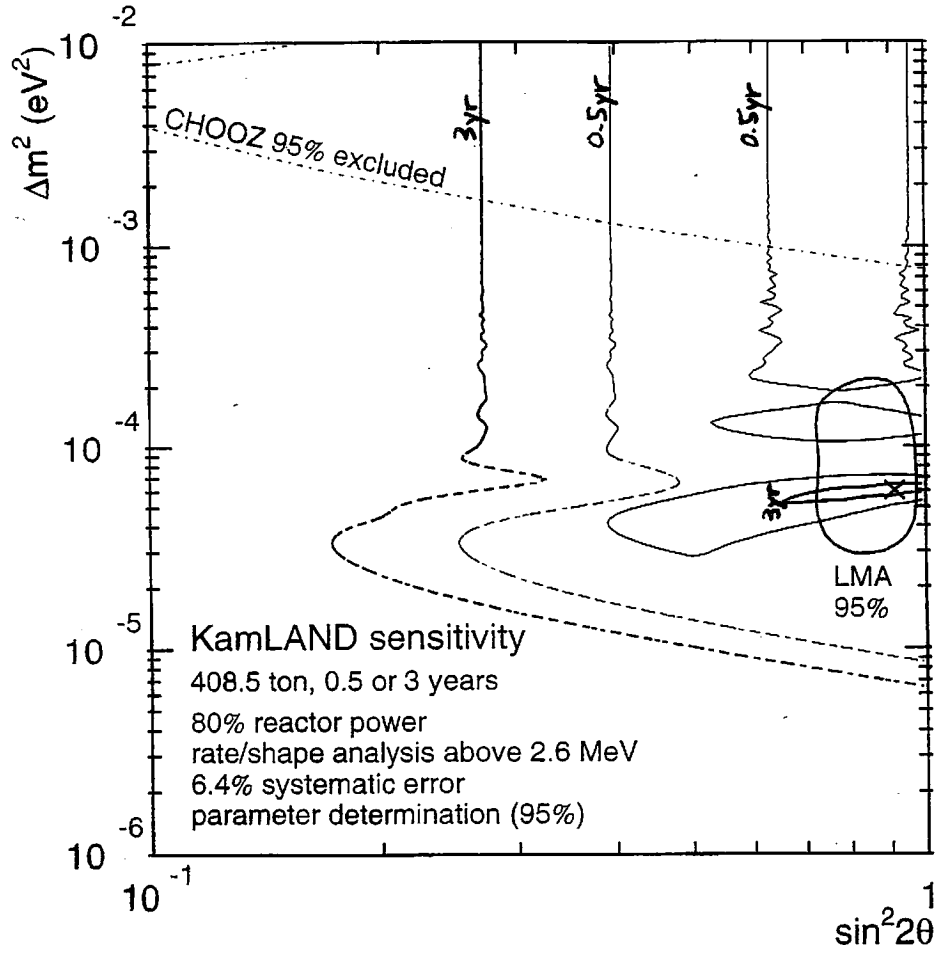
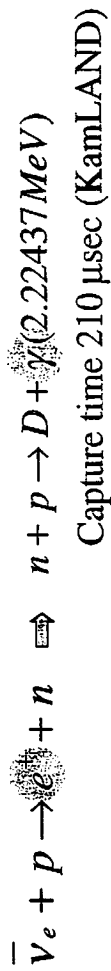


Figure 4:

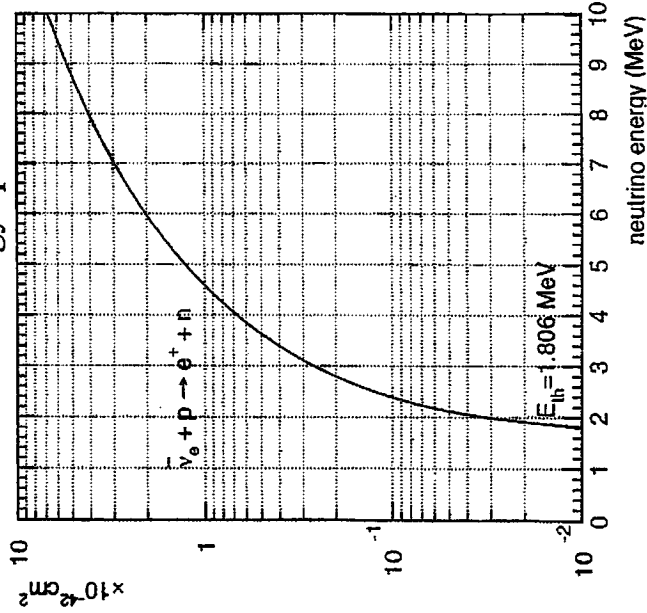


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## Clear 2 fold delayed coincidence Signature



Theoretical uncertainty of neutrino cross section calculation is only 0.2% for the entire reactor neutrino energy spectrum.

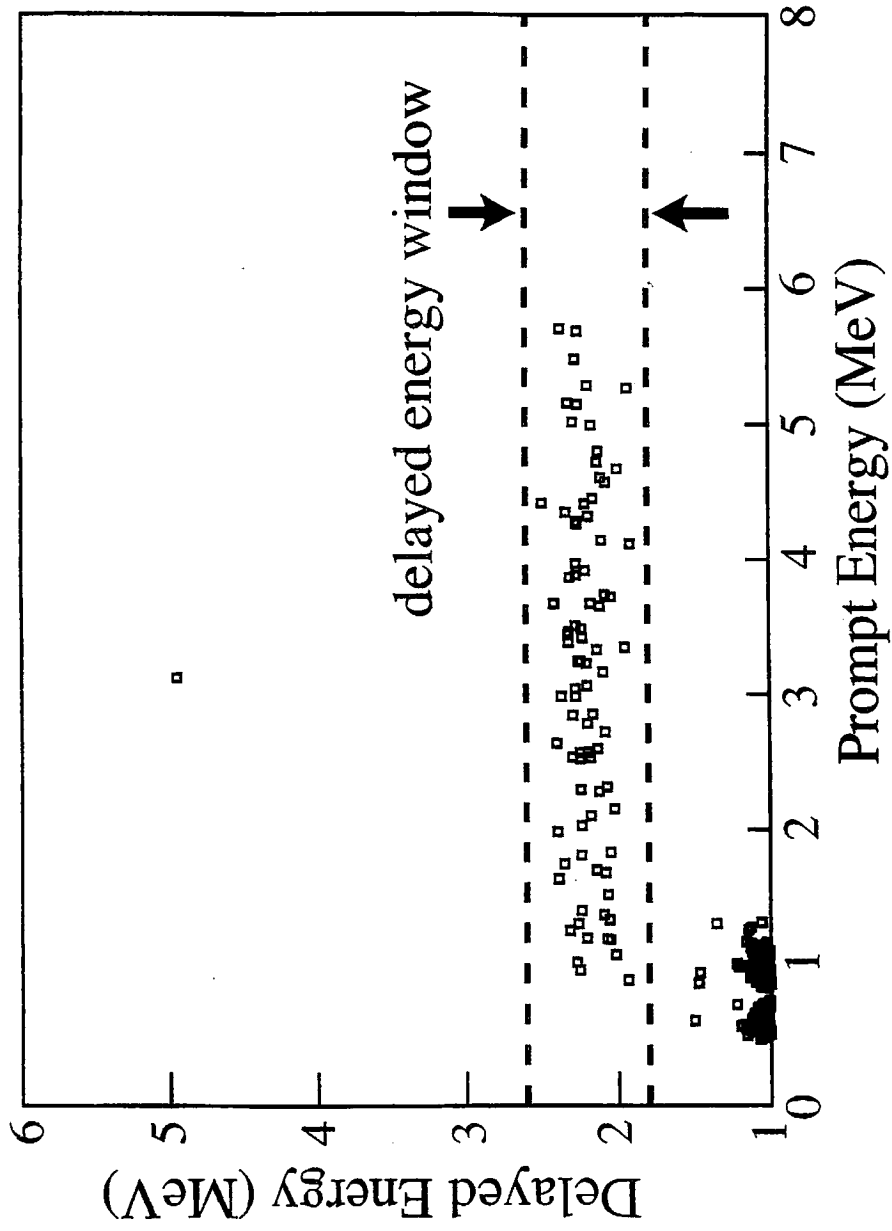


Order(1/M) calculation

P.Vogel and J.F.Beacom hep-ph/9903553

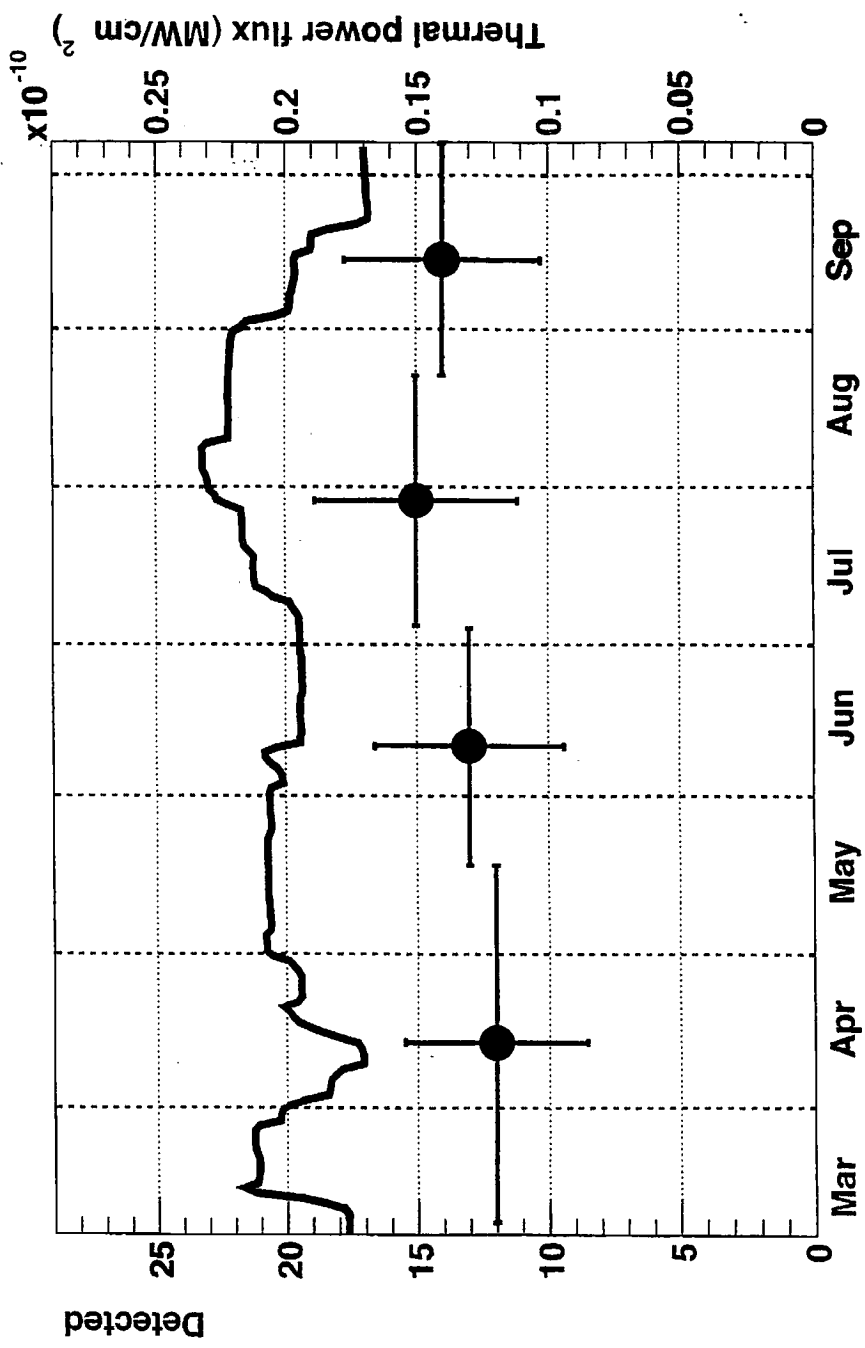
Outer Radiative Correction

A.Kurylov, M.J.Ramsey-Musolf and P.Vogel



KL-16

LSI



KL-17

# Data Summary

from March 4 through October 6, 2002  
(145.1 live days)

$E_{\text{prompt}} > 2.6$  MeV

Expected neutrino:  $86.8 \pm 5.6$

Expected BG:  $0.95 \pm 0.99$

Observed: 54

$$R = 0.611 \pm 0.085(\text{stat}) \pm 0.041(\text{syst})$$

99.95% CL. disappearance

$E_{\text{prompt}} > 0.9$  MeV

Expected neutrino:  $124.8 \pm 7.5$

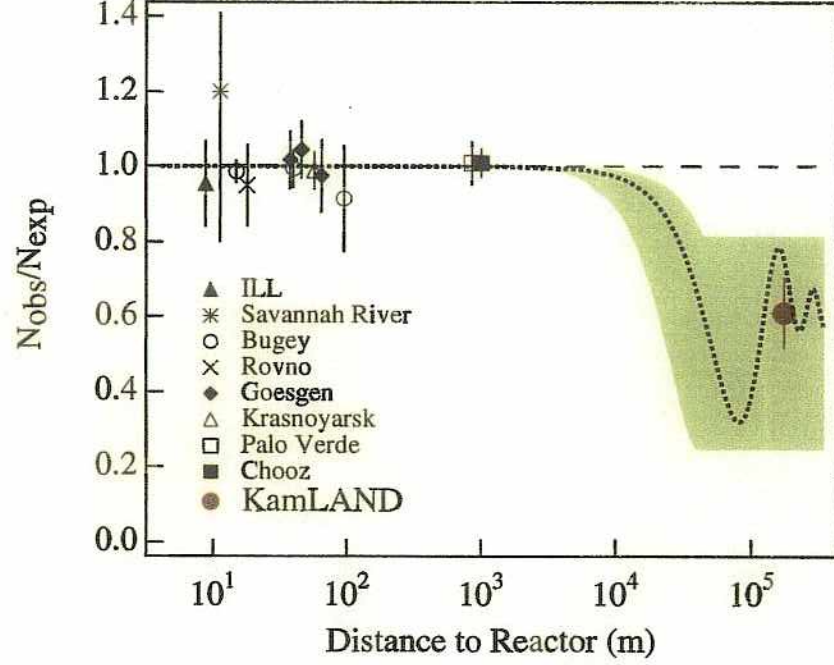
Expected BG:  $2.91 \pm 1.12 (+ \sim 9 \text{ geo-}\nu)$

Observed: 85

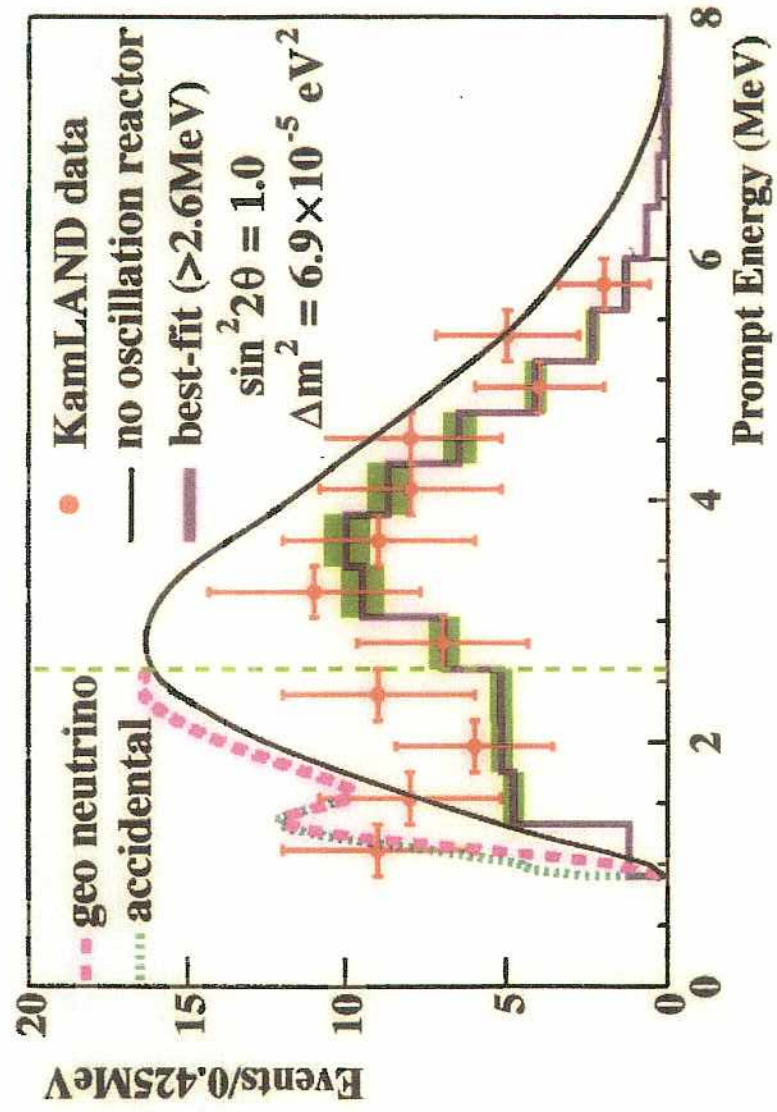
$$R = 0.586$$

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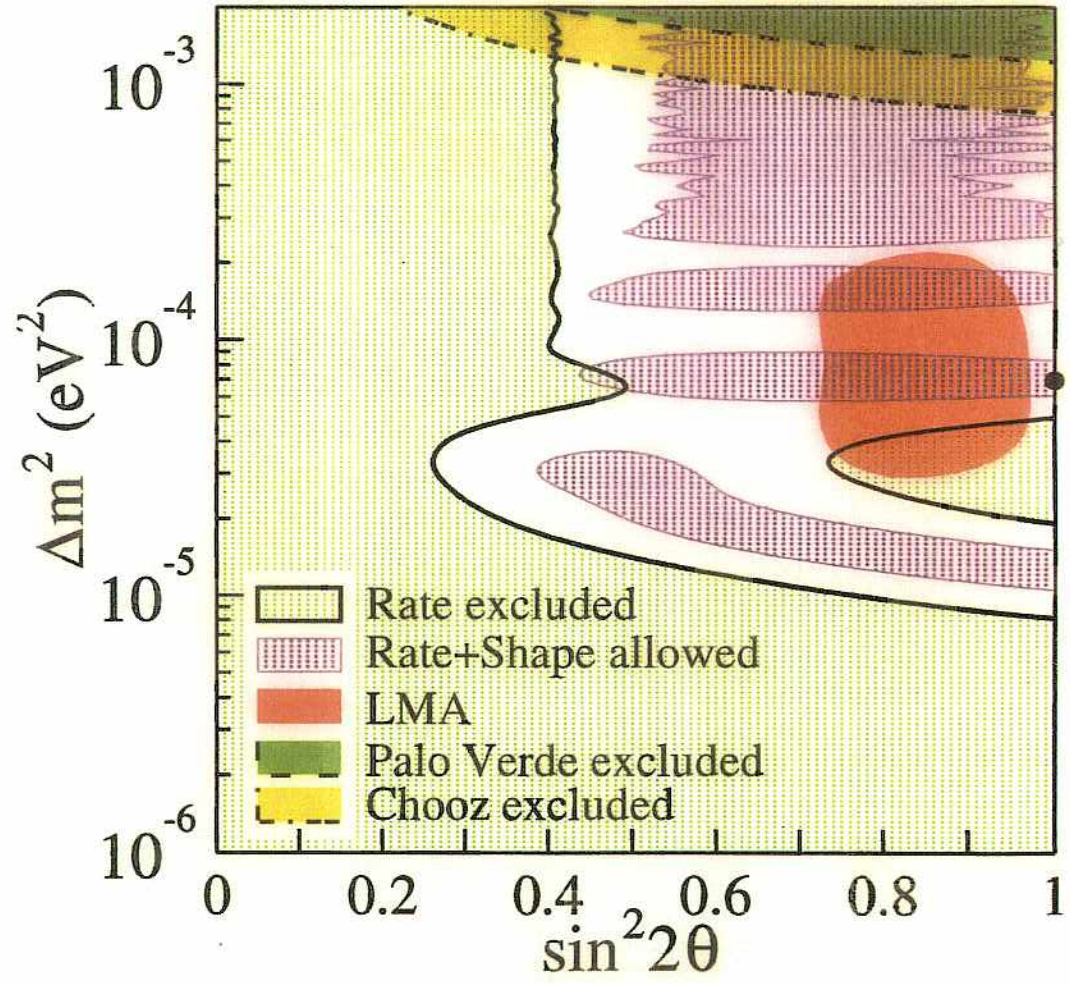
KL-18



KL-17



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⑩

# Other reactor $\nu$ experiments

Reactor  $\nu$  experiments

$\bar{\nu}_e$  : energy  $\sim$  数 MeV

また、 $\Delta m^2 \approx 3 \times 10^{-3} \text{ eV}^2$  とする

$$L_r = 2.5 \text{ m} \times \frac{E}{\Delta m^2}$$

$\sim 1 \text{ km}$  位になる

Long baseline reactor experiment

通常  $\bar{\nu}_e$  は  $\bar{\nu}_e + p \rightarrow e^+ + n$

$\hookrightarrow$  capture on  ${}^{115}\text{In} \rightarrow$

とされる。

$p \rightarrow 2.2 \text{ MeV}$

$$\sigma(\bar{\nu}_e p) = 0.88 \times 10^{-43} E_e \cdot p_e \text{ cm}^2$$

$$E_e = E_\nu - (m_n - m_p) = E_\nu - 1.3 \text{ MeV}$$

$\sigma$  は  $\nu_e e^-$  の約 100 倍

CHOOZ, Palo Verde, Kam-Land

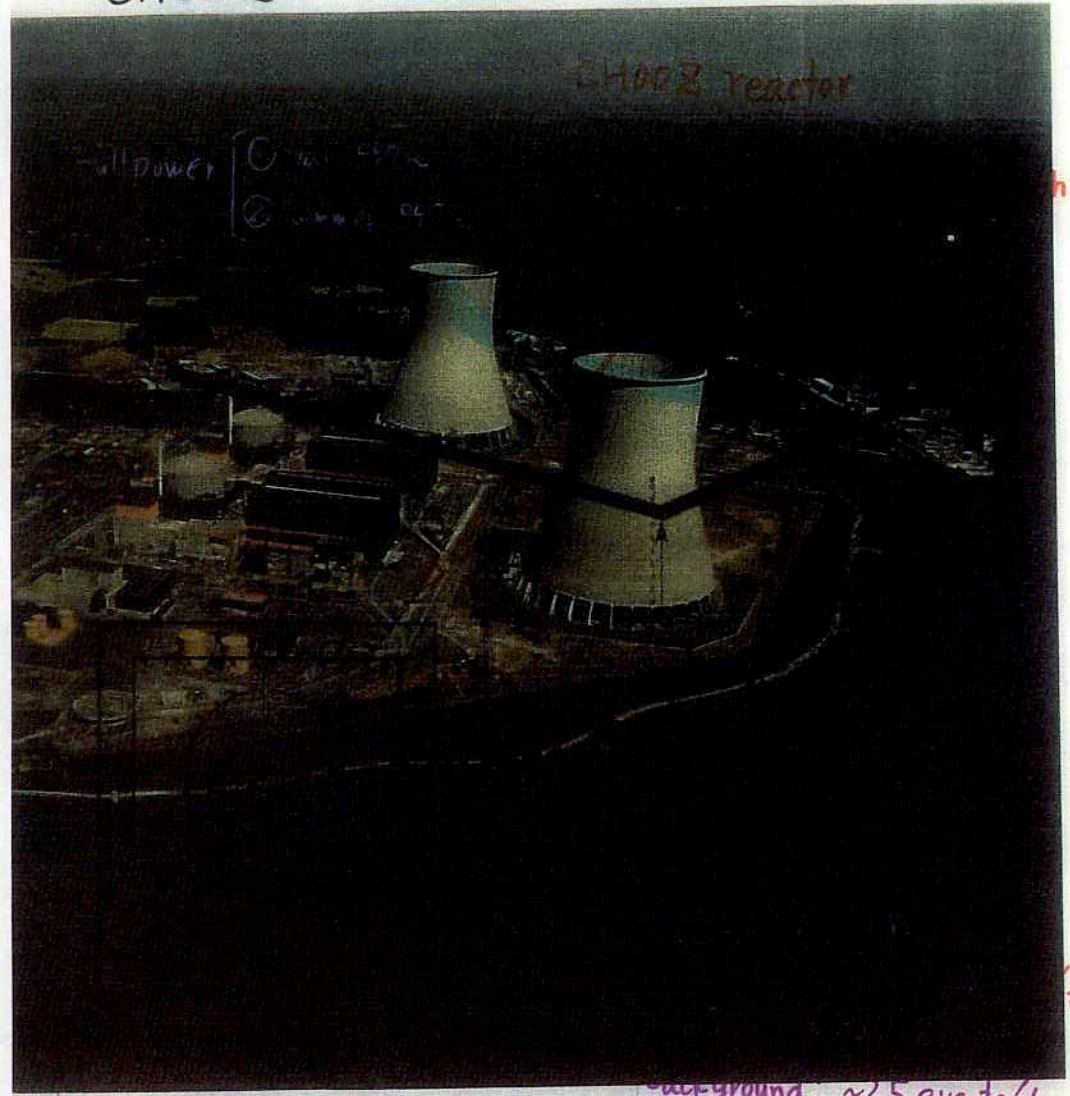


CHOOZ

rea-2-1  
C. Bemporad (PA10, 1010)

7A

rea-3



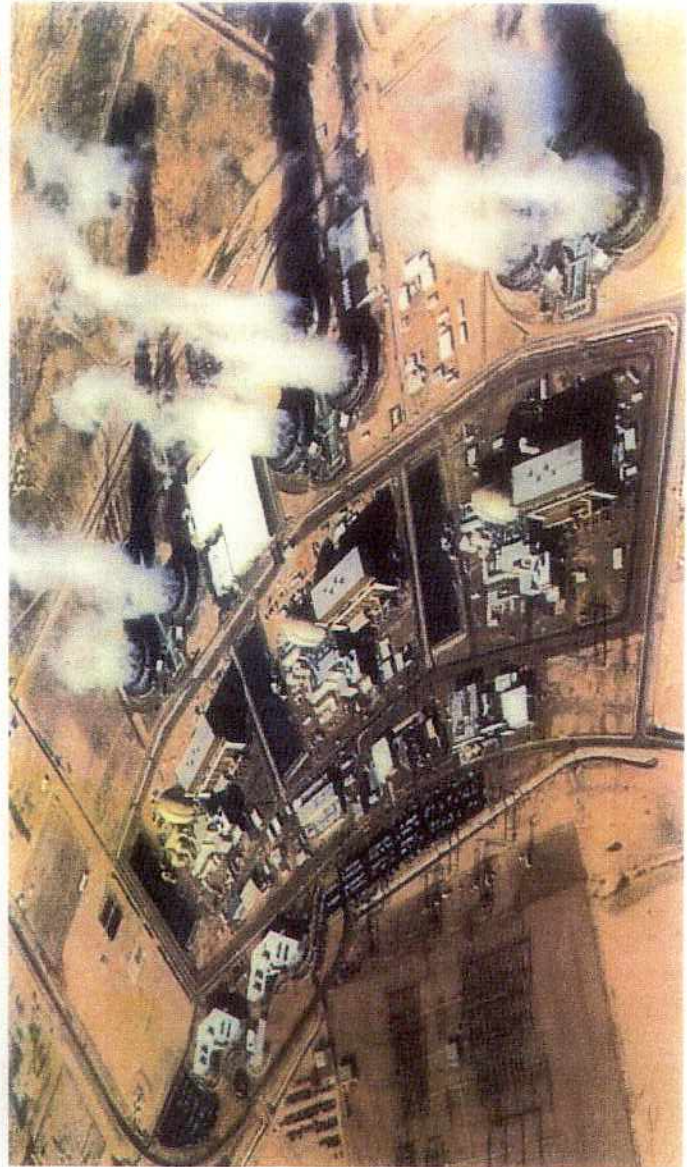
CHOOZ reactor

full power  
①  
②

background. ~2.5 events/day

750m away  
● detector  
↓ 46 m.w.e.

Palo Verde



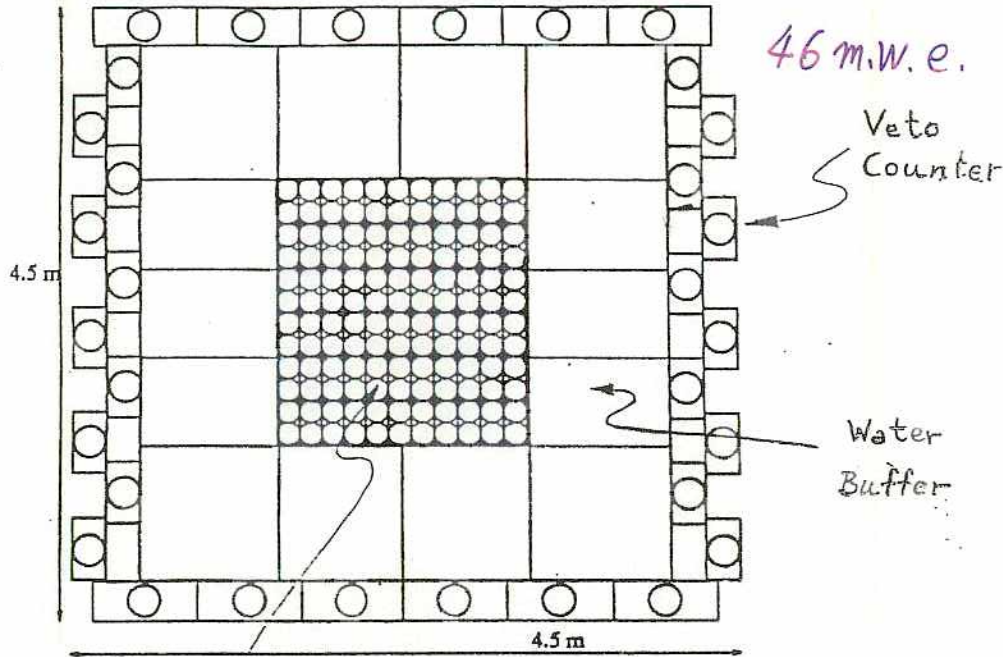
3 x 3.6 GWth

Data taking: 1997 spring ~  
Results will be published in a few months.

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Y. Wang (PAIO, IO11)

Palo Verde



Central detector 9m x 66 modules  
(12 tons liq. scinti. total)  
with 0.1% Gd

event rate ~ 50 ev./day (20% eff.)

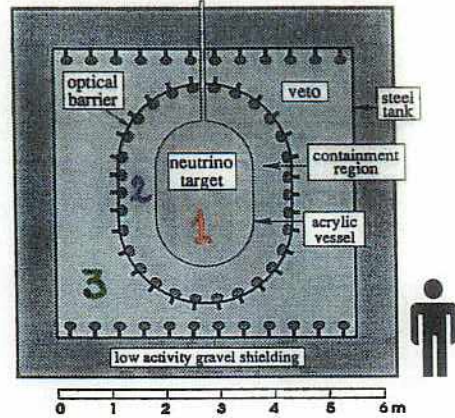
4 fold coincidence:  $\bar{\nu}_e \rightarrow e^+ + n$

Background: ~ 50 ev./day  
(correlated: 34 ev./day)  
(uncorrelated: 15 ev./day)

start data taking in fall 1997.

① TARGET  
0.1%

Gd LOADED SCINTILLATOR



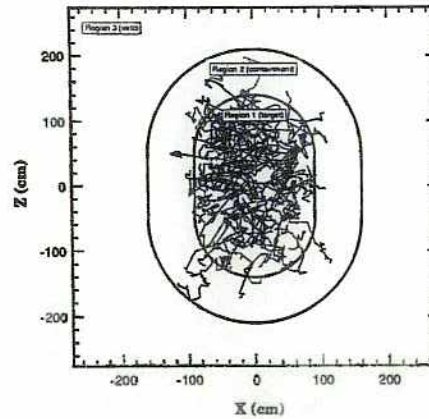
CHOOZ PARAMETERS

Region 1 size:	180 cm x 280 cm
Region 2 size:	320 cm x 420 cm
Region 1 volume, cu. m	5.6
Region 2 volume, cu. m	19.6
Region 3 volume, cu. m	105
Total volume, cu. m	131
Region 1 mass, tons	4.7
Region 2 mass, tons	16.7
Region 3 mass, tons	90
Total mass, tons	111
No. of PMT's viewing target	192
PMT coverage	15 %
Photoelectrons/MeV	125
Energy resolution @ 1 MeV	12 %

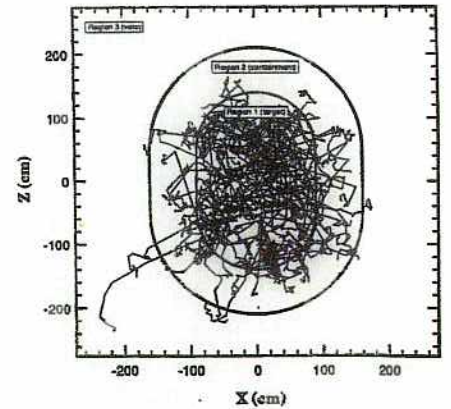
$\sigma_{E/E}$

"CALORIMETER"

100 Positron Events



100 Gd Neutron Capture Events



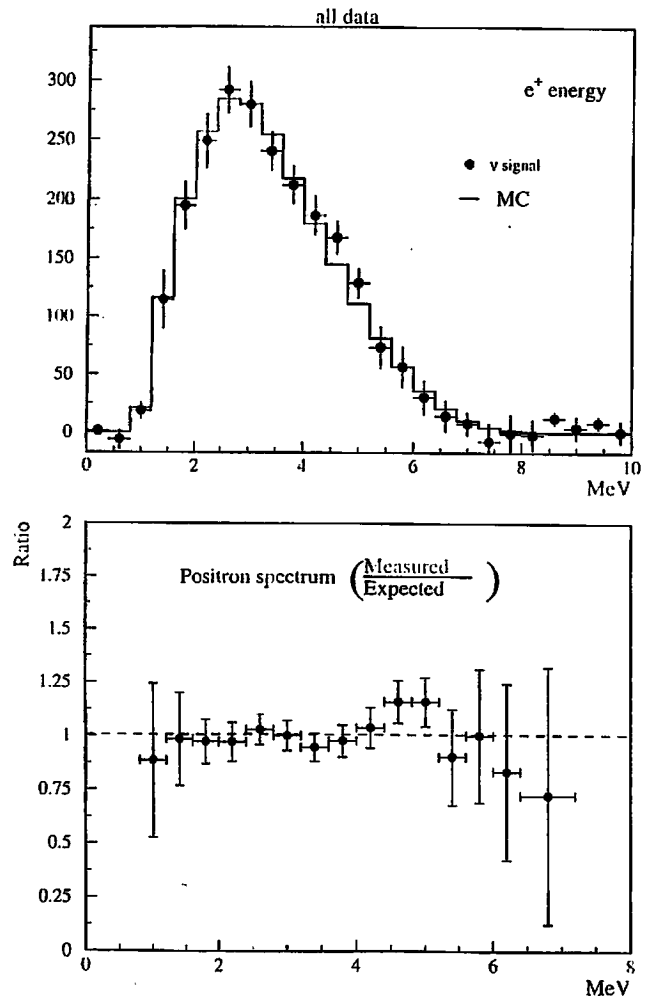


Figure 7: (above) Expected positron spectrum for the case of no oscillations, superimposed on the measured positron spectrum obtained from the subtraction of reactor-ON and reactor-OFF spectra; (below) measured vs. expected ratio. The errors shown are statistical.

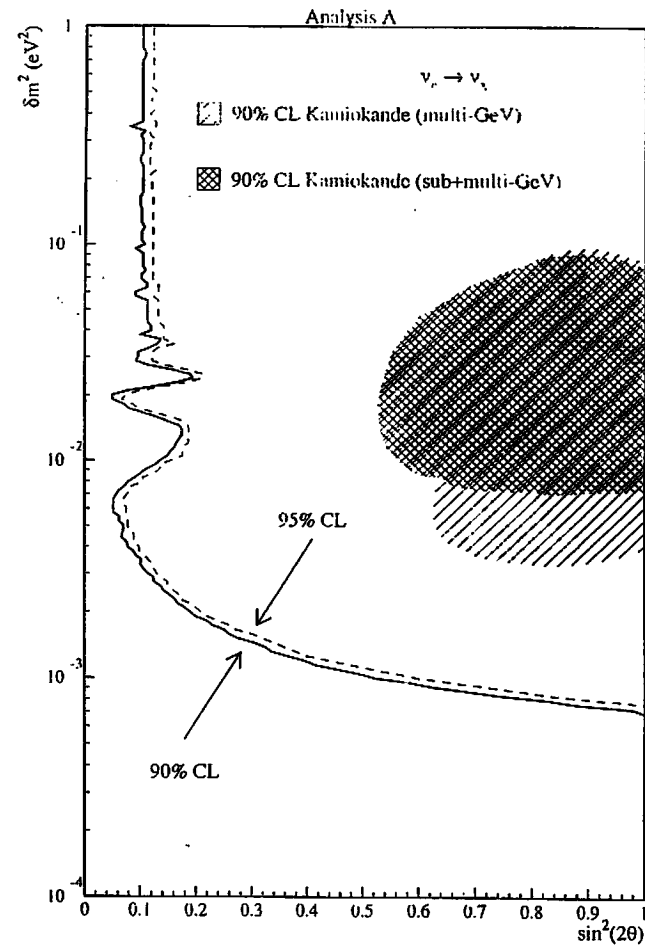
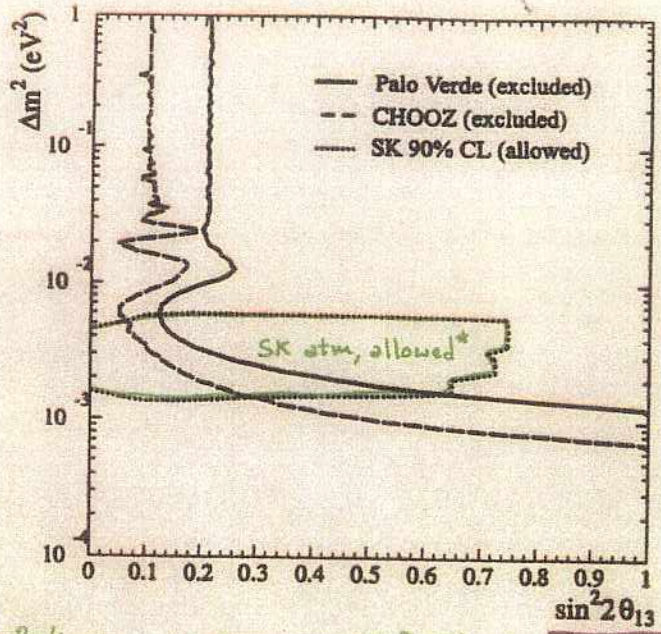


Figure 9: Exclusion plot for the oscillation parameters based on the absolute comparison of measured vs. expected positron yields.

Assume  $m_3^2 \gg m_1^2 \approx m_2^2$   
 $\Delta m^2 \approx \Delta m_{13}^2 \approx \Delta m_{23}^2$ ,  $\Delta m_{12} = 0$



\* Preliminary, K. Okumura Ph.D Thesis  
 U of Tokyo

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Short base line  
accelerator experiments

LSND

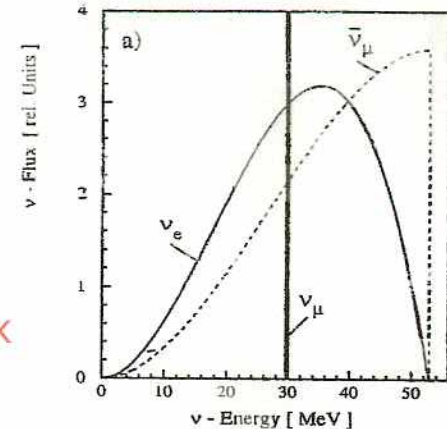
KARMEN

Mini BoONE

## LSND and KARMEN

Neutrino source:  
High intensity low energy  
(800 MeV) proton beam  
into beam stop target.

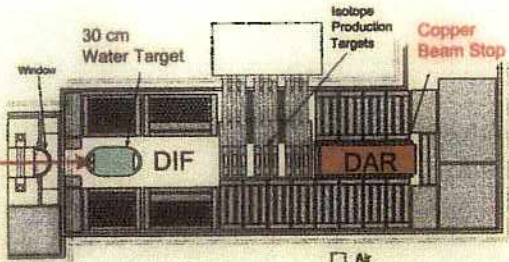
Small  $\bar{\nu}_e$  flux



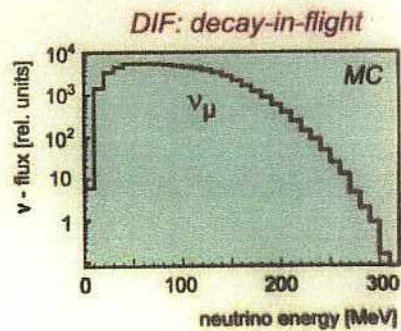
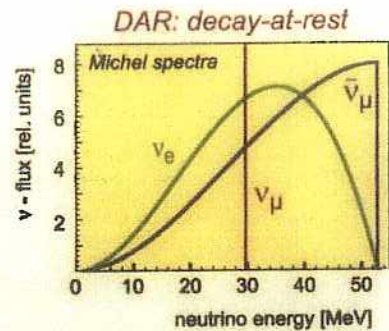
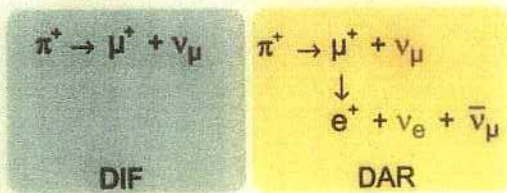
	LSND	KARMEN
Accelerator	LAMPF	ISIS
Proton E	800 MeV	800 MeV
Proton current	~ 1mA	~ 0.2 mA
Beam pulse	500 $\mu$ sec	$2 \times 100$ nsec
Detector	liquid scintillator + Cherenkov	liquid scintillator (segmented)
Mass	180 tons	56 tons
Distance from source	29 m	17 m
Angle to beam axis	17 deg.	90 deg.

# LAMPF Neutrino Source

1 mA proton beam @ 800 MeV  
100 Hz / 600 μs pulses (6% d.c.)



A6 beamstop

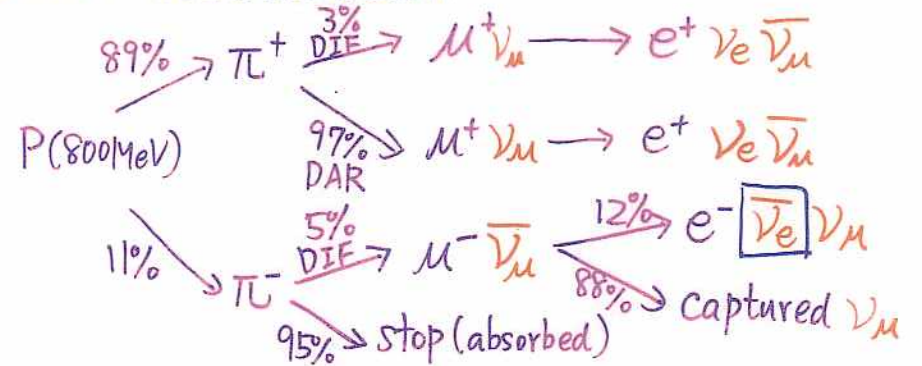


# $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ search in LSND

(LSND collaboration, Phys.Rev.C54, 2685 (1996))

$\bar{\nu}_\mu$  from decay at rest (DAR)

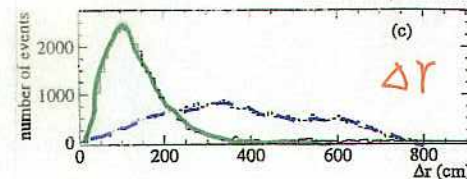
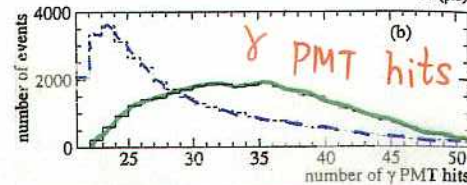
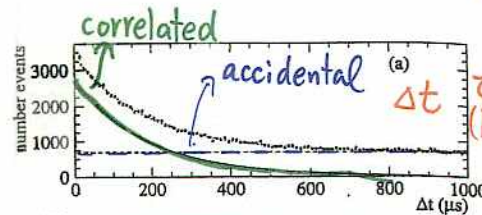
LAMPF neutrino beam



$\bar{\nu}_e/\bar{\nu}_\mu = 7.8 \times 10^{-4}$  (NIM A291,621(1990),A368, 416(1996))

signature:  $\bar{\nu}_e + p \rightarrow e^+ + n$

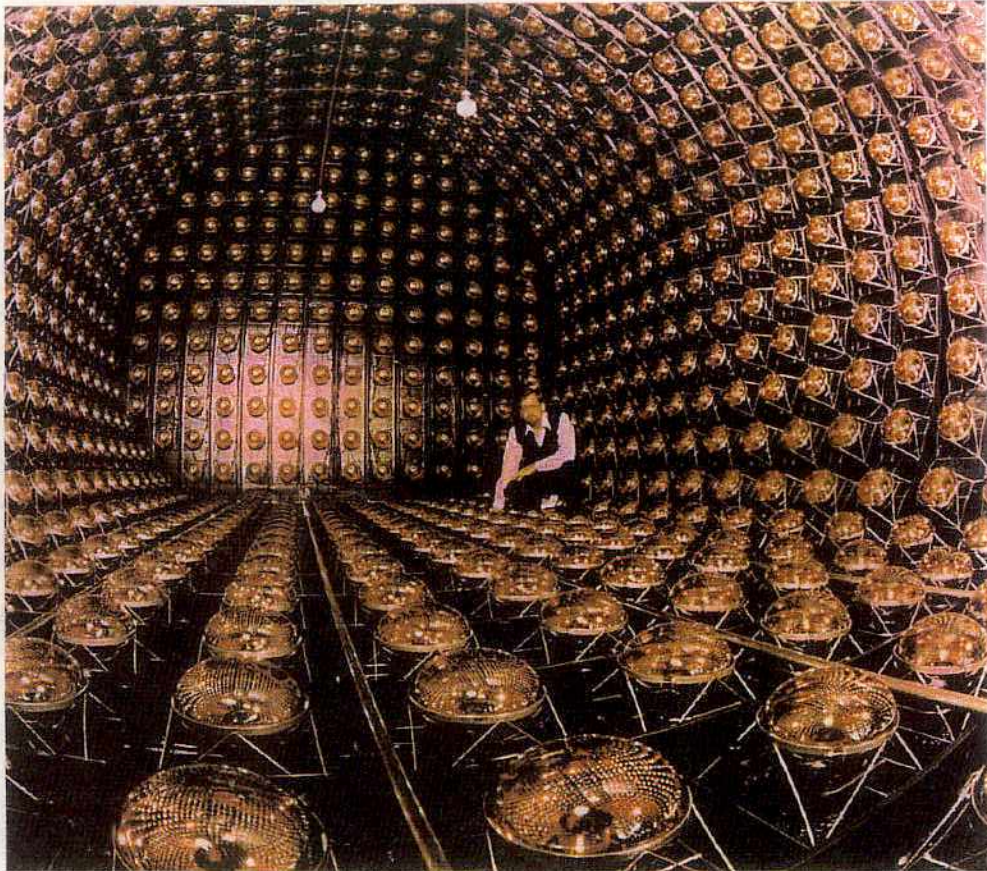
$n + p \rightarrow d + \gamma (2.2 \text{ MeV})$



$L = P(\text{hits}) \times P(\Delta r) \times P(\Delta t)$

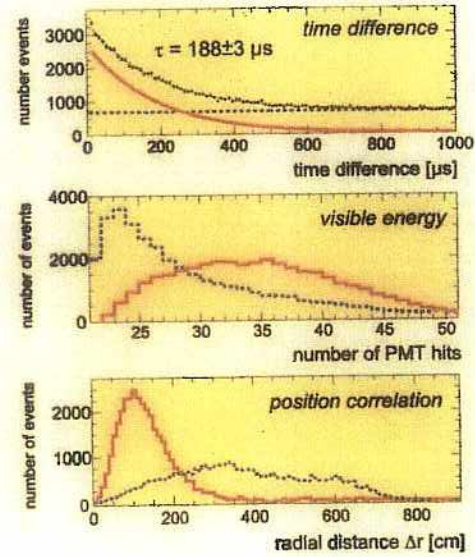
$R = \frac{L(\text{correlated})}{L(\text{accidental})}$

# LSND



## LSND : correlated and uncorrelated gammas

$n$  - detection via  $p(n,\gamma)d$  with  $E_\gamma = 2.2$  MeV



cosmic ray neutron sample:  
*correlated* / *accidentals*

Likelihood function

$$L = P(\Delta t) \times P(\#PMT) \times P(\Delta r)$$

Likelihood Ratio

$$R = L(\text{correlated}) / L(\text{accidental})$$

high R : *correlated*

low R : *accidental*

### LSND 1993-98 data - final results

positrons in 20-200 MeV range followed by low-energy (n,γ) candidates

$\chi^2$  fit to  $R_\gamma$ -distribution yields :

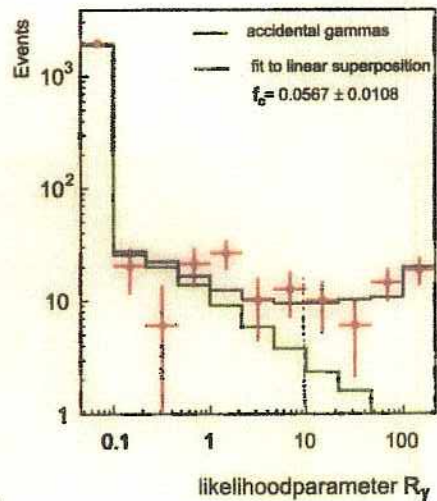
- beam on-off excess :  $117.9 \pm 22.4$  evts
- DAR  $\nu$ -background :  $19.5 \pm 3.9$  evts
- DIF  $\nu$ -background :  $10.5 \pm 4.6$  evts
- beam excess events :  $87.9 \pm 22.4 \pm 6.0$   
stat. syst.

Oscillation Probability P:

$$P = (0.264 \pm 0.067 \pm 0.045) \%$$

stat.      syst.

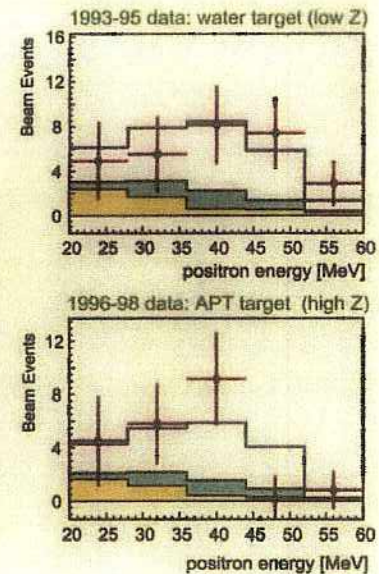
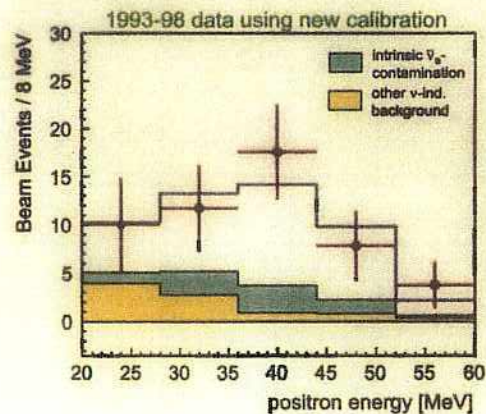
$P = (0.31 \pm 0.12 \pm 0.05) \%$  (1993-95 data)



### Oscillation Candidates: 'gold plated' sample $R_\gamma > 10$

strongly correlated (n,γ) sequence  
suppresses background signals

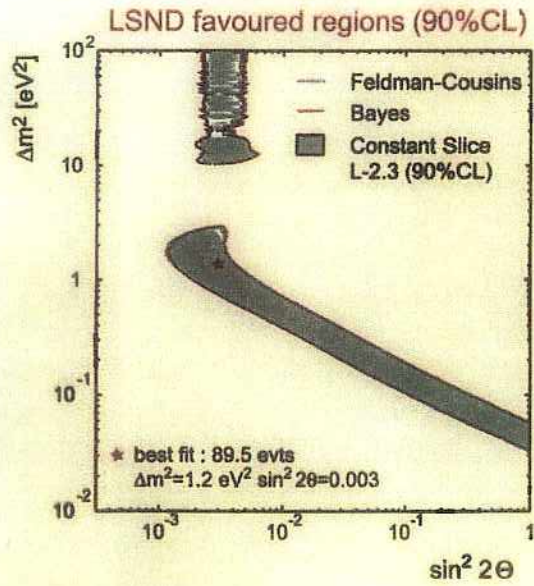
- $(49.1 \pm 9.4)$  (beam on-beam off) excess
- $(16.9 \pm 2.3)$  neutrino induced background
- $(32.2 \pm 9.4)$  event excess (attr. to oscillations)





# LSND event based maximum likelihood analysis

A. Aguilar et al. (LSND Collab.), Phys. Rev. D64 (2001) 112007



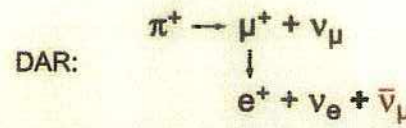
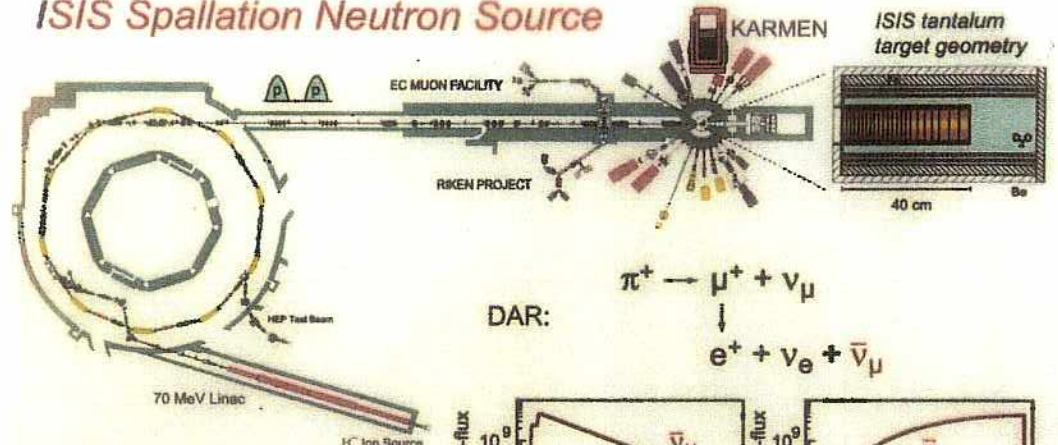
5697 candidate events with 4 fit variables (3600 bins) :

- electron energy  $E_e$
- scattering angle  $\cos \Theta_v$
- distance along axis  $z$
- likelihood ratio  $R_\gamma$

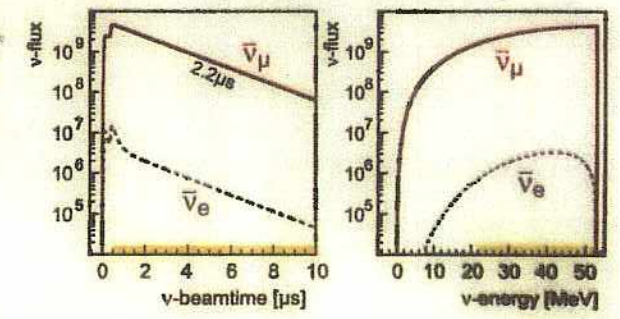
'combined' LSND likelihood contour for DAR and DIF data

electron energy range : 20-200 MeV  
 global  $\bar{\nu}_\mu - \bar{\nu}_e$  and  $\nu_\mu - \nu_e$  analysis

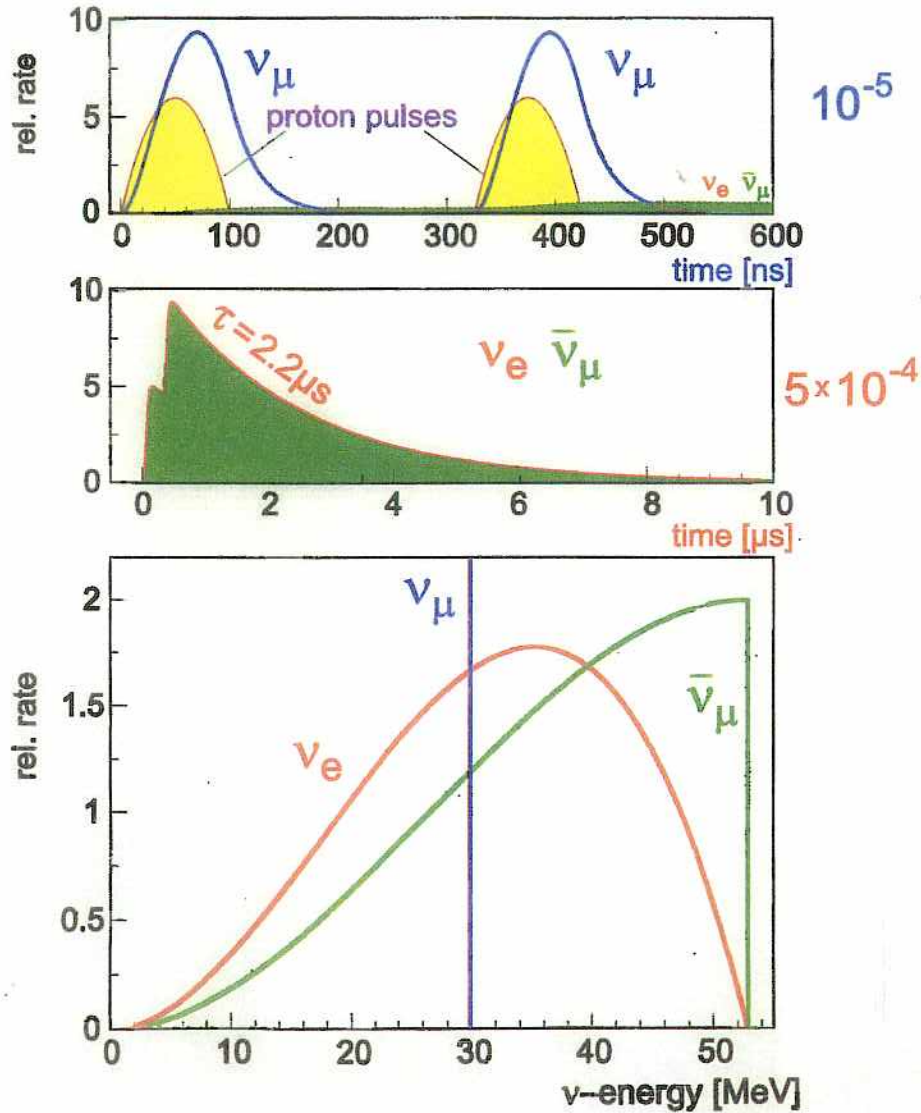
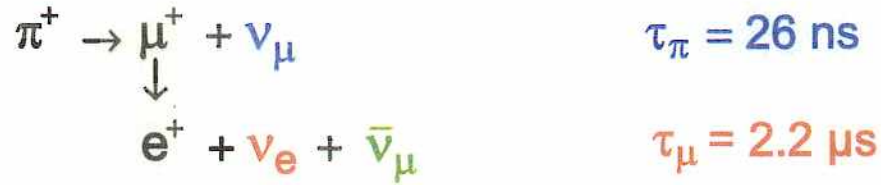
# ISIS Spallation Neutron Source



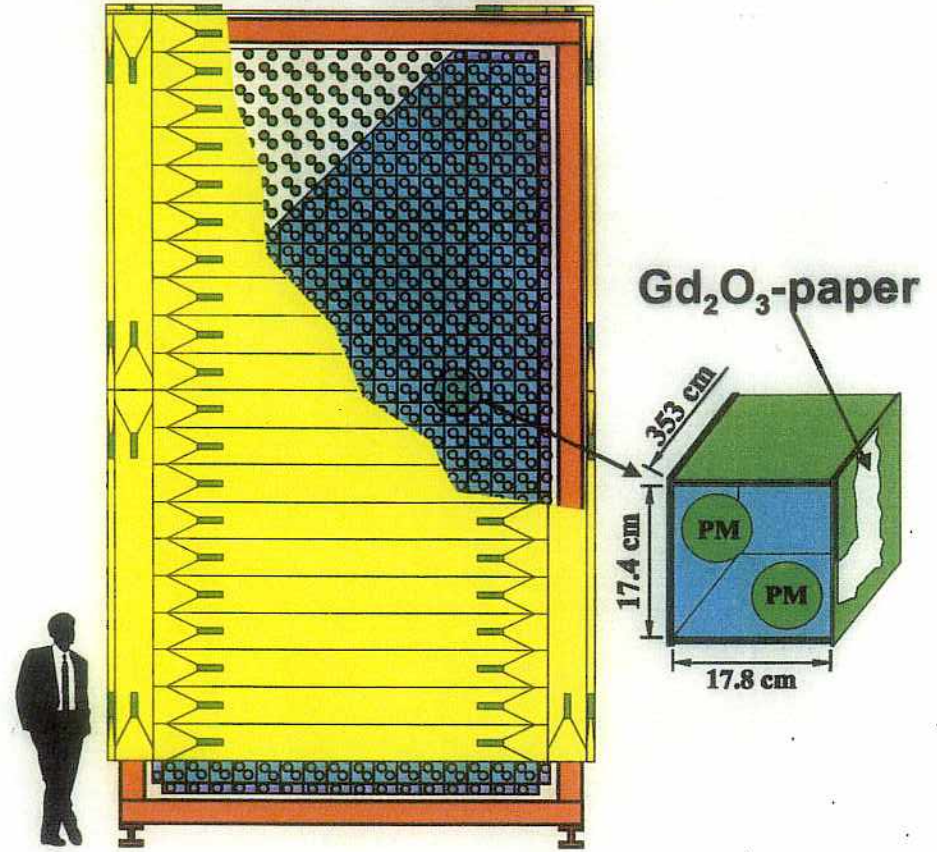
ISIS rapid cycling synchrotron  
 800 MeV protons  
 200  $\mu$ A current  
 50 Hz extraction  
 10<sup>-5</sup> duty cycle  
 6.4 × 10<sup>-4</sup>  $\bar{\nu}_e$  contamination



# $\nu$ - production at ISIS



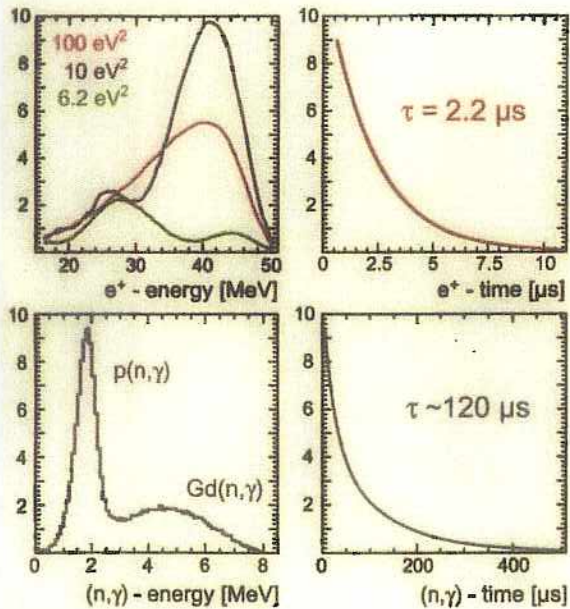
## KARMEN detector



96% active volume of <sup>12</sup>C and p

$$\sigma_E = \frac{11.5\%}{\sqrt{E[\text{MeV}]}} \quad \Delta t_{\text{ISIS}} \leq \pm 2 \text{ ns}$$

## $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ oscillation signature



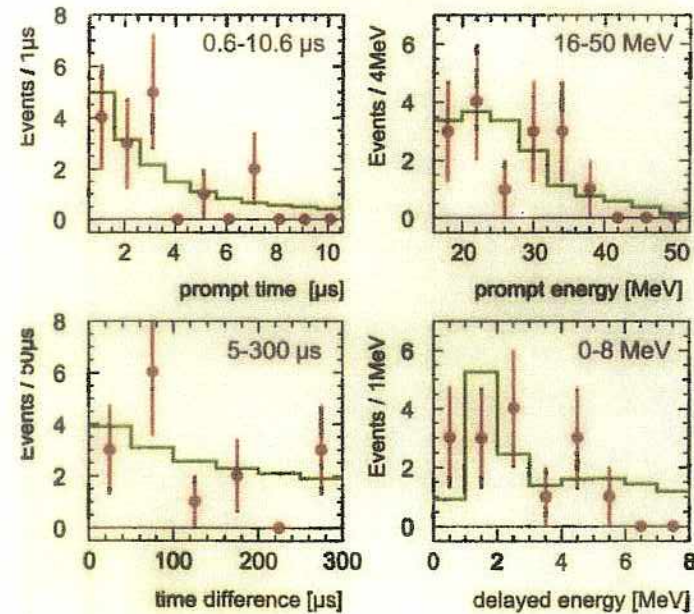
therm. + capt.

- $Q = -1.8 \text{ MeV}$
- $\rightarrow Gd(n, \gamma)$
- $\Sigma E_\gamma = 8 \text{ MeV}$
- $\rightarrow p(n, \gamma)$
- $E_\gamma = 2.2 \text{ MeV}$

spatially correlated  
delayed coincidence

$$\langle \sigma \rangle = 0.93 \times 10^{-40} \text{ cm}^2$$

## final KARMEN2 candidate event ensemble



15 candidate events  
(15.8  $\pm$  0.5) background  
events are expected

cosmic background : 3.9  $\pm$  0.2 evts  
 $\nu_e$ -induc. excl. CC : 5.1  $\pm$  0.2 evts  
 $\nu_e$ -ind. CC & rand.  $\gamma$  : 4.8  $\pm$  0.3 evts  
intrin. contamination : 2.0  $\pm$  0.2 evts

no oscillation excess

## Final KARMEN2 limit and final LSND regions

4y KARMEN2 data taking 2/97 - 2/02

unified (frequentist) approach  
Feldman-Cousins

oscillation limit :

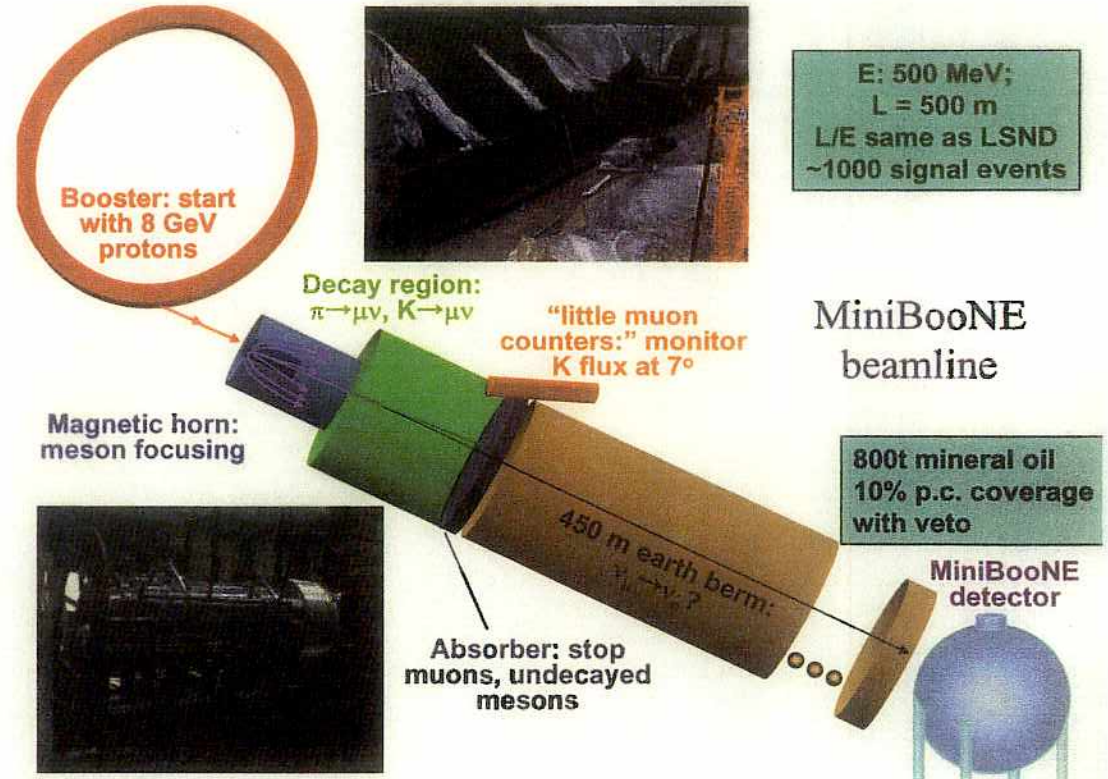
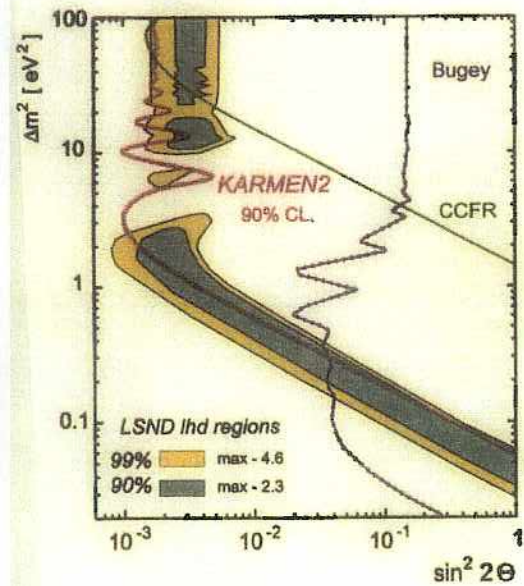
$$\sin^2 2\theta < 1.7 \times 10^{-3} \text{ (90\% CL.)}$$

large  $\Delta m^2$

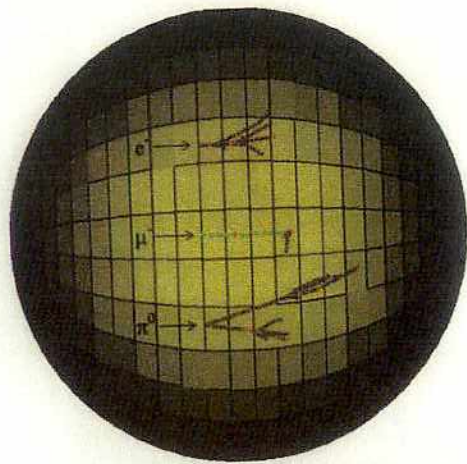
oscillation sensitivity :

$$\sin^2 2\theta < 1.6 \times 10^{-3} \text{ (90\% CL.)}$$

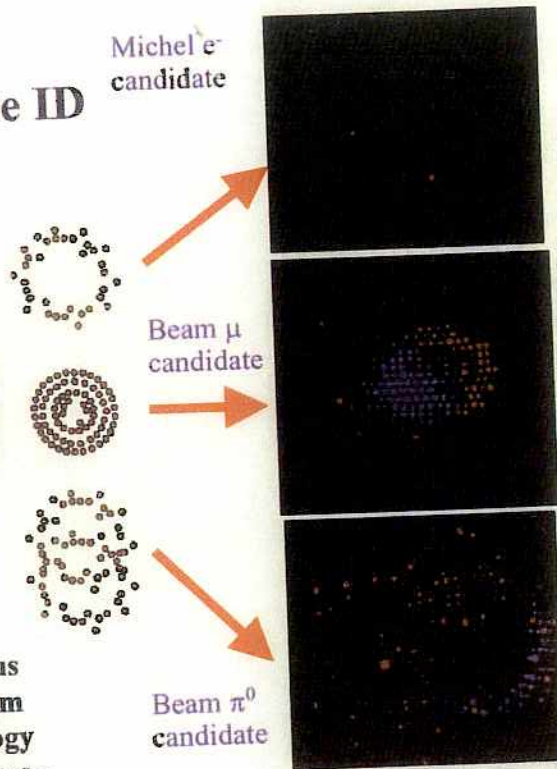
KARMEN2 excludes a significant  
part of the LSND parameter space



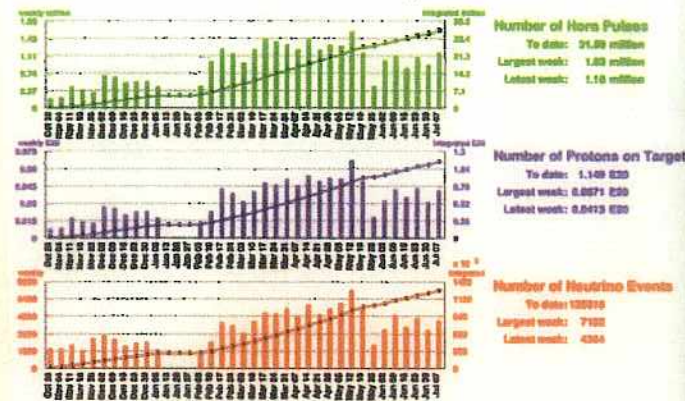
# MiniBooNE Particle ID



Identify electrons (and thus candidate  $\nu_e$  events) from characteristic hit topology of mineral oil Cherenkov light



## Overall MiniBooNE Status



- Steadily taking data
- Currently at ~10% of  $1 \times 10^{11}$  POT goal
- Have collected >125,000  $\nu$  events
- Detector performing well
- Still need more beam!

• Proton rate delivered by Booster has dramatically improved over time

- Further Booster upgrades in the works to reach intended rate
- Detector works beautifully!
- Expect first physics results in the Fall

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3 (4) flavor  
ν oscillation  
MNS matrix

MNS matrix

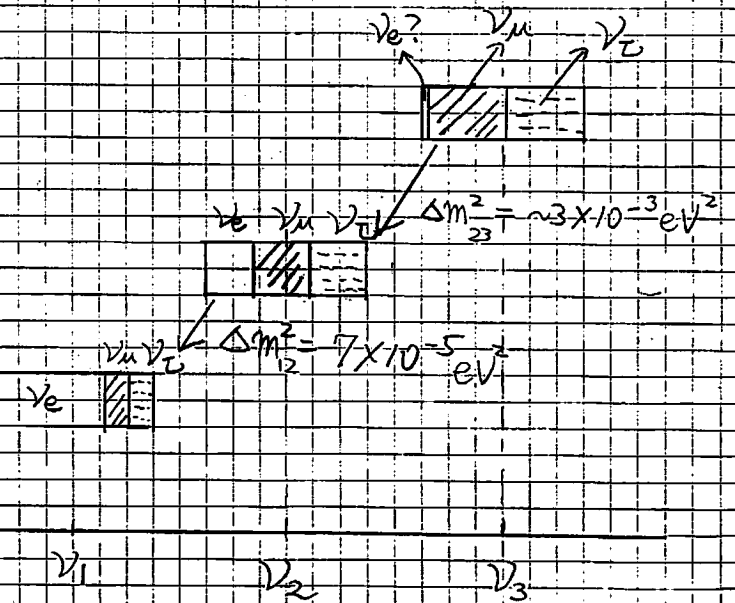
Solar ν best fit  $\tan^2 \theta_{12} = 0.42$

$$\theta_{12} = 33^\circ$$

atmospheric ν

$$\sin^2 2\theta_{23} \approx 1$$

$$\theta_{23} \approx 45^\circ$$



mass hierarchy is fixed by

$$m_3 \approx \sqrt{\Delta m_{23}^2} = 0.05 \text{ eV}$$

$$m_2 \approx \sqrt{\Delta m_{12}^2} = 0.008 \text{ eV}$$

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大気ニュートリノも太陽ニュートリノも混合が大きい

■ ニュートリノの混合はクォークに比べて何故こんなに大きいのか？

● ニュートリノ

$$\begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} =$$

0.74-0.90	0.45-0.65	< 0.16
0.22-0.61	0.46-0.77	0.57- 1/√2
0.14-0.55	0.36-0.68	1/√2- 0.82

Fukugita, Tanimoto, PL B515(2001)30

● クォーク

$$\begin{pmatrix} U_{d1} & U_{d2} & U_{d3} \\ U_{s1} & U_{s2} & U_{s3} \\ U_{b1} & U_{b2} & U_{b3} \end{pmatrix} =$$

0.974- 0.976	0.219- 0.226	0.002- 0.005
0.219- 0.225	0.973- 0.975	0.037- 0.043
0.004- 0.014	0.035- 0.043	0.9990- 0.9993

Particle Data Group, EPJ C15(2000)153

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● MNS(Maki-Nakagawa-Sakata) Matrix

$$U = \begin{bmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23}-c_{12}s_{13}s_{23}e^{-i\delta} & c_{12}c_{23}-s_{12}s_{13}s_{23}e^{-i\delta} & c_{13}s_{23} \\ s_{12}s_{23}-c_{12}s_{13}c_{23}e^{-i\delta} & -c_{12}s_{23}-s_{12}s_{13}c_{23}e^{-i\delta} & c_{13}c_{23} \end{bmatrix}$$

$$S_{ij} = \sin\theta_{ij}, C_{ij} = \cos\theta_{ij}$$

$$\Delta m_{ij}^2 = \Delta m_i^2 - \Delta m_j^2$$

$\Delta m_{12}^2$ 、 $\Delta m_{23}^2$ 、 $\theta_{12}$ 、 $\theta_{23}$ 、 $\theta_{13}$ 、 $\delta$

solar  $\nu$  and reactor  $\nu$  ○

LBL and atm.  $\nu$   
(MINOS, CERN, JHF-SK)

○ (size)

Future LBL

( $\nu$  factory or JHF- $\nu$  -II)

○ (sign)

○

The general expression for the oscillation probability in vacuum is

$$P(\nu_\alpha \rightarrow \nu_\beta; L) = \delta_{\alpha\beta} - 4 \sum_{j < k} \text{Re} \left( U_{\alpha j} U_{\beta j}^* U_{\alpha k}^* U_{\beta k} \right) \sin^2 \left( \frac{\Delta E_{jk} L}{2} \right) \\ + 2 \sum_{j < k} \text{Im} \left( U_{\alpha j} U_{\beta j}^* U_{\alpha k}^* U_{\beta k} \right) \sin(\Delta E_{jk} L),$$

where  $\Delta E_{jk} \equiv \sqrt{m_j^2 + p^2} - \sqrt{m_k^2 + p^2} = \Delta m_{jk}^2 / 2E$ . The CP violating term is rewritten as

$$2 \sum_{j < k} \text{Im} \left( U_{\alpha j} U_{\beta j}^* U_{\alpha k}^* U_{\beta k} \right) \sin(\Delta E_{jk} L) \\ = 2 J_{\alpha\beta} [\sin(\Delta E_{12} L) + \sin(\Delta E_{23} L) + \sin(\Delta E_{31} L)] \\ = 4 J_{\alpha\beta} \left[ \sin\left(\frac{\Delta E_{12} L}{2}\right) \sin\left(\frac{\Delta E_{23} L}{2}\right) \sin\left(\frac{\Delta E_{31} L}{2}\right) \right]$$

where

$$J_{\alpha\beta} \equiv \text{Im} \left( U_{\alpha 1} U_{\beta 1}^* U_{\alpha 2}^* U_{\beta 2} \right)$$

is the Jarlskog factor, and

$$\text{Im} \left( U_{\alpha 1} U_{\beta 1}^* U_{\alpha 2}^* U_{\beta 2} \right) = \text{Im} \left( U_{\alpha 2} U_{\beta 2}^* U_{\alpha 3}^* U_{\beta 3} \right) = \text{Im} \left( U_{\alpha 3} U_{\beta 3}^* U_{\alpha 1}^* U_{\beta 1} \right)$$

is used. In the case of disappearance experiment, only the CP conserving term survives and

$$P(\nu_e \rightarrow \nu_e; L) = P(\bar{\nu}_e \rightarrow \bar{\nu}_e; L) \\ = 1 - 4|U_{e1}|^2 |U_{e2}|^2 \sin^2 \left( \frac{\Delta m_{21}^2 L}{4E} \right) \\ - 4|U_{e1}|^2 |U_{e3}|^2 \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right) - 4|U_{e2}|^2 |U_{e3}|^2 \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E} \right) \quad (1)$$

Assuming  $\Delta m_{21}^2 = \Delta m_{\odot}^2 = 3 \times 10^{-5} \text{eV}^2$ ,  $\Delta m_{32}^2 = \Delta m_{\text{atm}}^2 = 3 \times 10^{-3} \text{eV}^2$ ,  $\Delta m_{31}^2 = \Delta m_{32}^2 + \Delta m_{21}^2 \simeq \Delta m_{32}^2$  is correct with 1% accuracy, and using the standard parametrization for the MNSP matrix

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix},$$

1

(1) becomes

$$P(\nu_e \rightarrow \nu_e; L) = P(\bar{\nu}_e \rightarrow \bar{\nu}_e; L) \\ \simeq 1 - 4|U_{e1}|^2 |U_{e2}|^2 \sin^2 \left( \frac{\Delta m_{21}^2 L}{4E} \right) \\ - 4|U_{e3}|^2 (1 - |U_{e3}|^2) \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E} \right) \\ = 1 - c_{13}^4 \sin^2 2\theta_{12} \sin^2 \left( \frac{\Delta m_{21}^2 L}{4E} \right) - \sin^2 2\theta_{13} \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E} \right)$$

In the case of KamLAND, since the energy is low ( $|\Delta m_{32}^2 L / 4E| \gg 1$ ), we have  $\sin^2(\Delta m_{32}^2 L / 4E) \rightarrow 1/2$  and

$$P(\nu_e \rightarrow \nu_e; L) = P(\bar{\nu}_e \rightarrow \bar{\nu}_e; L) \\ \simeq 1 - c_{13}^4 \sin^2 2\theta_{12} \sin^2 \left( \frac{\Delta m_{21}^2 L}{4E} \right) - \frac{1}{2} \sin^2 2\theta_{13}$$

In the three flavor case, it has been known that the following expression holds[1]:

$$P^{(3)}(\nu_e \rightarrow \nu_e; A(x)) = (1 - |U_{e3}|^2)^2 P^{(2)}(\nu_e \rightarrow \nu_e; (1 - |U_{e3}|^2)A(x)) + |U_{e3}|^4,$$

where  $A(x) \equiv \sqrt{2}G_F N_e(x)$  is the matter effect.

## References

- [1] C.-S. Lim, Proc. of the BNL Neutrino Workshop on Opportunities for Neutrino Physics at BNL, Upton, N.Y., February 5-7, 1987, ed. by M. J. Murtagh, p111; A. Yu. Smirnov, Proc. of the Int Symposium on Neutrino Astrophysics, Takayama/Kamioka 19 - 22 October 1992, ed. by Y. Suzuki and K. Nakamura, p.105.

2



## 1 Preliminaries

From the general expression for the oscillation probability in vacuum

$$P(\nu_\alpha \rightarrow \nu_\beta; L) = \delta_{\alpha\beta} - 4 \sum_{j < k} \text{Re} \left( U_{\alpha j} U_{\beta j}^* U_{\alpha k}^* U_{\beta k} \right) \sin^2 \left( \frac{\Delta E_{jk} L}{2} \right) + 2 \sum_{j < k} \text{Im} \left( U_{\alpha j} U_{\beta j}^* U_{\alpha k}^* U_{\beta k} \right) \sin(\Delta E_{jk} L),$$

the disappearance probability in four neutrino schemes is given by

$$\begin{aligned} P(\nu_e \rightarrow \nu_e; L) &= P(\bar{\nu}_e \rightarrow \bar{\nu}_e; L) \\ &= 1 - 4|U_{e1}|^2|U_{e2}|^2 \sin^2 \left( \frac{\Delta m_{21}^2 L}{4E} \right) - 4|U_{e1}|^2|U_{e3}|^2 \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right) \\ &\quad - 4|U_{e2}|^2|U_{e3}|^2 \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E} \right) - 4|U_{e1}|^2|U_{e4}|^2 \sin^2 \left( \frac{\Delta m_{41}^2 L}{4E} \right) \\ &\quad - 4|U_{e2}|^2|U_{e4}|^2 \sin^2 \left( \frac{\Delta m_{42}^2 L}{4E} \right) - 4|U_{e3}|^2|U_{e4}|^2 \sin^2 \left( \frac{\Delta m_{43}^2 L}{4E} \right) \end{aligned} \quad (1)$$

There are two kinds of four neutrino schemes, (2+2) [1, 2] and (3+1) [3]. Here I use a parametrization [1] for the MNSP matrix

$$\begin{aligned} U_{\text{MNSP}} &\equiv R_{34} \left( \frac{\pi}{2} - \theta_{34} \right) R_{24}(\theta_{24}) R_{23} \left( \frac{\pi}{2} \right) U_{23}(\theta_{23}, \delta_1) U_{14}(\theta_{14}, \delta_3) U_{13}(\theta_{13}, \delta_2) R_{12}(\theta_{12}) \\ &\equiv \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix} \\ &= \begin{pmatrix} c_{12}c_{13}c_{14} & & & \\ -c_{12}c_{23}c_{24}s_{13}e^{-i\delta_2} + c_{24}s_{12}s_{23}e^{i\delta_1} - c_{12}c_{13}s_{14}s_{24}e^{-i\delta_3} & & & \\ -c_{12}c_{13}c_{24}c_{34}s_{14}e^{-i\delta_3} + c_{12}c_{23}c_{34}s_{13}s_{24}e^{-i\delta_2} - c_{34}s_{12}s_{23}s_{24}e^{i\delta_1} + c_{23}s_{12}s_{34} + c_{12}s_{13}s_{23}s_{34}e^{-i(\delta_1+\delta_2)} & & & \\ -c_{23}c_{34}s_{12} - c_{12}c_{34}s_{13}s_{23}e^{-i(\delta_1+\delta_2)} - c_{12}c_{13}c_{24}s_{14}s_{34}e^{-i\delta_3} + c_{12}c_{23}s_{13}s_{24}s_{34}e^{-i\delta_2} - s_{12}s_{23}s_{24}s_{34}e^{i\delta_1} & & & \\ c_{13}c_{14}s_{12} & & & \\ -c_{23}c_{24}s_{12}s_{13}e^{-i\delta_2} - c_{12}c_{24}s_{23}e^{i\delta_1} - c_{13}s_{12}s_{14}s_{24}e^{-i\delta_3} & & & \\ -c_{13}c_{24}c_{34}s_{12}s_{14}e^{-i\delta_3} + c_{23}c_{34}s_{12}s_{13}s_{24}e^{-i\delta_2} + c_{12}c_{34}s_{23}s_{24}e^{i\delta_1} - c_{12}c_{23}s_{34} + s_{12}s_{13}s_{23}s_{34}e^{-i(\delta_1+\delta_2)} & & & \\ c_{12}c_{23}c_{34} - c_{34}s_{12}s_{13}s_{23}e^{-i(\delta_1+\delta_2)} - c_{13}c_{24}s_{12}s_{14}s_{34}e^{-i\delta_3} + c_{23}s_{12}s_{13}s_{24}s_{34}e^{-i\delta_2} + c_{12}s_{23}s_{24}s_{34}e^{i\delta_1} & & & \\ c_{14}s_{13}e^{i\delta_2} & & s_{14}e^{i\delta_3} & \\ c_{13}c_{23}c_{24} - s_{13}s_{14}s_{24}e^{i(\delta_2-\delta_3)} & & c_{14}s_{24} & \\ -c_{24}c_{34}s_{13}s_{14}e^{i(\delta_2-\delta_3)} - c_{13}c_{23}c_{34}s_{24} - c_{13}s_{23}s_{34}e^{-i\delta_1} & & c_{14}c_{24}c_{34} & \\ c_{13}c_{34}s_{23}e^{-i\delta_1} - c_{24}s_{13}s_{14}s_{34}e^{i(\delta_2-\delta_3)} - c_{13}c_{23}s_{24}s_{34} & & c_{14}c_{24}s_{34} & \end{pmatrix} \end{aligned} \quad (2)$$

where  $U_{23}(\theta_{23}, \delta_1) \equiv e^{2i\delta_1\lambda_3} R_{23}(-\theta_{23})e^{-2i\delta_1\lambda_3}$ ,  $U_{14}(\theta_{14}, \delta_3) \equiv e^{\sqrt{6}i\delta_3\lambda_{15}/2} R_{14}(\theta_{14}) e^{-\sqrt{6}i\delta_3\lambda_{15}/2}$ ,  $U_{13}(\theta_{13}, \delta_2) \equiv e^{2i\delta_2\lambda_8/\sqrt{3}} R_{13}(\theta_{13})e^{-2i\delta_2\lambda_8/\sqrt{3}}$ ,  $R_{jk}(\theta) \equiv \exp(iT_{jk}\theta)$ ,  $(T_{jk})_{lm} = i(\delta_{jl}\delta_{km} - \delta_{jm}\delta_{kl})$ ,  $2\lambda_3 \equiv \text{diag}(1, -1, 0, 0)$ ,  $2\sqrt{3}\lambda_8 \equiv \text{diag}(1, 1, -2, 0)$ ,  $2\sqrt{6}\lambda_{15} \equiv \text{diag}(1, 1, 1, -3)$  are  $4 \times 4$  matrices ( $\lambda_j$  are elements of the  $su(4)$  generators).

As in the three flavor case, it has been known that the following expression holds in the four neutrino case (there is some typo in [1]):

$$\begin{aligned} P^{(4)}(\nu_e \rightarrow \nu_e; A(x))_{\odot} &= P^{(2)}(\nu_e \rightarrow \nu_e; (1 - |U_{e3}|^2 - |U_{e4}|^2)A(x))_{\odot} (1 - |U_{e3}|^2 - |U_{e4}|^2)^2 \\ &\quad + |U_{e3}|^4 + |U_{e4}|^4, \\ &= c_{13}^4 c_{14}^4 P^{(2)}(\nu_e \rightarrow \nu_e; c_{13}^2 c_{14}^2 A(x))_{\odot} + s_{13}^4 c_{14}^4 + s_{14}^4, \end{aligned} \quad (3)$$

where  $A(x) \equiv \sqrt{2}G_F N_e(x)$  is the matter effect. (3) shows that  $P^{(4)}$  is similar to  $P^{(2)}$  as long as  $|\theta_{13}|$  and  $|\theta_{14}|$  are small, and  $\theta_{12}$  plays a role of the solar mixing angle.

In the discussions below, I always average out rapid oscillations ( $\sin^2(\Delta m^2 L/4E) \rightarrow 1/2$  if  $|\Delta m^2 L/4E| \gg 1$ ) and ignore negligible oscillation terms ( $\sin^2(\Delta m^2 L/4E) \rightarrow 0$  if  $|\Delta m^2 L/4E| \ll 1$ ).

## 2 (3+1)-scheme

Without loss of generality I assume that one distinct mass eigenstate is  $\nu_4$  (See Fig. 1 (b) or (c) in [4]), the largest mass squared difference is  $\Delta m_{43}^2 \equiv \Delta m_{\text{LSD}}^2$ ,  $\Delta m_{32}^2 \equiv \Delta m_{\text{atm}}^2$ , and  $\Delta m_{21}^2 \equiv \Delta m_{\odot}^2$ .

There are two important constraints from the reactor data. From the Bugey result [5], I have

$$\begin{aligned} P(\bar{\nu}_e \rightarrow \bar{\nu}_e; L)_{\text{Bugey}} &= 1 - 4|U_{e4}|^2(1 - |U_{e4}|^2) \sin^2 \left( \frac{\Delta m_{43}^2 L}{4E} \right) \Big|_{\text{Bugey}} \\ &= 1 - \sin^2 2\theta_{14} \sin^2 \left( \frac{\Delta m_{43}^2 L}{4E} \right) \Big|_{\text{Bugey}} \end{aligned}$$

Since only three values for  $\Delta m_{\text{LSD}}^2$  are allowed by the constraints [3, 4] ( $\Delta m_{43}^2 = 0.9, 1.7, 6.0\text{eV}^2$ ), I have

$$\sin^2 2\theta_{14} \lesssim 0.1$$

(See, e.g., Fig. 2 in [4]). From the Chooz result [6], I have

$$\begin{aligned} P(\bar{\nu}_e \rightarrow \bar{\nu}_e; L)_{\text{Chooz}} &= 1 - 2|U_{e4}|^2(1 - |U_{e4}|^2) - 4|U_{e2}|^2|U_{e3}|^2 \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E} \right) \Big|_{\text{Chooz}} \\ &= 1 - \frac{1}{2} \sin^2 2\theta_{14} - s_{12}^4 c_{14}^4 \sin^2 2\theta_{13} \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E} \right) \Big|_{\text{Chooz}} \end{aligned}$$

Since  $\theta_{12}$  has to be of order one (assuming the LMA MSW solution), I have

$$\sin^2 2\theta_{13} \lesssim 0.1$$

Now the disappearance probability in KamLAND is

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e; L)_{\text{KamLAND}}$$

$$\begin{aligned} &\simeq 1 - 4|U_{e1}|^2|U_{e2}|^2 \sin^2 \left( \frac{\Delta m_{21}^2 L}{4E} \right) \Big|_{\text{KamLAND}} - 2|U_{e3}|^2(1 - |U_{e3}|^2 - |U_{e4}|^2) - 2|U_{e4}|^2(1 - |U_{e4}|^2) \\ &= 1 - c_{13}^4 c_{14}^4 \sin^2 2\theta_{12} \sin^2 \left( \frac{\Delta m_{21}^2 L}{4E} \right) \Big|_{\text{KamLAND}} - \frac{1}{2} c_{14}^4 \sin^2 2\theta_{13} - \frac{1}{2} \sin^2 2\theta_{14} \end{aligned}$$

[7] CDHSW Collaboration, F. Dydak et al., Phys. Lett. **134B** (1984) 281.

[8] O. Yasuda, hep-ph/0006319.

[9] M. C. Gonzalez-Garcia, M. Maltoni and C. Pena-Garay, hep-ph/0105269.

### 3 (2+2)-scheme

Without loss of generality I assume that the largest mass squared difference is  $\Delta m_{42}^2 \equiv \Delta m_{\text{LSD}}^2$  (See Fig. 1 (a) in [4]),  $\Delta m_{43}^2 \equiv \Delta m_{\text{atm}}^2$ , and  $\Delta m_{21}^2 \equiv \Delta m_{\text{c}}^2$ .

From the Bugey result [5], I have

$$\begin{aligned} P(\bar{\nu}_e \rightarrow \bar{\nu}_e; L)_{\text{Bugey}} &= 1 - 4(|U_{e3}|^2 + |U_{e4}|^2)(1 - |U_{e3}|^2 - |U_{e4}|^2) \sin^2 \left( \frac{\Delta m_{42}^2 L}{4E} \right) \Big|_{\text{Bugey}} \\ &= 1 - 4c_{13}^4 c_{14}^4 (1 - c_{13}^4 c_{14}^4) \sin^2 \left( \frac{\Delta m_{42}^2 L}{4E} \right) \Big|_{\text{Bugey}} \end{aligned}$$

Since  $0.2\text{eV}^2 \lesssim \Delta m_{\text{LSD}}^2 \lesssim 0.3\text{eV}^2$  is necessary to satisfy the constraints of the CDHSW [7] (See, e.e, Fig. 2 in [4]), and the atmospheric neutrinos [8, 9] I have

$$4(|U_{e3}|^2 + |U_{e4}|^2) = 4(1 - c_{13}^4 c_{14}^4) \lesssim 0.04, \quad (4)$$

which leads  $s_{13}^2 \lesssim 0.01$  and  $s_{14}^2 \lesssim 0.01$ . The constraint from the Chooz result [6] in this scheme gives trivial result, i.e., it follows from (4).

Now the disappearance probability in KamLAND is

$$\begin{aligned} &P(\bar{\nu}_e \rightarrow \bar{\nu}_e; L)_{\text{KamLAND}} \\ &\simeq 1 - 4|U_{e1}|^2|U_{e2}|^2 \sin^2 \left( \frac{\Delta m_{21}^2 L}{4E} \right) \Big|_{\text{KamLAND}} - 2(|U_{e3}|^2 + |U_{e4}|^2)(1 - |U_{e3}|^2 - |U_{e4}|^2) - 2|U_{e3}|^2|U_{e4}|^2 \\ &= 1 - c_{13}^4 c_{14}^4 \sin^2 2\theta_{12} \sin^2 \left( \frac{\Delta m_{21}^2 L}{4E} \right) \Big|_{\text{KamLAND}} - 2c_{13}^2 c_{14}^2 (1 - c_{13}^2 c_{14}^2) - \frac{1}{2} s_{13}^2 \sin^2 2\theta_{14} \end{aligned}$$

### References

- [1] N. Okada and O. Yasuda, Int. J. Mod. Phys. A **12**, 3669 (1997).
- [2] S.M. Bilenky, C. Giunti and W. Grimus, hep-ph/9609343; Eur. Phys. J. C **1**, 247 (1998).
- [3] V. Barger, B. Kayser, J. Learned, T. Weiler and K. Whisnant, Phys. Lett. **B489**, 345 (2000).
- [4] O. Yasuda, hep-ph/0102166.
- [5] Bugey Collaboration, B. Ackar et al., Nucl. Phys. **B434** (1995) 503.
- [6] CHOOZ Collaboration, M. Apollonio et al., Phys. Lett. **B420**, 397 (1998); Phys. Lett. **B466**, 415 (1998).

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# Future long baseline accelerator experiments

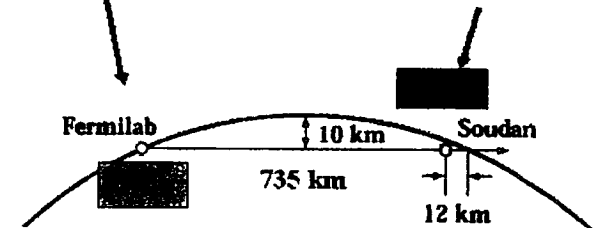
- MINOS
- CERN  $\rightarrow$  G.S.
- JHF

## The MINOS Experiment

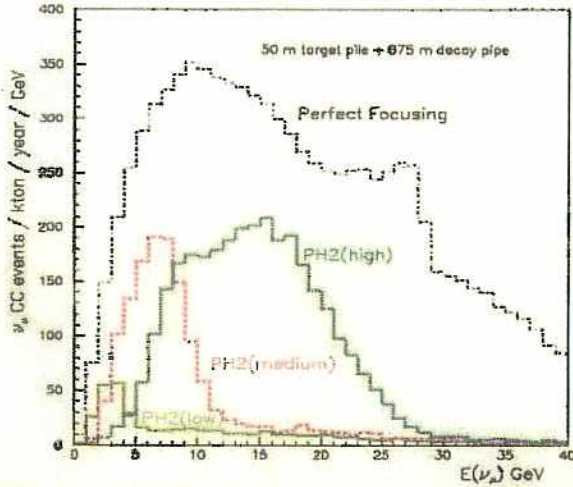


- Precision measurements of:
  - Energy distribution of oscillations
  - Measurement of oscillation parameters
  - Participation of neutrino flavors
- Direct measurement of  $\nu$  vs  $\bar{\nu}$  oscillation
  - Magnetized far detector: atm.  $\nu$ 's.
  - Likely eventual measurement with beam

Near Detector: 980 tons  
Far Detector: 5400 tons



# The NuMI Neutrino Energy Spectra



$\nu_\mu$  CC Events/kt/year

Low	Medium	High
470	1270	2740

$\nu_\mu$  CC Events/MINOS/2 year

Low	Medium	High
5080	13800	29600

$4 \times 10^{20}$  protons on target/year

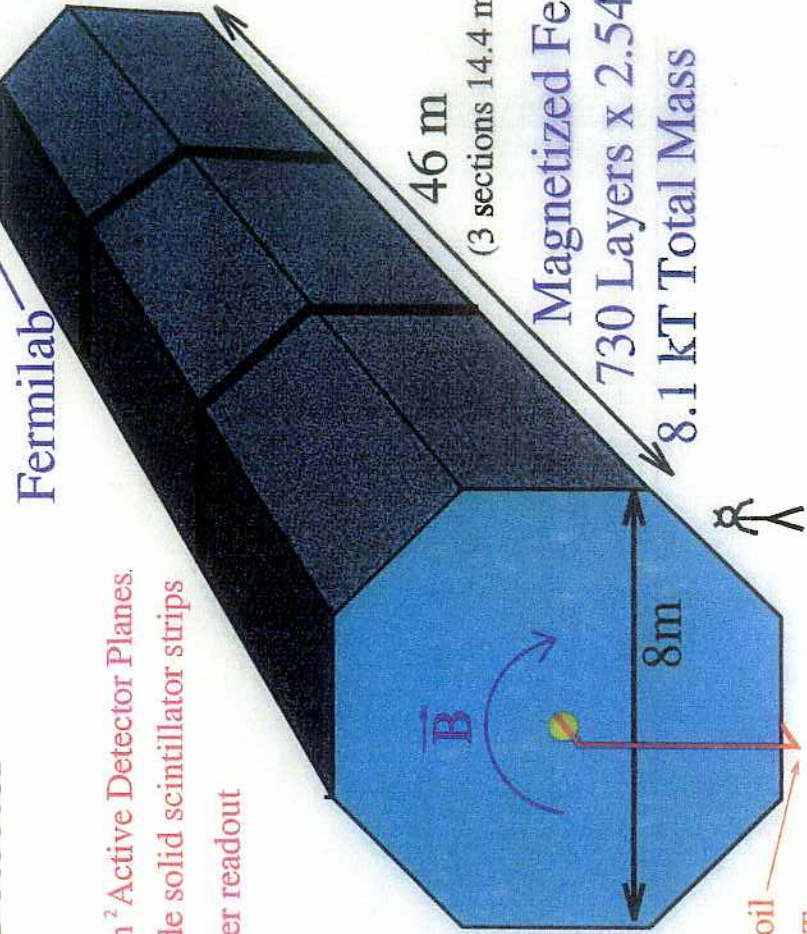
$4 \times 10^{13}$  protons/1.9 seconds

By moving the horns and target, different energy spectra are available using the NuMI beamline. The energy can be tuned depending on the specific oscillation parameters expected/observed.

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MINOS (Main Injector Neutrino Oscillation Search)

Far Detector

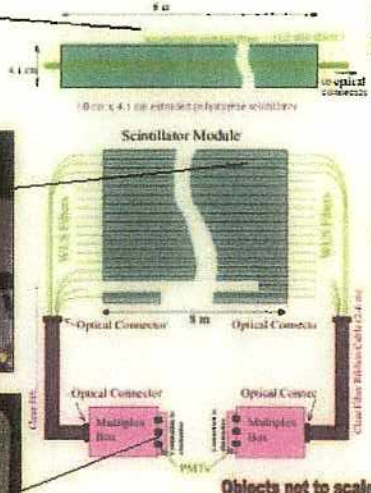
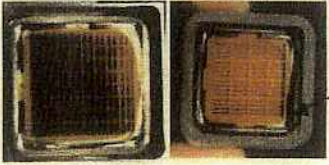
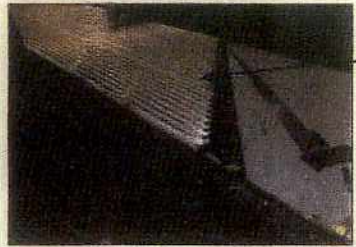


38,700 m<sup>2</sup> Active Detector Planes.

4 cm wide solid scintillator strips

WLS fiber readout

# Detector Technology



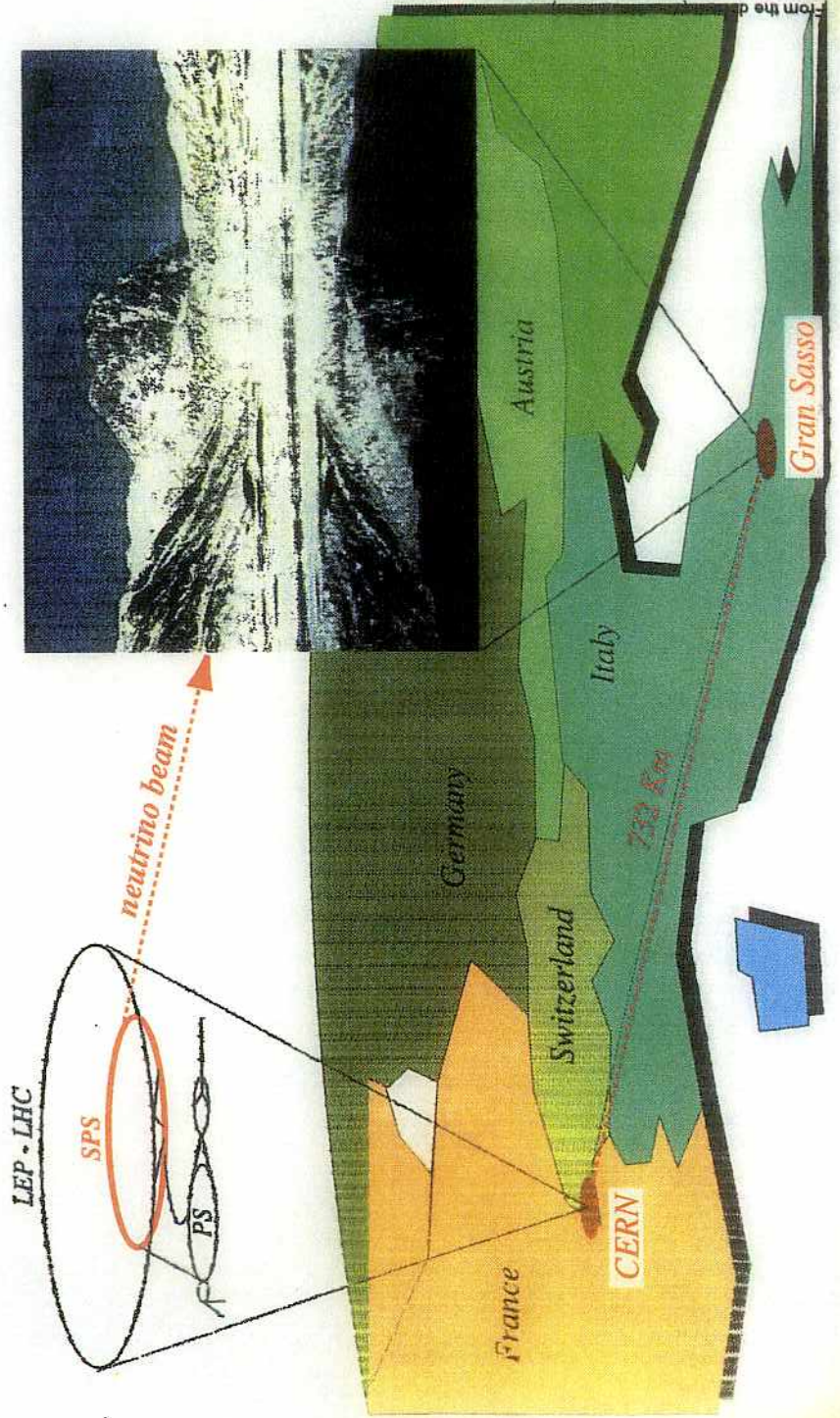
- Scintillator strips are extruded polystyrene (Itaseca Plastic)
- PPO (1%) and POPOP (0.03%) fluors
- Co-extruded TiO<sub>2</sub> reflective coating
- Fiber groove
- Kuraray 1.2mm WLS Fibers
  - (Y-11 175ppm)
- PMTs:
  - Far Detector: Hamamatsu R6000-M16 multi-anode PMTs (16 channels), 8 fibers/pixel.
  - Near Detector: "M64", one fiber per pixel.
- Viking "VA"-based front-end electronics.

Objects not to scale

2-4

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## CERN to Gran Sasso Neutrino Beam



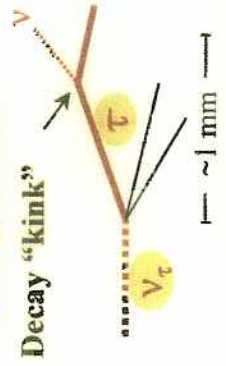
2-5



# 2 ways of detecting $\tau$ appearance



- $\mu^-$   $\nu_\tau$   $\bar{\nu}_\mu$  BR 18 %
- $h^-$   $\nu_\tau$   $n\pi^0$  50 %
- $e^-$   $\nu_\tau$   $\bar{\nu}_e$  18 %
- $\pi^+$   $\pi^-$   $\pi^0$   $\nu_\tau$   $n\pi^0$  14 %



**OPERA:** Observation of the decay topology of  $\tau$  (*à la CHORUS*)

**In photographic emulsion**

( $\sim \mu\text{m}$  granularity)  
 A digital Cloud chamber

**ICARUS:** detailed TPC image

in liquid argon and kinematic

criteria (*à la NOMAD*)

( $\sim \text{mm}$  granularity)  
 A digital Bubble chamber

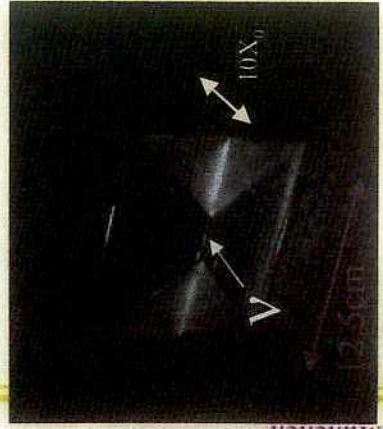


**But also:**  $\nu_\mu \dots \nu_e \rightarrow e^- + X$

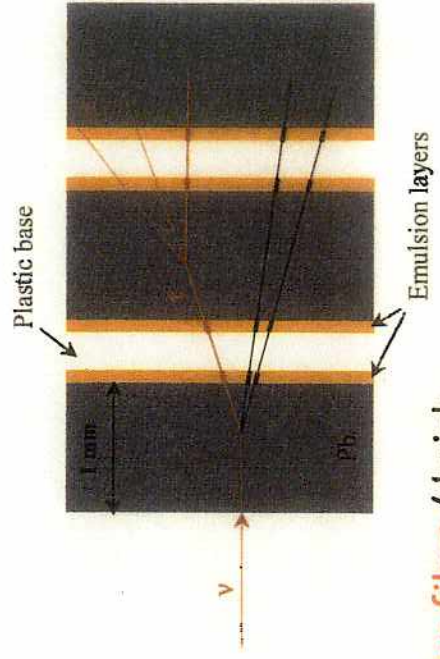
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# The smallest OPERA element



CNGS Program Status and Physics Potential Nu2002 Munchen

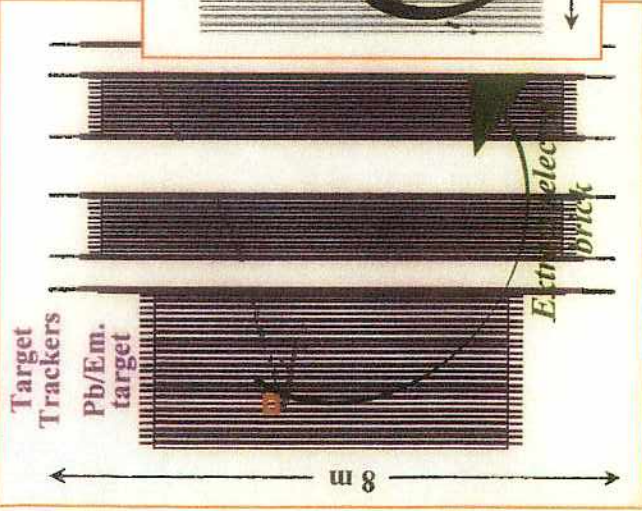
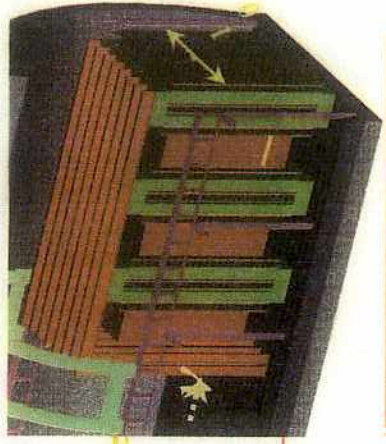


56 emulsion films / brick

- To the full detector:
- 2 supermodules
- 31 walls / supermodule
- 52 x 64 bricks / wall
- 200 000 bricks

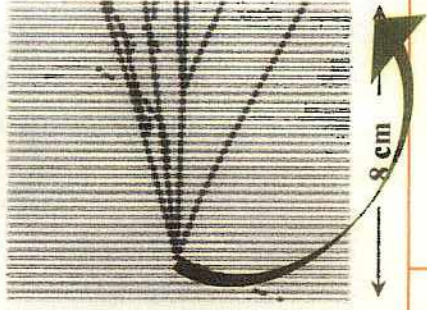


# CNGS1: OPERA a hybrid detector

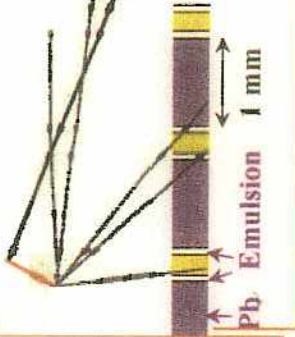


CNGS Program Status and Physics Potential  
Nu2002 Munchen

Pb/Em. brick



Basic "cell"



### Electronic detector

- finds the brique of  $\nu$  interaction
- $\mu$  ID, charge et p

### Emulsion analysis

- vertex
- decay kink
- $e/\gamma$  ID, multiple scattering, kinematics

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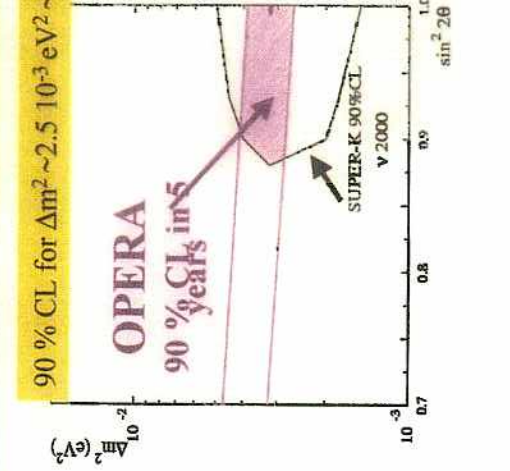
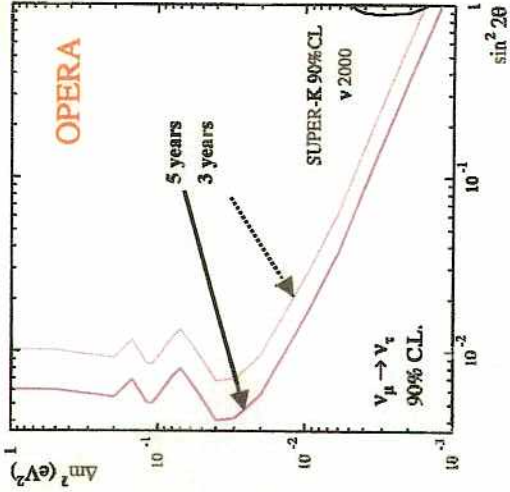


# Sensitivity $\nu_\mu \rightarrow \nu_\tau$

5x1.8=9 Kt years  
2.25  $10^{20}$  p.o.t.

• Prob of  $3\sigma$  significance for  $\Delta m^2 = 2.5 \cdot 10^{-3} \text{ eV}^2$  : ~ 99%

Decay mode	Signal $1.2 \cdot 10^{-3}$	Signal $2.4 \cdot 10^{-3}$	Signal $5.4 \cdot 10^{-3}$	Bkgnd.
$\tau \rightarrow e$ long	0.8	3.1	15.4	0.15
$\tau \rightarrow \mu$ long	0.7	2.9	14.5	0.29
$\tau \rightarrow h$ long	0.9	3.4	16.8	0.24
$\tau \rightarrow e$ short	0.2	0.9	4.5	0.03
$\tau \rightarrow \mu$ short	0.1	0.5	2.3	0.04
<b>Total</b>	<b>2.7</b>	<b>10.8</b>	<b>53.5</b>	<b>0.75</b>

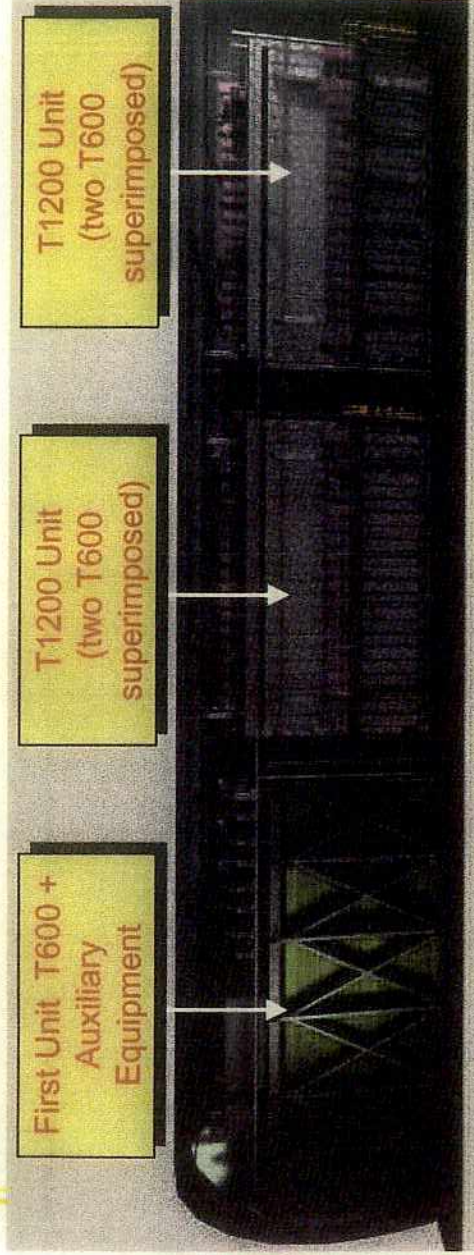


CNGS Program Status and Physics Potential  
Nu2002 Munchen

Uncertainties on background ( $\pm 33\%$ ) and on efficiencies ( $\pm 15\%$ ) accounted for

# ICARUS T3000 (proposed)

## T3000 Detector in Hall B of LNGS (cloning of T600)



First Unit T600 + Auxiliary Equipment

T1200 Unit (two T600 superimposed)

T1200 Unit (two T600 superimposed)

Improved statistics for:  $\approx 70$  Metres

Future extension to additional modules

1. Solar neutrinos
2. Atmospheric neutrinos
3. Supernova neutrinos
4. CERN-NGS neutrinos
5. Proton decay

T600: installed in LNGS in 2003  
 T3000: operational by summer 2006

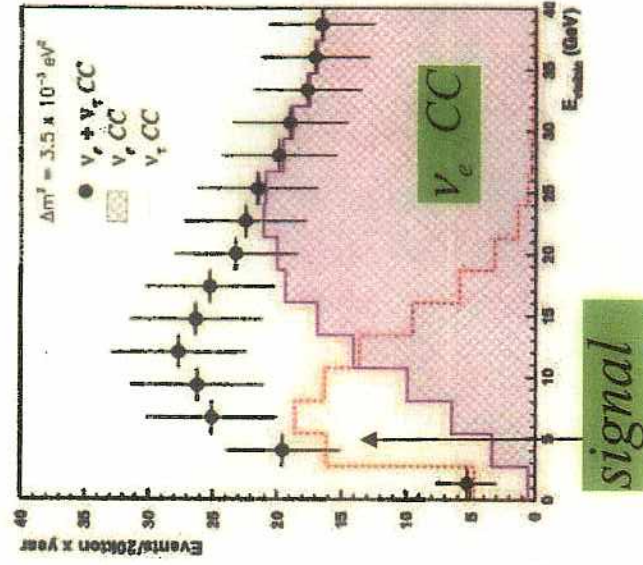
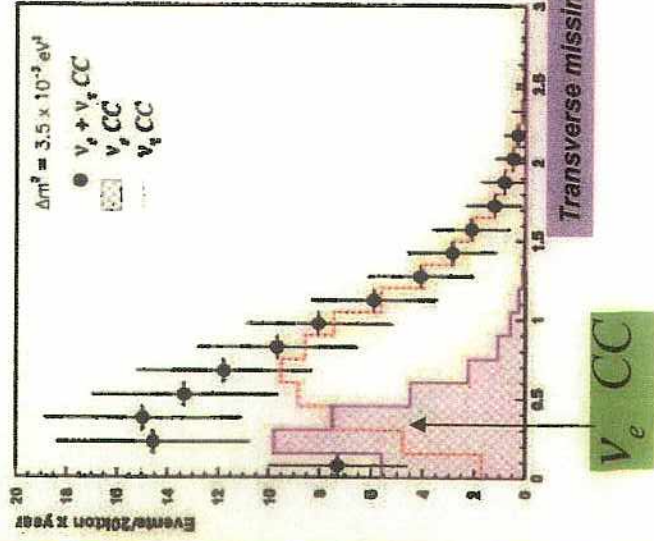
281



# ICARUS $\nu_{\mu} \rightarrow \nu_{\tau}$

Golden channel  $\tau \rightarrow e$  (good  $e/\pi^0$  separation) but also ( $\tau \rightarrow \rho$ )

CNGS Program Status and Physics Potential Nu2002 Munchen





# JHF プロジェクト

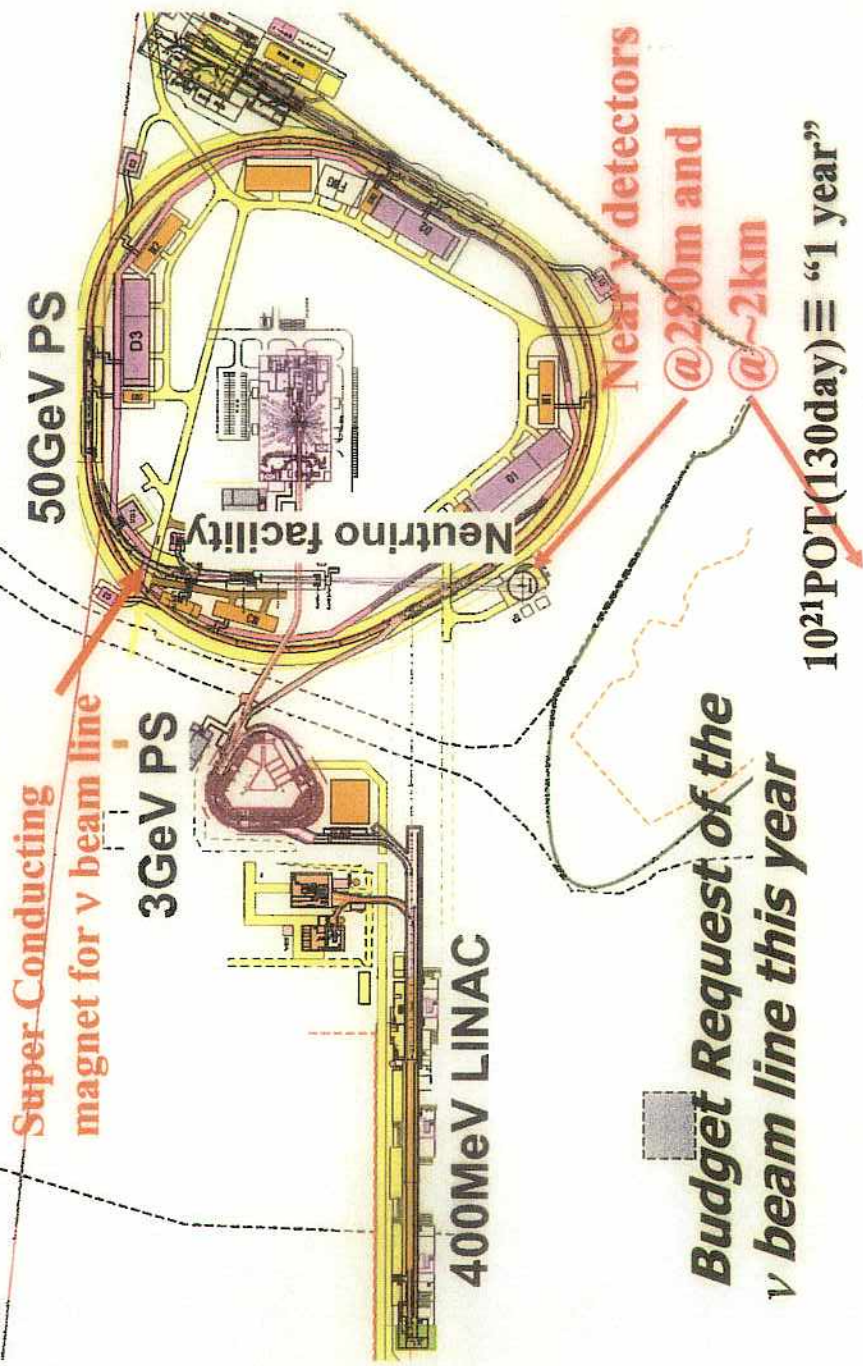


( conventional ν beam)

実験前期最初の5年間 (0.77MW + Super-K)  
 実験後期 (4MW+Hyper-K) ~ 前期 × 200

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## JHF ν beam Facility

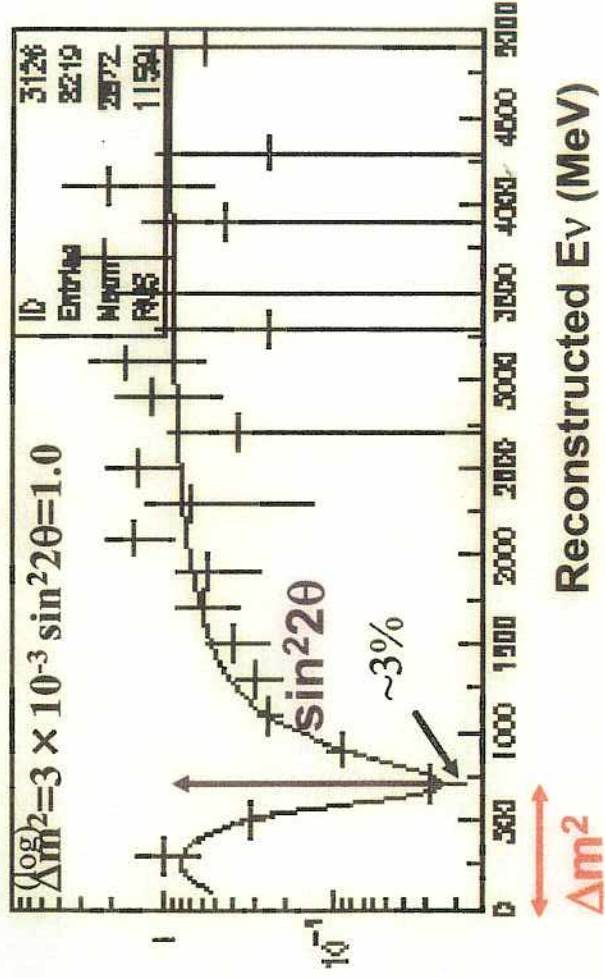


Budget Request of the ν beam line this year

$10^{21}$  POT (130day) ≡ "1 year"

# $\nu_\mu \rightarrow \nu_\mu$ disappearance

## Observation / Null-Oscillation



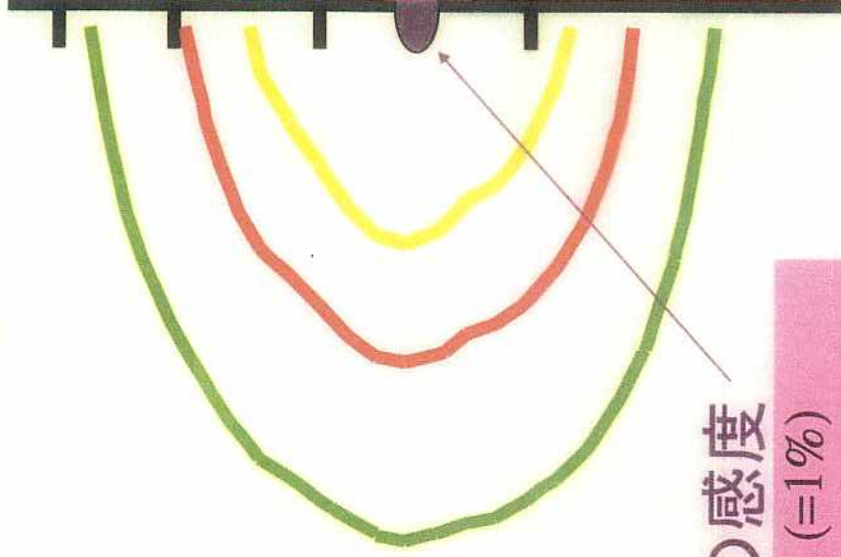
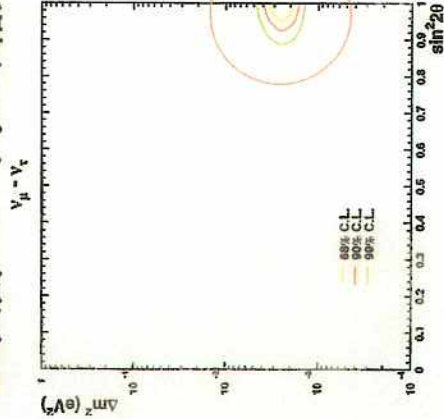
$$\delta \sin^2 2\theta_{23} \sim 0.01 \quad (=1\%)$$

$$\delta \Delta m_{23}^2 < 1 \times 10^{-4} \text{eV}^2$$

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## JHFニュートリノの感度

### SK大気ニュートリノの結果



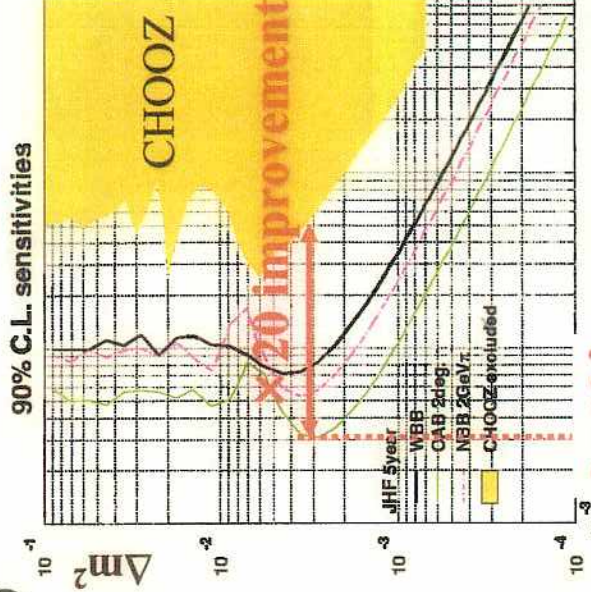
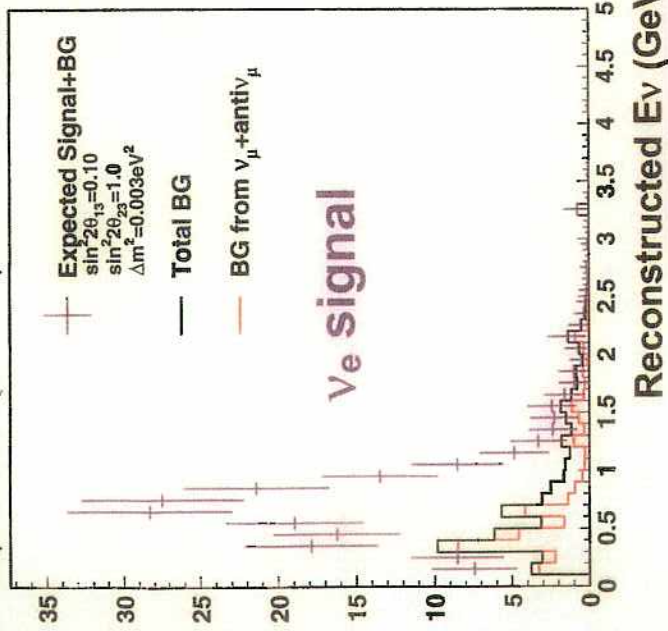
### 5年間の感度

$$\delta \sin^2 2\theta_{23} \sim 0.01 \quad (=1\%)$$

$$\delta \Delta m_{23}^2 < 1 \times 10^{-4} \text{eV}^2$$

# $\nu_\mu \rightarrow \nu_e$ appearance

$$\sin^2 2\theta_{\mu e} = 0.05 \quad (\sin^2 2\theta_{\mu e} \equiv 0.5 \sin^2 2\theta_{13})$$



$$3 \times 10^{-3} \sin^2 2\theta_{\mu e} = 1/2 \cdot \sin^2 2\theta_{13}$$

$\sin^2 2\theta_{13} < 0.006$  (90% C.L.)

## $\nu_\mu \rightarrow \nu_e$ oscillation probability (1)

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) = & \boxed{4C_{13}^2 S_{13}^2 S_{23}^2 \sin^2 \frac{\Delta m_{31}^2 L}{4E}} \quad \text{Dominant} \\
 & + 8C_{13}^2 S_{12} S_{13} S_{23} (C_{12} C_{23} \cos \delta - S_{12} S_{13} S_{23}) \cos \frac{\Delta m_{32}^2 L}{4E} \sin \frac{\Delta m_{31}^2 L}{4E} \sin \frac{\Delta m_{21}^2 L}{4E} \\
 & - 8C_{13}^2 C_{12} C_{23} S_{12} S_{13} S_{23} \sin \delta \sin \frac{\Delta m_{32}^2 L}{4E} \sin \frac{\Delta m_{31}^2 L}{4E} \sin \frac{\Delta m_{21}^2 L}{4E} \quad \text{CPV} \\
 & + 4S_{12}^2 C_{13}^2 \{ C_{12}^2 C_{23}^2 + S_{12}^2 S_{23}^2 S_{13}^2 - 2C_{12} C_{23} S_{12} S_{23} S_{13} \cos \delta \} \sin^2 \frac{\Delta m_{21}^2 L}{4E} \\
 & - 8C_{13}^2 S_{13}^2 S_{23}^2 \cos \frac{\Delta m_{32}^2 L}{4E} \sin \frac{\Delta m_{31}^2 L}{4E} \frac{\alpha L}{4E} (1 - 2S_{13}^2)
 \end{aligned}$$

$$\delta \rightarrow -\delta, \alpha \rightarrow -\alpha \text{ for } \bar{\nu}_\mu \rightarrow \bar{\nu}_e$$

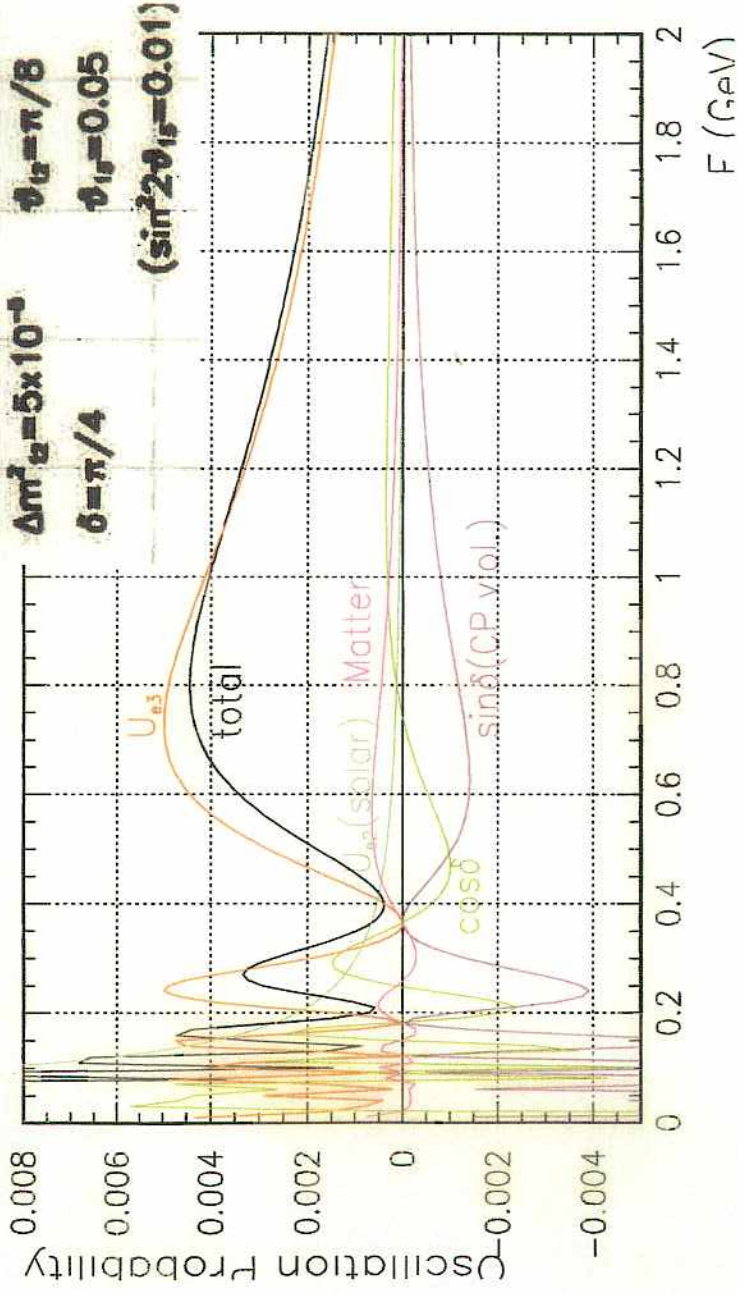
Matter effect:

$$a = 7.56 \times 10^{-5} [\text{eV}^2] \cdot \left( \frac{\rho}{[\text{g/cm}^3]} \right) \cdot \left( \frac{L}{[\text{GeV}]} \right)$$

$$A_{CP} \equiv \frac{P - \bar{P}}{P + \bar{P}} \approx \frac{\Delta m_{12}^2 L}{E} \cdot \frac{\sin 2\theta_{12}}{E} \cdot \frac{\sin \delta}{\sin \theta_{13}}$$

# $\nu_\mu \rightarrow \nu_e$ oscillation probability (2)

$\rho = 2.8 \text{g/cm}^3$   
 $\Delta m_{21}^2 = 3 \times 10^{-5}$   
 $\Delta m_{32}^2 = 5 \times 10^{-3}$   
 $\delta = \pi/4$   
 $L = 295 \text{km}$   
 $\theta_{23} = \pi/4$   
 $\theta_{12} = \pi/8$   
 $\theta_{13} = 0.05$   
 $(\sin^2 2\theta_{13} = 0.01)$

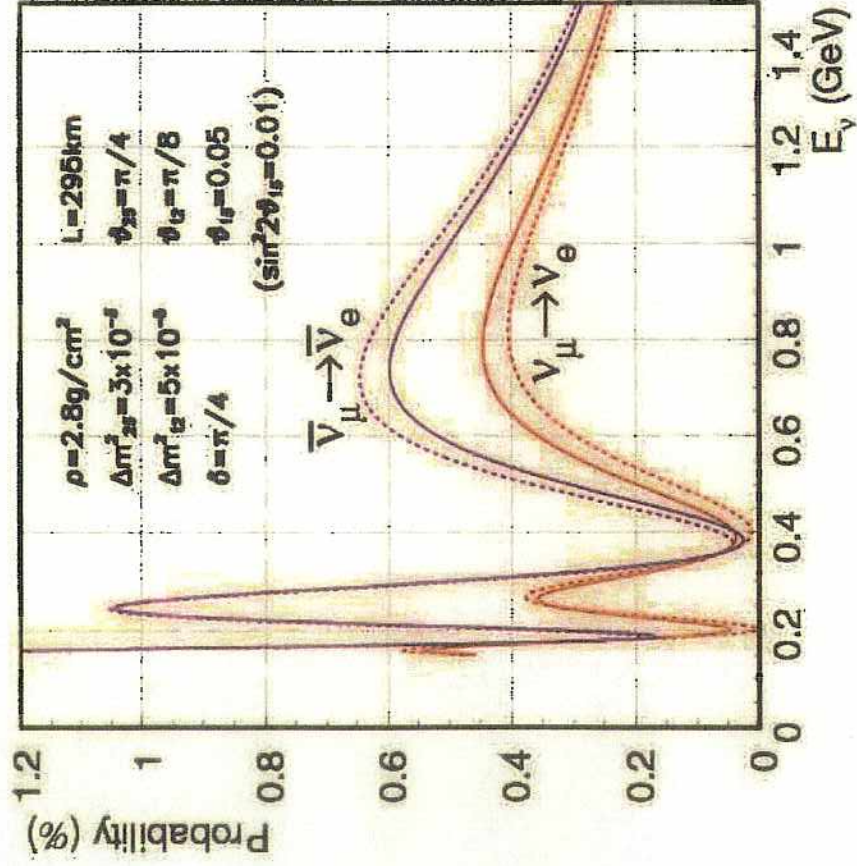


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# $\nu_\mu \rightarrow \nu_e$ oscillation probability (3)

295km

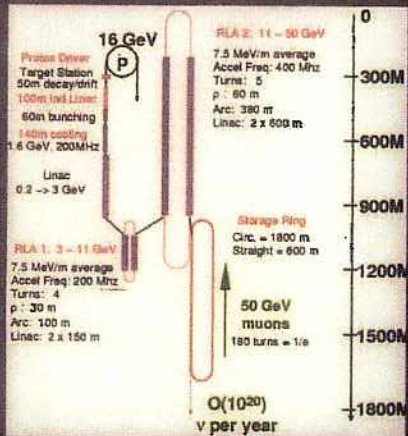


Solid line: w/ matter  
 Dashed line: w/o matter

2-17

# US $\nu$ -Factory Scheme

3

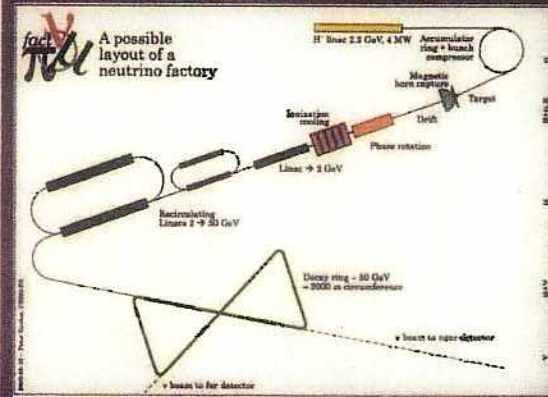


Design Study 1 (completed April 2000)  
 Proton driver: Upgraded FN AL Booster  
 Carbon target in 20T capture solenoid  
 50m decay channel (1.25T)  
 Muon energy spread reduced using induction linac (phase rotation)  
 Muons bunched at 200 MHz  
 Transverse phase space reduced using an ionization cooling channel  
 Acceleration to 50 GeV in RLAs

Design Study 2 (completed May 2001, based on upgraded BNL AGS)  
 Hg jet target, better induction linac & cooling channel designs  
 Achieved 6 x Study 1 muon rate >> 2 E20 useful  $\mu$  decays / year

# CERN $\nu$ -Factory Scheme

4

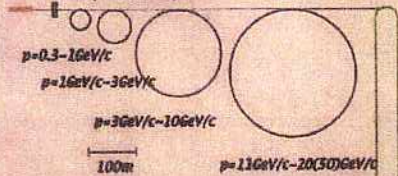


Similar to US scheme but alternative technologies:  
 Lower energy proton driver (2.2 GeV protons)  
 Pion capture with magnetic horn  
 RF for phase rotation (no induction linac)  
 Transverse cooling channel  
 With 44/88 MHz (not 200 MHz) RF cavities.

# Japanese $\nu$ -Factory Scheme

5

- (1) Low Freq. (~MHz) & High Gradient RF  $\mathcal{E} > 1\text{MV/m}$
- (2) Acceptance: Trans.  $\sim 0.01\text{-}0.02\text{ mrad}$ , Long  $\Delta P/P \sim \pm 50\%$   
@  $p = 0.3\text{ GeV/c}$



NO PHASE ROTATION OR COOLING (would benefit from some cooling)

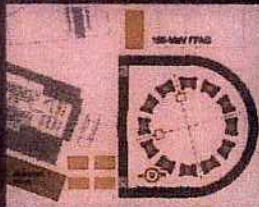
USE LARGE ACCEPTANCE ACCELERATORS: FFAGs

R&D Issues: RF, Injection/extraction, magnet design, dynamic aperture

GOAL:  $1\text{E}20 \gg 4\text{E}20$  USEFUL MUON DECAYS/YEAR @  $20\text{ GeV} \gg 50\text{ GeV}$

POP

Proof of Principle (POP) FFAG tested at KEK in June 2000



NEXT STEP

150 MeV FFAG Under construction at KEK

RF R&D: US/Japan collaboration

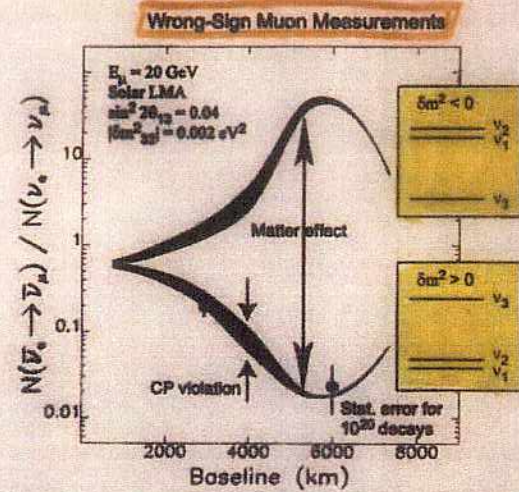
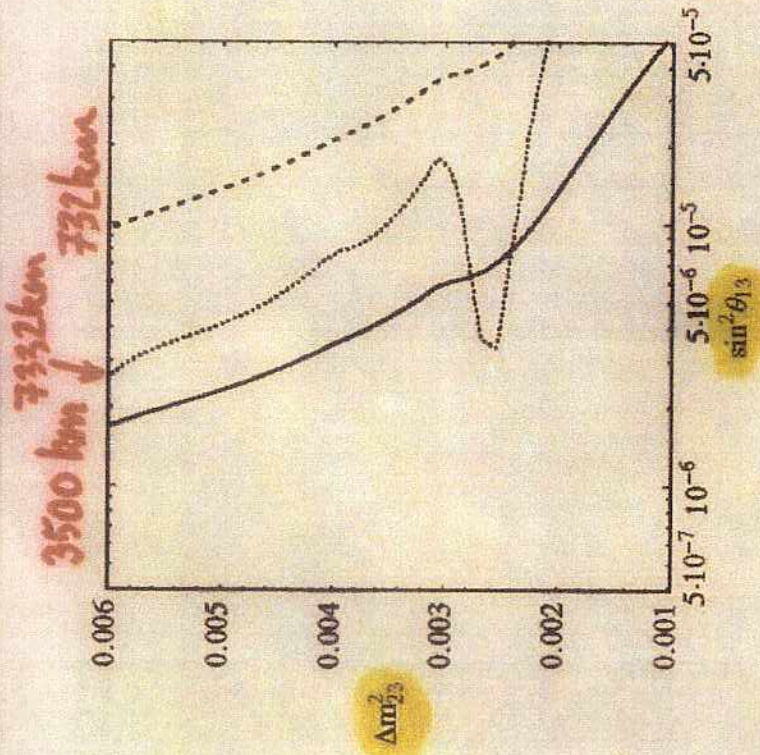


Figure I: Predicted ratios of  $\bar{\nu}_e \rightarrow \bar{\nu}_\mu$  to  $\nu_e \rightarrow \nu_\mu$  rates at a 20 GeV neutrino factory. The upper (lower) band is for  $\delta m_{32}^2 < 0$  ( $\delta m_{32}^2 > 0$ ). The range of possible CP violation determines the widths of the bands. The statistical error shown corresponds to  $10^{20}$  muon decays of each sign and a 50 kt detector. Results are from Ref. 51.

↳ PRESUMABLY BARGER/GEER/RAJTA/WAISNANT

CERVERA et al.  
COMPREHENSIVE  
STUDY



As in Fig. 12, including as well background errors and detection efficiencies.

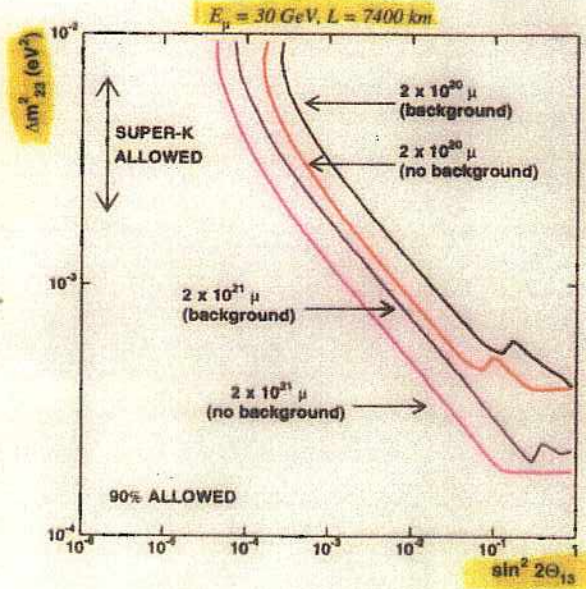


Figure 9: Sensitivity on  $\theta_{13}$

Bueno et al.  
ICANOE

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# Supernova neutrinos

## 超新星の分類

- I型: スペクトル中に水素線がない。
- II型: スペクトル中に水素線がある。

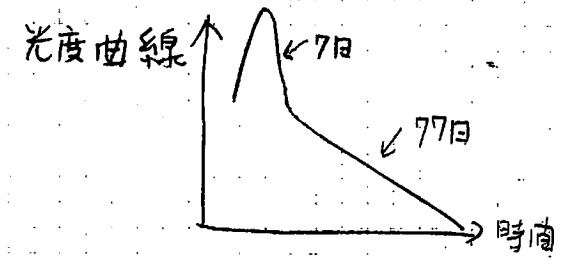
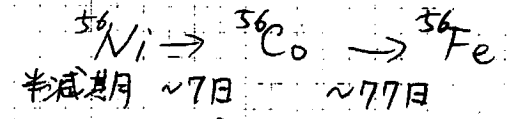
I型はさらに

- Ia: Siの吸収線あり。
- Ib: Heの吸収線あり。
- Ic: Si, Heも見えない。

Ia 連星系をなす 3M<sub>☉</sub> ~ 8M<sub>☉</sub> の星が進化の過程で質量放出により水素の外層を失って、中心に残った炭素の白色矮星が爆発的に燃える現象:

C+Oでできた白色矮星が Fe を作る  
 爆発エネルギー: (C+Oの原子核の束縛エネルギー) - (Feの " " " " )  
 + 星の重力による束縛エネルギー  
 = ~ 10<sup>51</sup> erg.

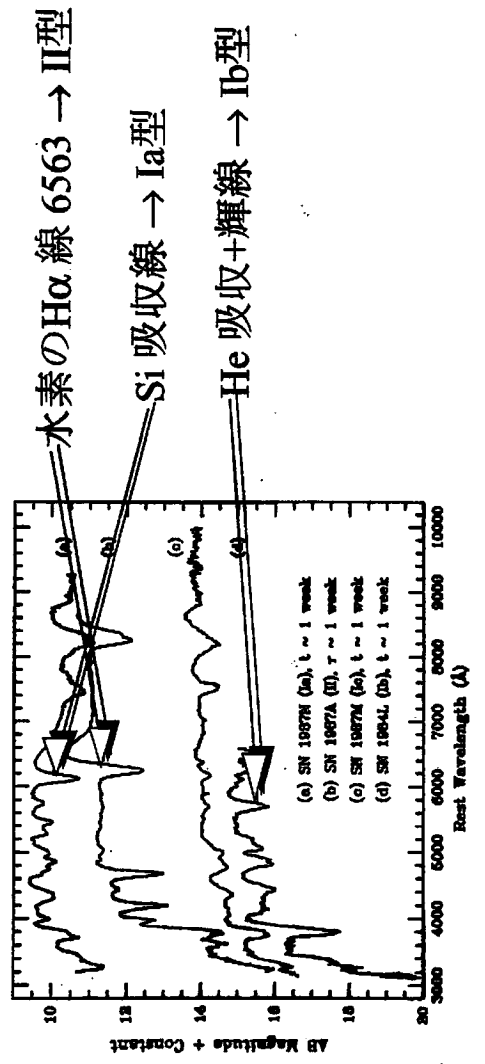
超新星が光る熱源:





分類

I型  
II型



鈴木英之氏修論

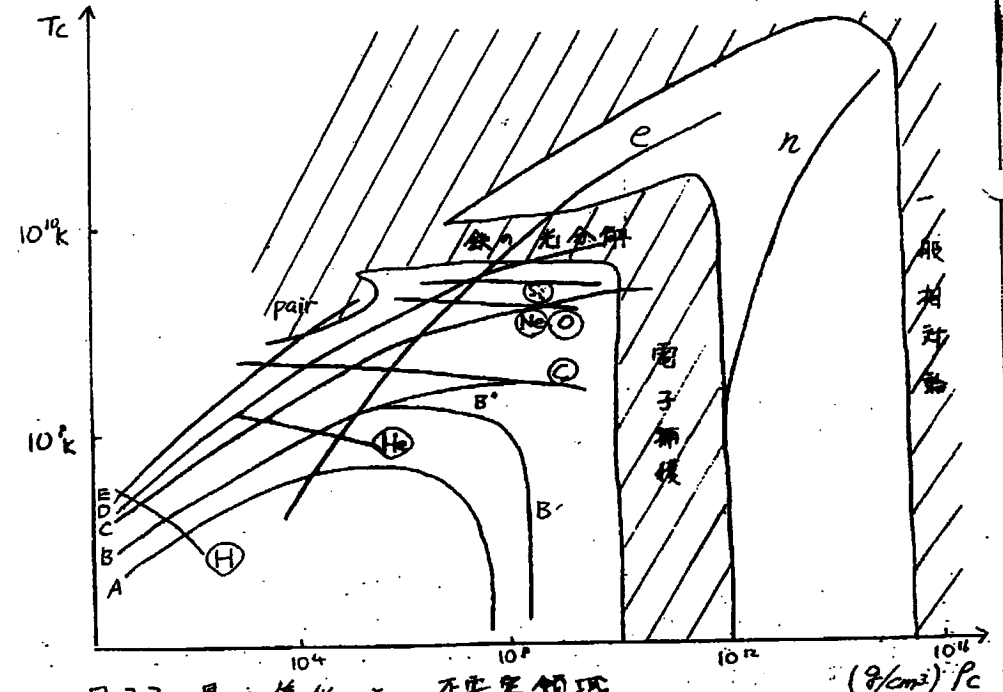


図 2.2 星の進化と不安定領域

A:  $M < 3M_{\odot}$ , B:  $3M_{\odot} \sim 8M_{\odot}$ , C:  $8 \sim (10-12)M_{\odot}$   
D:  $(10-12)M_{\odot} \sim (50-100)M_{\odot}$ , E:  $(50-100)M_{\odot}$  以上

# 電子の縮退

星の中心が高密度になると、電子の縮退圧を  
考えないといけない。

位相空間体積と量子状態数の

$$2 \times \frac{\left(\frac{4\pi}{3}\right) p_F^3 \cdot V}{(2\pi\hbar)^3} = N_e$$

↑ 体積

↑ 電子数

$p_F$ : Fermi momentum

$n_e = \frac{N_e}{V}$ : 電子密度

$= \frac{\rho}{m_N \times M_e}$

↑ 核子質量    ↑ 核子数 / 電子数  $\sim 2$

$\Rightarrow p_F = 2\pi\hbar \times \left(\frac{3}{8\pi}\right)^{1/3} \times \left(\frac{\rho}{m_N M_e}\right)^{1/3}$  ----- ①

Fermi energy は

$E_F = \frac{\hbar^2}{2m_e} p_F^2 = \frac{2\pi\hbar^2}{2m_e} \times \left(\frac{3}{8\pi}\right)^{2/3} \times \left(\frac{\rho}{m_N M_e}\right)^{2/3}$

(1)  $\rho$  を  $g/cm^3$  単位で書いて

$\rho = 1 g/cm^3$  中に  $m_N$  が  $6 \times 10^{23}$

$\hbar c = 197 MeV \cdot fm = 1.97 \times 10^{-5} eV \cdot cm$

$E_F = \frac{2\pi^2}{2 \times 0.5 \times 10^6} \times (6 \times 10^{23})^{2/3} \times \left(\frac{3}{8\pi}\right)^{2/3} \times (1.97 \times 10^{-5})^2 \times \frac{1}{M_e^{1/3}} \times \left(\frac{\rho}{[g/cm^3]}\right)^{2/3} eV$

$= 4 \times \frac{1}{(Me)^{2/3}} \times \left(\frac{\rho}{[g/cm^3]}\right)^{2/3} eV$

# Fermi 縮退圧力:

内部エネルギー -  $U_F = N_e \times E_F$

$= \frac{\rho}{m_N \times M_e} \times \frac{4}{(Me)^{2/3}} \times \rho^{2/3}$   
 $\propto \rho^{5/3}$

$P_F = \frac{d(U_F/\rho)}{d(1/\rho)} = \frac{\rho}{m_N M_e} \times \frac{2}{3} E_F \propto \rho^{5/3}$  ----- ②

以上は非相対論的計算だったか

$p_F > m_e c$  の相対論の場合

$E_F = \sqrt{m_e^2 c^4 + p_F^2 c^2} - m_e c^2$  と計算すると

$p_F \gg m_e c$  の時、 $p_F = \frac{\rho}{m_N M_e} \times \frac{1}{3} E_F \propto \rho^{1/3}$  と仮定 ----- ③

$P_F$  が "状態方程式" による圧力:  $P_T = \rho kT / m_N M$   
 $M$  は平均分子量

と比べた時

$p_F \gg p_T$  ならば、電子の縮退が主要になる

非相対論的:  $\left(\frac{\rho}{m_N M_e} \times \frac{2}{3} E_F\right) \gg \rho kT / m_N M$   
 $\propto \rho^{5/3}$

$\Rightarrow \rho^{2/3} \gg \text{factor} \times T$

相対論的: 同様に  $\rho^{1/3} \gg \text{factor} \times T$

$$M_{Ch} = \frac{3.1}{\mu_e} \frac{M_{Pl}^3}{m_p^2} = 1.47 \left(\frac{1.2}{\mu_e}\right)^2 M_{\odot}$$

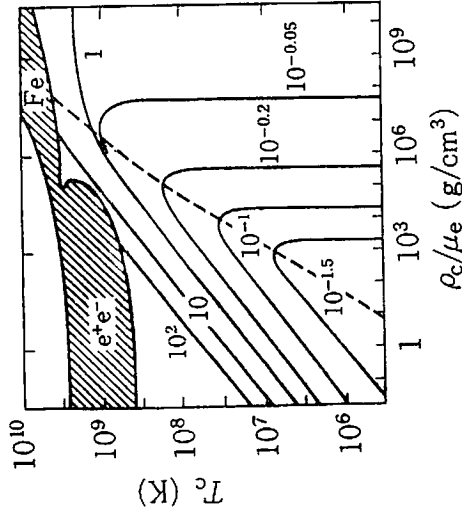


図1-5 質量  $M$  の星の中心温度  $T_c$  と中心密度. 各曲線の数値は  $M/M_{Ch}$  ( $\mu_e=2$ ) で表わした星の質量値. 斜線部分は電子対生成( $e^+e^-$ )および鉄核分解(Fe)で星が不定になる領域. 破線は電子 Fermi 気体で  $\psi = [\text{化学ポテンシャル}]/kT = 3$  の線(付録[C]参照). この線より右下が縮退域, 左上が非縮退域である.

$p_F > m_e c$  の相対論的な場合まで含めれば Fermi エネルギーは

$$\epsilon_F = \sqrt{m_e^2 c^4 + p_F^2 c^2} - m_e c^2 \quad (1.38)$$

となり, 超相対論  $p_F \gg m_e c$  では

$$p_F = \frac{\rho}{m_N \mu_e} \frac{1}{3} \epsilon_F \propto \rho^{4/3} \quad (1.39)$$

1.1.1.1 超相対論的な場合まで含めれば Fermi エネルギーは Compton 波長を  $\lambda_c$  と書けば

$p_F = m_e c$  となる臨界密度は,  
 ①より,  $\rho_{ec} = M_e m_N \frac{8\pi}{3} \left(\frac{m_e c}{h}\right)^3$   
 $\approx 10^6 M_e \text{ g/cm}^3$

このような密度は, どのような質量の星で実現されるか。

星の中の力学平衡は,

$$\left. \begin{aligned} \frac{dp}{dR} &= -\rho \frac{GM}{R^2} \\ M &= \int_0^R \rho \times 4\pi r^2 dr \end{aligned} \right\} \begin{aligned} \text{概略は} \\ \frac{p}{R} &\approx \frac{GM\rho}{R^2} \\ M &= \rho R^3 \end{aligned}$$

$$p \approx GM \rho^2 R^2 \quad (4)$$

あるいは

$$M \approx \left( \frac{G^{-3} p^3}{\rho^4} \right)^{1/2}$$

Fermi 圧力を代入して星の質量:

②をこの式に入れます

$$M \approx M_{Ch} \times \left( \frac{\rho}{\rho_{ec}} \right)^2 \quad (5)$$

$$M_{Ch} = \frac{1}{M_e^2} \frac{M_{Pl}^3}{m_p^2} \sim \frac{10^{33}}{M_e^2} \text{ g} \sim 1.4 M_{\odot}$$

$$\text{したがって } m_{pl} = \sqrt{\frac{hc}{G}} \sim 10^{-5} \text{ g} \sim \frac{10^{19} \text{ GeV}}{c^2}$$

$M < M_{Ch}$  時は, ⑤式で  $\rho$  が決まる。

星の中の温度、密度の関係式は

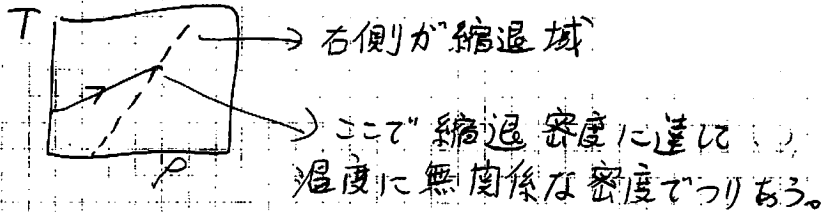
SIV-9

SN-9

$P = \rho k T / m_N M$  状態方程式  
 ④の力学平衡より  $P = G M^2 \rho^2$  なので

$G M^2 \rho^2 = \rho k T / m_N M$

$\Rightarrow \rho = \left( \frac{k T}{m_N M} \right)^3 \times \frac{1}{G^3 M^2}$



また、 $\rho \gg \rho_{ec}$  の場合は

③式を④式へ入れると

$\downarrow$   
 $P_F \propto \rho^{5/3} \rightarrow M = \left[ \frac{G^{-3} P^3}{\rho^4} \right]^{1/2}$

Mは一定値となる。その値が  $M_{ch}$   
 すなわち、電子の縮退圧力によって支えられている星の質量には上限値がある。

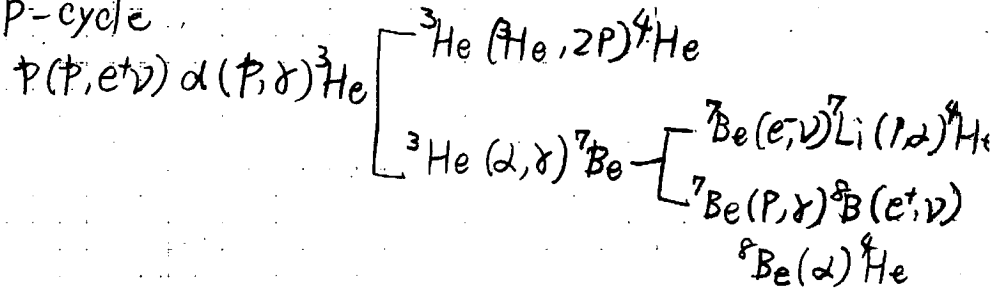
Chandrasekhar 質量:  
 正確には、 $M_{ch} = \frac{3.1}{M_e^2} \times \frac{m_{pl}^3}{m_p^2} = 1.47 \times \left( \frac{Z}{M_e} \right) M_{\odot}$

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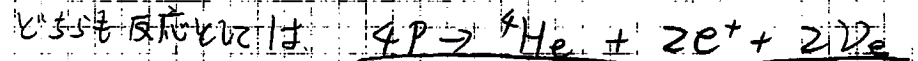
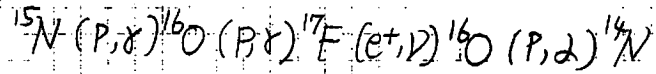
### \* H 燃焼

太陽と同じように

PP-cycle



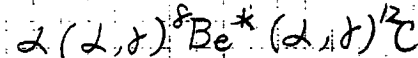
CNO-cycle



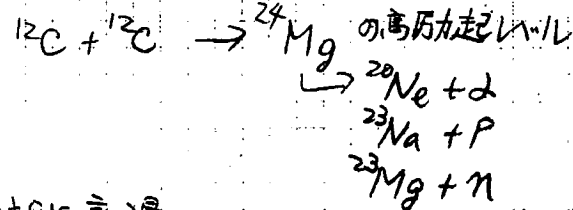
太陽では CNO の寄与が 1.5% 位だが、太陽より重い星では CNO cycle が主となる。

### \* He 燃焼

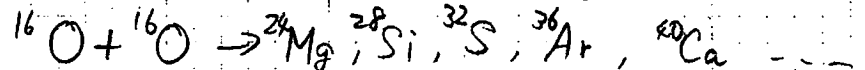
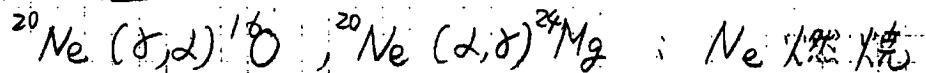
A が 5 と 8 には 安定な原子核はない。  
 故に  ${}^4\text{He}$  と  ${}^8\text{O}$  の間の核はすべて核子数同士の結合エネルギーが小さいので



### \* 重イオン燃焼



±Si に高温



が一定のままでもEが減少することができるのである。

図1-6は原子核の質量数Aと核子当りの結合エネルギーの関係である。この特性は核力の性質と原子核全体のCoulombエネルギーの関係で決まっているものである。この関係から導かれる1つの重要な性質は $^{56}\text{Fe}$ 核のあたりで

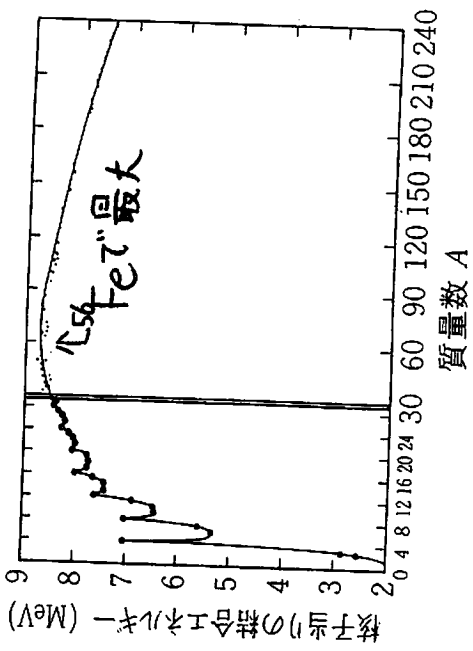
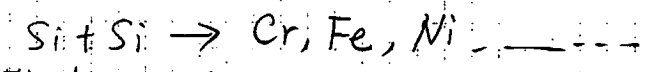


図1-6 原子核の質量数Aと核子当りの結合エネルギー。A=1~30の部分は横軸を拡大して示してある。鉄(A=56)付近で結合エネルギーが最大となる。(巻末文献[32].)

最終燃焼は、Si燃焼

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Si燃焼の温度は、 $\sim 10^9\text{K}$ であり、そこまで高温せすに到達できる十分に重い星でなければならぬ。

例25Moの星:

- H燃焼:  $10^{6.8}$ 年,  $6 \times 10^7\text{K}$
- He " :  $10^{5.7}$ 年  $2.3 \times 10^8\text{K}$
- C " :  $10^{3.8}$ 年  $9.3 \times 10^8\text{K}$
- Ne " : 1年  $1.7 \times 10^9\text{K}$
- O " : 0.5年  $2.3 \times 10^9\text{K}$
- Si " : 1day  $4.1 \times 10^9\text{K}$

密度は  $\sim 10^7\text{g/cm}^3$ に達する。

最終的にFeのコアができる。

Feが最も結合エネルギーが大きい。

# 超新星爆発直前の星の姿

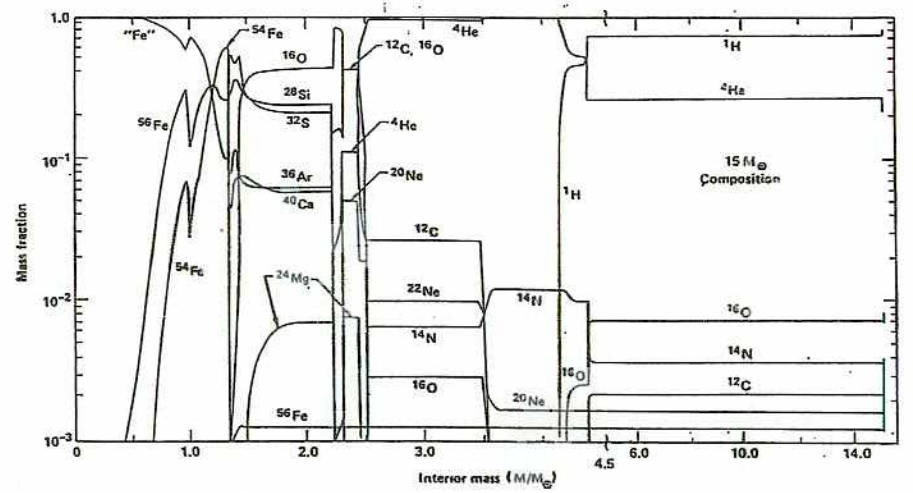
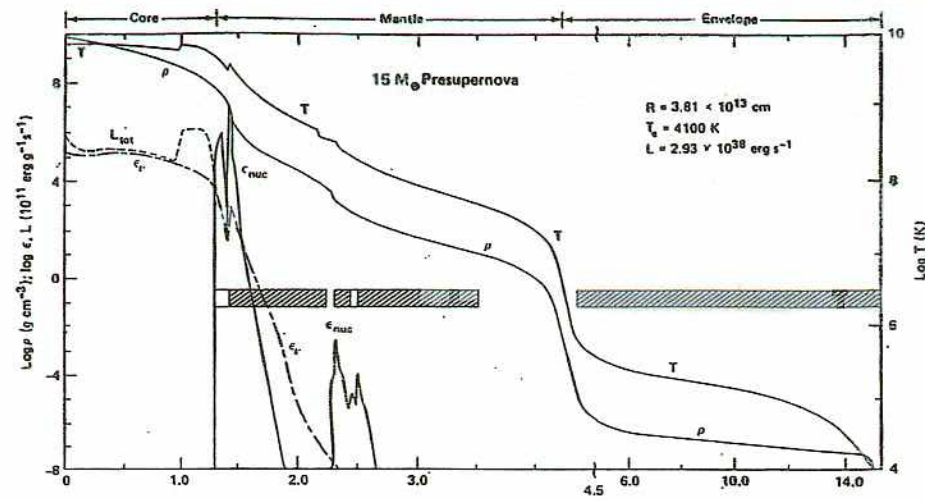
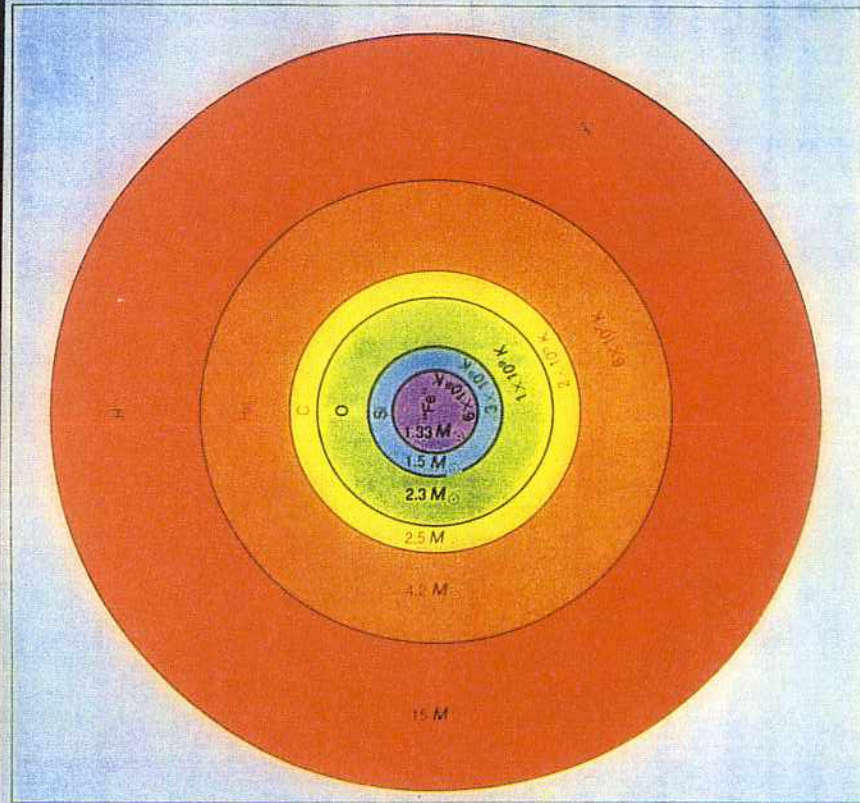


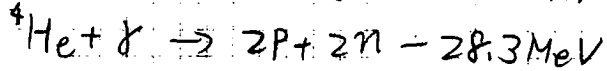
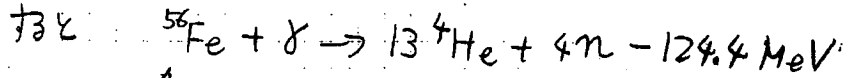
Figure 1 Structure and composition of a  $15-M_{\odot}$  presupernova star at a time when the edge of its iron core begins collapsing at  $1000 \text{ km s}^{-1}$ . Neutrino emission from electron capture ( $\epsilon_{\nu}$ ) dominates photodisintegration in the total energy losses ( $L_{\text{tot}}$ ) throughout most of the iron core. Central temperature here is  $7.62 \times 10^9 \text{ K}$  and density is  $9.95 \times 10^9 \text{ g cm}^{-3}$ . Spikes in the nuclear-energy generation rate ( $\epsilon_{\text{nuc}}$ ) show the location of active burning shells, while cross-hatched, blank, and open bars indicate regions that are convective, semiconvective, and radiative respectively. The species "Fe" includes all isotopes from  $48 \leq A \leq 65$  having a neutron excess greater than  $^{56}\text{Fe}$ . Note a scale break at  $4.5 M_{\odot}$ . Figure adapted from Woosley & Weaver (1985).

3.2.1 Pre collapse structure ( $15 M_{\odot}$ )  
 Woosley & Weaver (1986) (1)

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# 超新星爆発

十分に重い星 (≧12Mo) は、非縮退のままで Fe のコアが形成される。核燃焼はこの段階で終了するため、エネルギーの流出によりコアは収縮し温度が上がる。



この吸熱反応がはじまる。

## 星の構造不安定性について

星内部の物質の運動方程式

$$\frac{\partial^2 r}{\partial t^2} = -4\pi r^2 \frac{\partial p}{\partial M_r} - \frac{GM_r}{r^2} \quad (7)$$

$M_r$ : 球殻内の質量

$$\left. \begin{aligned} r(M_r) &\rightarrow r(M_r) + \delta r(M_r, t) \\ p(M_r) &\rightarrow p(M_r) + \delta p(M_r, t) \end{aligned} \right\} \text{の } \delta \text{ に摂動を加える}$$

$$\frac{\partial^2 \delta r}{\partial t^2} = -8\pi r \delta r \frac{dp}{dM_r} - 4\pi r^2 \frac{d\delta p}{dM_r} + 2 \frac{GM_r}{r^3} \delta r \quad (8)$$

$$\frac{\partial r}{\partial M_r} = \frac{1}{4\pi r^2 \rho} \quad \text{[質量分布の連続性] に摂動を入れて}$$

$$\frac{\partial \delta r}{\partial M_r} = \frac{1}{4\pi r^2 \rho} \left( -2 \frac{\delta r}{r} - \frac{\delta p}{p} \right) \quad (9)$$

$\delta r = 2r$  といふ摂動として考えれば、(9) は  $\frac{\delta p}{p} = -3 \frac{\delta r}{r}$  と

なる。断熱則:  $p \propto \rho^\gamma$  なのだから

$$\frac{\delta p}{p} = -3\gamma \times \frac{\delta r}{r} \quad \text{となる}$$

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これを (1) に代入

$$\begin{aligned} \frac{\partial^2 \delta r}{\partial t^2} &= -8\pi r \delta r \frac{dp}{dM_r} - 4\pi r^2 \frac{d[-3\gamma \times \frac{\delta r}{r} p]}{dM_r} + 2 \frac{GM_r}{r^3} \delta r \\ &= 4\pi r \times \delta r \times \frac{dp}{dM_r} \times [-2 + 3\gamma] + 2 \frac{GM_r}{r^3} \delta r \end{aligned}$$

(9) を使て

$$= \frac{\delta r}{r} \left( -\frac{\partial^2 r}{\partial t^2} - \frac{GM_r}{r^2} \right) [-2 + 3\gamma] + 2 \frac{GM_r}{r^3} \delta r$$

$$= \frac{\partial^2 r}{\partial t^2} \times \frac{\delta r}{r} [-2 + 3\gamma] + \frac{GM_r}{r^3} [4 - 3\gamma] \times \delta r$$

平衡状態では  $\frac{\partial^2 r}{\partial t^2} = 0$  とおくと

$$\frac{\partial^2 \delta r}{\partial t^2} = [4 - 3\gamma] \frac{GM_r}{r^3} \delta r$$

したがって  $\gamma < \frac{4}{3}$  では、 $\delta r$  の変化に対してそれが大きくなる方向に変化し、不安定になる。

$^{56}\text{Fe} + \gamma \rightarrow 13\ ^4\text{He} + 4n - 124.4\text{MeV}$  の吸熱反応では、 $\gamma < \frac{4}{3}$  となり、熱圧力と重力のバランスが崩れる。

Saha の式:

$$\frac{n_{^{13}\text{He}}^4 n_{4n}^4}{n_{^{56}\text{Fe}}^1} = \frac{g_{^{13}\text{He}}^4 g_n^4}{g_{^{56}\text{Fe}}^1} \times \left( \frac{kT}{2\pi h^2} \right)^{24} \left( \frac{m_{^{13}\text{He}}^4 m_n^4}{m_{^{56}\text{Fe}}^1} \right)^{2/3} e^{-\frac{Q}{kT}}$$

↑ 統計重

$$g_{\text{He}} = 1, g_n = 2, g_{\text{Fe}} = \sim 1.4$$

低温で 100% Fe であつたおと  $X_{\text{He}}$  は He fraction と

$$\frac{X_{\text{He}}^{17}}{1 - \frac{14}{13} X_{\text{He}}} = 2.47 \times 10^{62} \times \frac{1}{g_{\text{Fe}}} \left( \frac{p}{10^9 \text{g/cm}^3} \right)^{-16} \left( \frac{T}{\text{MeV}} \right)^{24} \exp\left(-\frac{Q}{T}\right)$$

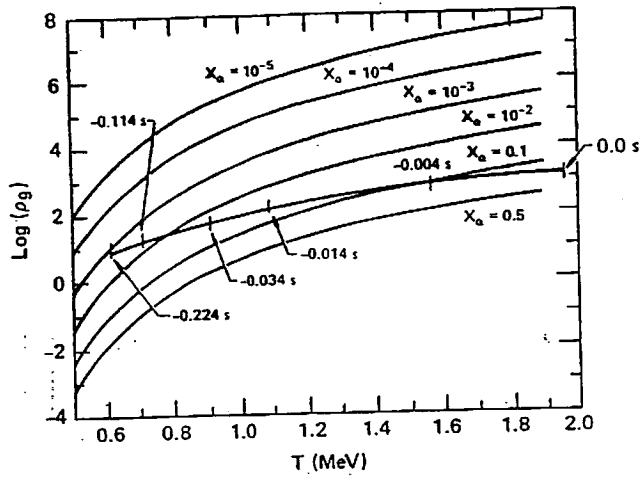


図 3.3.1. 鉄の光分解と collapsing core の軌跡  
 (2)  
 Mayle (1985) より

密度の増加に伴う内部エネルギーの増加が、鉄の分解に使われてしまい、圧力の増加が小さくなってしまったために収縮をおこなうことができず、反応が爆発的に進行する。

爆発のタイムスケール

- 星の dynamical な構造変化の尺度となる time scale は自由落下時間 (free-fall collapse time) は

$$\tau_{ff} = \left( \frac{R^3}{GM} \right)^{\frac{1}{2}} \sim \frac{1}{\sqrt{G\bar{\rho}}} \sim 4 \times 10^3 \text{ sec} \times \left( \frac{\bar{\rho}}{1 \text{ g/cm}^3} \right)^{-\frac{1}{2}}$$

$\bar{\rho} \sim 10^{10} \text{ g/cm}^3$  を代入すると

$$\tau_{ff} \sim 4 \times 10^{-2} \text{ sec 位になる}$$

これは Fe のコアについてあり、外層の平均密度  $\bar{\rho} = 10^2 \text{ g/cm}^3$  では  $\tau_{ff} = \sim 400 \text{ sec}$

- また、 $\gamma$  (ニュートリノ) 放出に対しては、星が  $\gamma$  (ニュートリノ) に対して opaque になった時の  $\gamma$  (ニュートリノ) の拡散時間:

$$\tau_{diff} = \frac{3R^2}{c\lambda_\nu}$$

$\lambda_\nu$  は  $\gamma$  (ニュートリノ) の mean free path

$$\bar{\rho} = 10^{15} \text{ g/cm}^3, R = 10 \text{ km}, \sigma = 10^{-44} \left( \frac{E_\nu}{\text{MeV}} \right)^2 \text{ cm}^2$$

$$M_H = 10^{-2} M_\odot, \Rightarrow \lambda_\nu = M_H / \bar{\rho} / \sigma \Rightarrow E_\nu = 30 \text{ MeV 程度}$$

$$\lambda_\nu \approx 100 \text{ m}$$

$$\tau_{diff} = \sim 1 \text{ sec} \times \left( \frac{R}{10 \text{ km}} \right)^2 \left( \frac{\lambda_\nu}{100 \text{ m}} \right)^{-1}$$

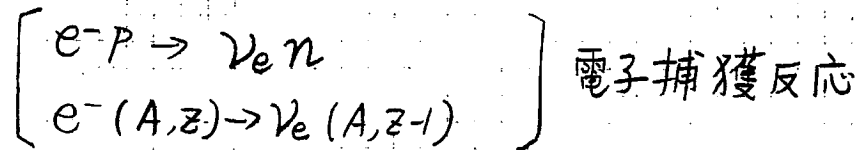
- 星が  $\gamma$  (ニュートリノ) の放出は、コアの重力崩壊の後に重い外層を吹き飛ばす必要があり、通常、数時間かかる。



# ニュートリノ放出過程

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• 重力崩壊時には



の反応により  $\nu_e$  が生まれる。

これにより、コアはより中性子化して行き、中性子星の形成へ進む。

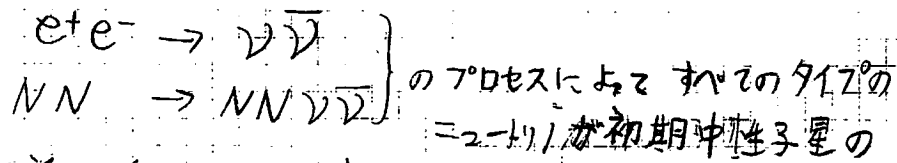
• コアの収縮のはじめ頃は、このニュートリノは自由に外へ出て行くが、コアの収縮が進んで密度が高くなると、ニュートリノに対しても不透明になる。ニュートリノはコアにトラップされ、ニュートリノも凝縮退きはじめ、これにより上記の電子捕獲反応は抑制される。

結局、電子捕獲反応によって放出されるニュートリノは全体の100%程度。

• コアの中心密度が核子密度 ( $\rho_{nuc} \sim 2.7 \times 10^{14} \text{ g/cm}^3$ ) を超えると核力の影響により  $\alpha$  が急に大きくなり、収縮が止まり、崩壊開始から、数10 msec しか経たない。

コアの大きさは、10 km 程度。

• このコアのバウンスによって生まれた衝撃波は外へ伝わり、周りの物質をたたためる。それによって



表面近く (neutrino sphere) で生まれる。

熱源となる。

1M<sub>☉</sub> のコアは、10 MeV オーダーのニュートリノに対して  $\rho > 10^{11} \text{ g/cm}^3$  では不透明で

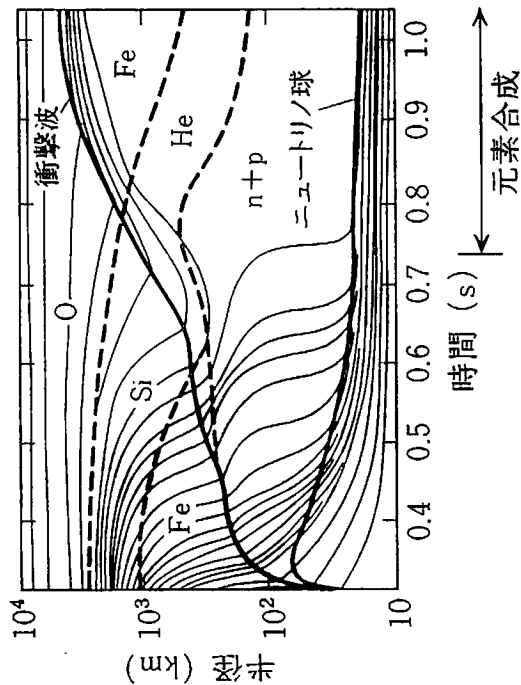


図 2-9 II 型超新星爆発の数値シミュレーションの例。ある質量シ  
ェルの半径  $r(M, t)$  の時間変化をいろいろの  $M_r$  について描いて  
ある。(原図は、J. R. Wilson *et al.*: Ann. N. Y. Academy Sci.  
470 (1986) 267.)

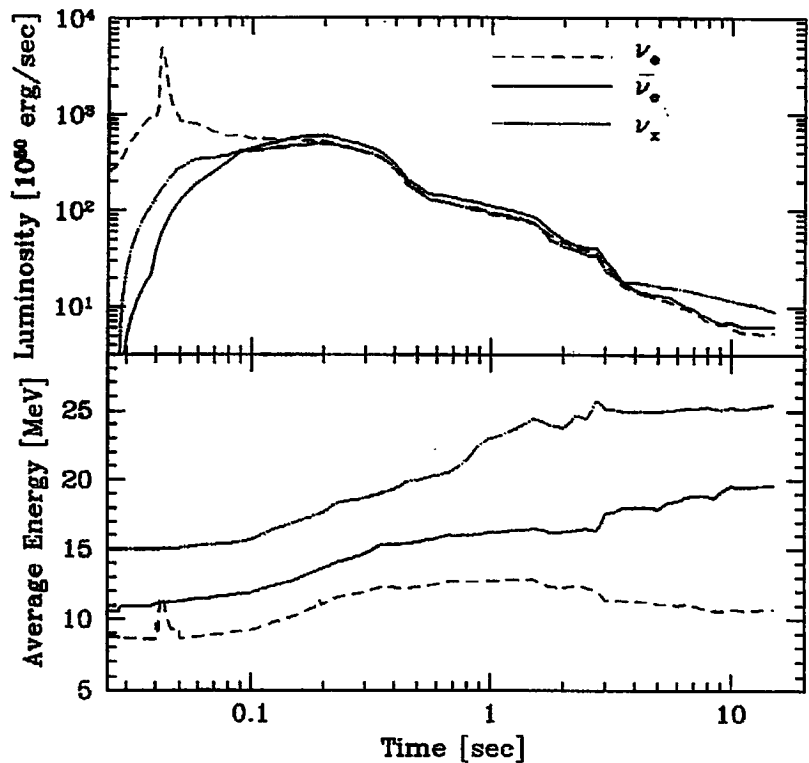


FIG. 1.—Time evolution of neutrino luminosity and average energy of the numerical supernova model used in this paper. The dashed line is for  $\nu_e$ , solid line for  $\bar{\nu}_e$ , and dot-dashed line for  $\nu_x$  (= each of  $\nu_\mu$ ,  $\nu_\tau$ ,  $\bar{\nu}_\mu$ , and  $\bar{\nu}_\tau$ ). The core bounce time is 3–4 ms before the neutronization burst of  $\nu_e$ 's.

represent any expected spectral shape. For generic features of supernova neutrino emission see, e.g., Burrows et al. (1992).

Figure 3 shows the radius of selected mass points as a function of time for the present model. This model explodes by the delayed explosion mechanism, and its features are

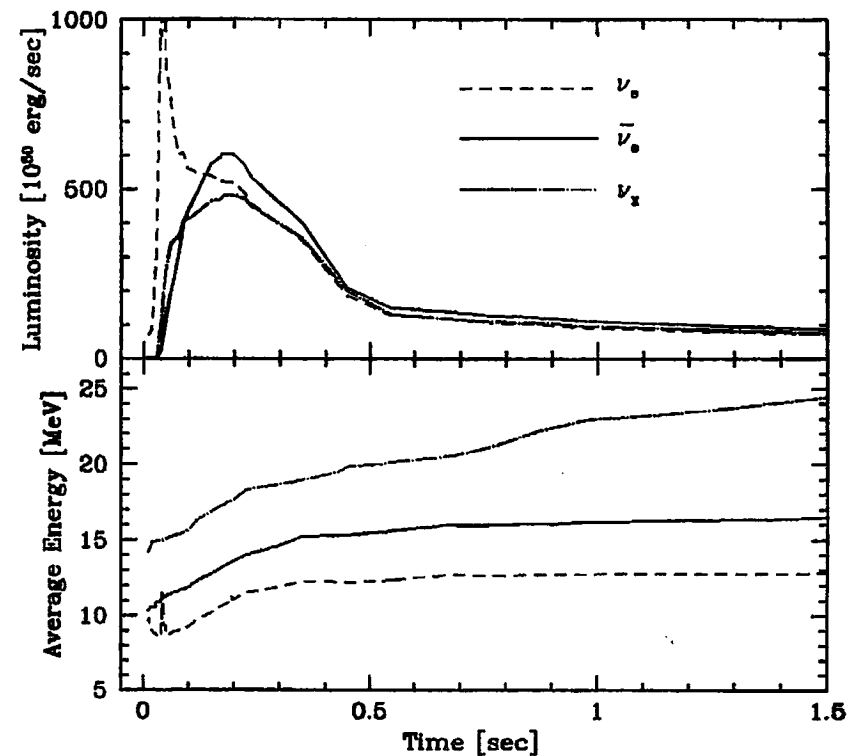
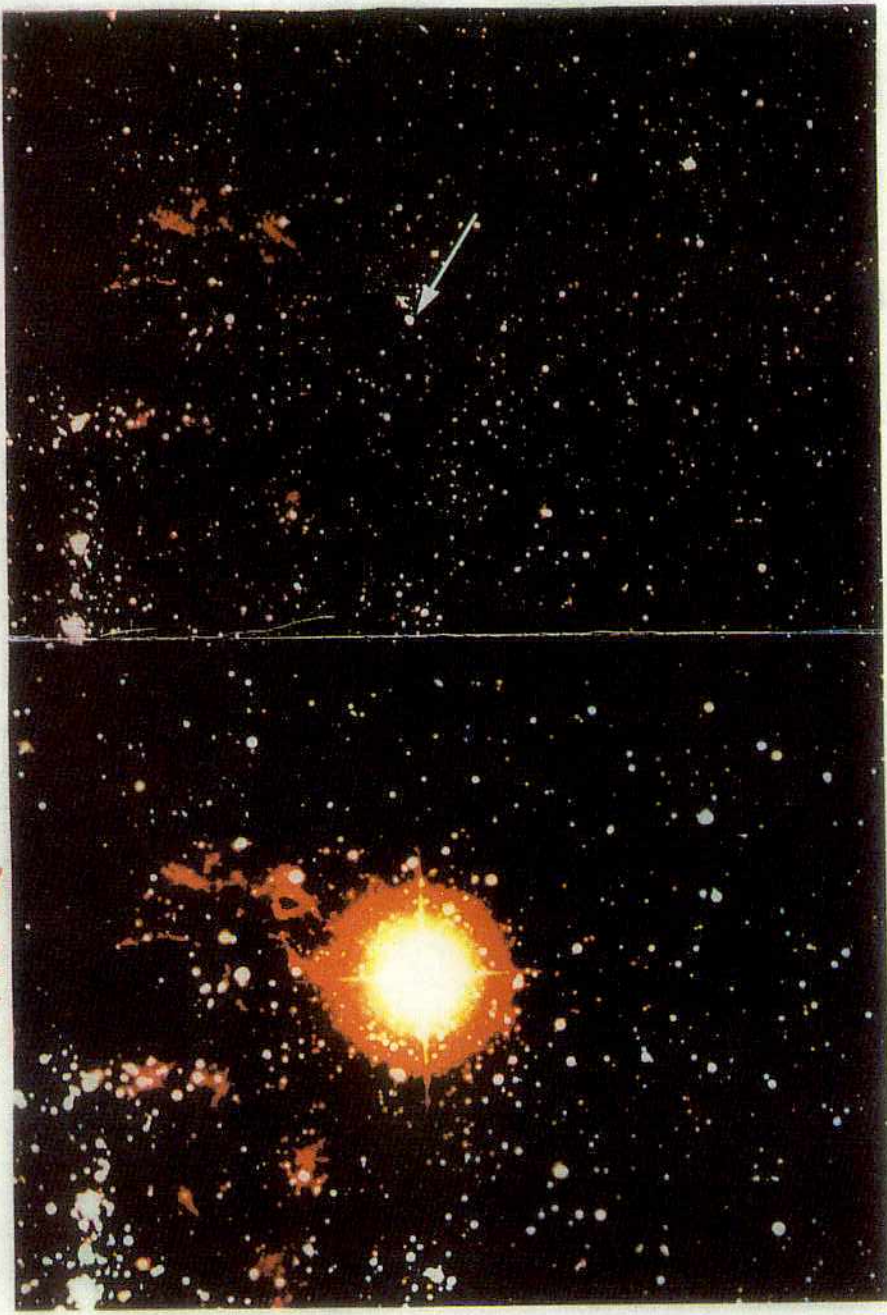


FIG. 4.—Same as Fig. 1, but for the early phase in linear coordinates

SN1987a



SN1987A MARCH 1987

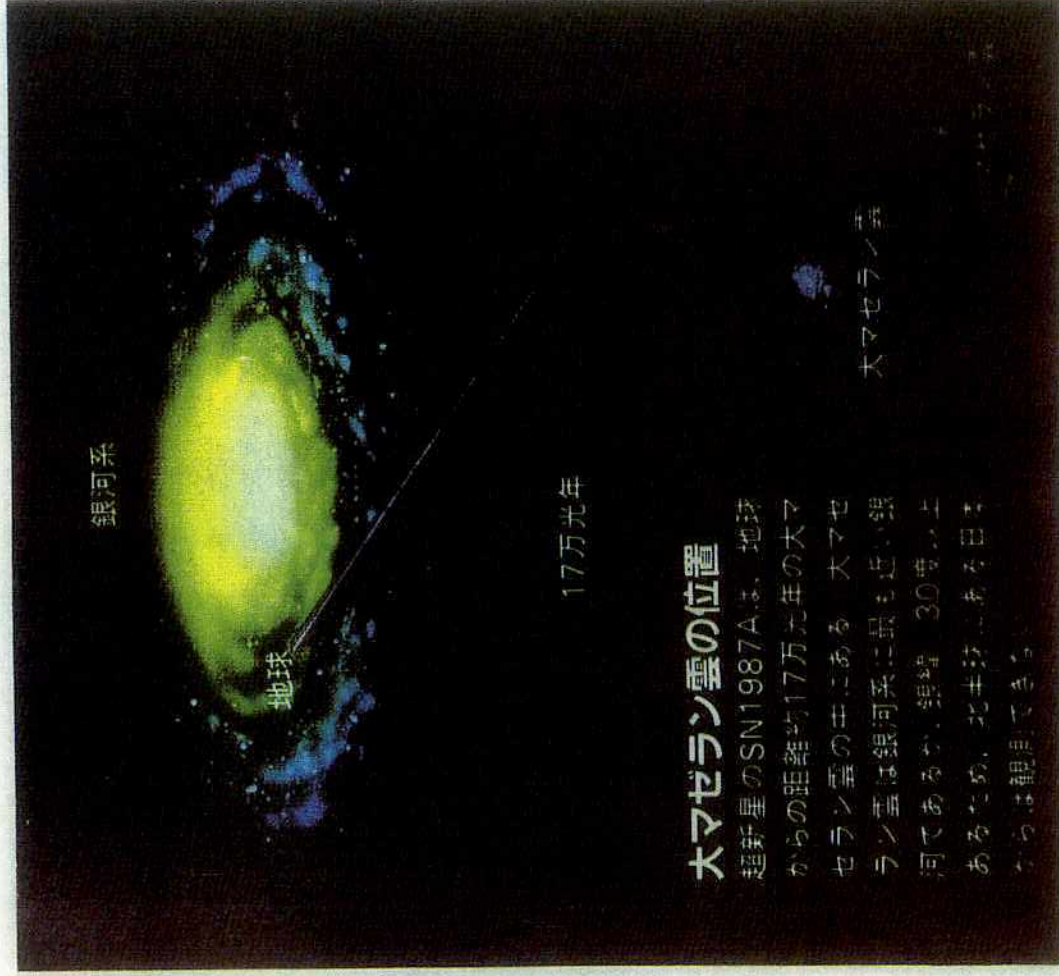
1984

LARGE MAGELLANIC CLOUD

Photo by David Malin and Ray Sharples with the Anglo-Australian Telescope

SN-22

203



大マゼラン雲の位置

超新星のSN1987Aは、地球からの距離約17万光年の大マゼラン雲の中にある。大マゼラン雲は銀河系に最も近い銀河であるが、銀緯30度以上あるため、北半球から日本からは観測できない。

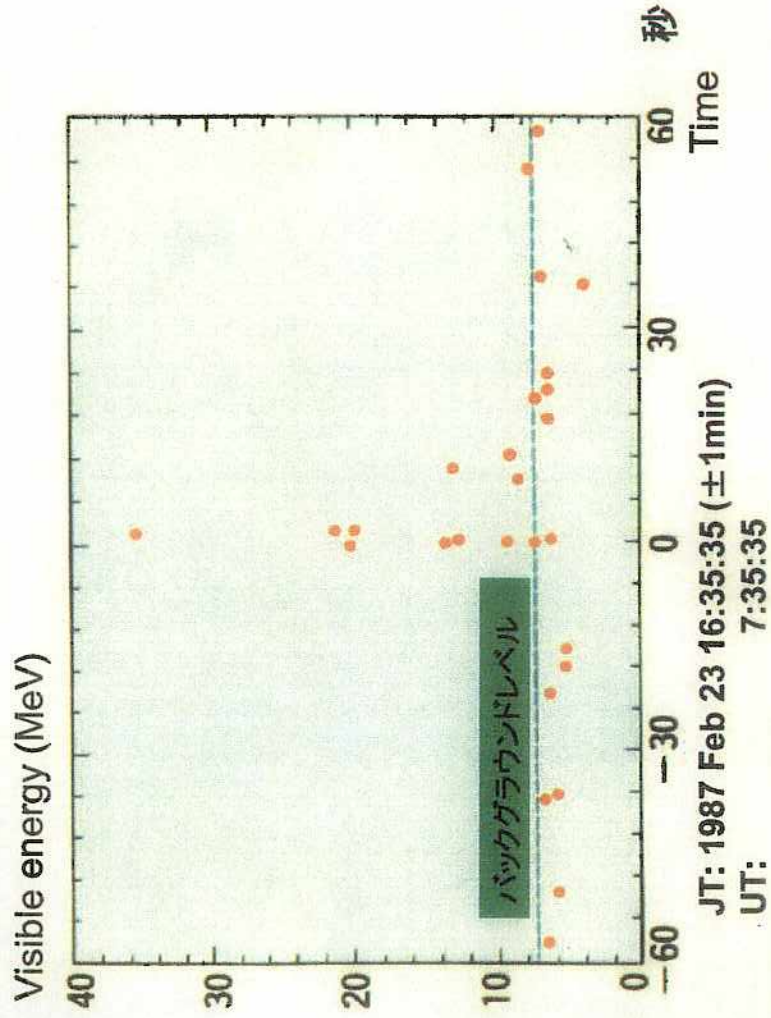
17万光年

銀河系

地球

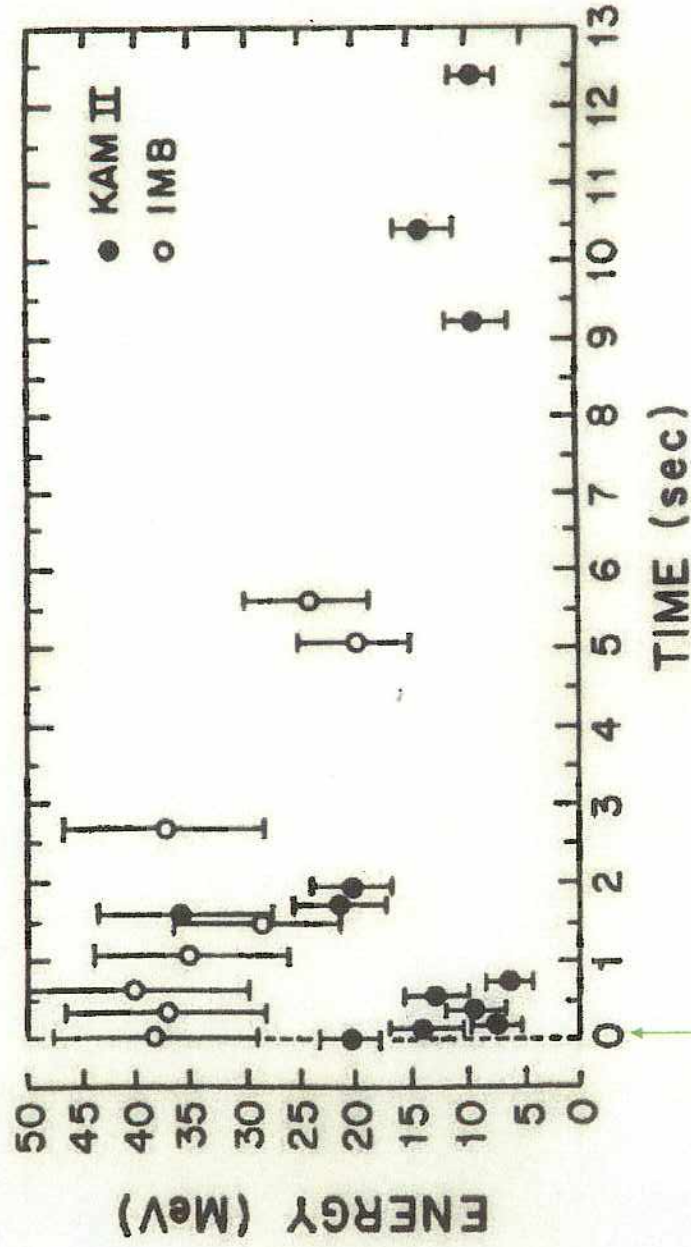
大マゼラン雲

# SN1987A signal by Kamiokande-II



402

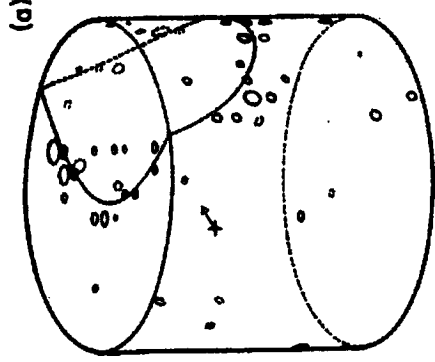
# SN1987A signal by Kam-II and IMB



First event time of Kam-II and IMB were adjusted

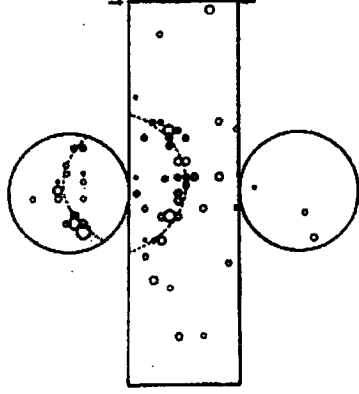
# Typical event of SN1987A neutrino signal

KAMIOKANDE 2-P



NUM	9
RUN	1892
EVENT	139372
TIME	2/23/87 16:35:37 JST
TOTAL ENERGY 19.8 MeV	
TOTAL P.E.	51(0)
MAX P.E.	4(0)
THRES P.E.	0.2(1.0)

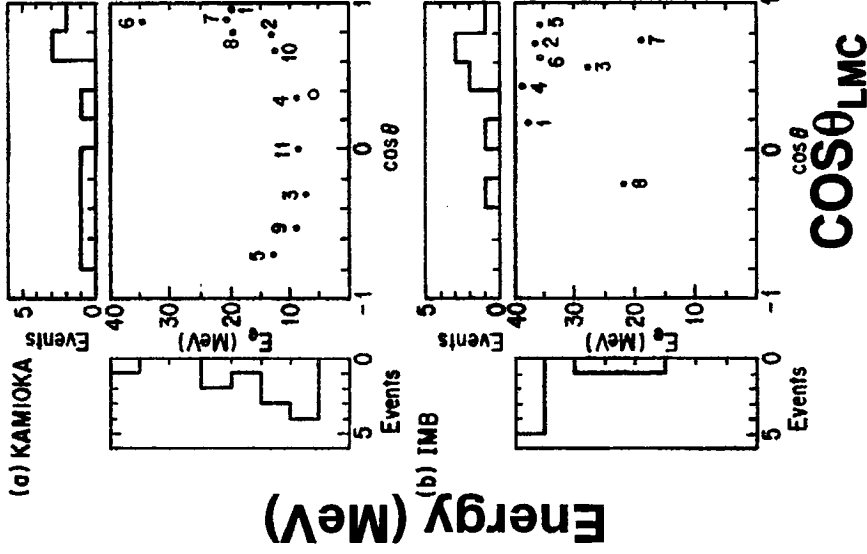
KAMIOKANDE 2-P



NUM	9
RUN	1892
EVENT	139372
TIME	2/23/87 16:35:37 JST
TOTAL ENERGY 19.8 MeV	
TOTAL P.E.	51(0)
MAX P.E.	4(0)
THRES P.E.	0.2(1.0)

502

# SN1987A data (angle and energy)



Kamiokande-II

IMB

cos $\theta$ <sub>LMC</sub>

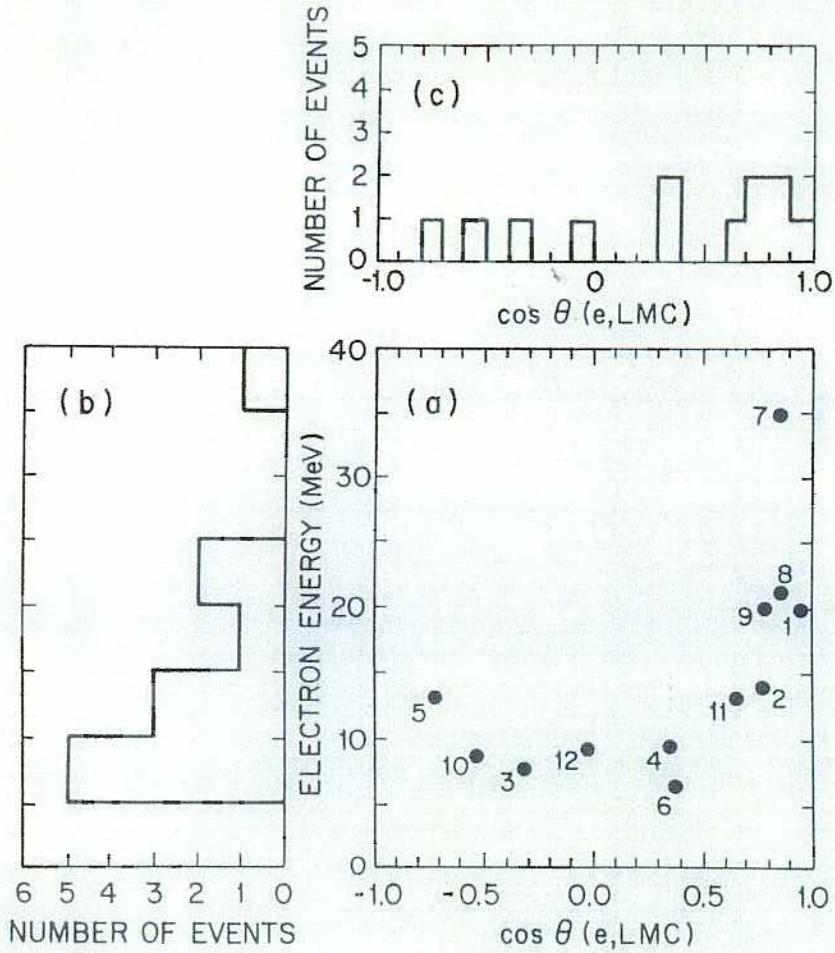
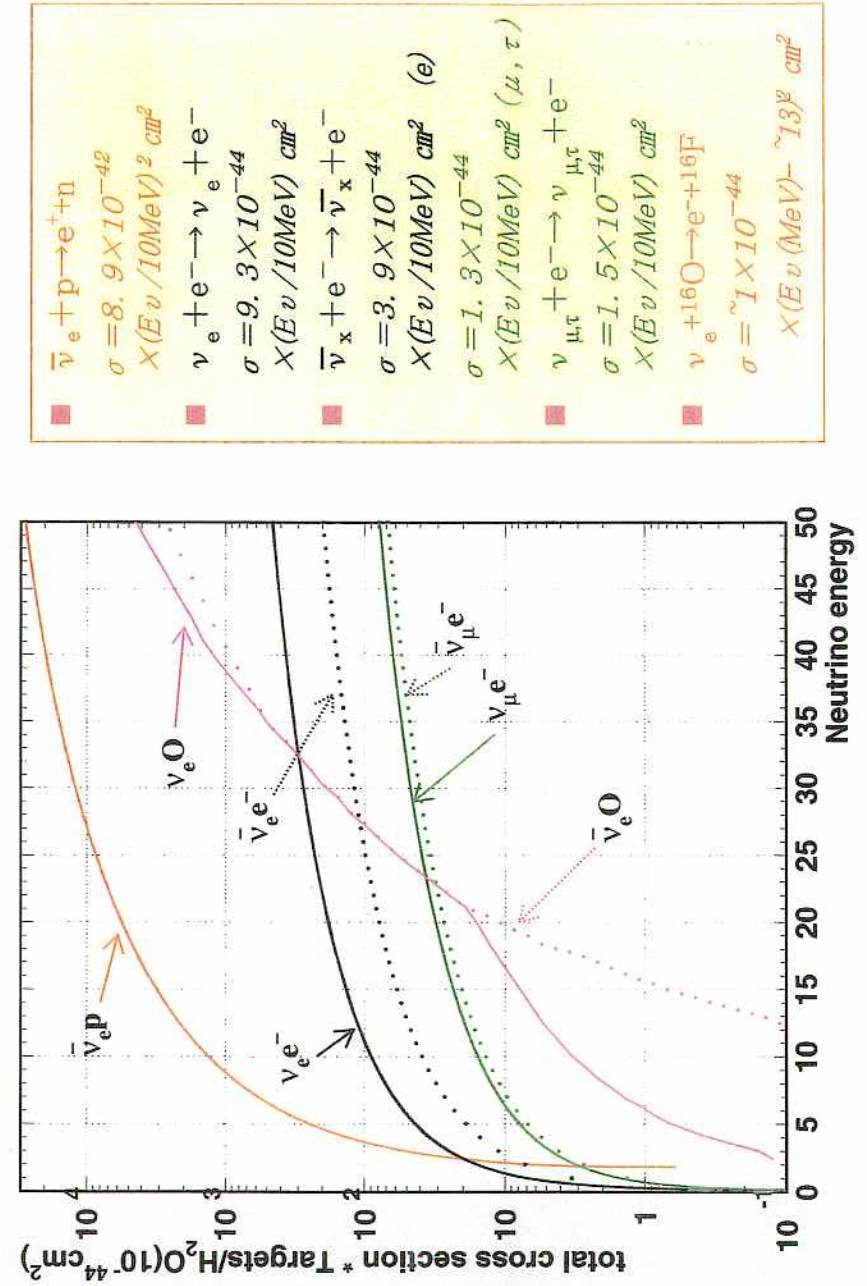
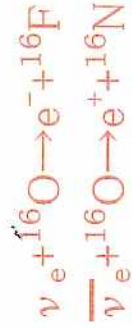
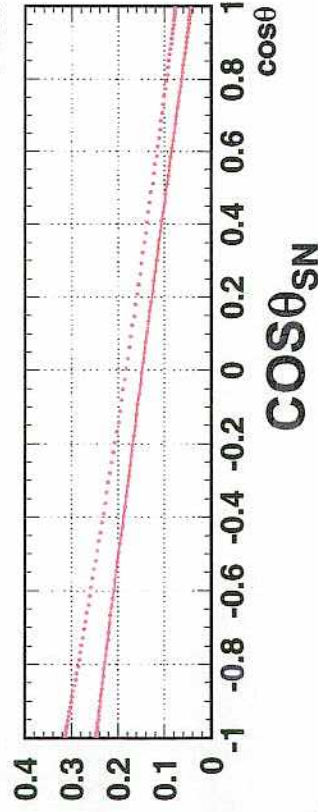
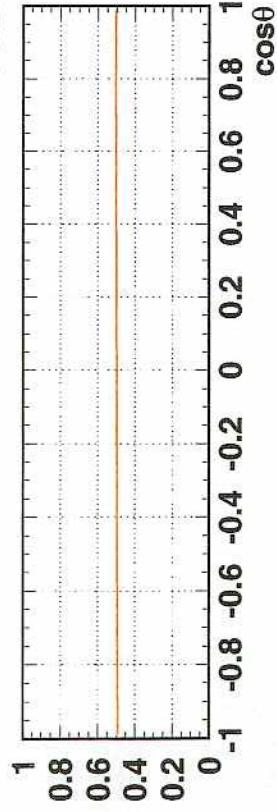
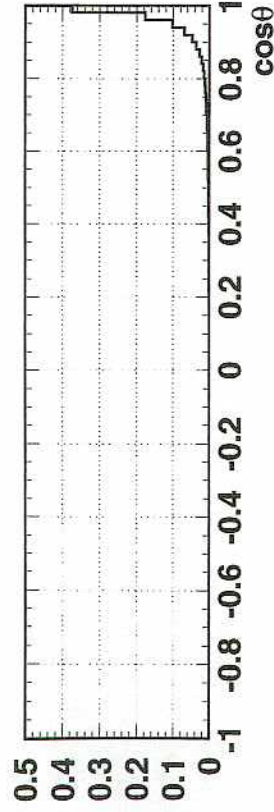


FIG. 13. (a) Scatter plot of the detected electron energy (in MeV) and the cosine of the angle between the measured electron direction and the direction of the Large Magellanic Cloud. The number to the left of each entry is the time-sequential event number from Tables I and II. The two projections of the scatter plot are displayed in (b) and (c).

### Neutrino cross section for water target



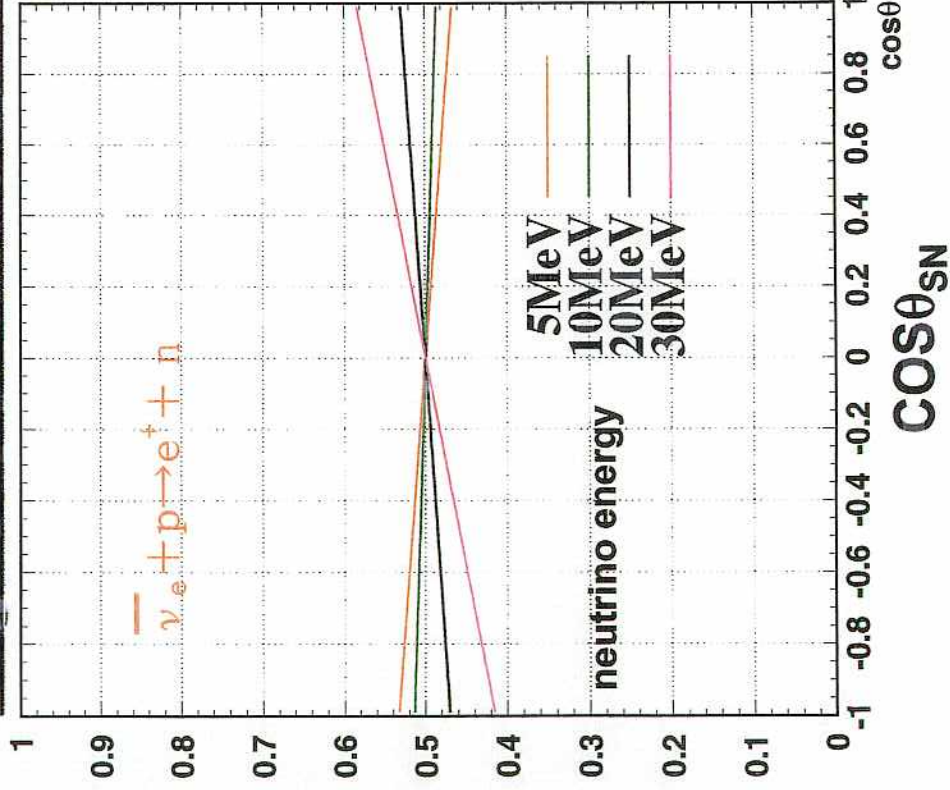
# Angular distribution of each reaction



(T=4MeV, Haxton 1987)

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# Angular distribution of $\bar{\nu}_e + p \rightarrow e^+ + n$



5MeV  
10MeV  
20MeV  
30MeV

neutrino energy

P.Vogel and J.F.Beacom,  
Phys.Rev.D60(1999)053002.

# Released energy by neutrinos(1)

## Mean neutrino energy

$$\frac{\int_{thr.}^{\infty} E_{\nu} \phi(E_{\nu}) \alpha(E_{\nu}) \varepsilon(E_{\nu}) dE_{\nu}}{\int_{thr.}^{\infty} \phi(E_{\nu}) \alpha(E_{\nu}) \varepsilon(E_{\nu}) dE_{\nu}}$$

= ~16.7 MeV by Kam-II data

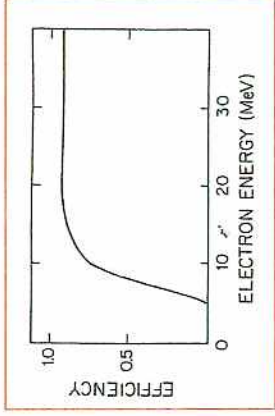
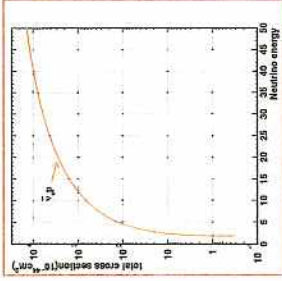
thr.=8.9MeV

$\phi(E_{\nu})$  : spectrum     $\sigma$ : cross section

$\varepsilon$ : efficiency

$$F \times \frac{\alpha E_{\nu}^2}{\exp(E_{\nu}/kT)+1}$$

$$\frac{E_{\nu}^2}{\exp(E_{\nu}/kT)+1} = 1/\alpha$$



$$\frac{\int_0^{\infty} E_{\nu} \phi(E_{\nu}) dE_{\nu}}{\int_0^{\infty} \phi(E_{\nu}) dE_{\nu}} = \sim 8.6 \text{ MeV}$$

kt = ~2.7 MeV

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SN-32

# Released energy by neutrinos(2)

## Number of events

$$\int_{thr.}^{\infty} \phi(E_{\nu}) \alpha(E_{\nu}) N_p \varepsilon(E_{\nu}) dE_{\nu} = 11 \text{ events by Kam-II data}$$

thr.=8.9MeV

$$\phi(E_{\nu}) : F \times \frac{\alpha E_{\nu}^2}{\exp(E_{\nu}/kT)+1} \quad \left( \int \frac{E_{\nu}^2}{\exp(E_{\nu}/kT)+1} = 1/\alpha \right)$$

$N_p$  : number of free protons

↑ Total flux of  $\bar{\nu}_e = \sim 1.8 \times 10^{10} / \text{cm}^2 / \text{burst}$

Number of  $\bar{\nu}_e$  produced =  $\sim 6 \times 10^{57}$

Energy release by  $\bar{\nu}_e = \sim 8 \times 10^{52} \text{ erg}$

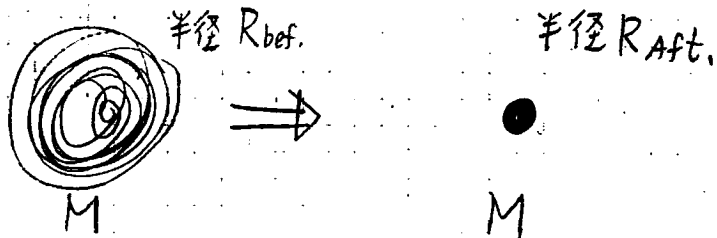
x ~6 for all neutrinos

Total release energy neutrinos =  $\sim 5 \times 10^{53} \text{ erg}$

SN-33



重力エネルギーの解放



解放される energy は、

$$\left(-\frac{GM^2}{R_{bef}}\right) - \left(-\frac{GM^2}{R_{aft}}\right)$$

$R_{bef} \gg R_{aft}$  とすると

$$\approx +\frac{GM^2}{R_{aft}}$$

$G: 6.7 \times 10^{-11} \text{ (m}^3 \text{kg}^{-1} \text{s}^{-2})$

$M: \sim M_{\odot}$  とすると  $1.99 \times 10^{30} \text{ kg}$

これか  $\sim 5 \times 10^{53} \text{ erg}$  とすると

$\Rightarrow R_{aft} \approx 5 \text{ km}$  と求まる。

$1.99 \times 10^{30} \text{ kg}$  中の核子は  $1.99 \times 10^{30} \times 10^3 \times 6 \times 10^{23}$   
 $= 1.2 \times 10^{57}$  。

5km の半径とすると

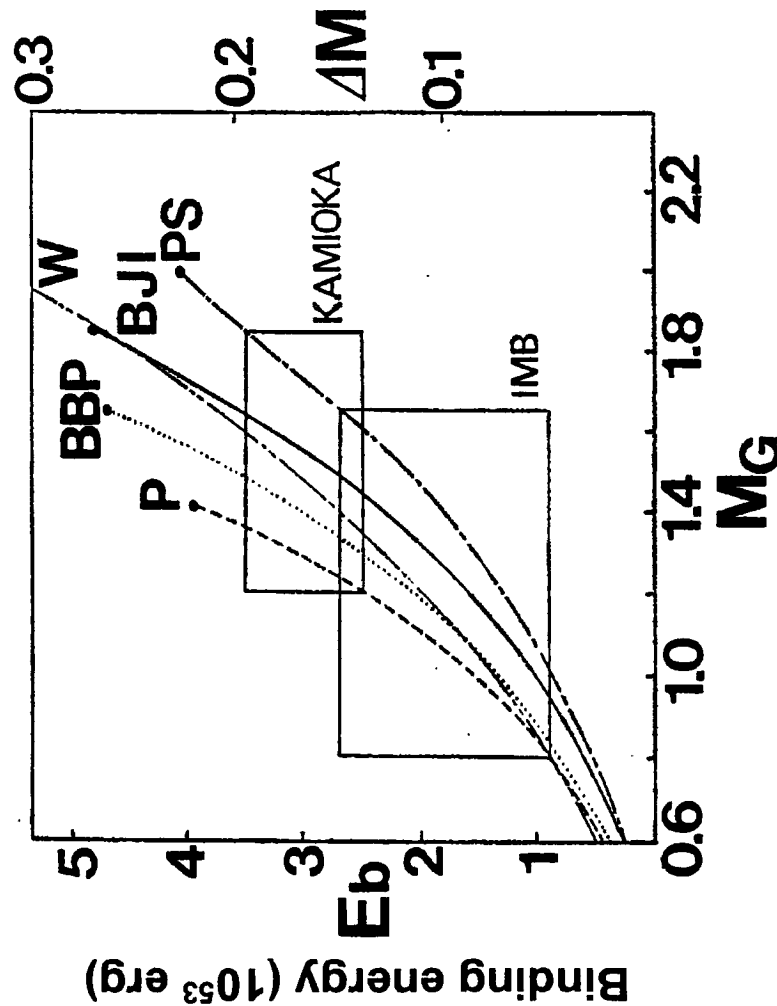
$\frac{4}{3}\pi \times (5 \text{ km})^3 = 5.2 \times 10^{56} \text{ fm}^3$

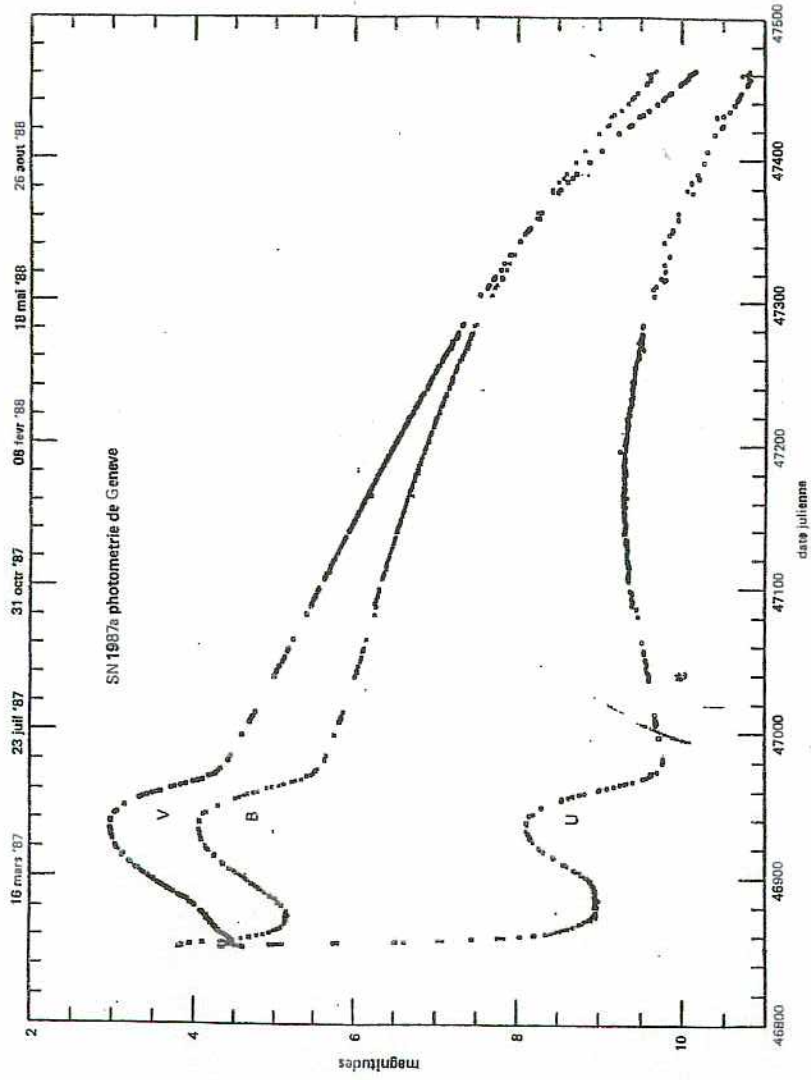
したがって密度は  $\sim 2 \times 10^{17} / \text{fm}^3$

nuclear density に達したことがわかった。

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Released energy and neutron star mass





D.N. Schramm and J.W. Truran, *New physics from Supernova 1987A*

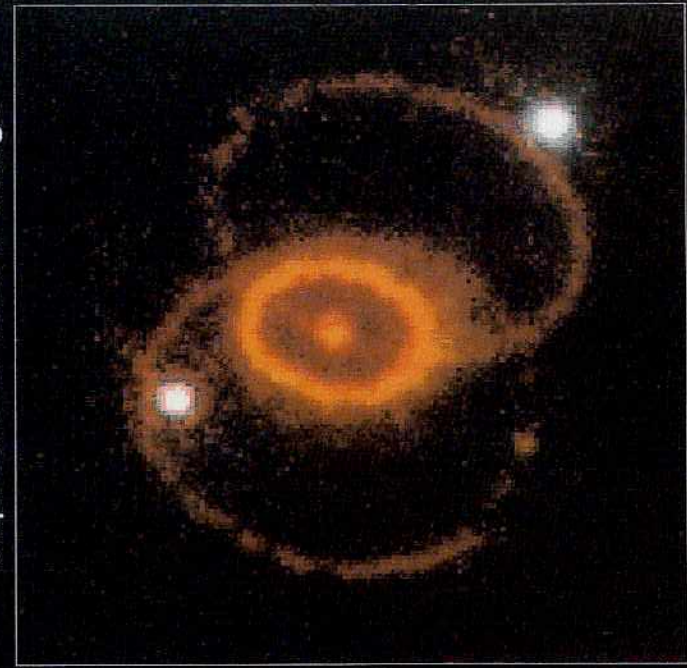
101

Fig. 4. Visual light curve of Supernova 1987A.

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超新星1987Aの爆発後の星の周り

Supernova 1987A Rings



Hubble Space Telescope  
Wide Field Planetary Camera 2

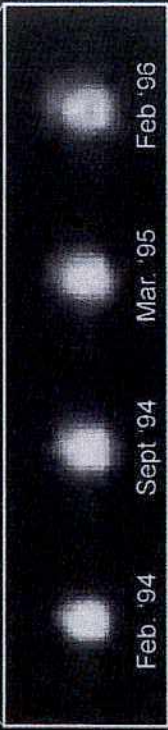
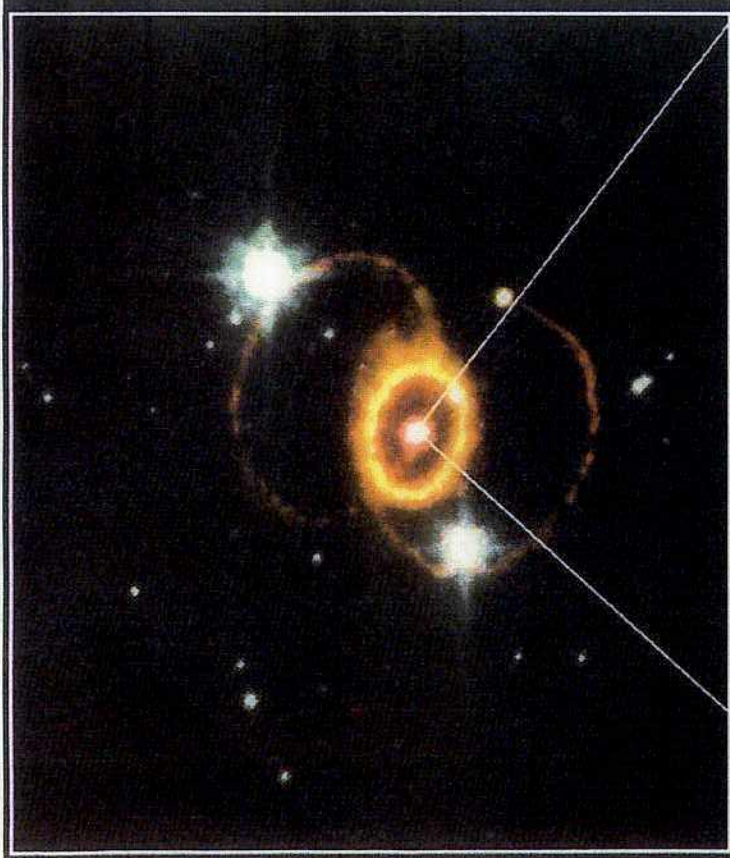


ハッブル宇宙望遠鏡  
(1994年撮影)

SN-37

# 超新星1987Aの 最近の姿

1996年2月には、星の中心部が拡がりつつあることが観測された。

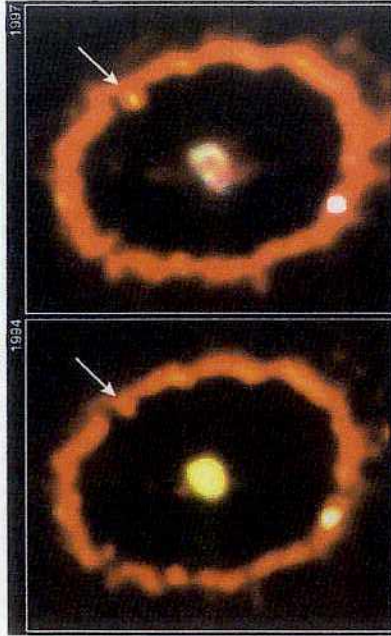


**Supernova 1987A**  
 PRC97-03 • ST ScI OPO • January 14, 1997  
 J. Pun (NASA/GSFC), R. Kirshner (CfA) and NASA

SN-38

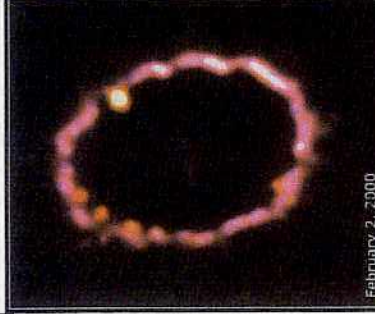
112

# SN1987Aの最近の姿



**Bright Knot in Supernova 1987A Ring**  
 PRC98-09 • February 10, 1998 • ST ScI OPO  
 P. Garnavich (Harvard-Smithsonian Center for Astrophysics) and NASA

右上にホットスポットが現れる。  
(1998年2月)



更に4カ所ホットスポットが増える。  
(2000年2月)



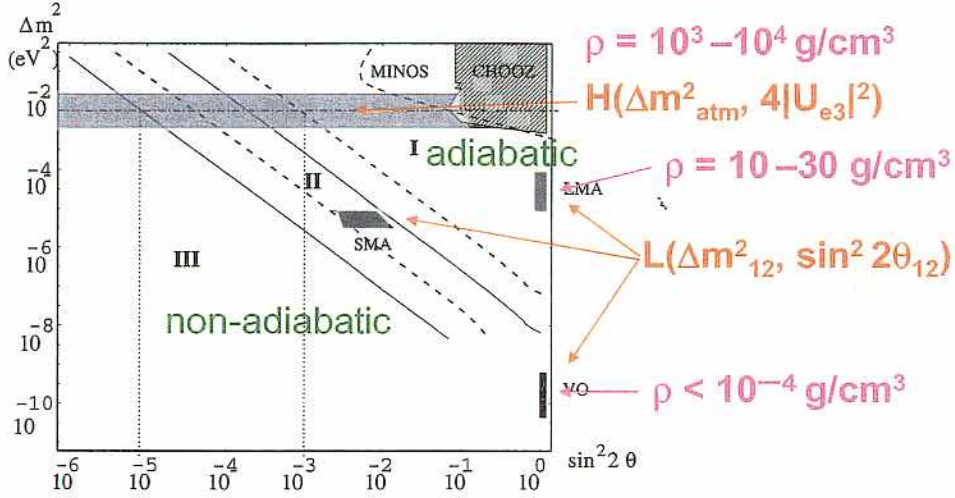
**Supernova 1987A in the Large Magellanic Cloud**  
 HST • WFPC2  
 NASA, P. Challis and B. Kirshner (CfA), P. Garnavich (University of Notre Dame) and The STMS Collaboration • ST ScI-PRC09-11

SN-37

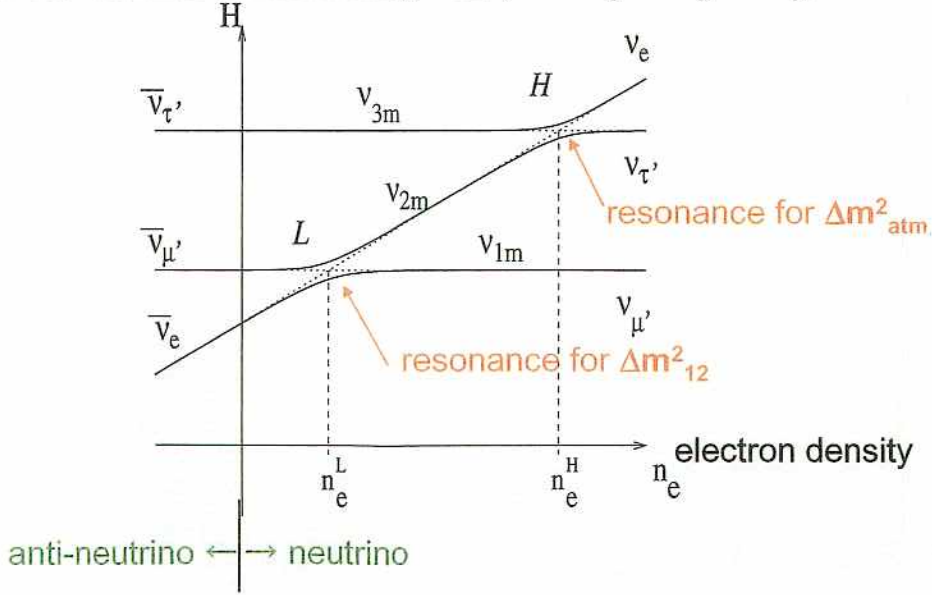
# Neutrino oscillations in supernova

(ref.: A.S.Dighe and A.Yu.Smirnov, hep-ph/9907423)

## Unknown parameters



## Neutrino transition diagram (for $m_3 > m_2 > m_1$ )



## 2.6 The level crossing schemes and initial conditions

In the basis of flavor eigenstates ( $\nu_e, \nu_\mu, \nu_\tau$ ), the evolution of neutrinos at densities  $\rho < 10^6$  g/cc relevant for neutrino conversion (see below) is described by a Schrödinger-like equation with the effective Hamiltonian

$$H = \frac{\mathcal{M}^2}{2E} + \mathcal{V} = \frac{1}{2E} \begin{pmatrix} m_{ee}^2 + 2EV & m_{e\mu}^2 & m_{e\tau}^2 \\ m_{\mu e}^2 & m_{\mu\mu}^2 & m_{\mu\tau}^2 \\ m_{\tau e}^2 & m_{\tau\mu}^2 & m_{\tau\tau}^2 \end{pmatrix}, \quad (42)$$

where  $\mathcal{V} \approx \text{Diag}(V, 0, 0)$ , and  $V = \sqrt{2}G_F n_e$  is the effective potential for the electron neutrinos due to their charged current interactions with electrons.

Since any rotation in the  $(\nu_\mu - \nu_\tau)$  subspace does not affect the physics, it is convenient to perform a rotation of the neutrino states  $(\nu_e, \nu_\mu, \nu_\tau) \rightarrow (\nu_e, \nu_{\mu'}, \nu_{\tau'})$ , which diagonalizes the  $(\nu_\mu, \nu_\tau)$  submatrix of (42) [47]. (The potential  $V$  appears only in the element  $H_{ee}$ , and hence is not affected by this rotation.) The effective Hamiltonian in the new basis becomes

$$H = \frac{1}{2E} \begin{pmatrix} m_{ee}^2 + 2EV & m_{e\mu'}^2 & m_{e\tau'}^2 \\ m_{\mu'e}^2 & m_{\mu'\mu'}^2 & 0 \\ m_{\tau'e}^2 & 0 & m_{\tau'\tau'}^2 \end{pmatrix}. \quad (43)$$

At  $V \gg m_{ij}^2/(2E)$ , the off-diagonal terms can be neglected and the Hamiltonian (43) becomes diagonal:

$$H \approx \text{Diag}(V, m_{\mu'\mu'}^2, m_{\tau'\tau'}^2). \quad (44)$$

That is, the basis states  $(\nu_e, \nu_{\mu'}, \nu_{\tau'})$  are the matter eigenstates. These are the states that arrive at the conversion regions as independent (incoherent) states and transform in this region independently.

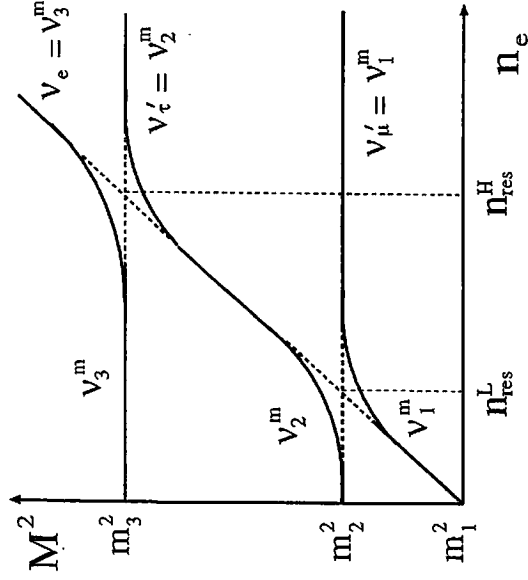


Figure 3.7: Crossing diagram for three-flavor neutrinos in the case of normal mass hierarchy.

obtain the transformed mass matrix

$$\tilde{M}^2 = e^{-i\theta_{13}\lambda_5} e^{-i\theta_{23}\lambda_7} M^2 e^{i\theta_{23}\lambda_7} e^{i\theta_{13}\lambda_5}$$

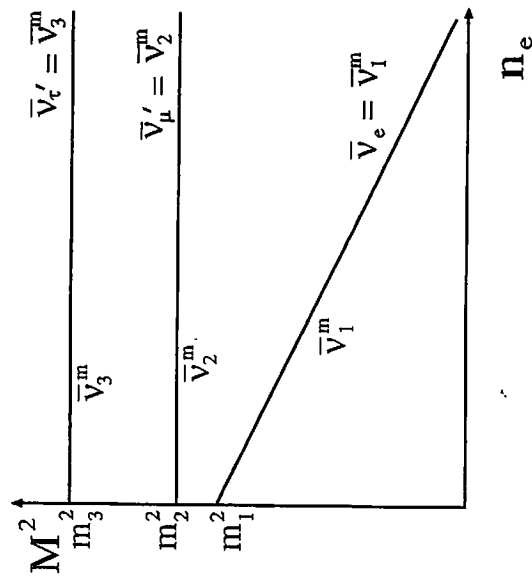


Figure 3.8: Crossing diagram for three-flavor antineutrinos in the case of normal mass hierarchy.

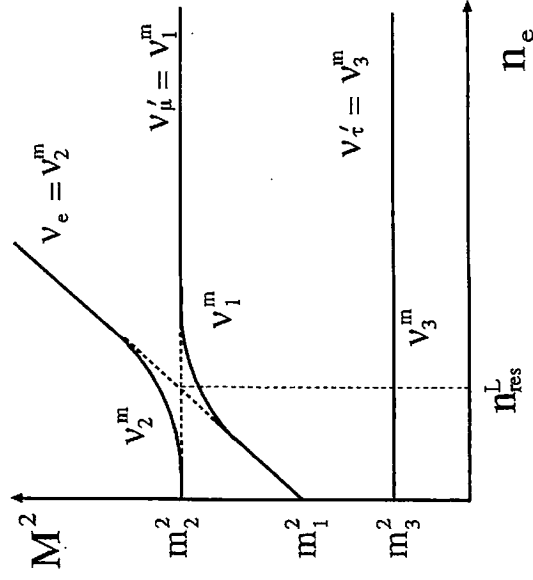


Figure 3.9: Crossing diagram for three-flavor neutrinos in the case of inverted mass hierarchy.

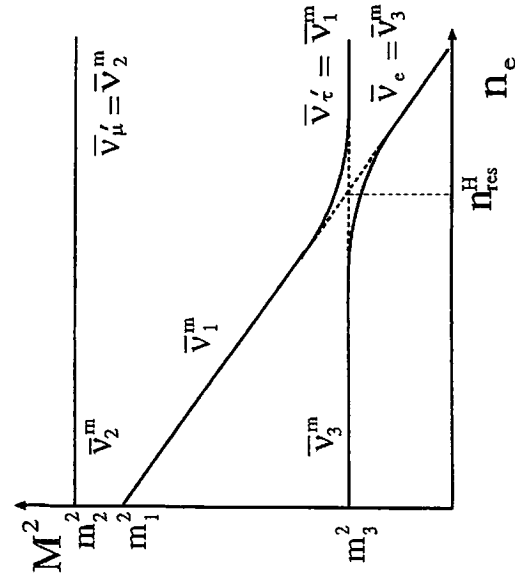


Figure 3.10: Crossing diagram for three-flavor antineutrinos in the case of inverted mass hierarchy.

traveling distance of neutrinos. In spite of these suggestions, interest in atmospheric neutrinos was not very strong until Kamiokande published a study of the atmospheric neutrino

## Effect of the neutrino oscillations to the supernova spectrum

### Normal hierarchy

#### $H(\Delta m_{atm}^2, 4|U_{e3}|^2)$ resonance in adiabatic region

- $\nu_e \rightarrow \nu_3, \nu_{\mu'} \rightarrow \nu_1, \nu_{\tau'} \rightarrow \nu_2$  at H resonance
- neutronization  $\nu_e$  peak  $\rightarrow \nu_X(\nu_{\mu'}, \nu_{\tau'})$ .
- anti-neutrinos have no resonances.

$\bar{\nu}_e$  spectrum is a mixture of original  $\bar{\nu}_e$  and  $\nu_X$  for LMA solution.

- $\nu_e$  has harder spectrum ( $(E\nu_e) > (E\bar{\nu}_e)$ )

#### $H(\Delta m_{atm}^2, 4|U_{e3}|^2)$ in non-adiabatic region

- $\nu_e \rightarrow \nu_2, \nu_{\mu'} \rightarrow \nu_1, \nu_{\tau'} \rightarrow \nu_3$  at H.
- neutronization  $\nu_e$  peak  $\rightarrow \nu_e$  and  $\nu_X$ .
- anti-neutrinos have no resonances.

$\bar{\nu}_e$  spectrum is a mixture of original  $\bar{\nu}_e$  and  $\nu_X$  for LMA solution.

- $\nu_e$  is a mixture of original  $\nu_e$  and  $\nu_X$ .

### Inverted mass hierarchy

#### $H(\Delta m_{atm}^2, 4|U_{e3}|^2)$ resonance in adiabatic region

- $\nu_e \rightarrow \nu_2, \nu_{\mu'} \rightarrow \nu_1, \nu_{\tau'} \rightarrow \nu_3$  at L resonance
- neutronization  $\nu_e$  peak  $\rightarrow \nu_e$  and  $\nu_X$ .
- $\bar{\nu}_e \rightarrow \bar{\nu}_3, \bar{\nu}_{\mu'} \rightarrow \bar{\nu}_2, \bar{\nu}_{\tau'} \rightarrow \bar{\nu}_1$  at H resonance.

$\bar{\nu}_e$  spectrum is a mixture of original  $\bar{\nu}_e$  and  $\nu_X$  for LMA solution.

#### $H(\Delta m_{atm}^2, 4|U_{e3}|^2)$ in non-adiabatic region

- $\nu_e \rightarrow \nu_2, \nu_{\mu'} \rightarrow \nu_1, \nu_{\tau'} \rightarrow \nu_3$  at L resonance
- neutronization  $\nu_e$  peak  $\rightarrow \nu_e$  and  $\nu_X$ .
- $\bar{\nu}_e \rightarrow \bar{\nu}_1, \bar{\nu}_3, \bar{\nu}_{\mu'} \rightarrow \bar{\nu}_2, \bar{\nu}_{\tau'} \rightarrow \bar{\nu}_3, \bar{\nu}_1$  at H.

$\bar{\nu}_e$  spectrum is a mixture of original  $\bar{\nu}_e$  and  $\nu_X$  for LMA solution.

If neutrinos have mass,

higher energy neutrinos come earlier.



## Simulation of neutrino burst from Supernova

expected number from neutrino interactions in SuperKamiokande

Time delay ( $\Delta T$ )

$$\Delta T = 2.6 \times 10^{-2} \text{ sec.} \left( \frac{m}{1 \text{ eV}} \right)^2 \times \left( \frac{E}{10 \text{ MeV}} \right)^{-2} \left( \frac{l}{50 \text{ kpc}} \right)$$

$m$ :  $\nu$  mass (eV)

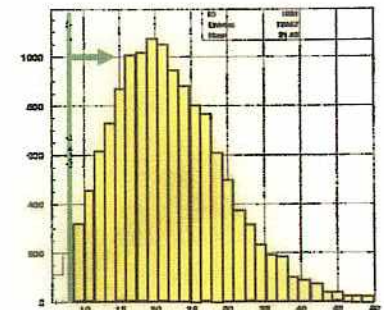
$E$ :  $\nu$  energy (MeV)

$l$ : distance to supernova (kpc)

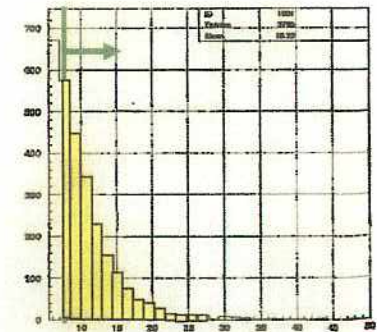
- ◆  $\bar{\nu} e + p \rightarrow e^+ + n$ :  $\sim 3500$
- ◆  $\nu e + e^- \rightarrow \nu e + e^-$ :  $\sim 160$
- ◆  $\bar{\nu} \chi + e^- \rightarrow \bar{\nu} \chi + e^-$ :  $\sim 90$
- ◆  $\bar{\nu} e + e^- \rightarrow \bar{\nu} e + e^-$ :  $\sim 60$
- ◆  $\nu N \rightarrow \nu N + \gamma$ :  $\sim 300$

cf.  $R=10 \text{ kpc}$ ,  $\text{mass}=12 M_{\odot}$ ,  
and  $E_{\nu} > 5.5 \text{ MeV}$

Energy spectrum



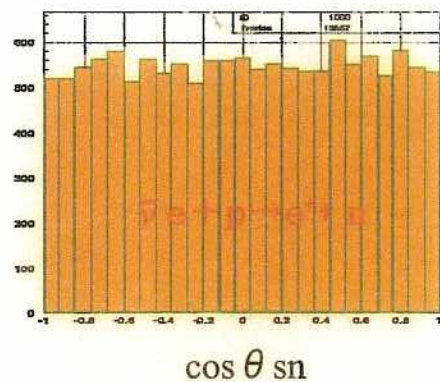
$\bar{\nu} e + p \rightarrow e^+ + n$



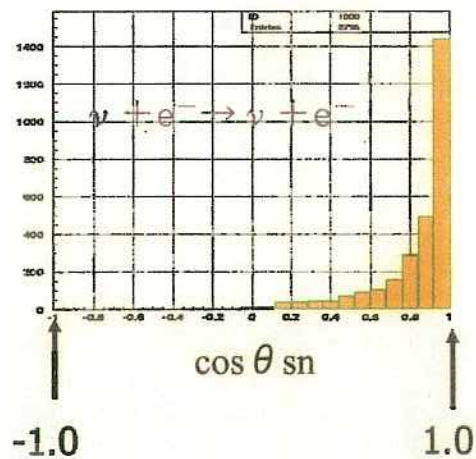
$\nu e + e^- \rightarrow \nu e + e^-$

# Directionality of Supernova neutrinos

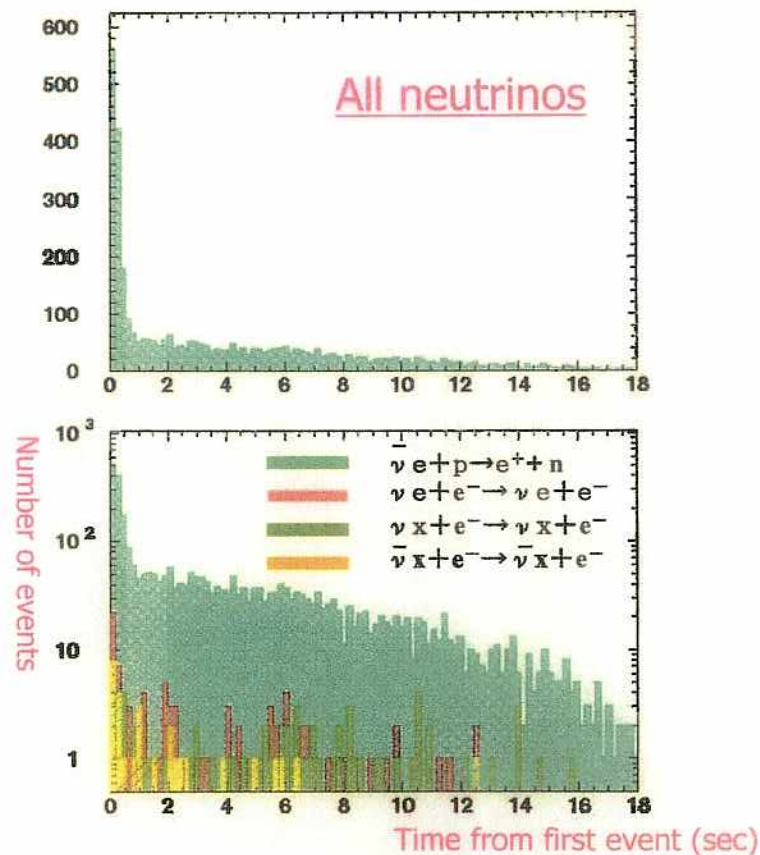
- Positrons from  $\bar{\nu} e + p \rightarrow e^+ + n$  does not have directionality of incident anti-neutrinos



- Electrons from electron scattering have directionality of neutrinos

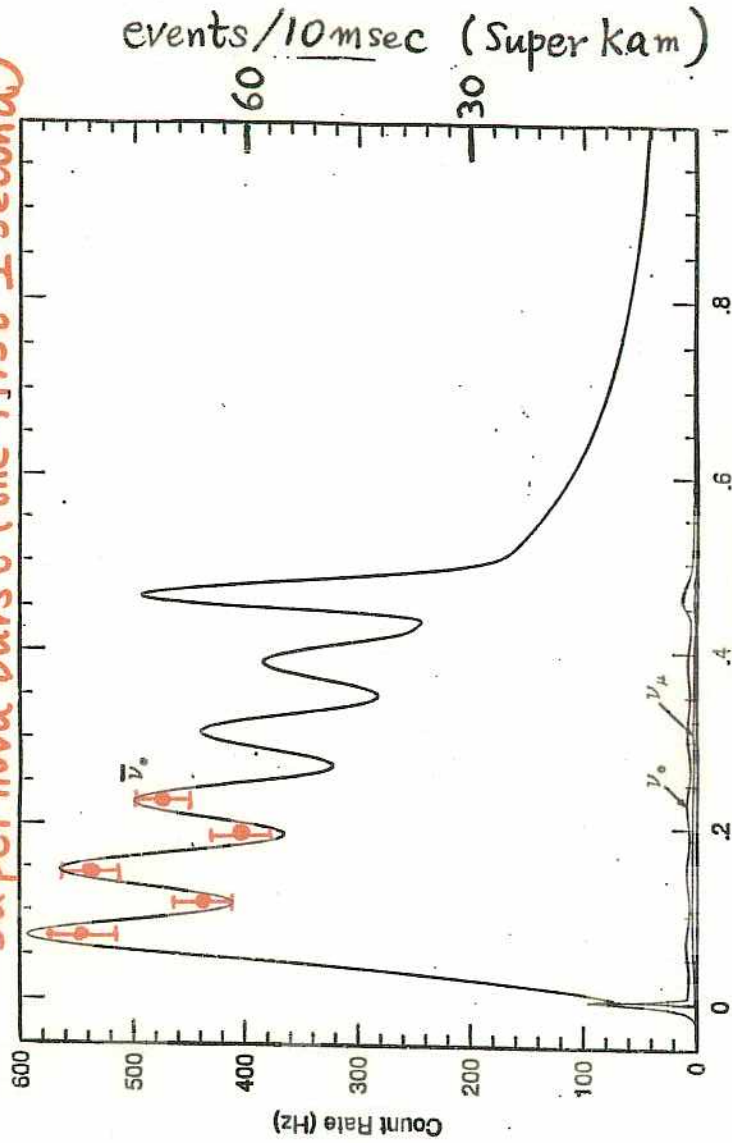


# Expected time profile of supernova events in SuperK



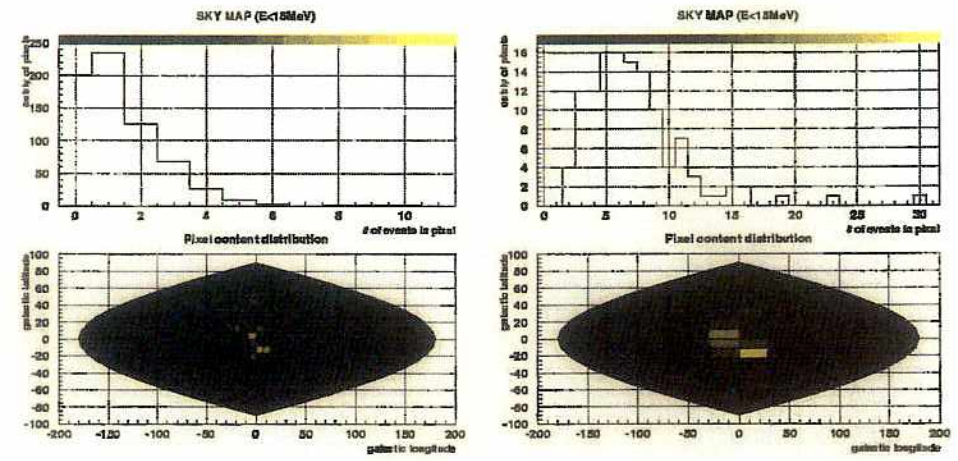


Model calculation  
Supernova burst (the first 1 second)



A. Burrows et al. Phys. Rev. D 45 (1992) 3361.

### Direction of Supernova events on the celestial coordinate (SN@ center of our Galaxy)

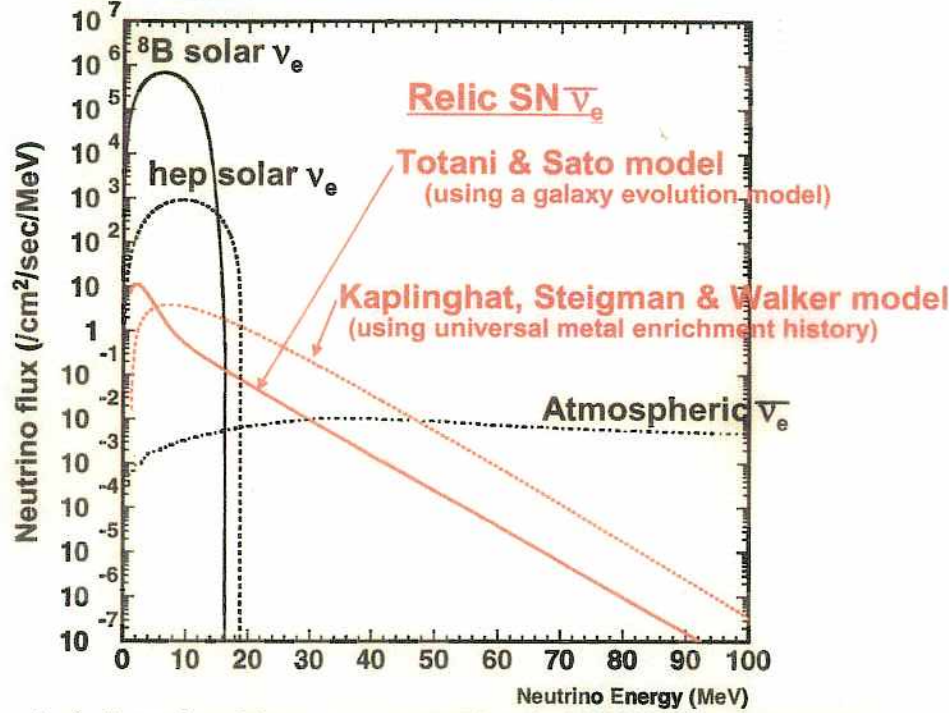


SuperKamiokande can detect the direction of supernova using neutrino-electron scattering events

# Search for relic supernova neutrinos

Neutrinos from past supernovae could be observed as diffuse neutrinos.

## Expected neutrino energy spectrum



(ref. Totani and Sato, *Astropart.Phys.*3, 367(1995); *ApJ.*460,303(1996).  
Kaplinghat, Steigman & Walker, *Phys.Rev.D*62,043001(2000).)

**Expected event rate: ~1.2 ev./yr for 15-40 MeV (Totani & Sato model)**

**Spallation background dominates < ~18 MeV**

➔ **Events >18 MeV is discussed.**

TABLE II. The SRN search results are presented for six theoretical models. The first column describes the method used to calculate the SRN flux. The second column shows the efficiency-corrected limit on the SRN event rate at SK. The third column is the flux limit set by SK, which can be compared with the theoretical predictions that are shown in the fourth column. The fifth column shows the flux predictions above a threshold of  $E_\nu > 19.3$  MeV. Note that the heavy metal abundance calculation only sets a theoretical upper bound on the SRN flux [7].

Theoretical model	Event rate limit (90% C.L.)	SRN flux limit (90% C.L.)	Predicted flux	Predicted flux ( $E_\nu > 19.3$ MeV)
Galaxy evolution [4]	< 3.2 events / year	< 130 $\bar{\nu}_e$ cm <sup>-2</sup> s <sup>-1</sup>	44 $\bar{\nu}_e$ cm <sup>-2</sup> s <sup>-1</sup>	0.41 $\bar{\nu}_e$ cm <sup>-2</sup> s <sup>-1</sup>
Cosmic gas infall [5]	< 2.8 events / year	< 33 $\bar{\nu}_e$ cm <sup>-2</sup> s <sup>-1</sup>	5.4 $\bar{\nu}_e$ cm <sup>-2</sup> s <sup>-1</sup>	0.20 $\bar{\nu}_e$ cm <sup>-2</sup> s <sup>-1</sup>
Cosmic chemical evolution [6]	< 3.3 events / year	< 25 $\bar{\nu}_e$ cm <sup>-2</sup> s <sup>-1</sup>	8.3 $\bar{\nu}_e$ cm <sup>-2</sup> s <sup>-1</sup>	0.39 $\bar{\nu}_e$ cm <sup>-2</sup> s <sup>-1</sup>
Heavy metal abundance [7]	< 3.0 events / year	< 29 $\bar{\nu}_e$ cm <sup>-2</sup> s <sup>-1</sup>	< 54 $\bar{\nu}_e$ cm <sup>-2</sup> s <sup>-1</sup>	< 2.2 $\bar{\nu}_e$ cm <sup>-2</sup> s <sup>-1</sup>
Constant supernova rate [4]	< 3.4 events / year	< 20 $\bar{\nu}_e$ cm <sup>-2</sup> s <sup>-1</sup>	52 $\bar{\nu}_e$ cm <sup>-2</sup> s <sup>-1</sup>	3.1 $\bar{\nu}_e$ cm <sup>-2</sup> s <sup>-1</sup>
Large mixing angle osc. [8]	< 3.5 events / year	< 31 $\bar{\nu}_e$ cm <sup>-2</sup> s <sup>-1</sup>	11 $\bar{\nu}_e$ cm <sup>-2</sup> s <sup>-1</sup>	0.43 $\bar{\nu}_e$ cm <sup>-2</sup> s <sup>-1</sup>

is the total flux of SRNs integrated over the entire spectrum, this equation can be inverted into the following:

$$F = N_p \times \tau \int_{19.3 \text{ MeV}}^{\infty} f(E_\nu) \sigma(E_\nu) \epsilon(E_\nu) dE_\nu \quad (3)$$

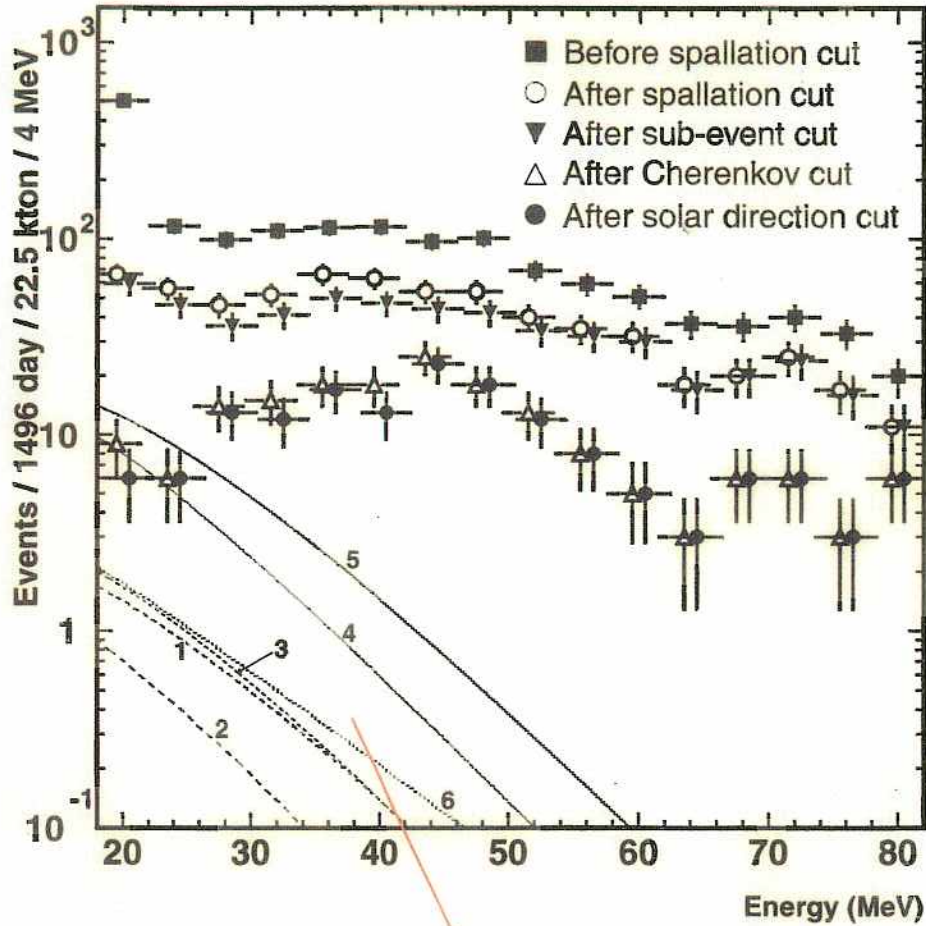
Using the above values, the 90% C.L. SRN flux limit was calculated for each model. The results are in the third column of Table II, and can be compared with the predictions, which are in the fourth column. For the galaxy evolution model [4], the cosmic gas infall model [5], and the cosmic chemical evolution model [6], the SK limits are larger than the predictions by a factor of three to six. In these models, the dominant contribu-

come supernovae. At Kamiokande-II, a flux limit of  $780 \bar{\nu}_e$  cm<sup>-2</sup> s<sup>-1</sup> was set with the assumption of a constant supernova model [9]; the SK limit on this model is 39 times more stringent.

The SRN limits vary greatly, based on the shape of the theoretical SRN spectrum at energies that are below SK's SRN analysis threshold. To remove this strong model dependence, a limit was set for  $E_\nu > 19.3$  MeV. In this region, all six models have similar energy spectrum shapes, and so an experimental limit that is insensitive to the choice of model can be obtained as follows:

$$f(E_\nu) dE_\nu$$

# Data reduction



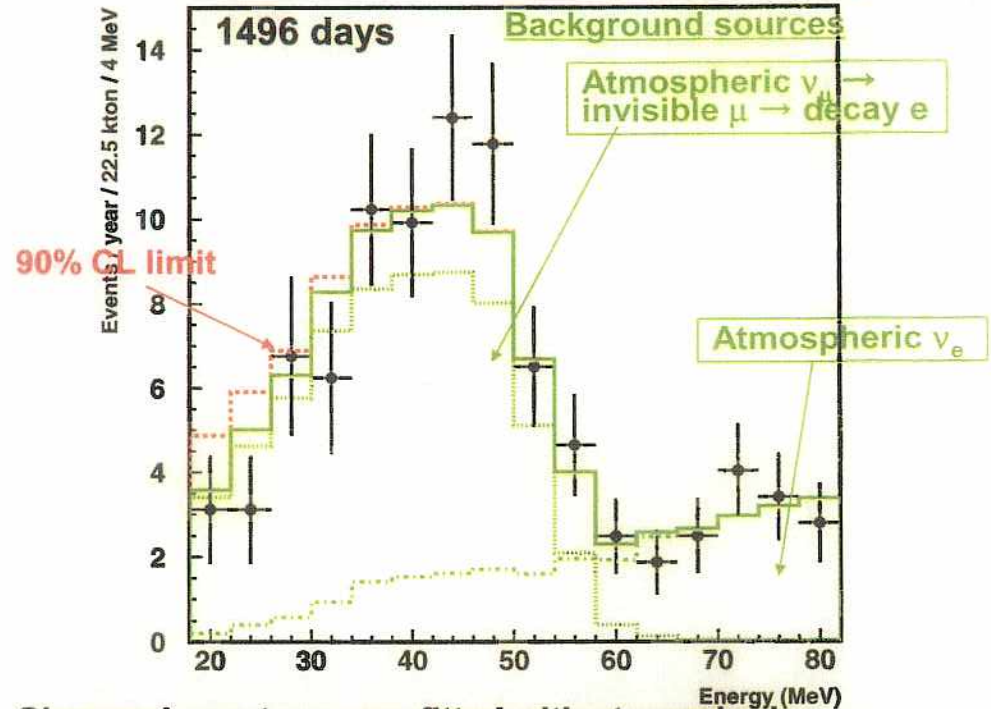
**Model predictions**

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# Energy spectrum above 18MeV and background sources

Energy spectrum above 18 MeV

Preliminary



Observed spectrum was fitted with atmospheric  $\nu$  backgrounds (normalization free) and possible relic SN signals.

→ **Upper limit of relic SN events: < 3.2 events/year (>18 MeV, 90% C.L.)**  
**Relic SN  $\nu_e$  flux limit: < 130 /cm<sup>2</sup>/sec (90% C.L.)**  
 (whole energy range, using Totani & Sato spectrum shape)

cf. Flux expectations:

Totani & Sato model : 44 /cm<sup>2</sup>/sec

Kaplinghat et al. model: < 54 /cm<sup>2</sup>/sec

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# Proton Decay

Strong interaction

Weak interaction

electromagnetic interaction

Unified by Weinberg-Salam theory

Why not including strong interaction?

Coupling constant  $U(1), SU(2), SU(3)$  が  $10^{15}$  GeV 位  
でおさまる。

$$\text{Atmospheric } \nu \rightarrow \Delta m^2 \approx 3 \times 10^{-3} \text{ eV}^2$$

$\nearrow = m_3^2 - m_2^2$

$$\text{Solar } \nu \rightarrow \Delta m^2 \approx \sim 7 \times 10^{-5} \text{ eV}^2$$

$\searrow = m_2^2 - m_1^2$

$$m_3 \gg m_2 \gg 1 \text{ eV} \gg m_1$$

$$m_3^2 \approx 3 \times 10^{-3} \text{ eV}^2$$

$$m_3 \approx 0.05 \text{ eV}$$

$$m_2 \approx \sqrt{7 \times 10^{-5}} \approx \sim 0.008 \text{ eV}$$

$$m_1, m_2, m_3 \approx m_e, m_\mu, m_\tau$$

$$\frac{m_\tau}{m_3} = \frac{1.78 \text{ GeV}}{0.05 \text{ eV}} \approx 4 \times 10^{10} \text{ 倍}$$

$$\frac{m_\mu}{m_2} = \frac{105.7 \text{ MeV}}{\sim 0.008 \text{ eV}} \approx \sim 3 \times 10^{10} \text{ 倍}$$

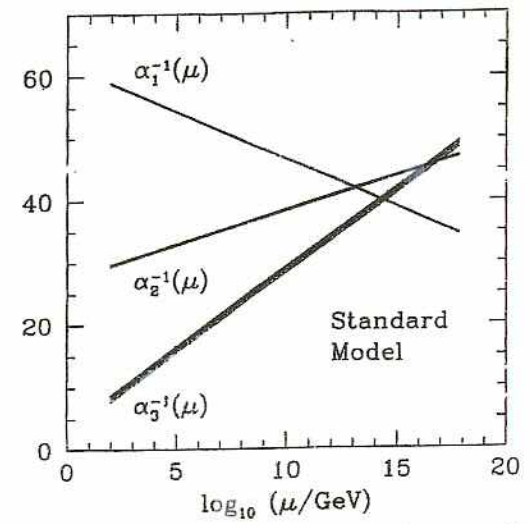


Figure 3. The failure of the running couplings, normalized according to SU(5) and extrapolated taking into account only the virtual exchange of the "known" particles of the standard model (including the top quark and Higgs boson) to meet. Note that only with fairly recent experiments [5], which greatly improved the precision of the determination of low-energy couplings, has the discrepancy become significant.

medium, since virtual particle-anti-particle pairs can screen charge. For charged gauge bosons, as arise in non-abelian theories, the paramagnetic (antiscreening) effect of their spin-spin interaction dominates, which leads to asymptotic freedom. As Georgi, Quinn, and Weinberg pointed out [4], if a gauge symmetry such as SU(5) is spontaneously broken at some very short distance then we should not expect that the effective couplings probed at much larger distances, such as

quantitative handle or To specify the relevant basically needs only to scale at which the cou essentially the scale at try breaks), and their unite. Given these, on the three *a priori* ind gauge groups in SU(3 framework is eminentl ing thing is, how close i 3).

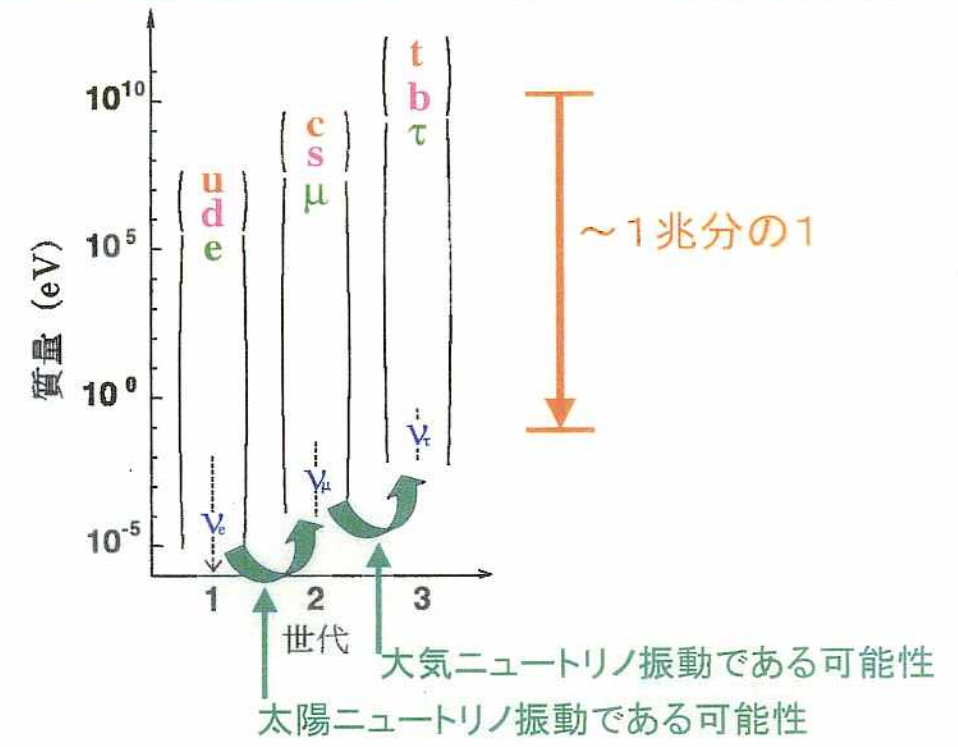
The GQW calculati in explaining the obser of couplings and the a proton. In performin known and confidentl standard model exha unification scale, and field theory could be ation up to this mas magnitude beyond the to describe. It is a tri existential and conce

On closer inspecti good enough. Accura the couplings show a ancy between the cot 3. And heroic dedical proton decay did not clude the minimal SU by about two orders

If we just add part things will only get v works, so a generic f ous. Even if some t made to work, that v come from what app recious elegantly s

## 基本構成粒子(クォーク・レプトン)の

### 3世代構造と質量の階層性



大気 / 太陽ニュートリノ観測により

ニュートリノ質量及びこのみごとな階層に関する情報が得られ始めた

**謎** クォーク・荷電レプトン質量の~1兆分の1

$$m_\nu = \frac{m_q l^2}{M}$$

(シーソー機構)

背後に超高エネルギーの世界の存在を示唆

See-Saw  $\chi^2 = \sum$

$$\begin{pmatrix} \bar{\nu}_1, \bar{\nu}_2 \end{pmatrix} \begin{pmatrix} m_L & m_D \\ m_D & m_R \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

$m_L = 0$ ,  $m_D$ : Quark-lepton mix  
 $m_R$ :

固有値:  $m_\nu \equiv \frac{m_D^2}{m_R}$ ,  $m_N \equiv m_R$

$\nu$  の mass 5 522  $m_{\nu_3} \approx 0.05 \text{ eV}$

$m_D \approx m_L = 1.786 \text{ eV}$

$m_R \approx 6 \times 10^{10} \text{ GeV}$

大抵 Energy scale に  $10^{10} \text{ GeV}$  位に何かがある。

したがって mass をあわせて、  
 大統一理論の必要性

- 電子  $e^-$ , 陽子の電荷の絶対値はなぜ同じか。
- 宇宙の反粒子/粒子の非対称を説明するためには  $\alpha$  と  $\beta$  の両者が必要。

SU(5) GUTs

$\psi$  に mass は ない。

$$\psi_5 = \begin{pmatrix} d_R^1 \\ d_R^2 \\ d_R^3 \\ e_L^c \\ -\nu_L^c \end{pmatrix}$$

1, 2, 3 は カラーの指標 R, G, B

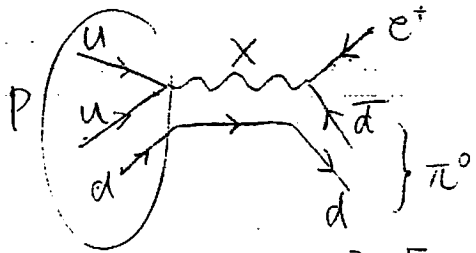
$$\bar{\psi}_5 = (\bar{d}_R^1, \bar{d}_R^2, \bar{d}_R^3, e_L^c, -\nu_L^c)$$

$$\psi_{10} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & u^3 & -u^2 & -u^1 & -d^1 \\ -u^3 & 0 & u^1 & -u^2 & -d^2 \\ u^2 & -u^1 & 0 & -u^3 & -d^3 \\ u^1 & u^2 & u^3 & 0 & -e^c \\ d^1 & d^2 & d^3 & e^c & 0 \end{pmatrix}_L$$

既知のフェルミオンが 5 と 10 にまじりこまれた。

今 - 3 粒子	3	$\bar{X}_1$	$\bar{Y}_1$
	3	$X_2$	$Y_2$
		$X_3$	$Y_3$
3		$W^0/\sqrt{2} + \frac{3B}{\sqrt{30}}$	
3		$W^+$	
3		$W^-$	
3		$-W^0/\sqrt{2} + \frac{3B}{\sqrt{30}}$	

X, Y 粒子が クォークと レプトンをもつたける。



$p \rightarrow e^+ \pi^0$

$\Gamma_p \propto \frac{\alpha_s^2 M_p^5}{M_x^4}$   $\tau_p \sim 4 \times 10^{29.20.7/7.1} \text{ years}$

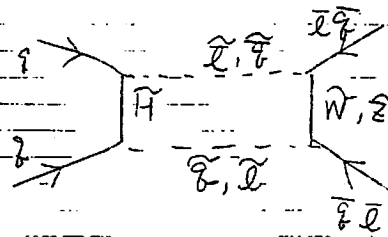
しかし、SKの結果は、あとで話すが  $> 5.7 \times 10^{23} \text{ years}$  (1489 days)

SU(5)はダメ

Super-symmetry SU(5) は coupling constant の一致が

無い。ただし Unification の scale は  $10^{16} \text{ GeV}$  位まで上がって

Susy-SU(5) では  $p \rightarrow \bar{\nu} k^+$  mode が無い。



$H_0$  は スミオン 振幅は  $\propto \frac{1}{M(\text{TeV})}$

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5 大統一と超対称性

表 5.4 現存粒子と超粒子の対照表

一般名称	粒子名	スピン	名称	超粒子名	スピン	名称
クォーク	$q_L$	1/2	quark	$\tilde{q}_L$	0	squark
クォーク	$q_R$	1/2	quark	$\tilde{q}_R$	0	squark
レプトン	$l_L$	1/2	lepton	$\tilde{l}_L$	0	slepton
レプトン	$l_R$	1/2	lepton	$\tilde{l}_R$	0	slepton
ヒッグス	$H^0$	0	higgs	$\tilde{H}^0$	1/2	higgsino
ヒッグス	$h^0$	0	higgs	$\tilde{h}^0$	1/2	higgsino
ヒッグス	$H^+$	0	higgs	$\tilde{H}^+$	1/2	higgsino
ヒッグス	$H^-$	0	higgs	$\tilde{H}^-$	1/2	higgsino
グルオン	$g$	1	gluon	$\tilde{g}$	1/2	gluino
光子	$\gamma$	1	photon	$\tilde{\gamma}$	1/2	photino
Z 重粒子	$Z^0$	1		$\tilde{Z}^0$	1/2	zino
W 重粒子	$W^\pm$	1		$\tilde{W}^\pm$	1/2	wino

$\langle H_2^0 \rangle$  であるが、超対称理論では、 $H_2$  は  $H_1^c$  であってはいけない。その理由  
 1+ ... ゲージ H<sub>1</sub> に対応する超粒子  $\tilde{h}_1$  は決まったへリシティをもつが、 $H_1^c$

5.5 超対称性

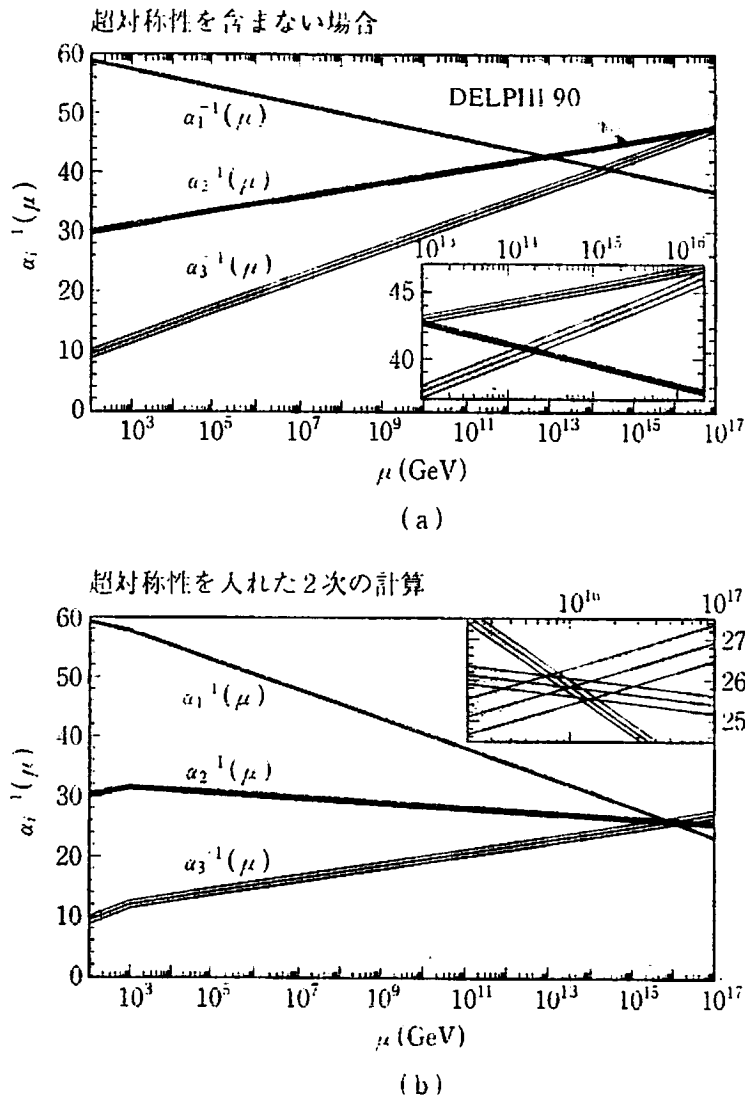


図5.8  $SU(5)$  群結合定数の繰り込みスケール  $\mu$  による発展図  
 出発点に LEP の DELPHI データ<sup>16,20)</sup> を使用した。  
 (a)  $SU(5)$  のみ  
 (b)  $SU(5)+SUSY, M_{SUSY}=1\text{ TeV}$

$SU(5)$  理論と SUSY を入れた  $SU(5)$  理論の  $\alpha_s(m_Z^2)$  と  $\sin^2 \hat{\theta}_w(m_Z^2)$  の  
 想値を示したものである<sup>16,20)</sup>。また、SUSY-GUT によれば、 $\alpha_s(M$

5 大統一と超対称性

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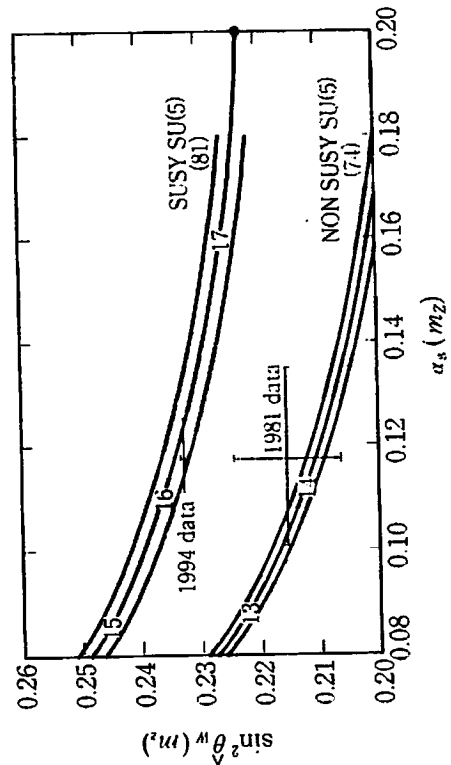
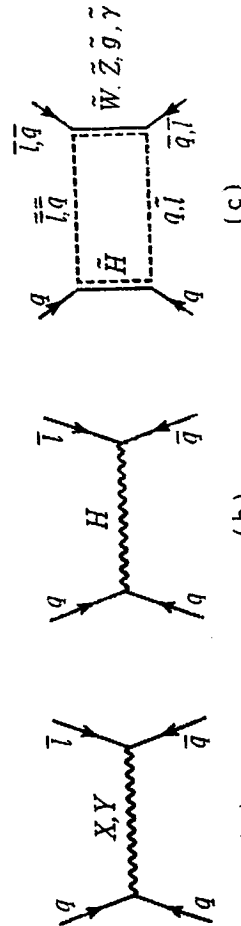
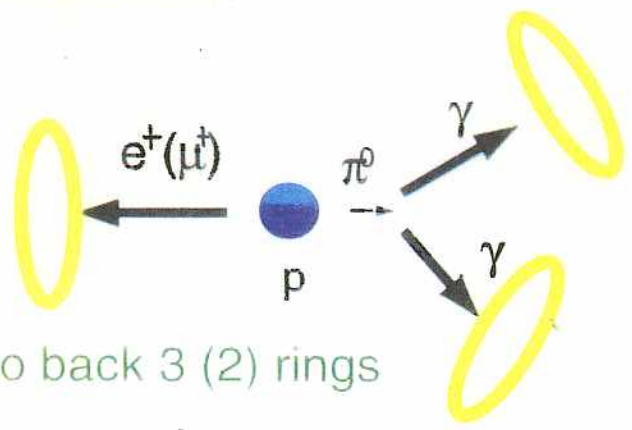


図5.9 大統一理論による  $\alpha_s(m_Z) - \sin^2 \hat{\theta}_w(m_Z)$  の計算予想値  
 と  $SUSY-SU(5)$  の理論値を示す。3本の線による帯は理論的不定性を表し、帯の中の  
 通常の  $SU(5)$  と SUSY-GUT スケール  $10^{16}\text{ GeV}$  の  $n$  を表す。古い 1981 年のデータでは通常の  $SU(5)$  と合致し  
 したが、最近のデータは  $SUSY-SU(5)$  に合う<sup>16)</sup>。



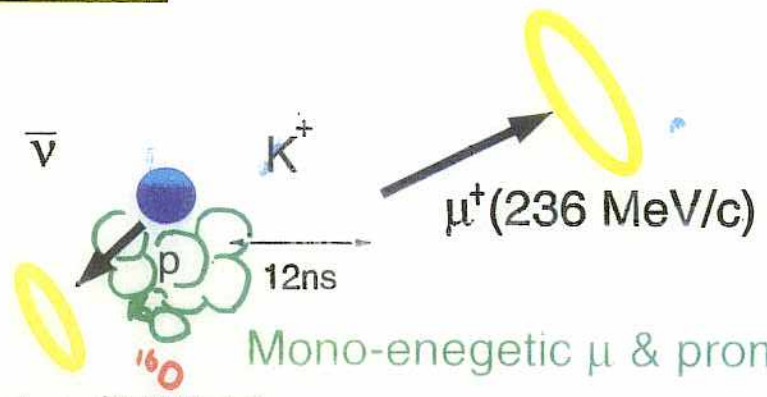


$p \rightarrow e^+ \pi^0 (\mu^+ \pi^0)$



Back to back 3 (2) rings

$p \rightarrow \bar{\nu} K^+$



Mono-energetic  $\mu$  & prompt  $\gamma$   
 prompt -  $\gamma$  (6.3MeV)

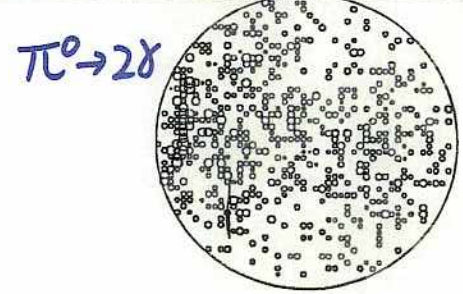
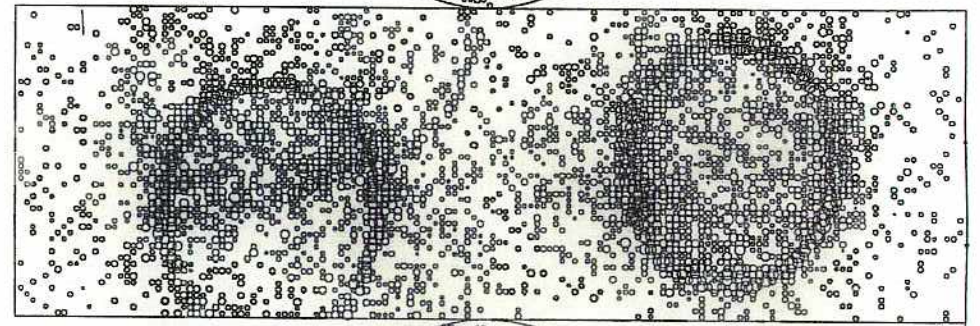
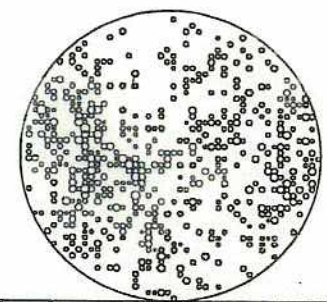
( 41% probability, H.Ejiri, Phys. Rev. C. 48 (1993)1442 )

Another way:  $p \rightarrow \bar{\nu} K^+$   
 $\hookrightarrow \pi^+ \pi^0$

$P \rightarrow e^+ \pi^0$  at Superkamiokande  
 (Monte Carlo simulation)

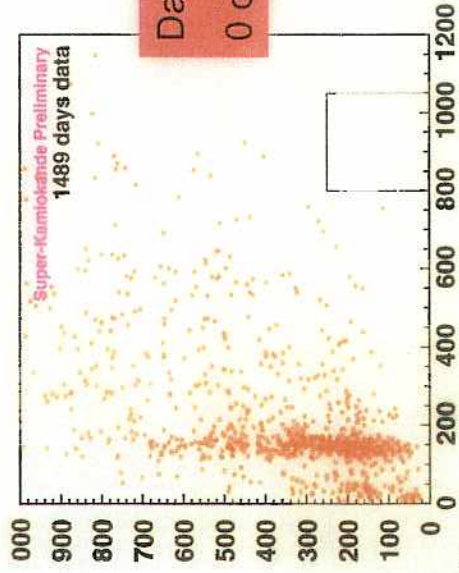
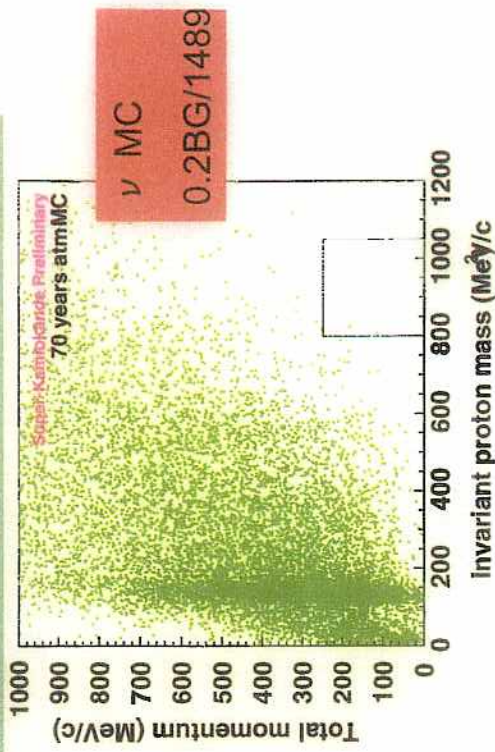
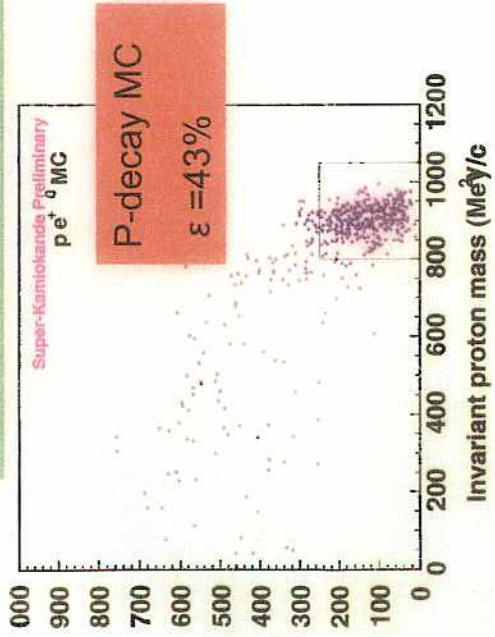
★ Super Kamiokande ★

NUM # : 4  
 RUN # : 0  
 EVENT # : 4  
 DATE : 22-12-93  
 TIME : 23-35-56  
 TOTAL PE : 7595.10  
 MAX PE : 15.70  
 NUMHIT : 4366



$\uparrow$   
 $e^+$

# $p \rightarrow e^+ \pi^0$ : Present status



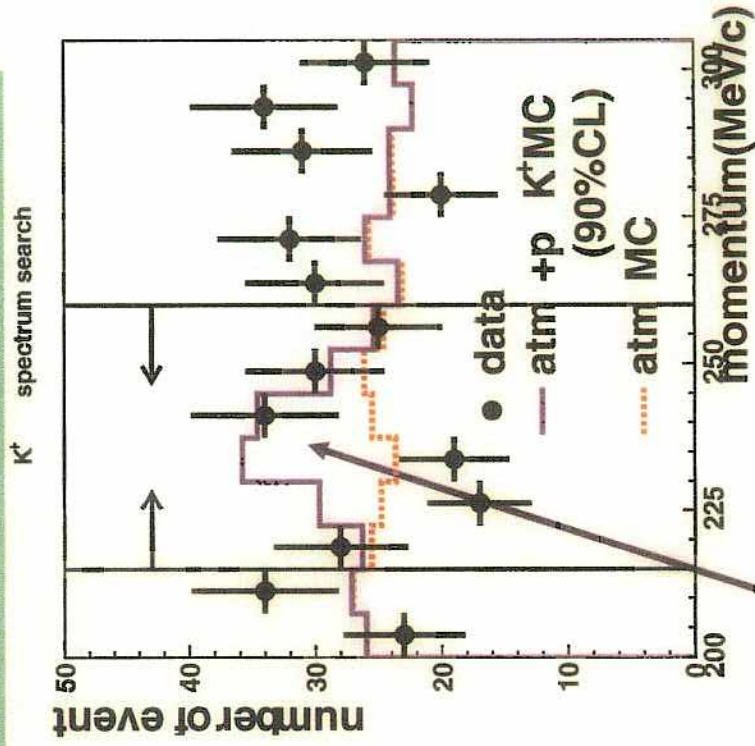
Data  
 0 candidate

$\tau / B > 5.7 \times 10^{33} \text{ yr}$   
 (Super-K, 90% C.L.)

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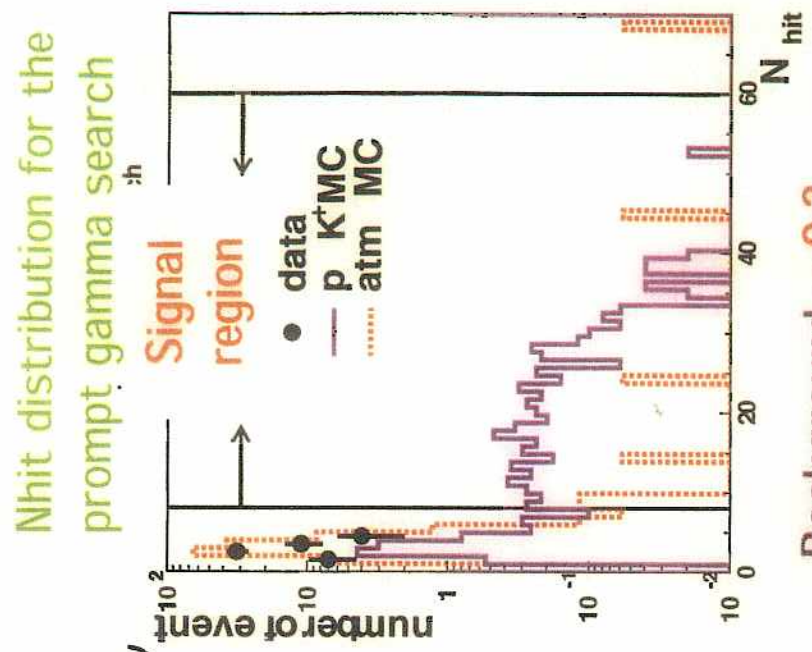
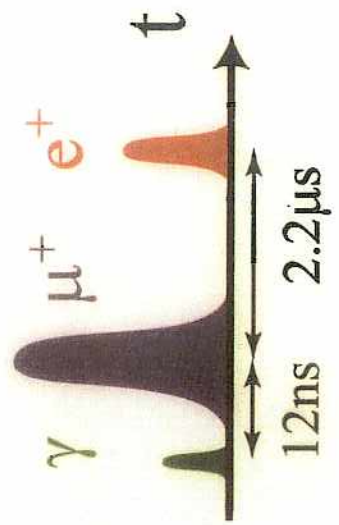
# $p \rightarrow \nu K^+$ : Present status (1)

1)  $P \rightarrow \nu K^+, K^+ \rightarrow \mu^+ \nu$   
 236 MeV/c



No significant excess near 236 MeV/c.  
 ( $\epsilon = 33\%$ )

# $p \rightarrow \nu K^+ : \text{Present status (2)}$



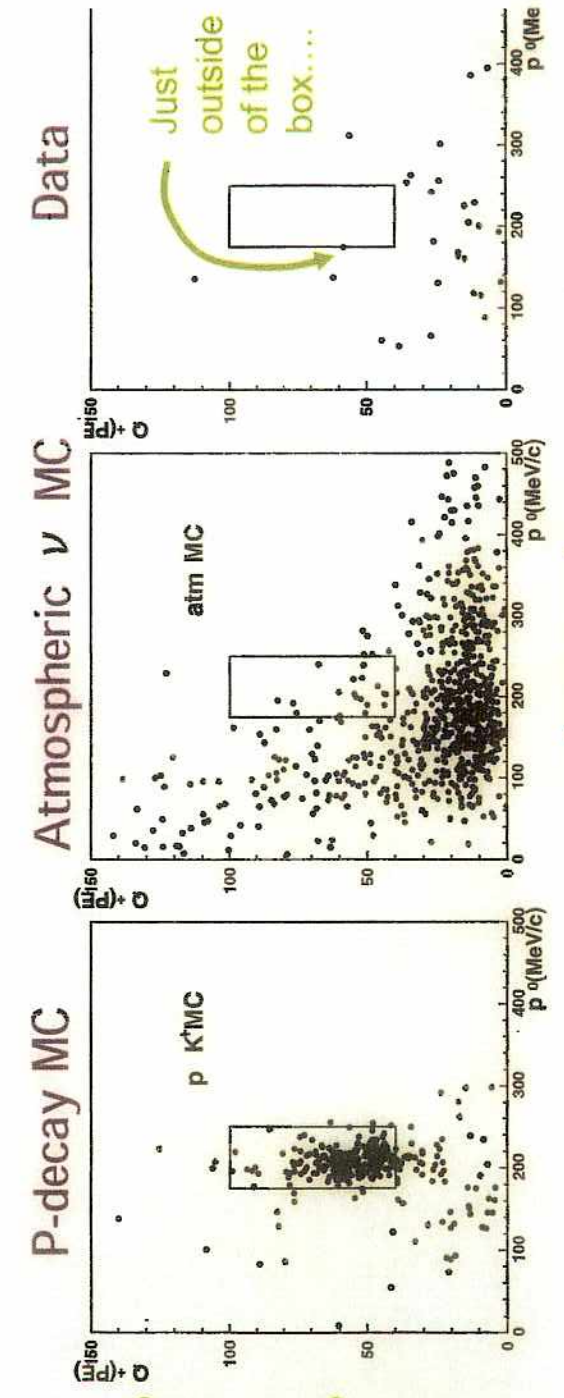
Background = 0.3

$\epsilon = 8.7\%$

Candidate = 0

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# $p \rightarrow \nu K^+ : \text{Present status (3)}$



$\epsilon = 6.5\%$

BG=0.9 ev/92ktonyr

Data=0 ev.

(1),(2) and (3)  $\rightarrow \tau/B > 2.0 \times 10^{33} \text{ yr (90\%CL, Super-K)}$

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# Future neutrino and non-accelerator experiments

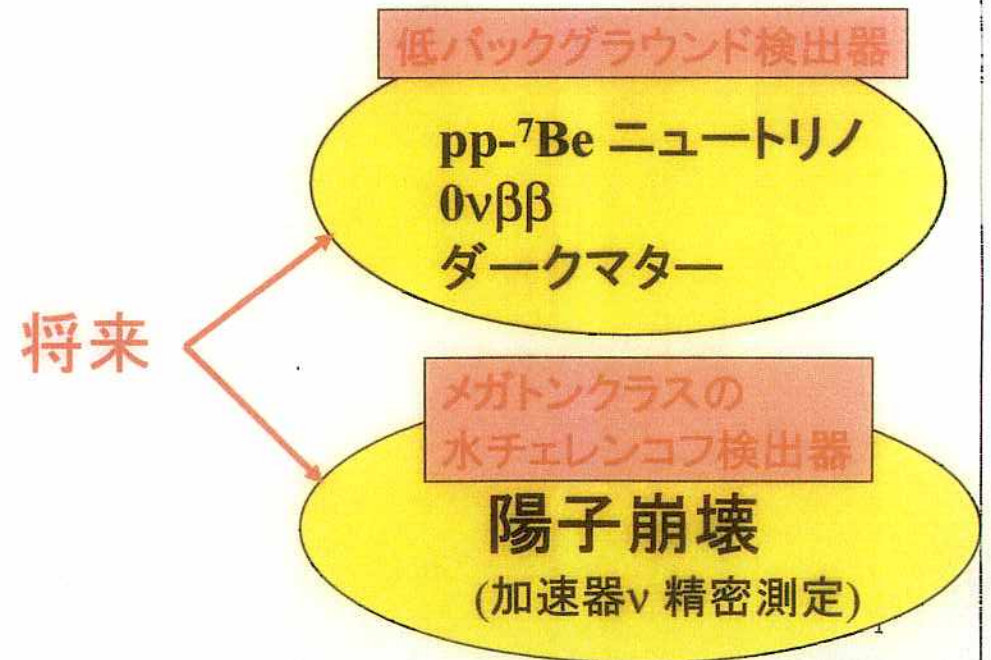
## ニュートリノ・非加速器物理学の将来

### ニュートリノ振動に対する近未来の発展

- (1) 大気  $\nu$  --- 確立  $\Rightarrow$  加速器を使った精密測定
- (2) Solar  $\nu$  --- 証拠  $\Rightarrow$  SK/ SNO / KamLANDによる精密測定

### 残された問題

- (1) ニュートリノ: 絶対質量、 $0\nu\beta\beta$
- (2) ダークマター
- (3) 陽子崩壊



# XMASS

Solar  $\nu$  : Xenon **MASS**ive detector for Solar neutrinos

Dark M: Xenon detector for weakly interacting **MASS**ive Particles

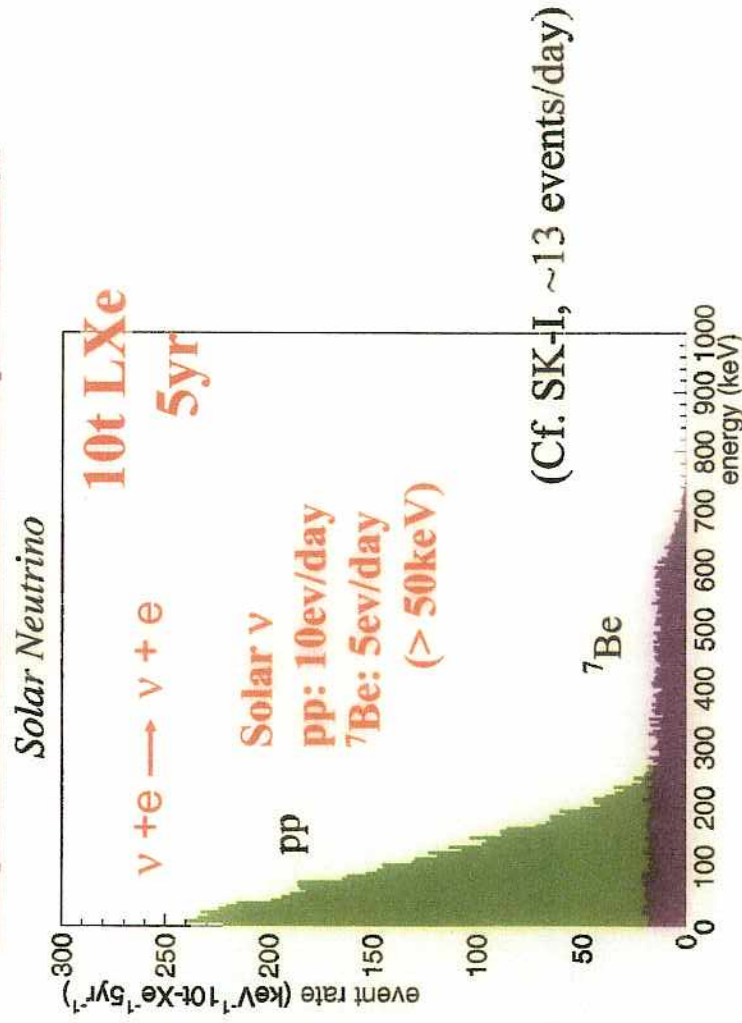
$\beta\beta$  : Xenon neutrino **MASS** detector



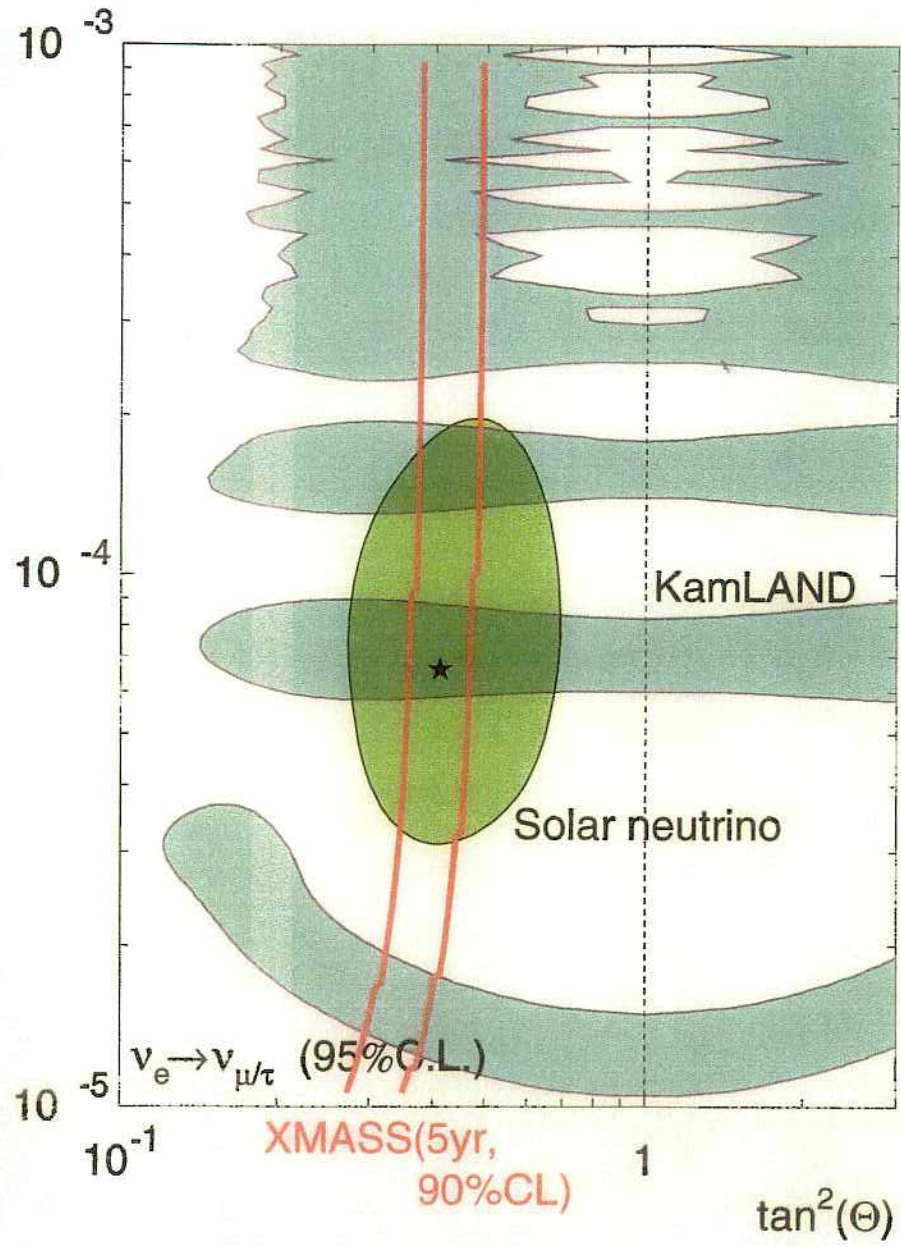
- 10 ton liquid Xe
- Viewed by many PMTs
- solar neutrinos by  $\nu + e \rightarrow \nu + e$
- $0\nu\beta\beta \sim 5 \times 10^{27} \text{ yr}$  (5yr) ( $\langle m_{\nu} \rangle < 0.01 - 0.02 \text{ eV}$ )
- 2000 DM ev/day for 100 GeV  $10^{-6} \text{ pb SI}$  for proton

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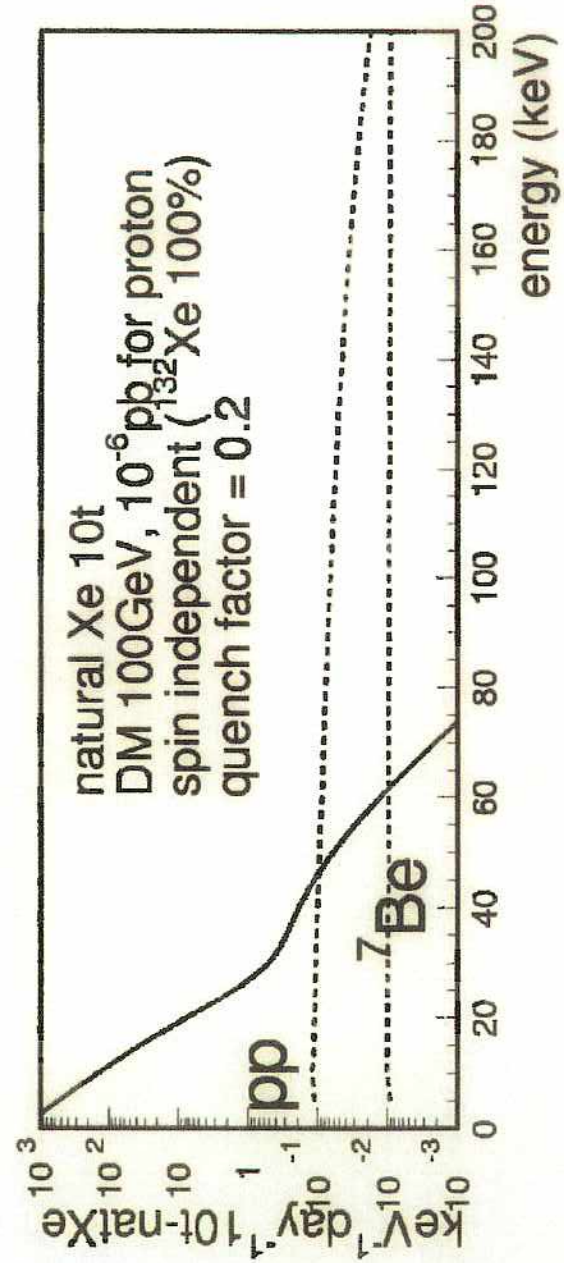
## Expected rate and spectrum



**QUITE HIGH STATISTICS!!**



## Dark matter detection

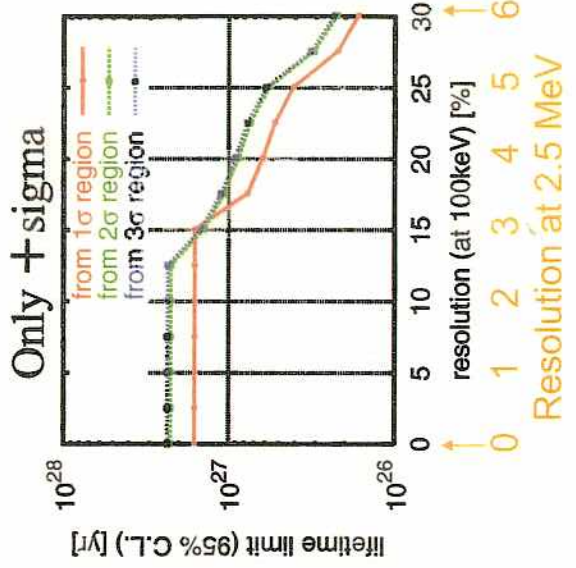
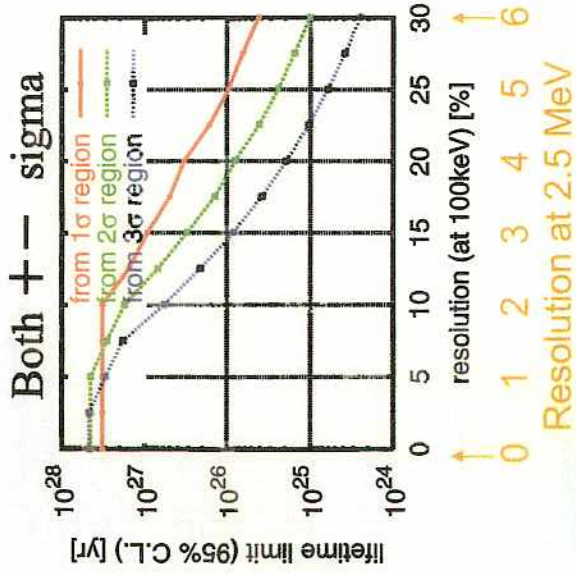


$E_{\text{th}} = 20\text{keV}; 30 \text{ events/day}$   
 $E_{\text{th}} = 5\text{keV}; 2000 \text{ events/day}$



# 0ν2β 崩壊探索の感度

10ton natural, 5 year



光量の統計から決まる分解能

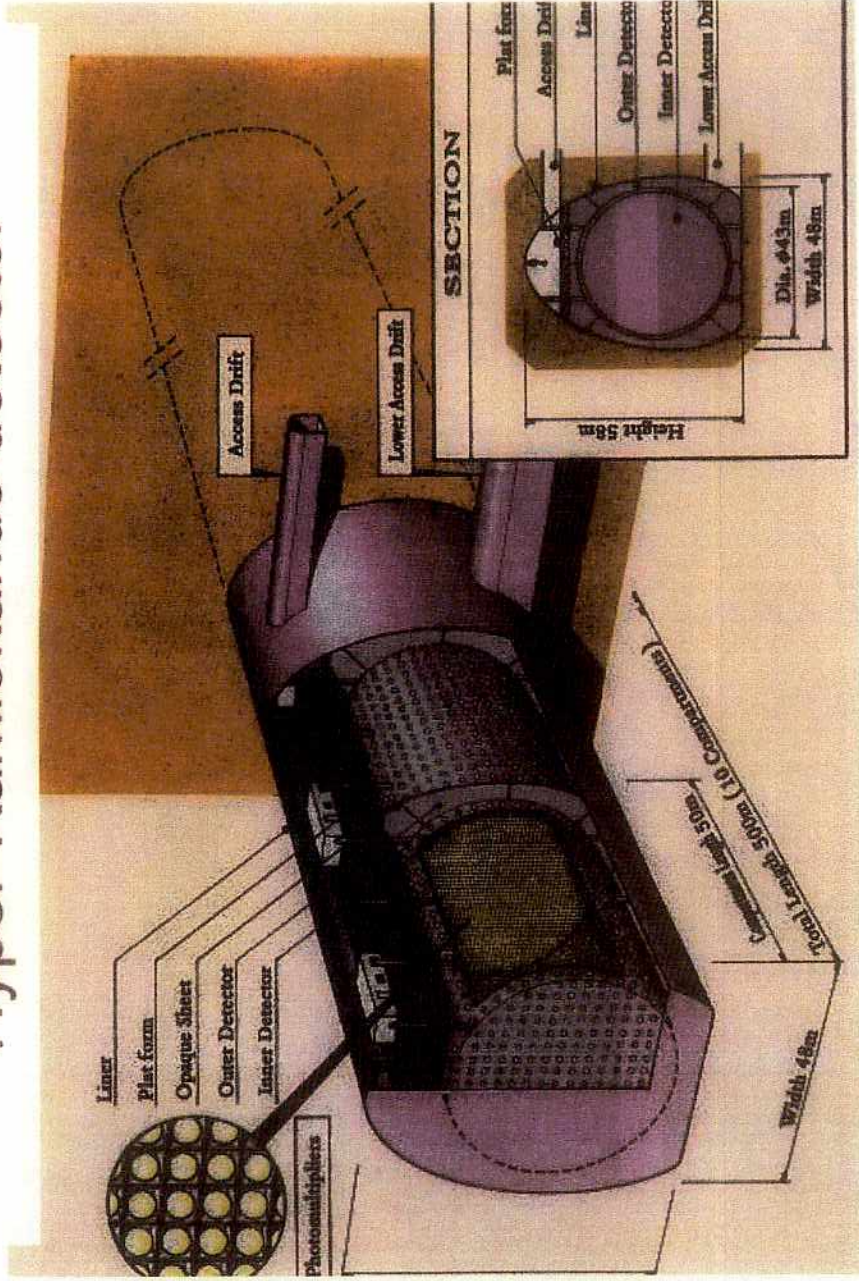
42000 p.e./MeV x 2.48 MeV x 30% Q.E.

→ 31000 p.e. → 0.6 % rms

$m_{Majorana}$  の感度として、0.01 - 0.02 eV

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## Hyper-Kamiokande detector

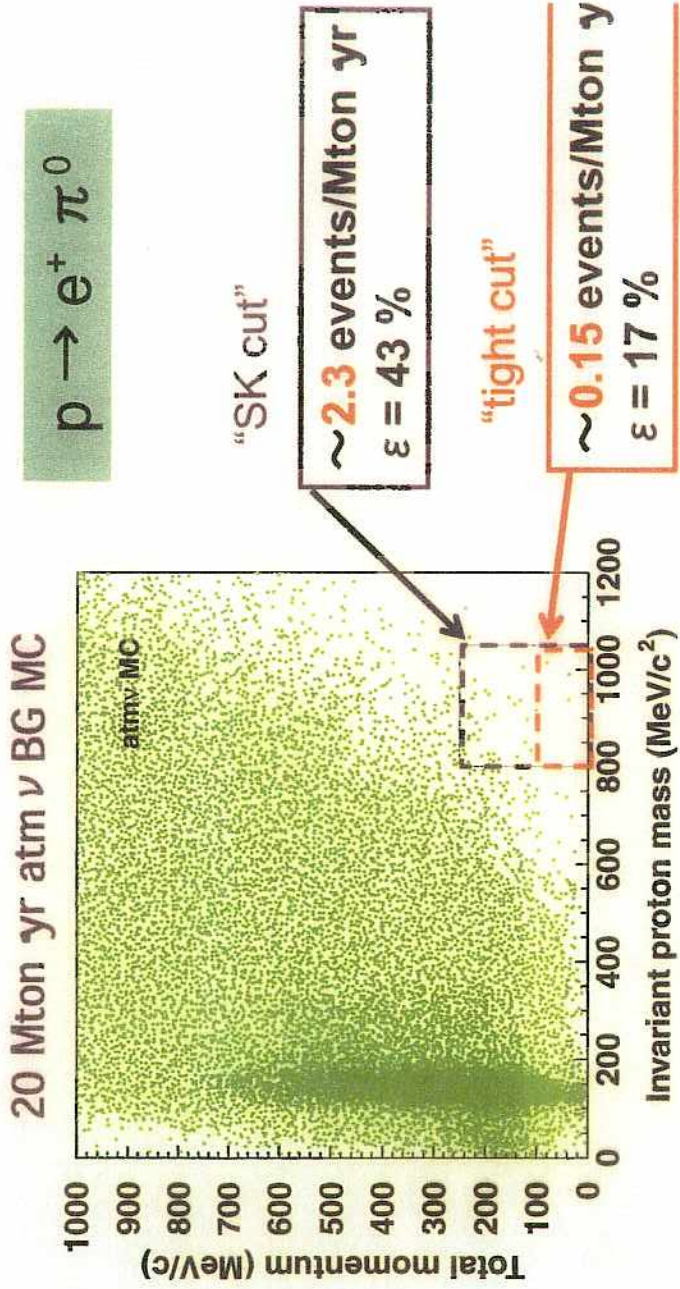


48m X 50m X 500m, Total mass = 1 Mton

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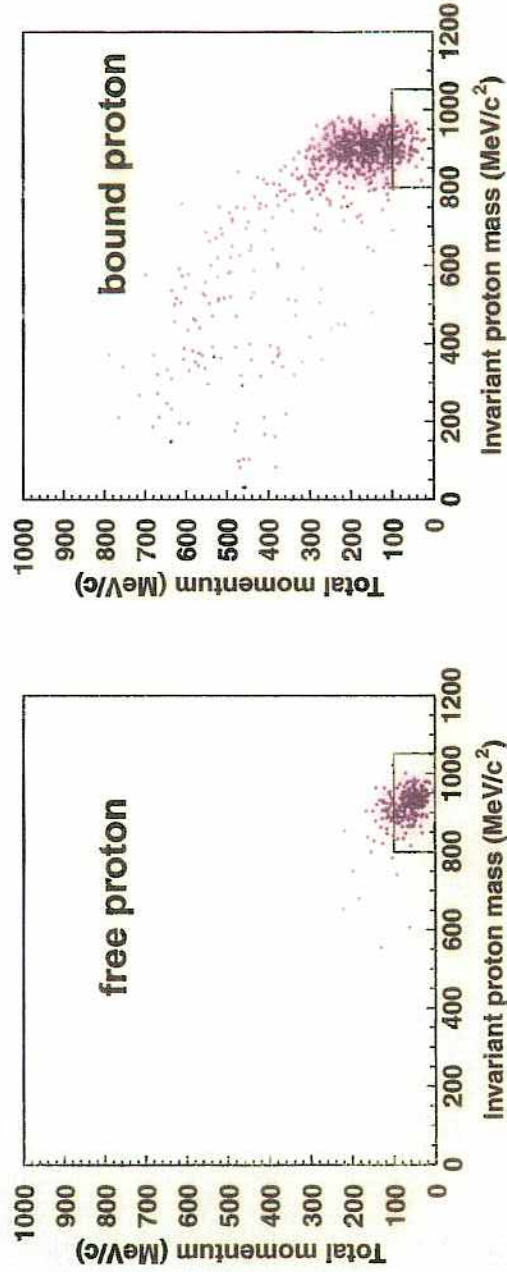


# Proton decay in Hyper-K



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## Tight momentum cut and free proton

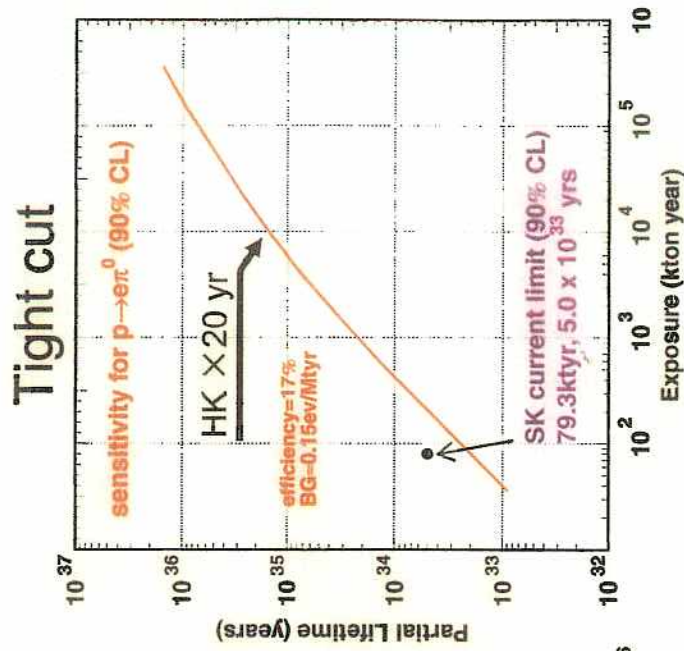
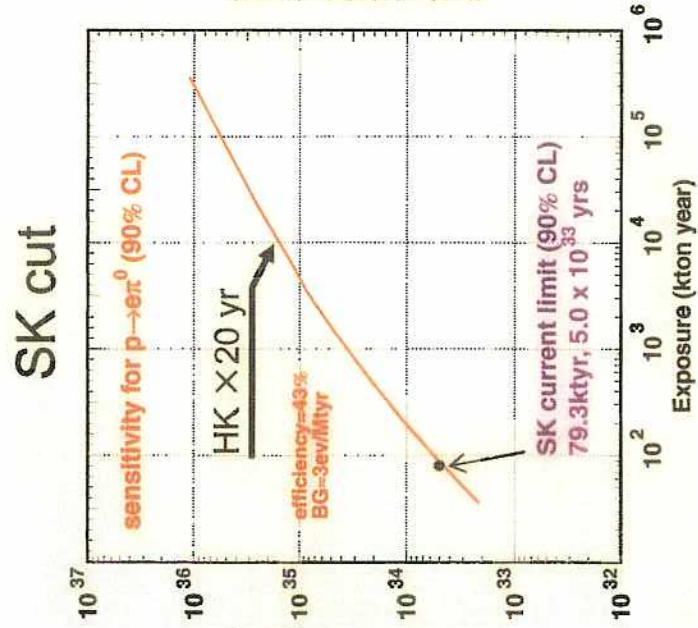


Tight momentum cut  
 $\Rightarrow$  target is mainly free protons  
 efficiency=17.4%

- lo Fermi momentum
  - lo binding energy
  - lo nuclear effect
- $\Uparrow$  Small systematic uncertainty in efficiency

333

# $e\pi^0$ sensitivity

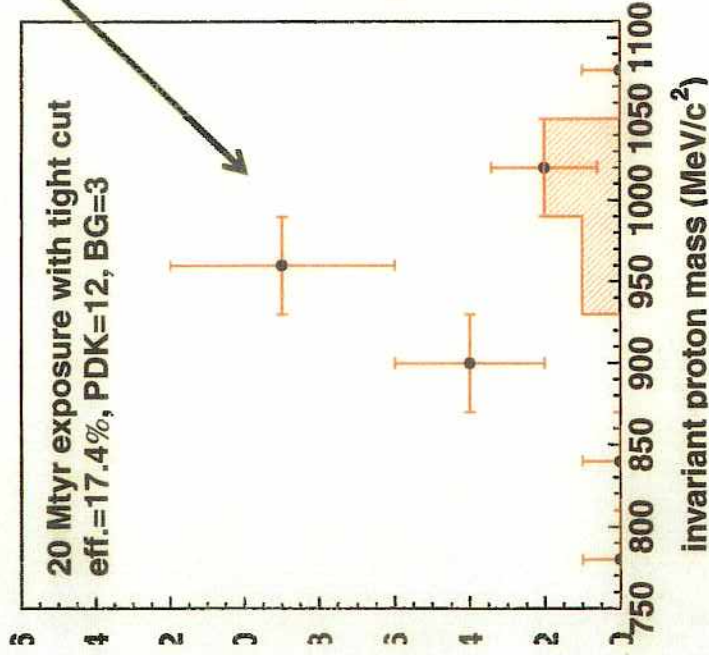


$\tau/B > 2 \times 10^{35}$  yr (Hyper-K 20yrs, 90%CL)

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## Reconstruction of $m_p$

$$\tau/B(p \rightarrow e^+ \pi^0) = 1 \times 10^{35} \text{ yr}$$

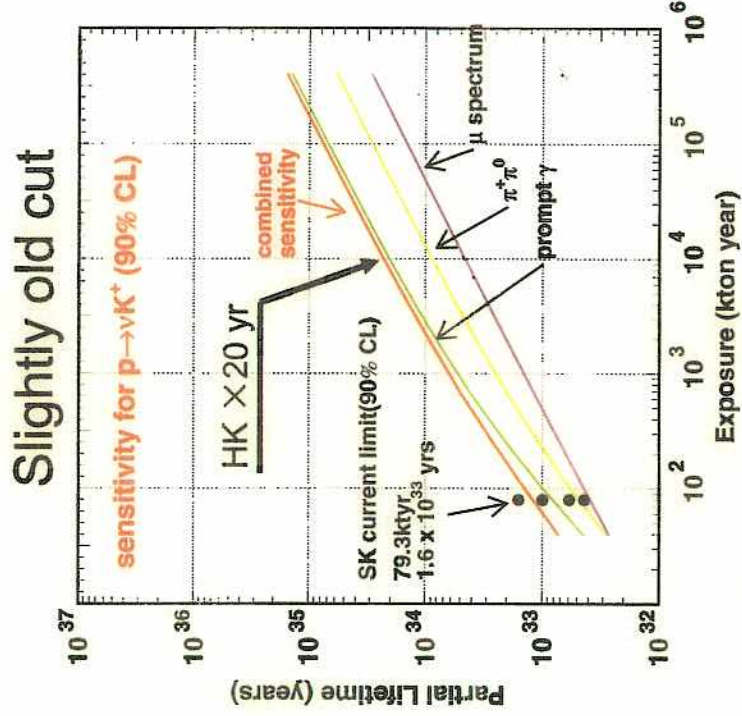


Proton mass peak can be observed!

$$S/N = 4 \text{ for } 1 \times 10^{35} \text{ yr}$$

Evidence for proton decay can be found if  $\tau/B(p \rightarrow e^+ \pi^0) = 1 \times 10^{35}$  yr by a large water Cherenkov detector.

# $\nu K^+$ sensitivity



$\tau/B > 3 \times 10^{34}$  yr (Hyper-K 20yrs, 90%CL)